CITY OF BIRMINGHAM DBE PROGRAM – for Federal Transit Authority (FTA) Assisted attachment
POLICY STATEMENT

Section 26.1, 26.23 Objectives/Policy Statements

The City of Birmingham has established a Disadvantaged Business Enterprise (DBE) program in accordance with regulations of the U.S. Department of Transportation (FTA), 49 CFR Part 26. The City of Birmingham has received Federal financial assistance from the Department of Transportation, and as a condition of receiving this assistance, the City of Birmingham has signed an assurance that it will comply with 49 CFR Part 26

It is the policy of the City of Birmingham to ensure that DBEs are defined in part 26, have an equal opportunity to receive and participate in FTA–assisted contracts. It is also our policy:
1. To ensure nondiscrimination in the award and administration of FTA – assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for FTA-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in FTA assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.

Fred Hawkins has been delegated as the DBE Liaison Officer. In that capacity, Mr. Hawkins is responsible for implementing all aspects of the DBE program. Implementation of the DBE program is accorded the same priority as compliance with all other legal obligations incurred by the City of Birmingham in its financial assistance agreements with the Department of Transportation.
City of Birmingham has disseminated this policy statement to the Department of Planning Engineering and Permits and all of the components of our organization. We have distributed this statement to DBE and non-DBE business communities that perform work for us on FTA-assisted contracts. This document will be included in our bid specifications and will be mentioned in our advertisement for bids on federal aid projects.

_____ _____

[*Signature of Recipients Chief Executive Officer*] Date

SUBPART A – GENERAL REQUIREMENTS

Section 26.1, 26.23 Objectives

1. To ensure nondiscrimination in the award and administration of FTA – assisted contracts;
2. To create a level playing field on which DBEs can compete fairly for FTA-assisted contracts;
3. To ensure that the DBE Program is narrowly tailored in accordance with applicable law;
4. To ensure that only firms that fully meet 49 CFR Part 26 eligibility standards are permitted to participate as DBEs;
5. To help remove barriers to the participation of DBEs in FTA assisted contracts;
6. To assist the development of firms that can compete successfully in the market place outside the DBE Program.

Section 26.3 Applicability

The City of Birmingham is the recipient of federal transit funds authorized by Titles I, III, V, and VI of ISTEA, Pub. L. 102-240 or by Federal transit laws in Title 49, U.S. Code, or Titles I, II, and V of the Teas-21, Pub. L. 105-178.

Section 26.7 Non-discrimination Requirements

The City of Birmingham will never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by 49 CFR part 26 on the basis of race, color, sex, or national origin.
In administering its DBE program, the City of Birmingham will not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE program with respect to individuals of a particular race, color, sex, or national origin.

Section 26.11 Record Keeping Requirements

Uniform Report of DBE Awards or Commitments and Payments: 26.11(a)
City of Birmingham will report DBE participation to the *FTA* using the Uniform Report of DBE Awards or Commitments and Payments, found in Appendix B to the DBE regulation.
Bidders List: 26.11(c)
The City of Birmingham will create a bidders list, consisting of information about all DBE and non-DBE firms that bid or quote on FTA-assisted contracts. The purpose of this requirement is to allow use of the bidder's list approach to calculating overall goals. The bidder list will include the name, address, DBE non-DBE status, age, and annual gross receipts of firms.

Section 26.13 Assurances

City of Birmingham has signed the following assurances, applicable to all FTA-assisted contracts and their administration:

Federal Financial Assistance Agreement Assurance: 26.13(a)

City of Birmingham shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any FTA assisted contract or in the administration of its DBE Program or the requirements of 49 CFR part 26. The recipient shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of FTA assisted contracts. The recipient's DBE Program, as required by 49 CFR part 26 and as approved by FTA, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the City of Birmingham of its failure to carry out its approved program, the Department may impose sanction as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 *et seq.*).

This language will appear in financial assistance agreements with sub-recipients.

Contract Assurance: 26.13b

The City of Birmingham will ensure that the following clause is placed in every FTA-assisted contract and subcontract:
The contractor, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of FTA assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

SUBPART B – ADMINISTATIVE REQUIREMENTS

Section 26.21 DBE Program Updates

Since the City of Birmingham has received a grant *For FTA recipients*: $250,000 or more in FTA planning capital, and or operating assistance in a federal fiscal year, we will continue to carry out this program until all funds from FTA financial assistance have been expended. We will provide to FTA updates representing significant changes in the program.

Section 26.25 DBE Liaison Officer (DBELO)

We have designated the following individual as our DBE Liaison Officer:
Fred Hawkins – City Engineer
710 North 20th Street, Birmingham, AL 35203
205-254-2361
Fred.hawkins@birminghamal.gov

Mr. Hawkins is not a part of the finance or procurement office of the City of Birmingham. He does not have authority over bids, contracts, procuring goods or services.

Mr. Hawkins is responsible for implementing all aspects of the DBE program and ensuring that the City of Birmingham complies with all provision of 49 CFR Part 26. Mr. Hawkins has independent access to the Director of Planning Engineering and Permits and the director of the Birmingham Jefferson Transit Authority (BJCTA), concerning DBE program matters. An organization chart displaying the DBELO's position in the organization is found in Attachment 1 to this program.
The DBELO is responsible for developing, implementing and monitoring the DBE program, in coordination with other appropriate officials. The DBELO has a staff of more than 10 to assist in the administration of the program. The duties and responsibilities include the following:
1. Gathers and reports statistical data and other information as required by FTA.
2. Reviews third party contracts and purchase requisitions for compliance with this program.
3. Works with all departments to set overall annual goals.
4. Ensures that bid notices and requests for proposals are available to DBEs in a timely manner.
5. Identifies contracts and procurements so that DBE goals are included in solicitations (both race-neutral methods and contract specific goals attainment and identifies ways to improve progress.
6. Analyzes City of Birmingham's progress toward attainment and identifies ways to improve progress.
7. Participates in pre-bid meetings.
8. Advises the Director on DBE matters and achievement.
9. Participates in pre-bid meetings.
10. Provides DBEs with information and assistance in preparing bids, obtaining bonding and insurance.
11. Plans and participates in DBE training seminars.
13. Utilizes the database from the Uniform Certification Program in Alabama to ensure DBE's are properly certified.
14. Provides outreach to DBEs and community organizations to advise them of opportunities.

Section 26.27 DBE Financial Institutions

It is the policy of the City of Birmingham to investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in the community, to make reasonable efforts to use these institutions, and to encourage prime contractors on DOT-assisted contract to make use of these institutions. We have made the following efforts to identify and use such institutions:

1. We have obtained a list of DBE Financial Institutions from the Alabama Unified Certification Program ALUCP.
2. We will supply this list to all prime contractors on FTA projects and encourage their use.
3. We will re-evaluate the list and policy yearly and obtain a new list prior to the start of each new FTA project.

Current ALUCP DBE financial institutions include:

Gulf Federal Bank, 200 Dolphin Street, Mobile, AL 36617 (251-433-2671)

Commonwealth National Bank, 2214 Saint Stevens Road, Mobile, AL, 36617 (251-476-5938)

Section 26.29 Prompt Payment Mechanisms

Prompt Payment: 26.29(a)

The City of Birmingham will include the following clause in each FTA-assisted prime contract:
The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than 30 days from the receipt of each payment the prime contract receives from City of Birmingham. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the City of Birmingham. This clause applies to both DBE and non-DBE subcontracts.

Retainage: 26.29(b)

The prime contractor agrees to return retainage payments to each subcontractor within 30 days after the subcontractors work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the City Engineer. This clause applies to both DBE and non-DBE subcontracts.

Monitoring and Enforcement: 26.29(d)

The City of Birmingham has established a procedure to monitor and enforce that prompt payment and return of retainage is in fact occurring. The contract documents require the Contractor to pay all subcontractors within 30 days of being paid for said work. In addition, State law is very strict on this issue. The city may enforce this prompt payment through contractual means and also has an obligation to report violations to the state of Alabama.

Section 26.31 Directory

The City of Birmingham utilizes the Birmingham Construction Industry Authority's (BCIA) database for determining certification of DBE's for all non federally funded projects as established by consent decree. The City of Birmingham utilizes the **Alabama Unified Certification Program (ALUCP)** for all FTA funded projects. http://cpmsweb2.FTA.state.al.us/alucp/default.aspx#home

Section 26.33 Overconcentration

City of Birmingham has not identified that overconcentration exists in the types of work that DBEs perform.

Section 26.35 Business Development Programs

City of Birmingham contributes funding yearly to the Birmingham Construction Industry Authority (BCIA) which is an organization set up to promote DBE development locally in the Birmingham area. The BCIA is part of "The Birmingham Plan" (Attachment 4) set up by a court ordered consent decree. More information can be found on their web site. http://www.bcia1.org/

The BCIA operates an ongoing effort to help establish and grow DBE firms within the City of Birmingham. They hold monthly training classes on a wide variety of subjects to help DBE companies succeed and grow. In addition, meet and greet and networking events are held monthly with prime non DBE contractors and potential DBE subcontractors.

For most major construction projects that occur in the Birmingham area, the BCIA hosts a series of meetings at or near the construction site with participation from the City of Birmingham staff prior to bidding and construction. These meetings are informative meetings to educate the DBE businesses about the projects and they are match making events to encourage the potential prime contractor bidders to team up with the DBE contractors. In many cases, DBE contractors are also prime bidders. These companies are also encouraged to team up and utilize smaller DBE subcontractors. For the Intermodal Facility Project, meetings involving BCIA which promoted DBE inclusion and teaming were held on August 7, 2013, October 29, 2013, December 12, 2013, October 10, 2014, and October 24, 2014. There will be additional meetings scheduled as remaining work packages are approaching bid advertisement.

The BCIA provides yearly reporting updates and re-evaluates the goals and needs for the program.

Section 26.37 Monitoring and Enforcement Mechanisms

The City of Birmingham will take the following monitoring and enforcement mechanisms to ensure compliance with 49 CFR Part 26.
1. We will bring to the attention of the FTA any false, fraudulent, or dishonest conduct in connection with the program, so that FTA can take the steps (e.g., referral to the Department of Justice for criminal prosecution, referral to the FTA Inspector General, action under suspension and debarment or Program Fraud and Civil Penalties rules) provided in 26.109.
2. We will consider similar action under our own legal authorities, including responsibility determinations in future contracts.
3. The City of Birmingham's finance department does not release final retainage payment to the contractors until the final DBE utilization forms are submitted. These forms are in the bid documents and the contract. All forms are included in the attachments in this document.
4. A running tally of actual payments to DBE firms for work committed to them at the time of contract award is kept per job and is tallied per year. This information is reported yearly to the BCIA as part of The Birmingham Plan (Attachment 4).
5. See attachment 7 – monitoring and enforcement mechanisms / legal remedies.

Monitoring and Enforcement Mechanisms/Legal Remedies

The City of Birmingham has available several remedies to enforce the DBE requirements contained in its contracts, including, but not limited to, the following:

1. Breach of contract action, pursuant to the terms of the contract;
2. Breach of contract action, pursuant to Alabama Law;
3. Breach of contract based on City ordinances.

In addition, the federal government has available several enforcement mechanisms that it may apply to firms participating in the DBE problem, including, but not limited to, the following:

1. Suspension or debarment proceedings pursuant to 49 CFR part 26
2. Enforcement action pursuant to 49 CFR part 31
3. Prosecution pursuant to 18 USC 1001.

Section 26.39 Small Business Participation

City of Birmingham
Mayor's Office of Economic Development (OED)
Griffin Lassiter, Director

It is the standard practice of the City of Birmingham to provide equal opportunity to and encourage the promotion of all small business enterprises to participate in all aspects of its contracting and purchasing programs.

As a matter of public policy, the City of Birmingham encourages minority and women-owned business participation to the maximum extent possible. This policy, which is outlined in all development and project agreements, includes encouraging Historically Underutilized Businesses (HUB) such as architectural firms, engineering firms, investment banking firms, other professional service providers and construction contractors as part of the City's business, economic and community revitalization programs. This participation also includes entities considered to be Small Businesses (as recognized by the SBA), Minority owned, Women owned and Disadvantage Business Enterprises (DBE's).

The City of Birmingham currently maintains Memorandums of Understanding (MOU's), contracts or collaborative agreements with the following twelve (12) organizations that specialize in programs geared toward Small business and Minority participation including entities classified as SBA's, DBE's, HUB's, and WBE's in accordance with the Small Business Administration (SBA):

- ***Alabama State Black Chamber of Commerce***
- ***Birmingham Metro Black Chamber of Commerce***
- ***Alabama Department of Transportation DBE Program***
- ***The Birmingham Business Alliance (BBA)***
- ***The Birmingham Business Resource Center (BBRC)***
- ***The Birmingham Construction Industry Authority (BCIA)***
- ***Disability Rights and Resources (DRR)***
- ***REV Birmingham***
- ***The Small Business Administration (SBA)***
- ***The Southern Region Minority Supplier Development Council (SRMSDC)***
- ***The Women's Business Council (WBC)***
- ***Urban Impact***

Specifically, because of the City's on-going relationships with all of these outlined small business organizations the Planning, Engineering and Permits (PEP) Department will be able to have access to this same database and these same resource agencies avail themselves to assist the City of Birmingham in its facilitation of our capital projects including those with and without federal funding. In particular, the City has incorporated language in all development and project agreements to include engagement of the Birmingham Construction Industry Authority (BCIA) on all construction-related projects for procurement opportunities.

- Specifically, PEP in collaboration with OED strategically implements a comprehensive community outreach plan that identifies firms to be contracted with that are categorized as disadvantaged business enterprises, small businesses vendors that can provide a myriad of goods and services. If necessary, the City of Birmingham will implement the following activities:

- Convene a series of workshops, seminars and forums in partnership with our existing small business resource agencies as a means of identifying targeted suppliers. Communication will be disseminated to said potential entities as well as local merchant associations utilizing current forms of social media to also include email, telephone solicitation and mail-outs;
- Will maintain and make available to PEP a comprehensive, on-line directory of local business firms owned by minorities, women, persons with disabilities, LGBT persons and veterans;
- Will provide directory or database of firms that will include but not be limited to the areas of: office furniture rental, office supplies, technology rental and support, floral services, messengers, transportation rental and support, security services, communications providers, exhibition services, food and catering, childcare, etc.;
- Will engage all active small business resource agencies and business associations, ADA, Disability Rights and Resources and Veterans Administration groups to ensure inclusion and representation of all sectors of the business community;
- Will participate on boards, provide financial support, and jointly host regular small business summits with our Birmingham partners including the The African American Business Council (AABC), The Birmingham Business Alliance (BBA), The Birmingham Business Resource Center (BBRC), The Birmingham Construction Industry Authority (BCIA), The Central Alabama Women's Business Center (CAWBC), Disability Rights and Resources (DRR), REV Birmingham, The Small Business Administration (SBA), The Southern Region Minority Supplier Development Council (SRMSDC), The Women's Business Council (WBC), Urban Impact

Summary Statement:
City of Birmingham has an active program to market, encourage participation, and to assist DBE and Small Businesses to grow and thrive. We have been called a model city and have hosted national forums including the White House Business Council (WHBC), Urban Economic Forum that were designed to connect urban entrepreneurs and business owners to local and national resources. Our program strategies are reviewed yearly internally and are discussed at monthly and quarterly board meetings of our partner organizations listed above.

Listed below are some specific elements utilized to facilitate competition by small businesses. The City currently utilizes the SBA to verify small business size and recommends and encourages all of our venders, professional firms and contractors to become certified.

1. The City regularly unbundles larger projects in to smaller bid packages to encourage participation of small business firms and contractors. Not only does this allow for smaller prime contractors to participate, we have found that it lowers our overall price and allows for a more diverse and a larger number of subcontractors to participate. An example of this process includes our intermodal facility. The design package included a prime architect partnered with a DBE / small business architect and four DBE engineering subs for Mechanical, Electrical, Structural, and Civil Engineering. The Construction Management included a Prime Contractor and two DBE sub contractors. With a total price tag estimated near $30 million, the new facility is being designed for 12 separate bid packages including:
    a. Demolition, Site Prep, and Foundation
    b. General Trades
    c. Plumbing
    d. HVAC / Mechanical
    e. HVAV Plumbing
    f. Fire Suppression

g. Electrical
h. Paving
i. Landscape, Irrigation and hardscape
j. Masonry
k. Roofing
l. Glass and Glazing

2. Through a **Birmingham Business Development Loan** a borrower can receive a short term (12 to 24 months), low interest loan of $100K to $1M. These loans are excellent for acquisition and site or building preparation, with an interest rate that could be advantageous to the borrower.

3. Assuming the project would create new jobs and new city revenues, the City of Birmingham may consider **sharing a percentage of new revenues** in the form of a rebate to the business principals on an annual basis following the collection of the revenues by the City.

4. **If the property is owned by the City**, depending on the scope and potential for new jobs and revenue generation, the City will sometimes convey the property to the prospect at a significantly reduced cost.

5. **Improvements in the public right-of-way** are often offered as an incentive. Sidewalk repair, drainage, and streetscaping are the most common improvements sometimes offered by the City, and can amount to several thousand dollars in cash savings when a new business is getting ready to begin operations.

6. **Fast Track Permitting** assistance is offered through the City's Division of Economic Development, working in close coordination with the Department of Planning Engineering and Permits. The local developer, architect or contractor will have immediate assistance and/or information related to design review, inspections, permits, and other matters related to a project.

7. **Alabama Enterprise Zone Credits** Birmingham's designated Enterprise Zone is comprised of 10,000 acres of largely industrial property. Eligible new or expanding businesses located in the zone may qualify for tax credits or exemptions.

8. **Small Business Administration Counseling**. If you are a new or existing business owner, the US Small Business Administration is available to meet clients at Birmingham City Hall twice a week to provide an individual counseling session.

9. **HUBZone** is a United States Small Business Administration program for small companies that operate and employ people in Historically Underutilized Business Zones (HUBZones). The HUBZone program was created in response to the HUBZone Empowerment Act created by the US Congress in 1998

10. The City provides funding, access to key staff, and participates in programs promoted and hosted by the Birmingham Business Alliance. One of their primary missions is to help promote small businesses. They provide many services to small businesses including regular outreach and marketing, networking events, award and recognition programs, councils and roundtables, and business development resources. There are many partners in the city in which The City of Birmingham participates, however the BBA is one of the organization which specifically targets and promotes small businesses. The City of Birmingham is active in participating in their small business program and was instrumental in its start up. http://birminghambusinessalliance.com

SUBPART C – GOALS, GOOD FAITH EFFORTS, AND COUNTING

Section 26.43 Set-asides or Quotas

The City of Birmingham does not use quotas in any way in the administration of this DBE program.

Section 26.45 Overall Goals

In accordance with Section 26.45, the City of Birmingham will submit its overall DBE goal to the FTA on August 1 of the year specified by FTA schedule.
City of Birmingham will also request use of project-specific DBE goals as appropriate, and/or will establish project-specific DBE goals as directed the FTA.
The process generally used by City of Birmingham to establish overall DBE goals is as follows:

CITY OF BIRMINGHAM
GOAL SETTING METHODOLOGY FOR THE
FY 2017 - 2019 DBE PROGRAM

The United States Department of Transportation (DOT) regulations CFR 49 Part 26 require the development of a goal setting methodology for the participate of Disadvantaged Business Enterprises (DBE's) in Federal Transit Administrations (FTA) assisted contracts awarded and / or administered by the City of Birmingham.

The City of Birmingham's overall DBE goal for US DOT FTA Program in FY2017-2019 will be Nineteen percent (19%) of the Federal financial assistance expended on U. S. DOT assisted contracts.

Overview of the Goal Setting Program (26.45)

The primary reason for defining goals for the USDOT Disadvantage Business Enterprise Program is to achieve a level playing field and equal opportunity for DBE's seeking to participate in Federal-aid transportation contracting. FTA guidelines require recipients to set goals based on what will facilitate achieving these goals for DBE's; that is, the amount of participation that could be expected in the absence of discrimination and the effects of past discrimination. USDOT also established in the Final Rule (49 CFR §26.45c), a two-step process for goal setting. The first step of the process is to create a baseline figure for the relative availability of ready, willing, and able DBE's in each recipient's market. The second step is to make adjustment from the base figure by relying on an examination of additional evidence, past experience, and local expertise.

Public engagement

The City plans to host a series of public meetings to engage the public, DBE contractors, prime contractors, and other stake holders to present this plan and the proposed DBE goal. These meetings will be held at the Birmingham Cross-plex and at the Hawkins Golf Course Recreation Center. The Birmingham Cross-plex is a relatively new state of the art indoor multi-purpose sports complex located in western Birmingham with ample meeting space. The Hawkins Golf Course Recreation Center is a well-known recreation center in Eastern Birmingham. We have had a good success with public turn out in these locations. We plan to host these public meetings in the first and second week of March, 2018. Each location will have a meeting from 2 to 4pm and from 4 to 6pm. Comments from the meetings will be organized and summarized. The meetings will be advertised in newspapers, press releases, and on the City web site. Social media will also be utilized to advertise these meetings. Changes to the DBE plan will be made as needed to address the feedback received from the public meetings.

Methodology

Step One: Base Figure

The services that will be required for the Phase II Intermodal Facility are architecture (NAISC 541310) and contracting for buildings (*NAICS CODES: 237110, 237130, 238330, 238320, 238290, 238220, 238210, 238140, 238120, 238160, 238910,238130, 442110*).

Determining the availability rate of potential DBE firms is a necessary prerequisite. In establishing a base figure data from the U.S. Census data for "all firms available" and the Alabama Unified Certification Program data for "DBE Firms available" were used. ***See Attachment 2 for detailed list.** This is the latest economic census that has a comparative breakdown of all registered companies as well as the number of minority and women owned businesses compared by North American Industry Classification System (NAICS).

The City of Birmingham has identified proposed activities for FY2017-2019 and determined at this time that 100% of the federal assistance received from the Federal Transit Administration (FTA) can be attributed to assistance for contracting goods and services for capital projects services, with no assistance requested for any operating or administrative functions.

The suggested worksheet (attached) advanced in 49CFR Part 26 was used, which illustrates a methodology for the determination the base figure for local DBE participation goal using information extracted from the *Census Bureau's County Business Pattern* to determine the total number of available agencies in a particular NAICS codes. The City of Birmingham determined its number of available DBE's from the directory developed for the City of Birmingham through the Birmingham Construction Industry Authority (BCIA) and from the Alabama Unified Certification Program (ALUCP). **"See worksheet at end of this section"**

The data sources used to derive the numerator and denominator were as follows:

1- The total number of DBE firms based on the classifications in the Alabama census is divided by the number of all firms based on the same North American Industry Classification System (NAICS). This gives the relative availability of DBE firms in our area for the anticipated federal projects for FY 2017 - 2019. (See Attachment 2 for list of firms utilized)

2- These numbers include the total number of certified Disadvantaged businesses from the Alabama Unified Certification Program (List Attached) published on the Alabama Department of Transportation web site.
http://cpmsapps2.dot.state.al.us/alucp/default.aspx#view=search
Please see attached list of Certified DBE Firms for each corresponding NAICS code in attachment 2.

Anticipated FTA Funded Projects

The City of Birmingham is not a transit operator. We do however partner with the Birmingham Transit Authority occasionally and participate in FTA grant funded projects. Recently we applied for and were awarded a grant through the FTA for a Bus Rapid Transit system. This project has recently started and is only projected FTA funded project that we are aware of. The City of Birmingham is the Grantee for this project and excluding the funding that is to be provided for rolling stock (buses), the following is an estimate of costs for the project to be completed over the next several years. Once the project is completed, all ownership and maintenance responsibilities will be transferred to the Birmingham Transit Authority.

Bus Rapid Transit Project Cost Breakdown - City of Birmingham Grantee

Cost Excludes Rolling Stock

Item	NAICS Code	Description	Project Funds	Federal Funds (50%)
1	541310	Architectural Services	$1,200,000	$600,000
2	541330	Engineering Services	$2,000,000	$1,000,000
3	541370	Surveying and Mapping (except Geophysical) Services	$260,000	$130,000
4	541611	Administrative Management and General Management Consulting Services	$1,126,084	$563,042
5	236220	Commercial and Institutional Building Construction	$2,338,357	$1,169,178
6	237110	Water and Sewer Line and Related Structures Construction	$1,047,030	$523,515
7	237130	Power and Communication Line and Related Structures Construction	$577,935	$288,968
8	237310	Highway, Street, and Bridge Construction	$4,401,448	$2,200,724
9	237990	Other Heavy and Civil Engineering Construction	$222,077	$111,039
10	238110	Poured Concrete Foundation and Structure Contractors	$8,222,252	$4,111,126
11	238120	Structural Steel and Precast Concrete Contractors	$1,506,329	$753,164
12	238130	Framing Contractors	$49,988	$24,994
13	238140	Masonry Contractors	$249,941	$124,970
14	238150	Glass and Glazing Contractors	$32,024	$16,012
15	238160	Roofing Contractors	$307,151	$153,576
16	238190	Other Foundation, Structure, and Building Exterior Contractors	$66,720	$33,360
17	238210	Electrical Contractors and Other Wiring Installation Contractors	$4,451,645	$2,225,823
18	238220	Plumbing, Heating, and Air-Conditioning Contractors	$368,521	$184,260
19	238290	Other Building Equipment Contractors	$100,772	$50,386
20	238310	Drywall and Insulation Contractors	$133,432	$66,716
21	238320	Painting and Wall Covering Contractors	$140,026	$70,013
22	238330	Flooring Contractors	$245,721	$122,861
23	238350	Finish Carpentry Contractors	$66,716	$33,358
24	238390	Other Building Finishing Contractors	$316,241	$158,121
25	238910	Site Preparation Contractors	$1,824,591	$912,296
		Estimated Total →	$31,255,000	$15,627,500

Base Figure Calculations

1A Determine the weight of each type of work by NAICS Code:

FTA-assisted projects below. Project amounts shown are assigned relevant NAICS Code(s).

	NAICS Code	Project	Amount of project funds:	% of total FTA funds (weight)
1	541310	Architectural Services	$1,200,000.00	0.0384
2	541330	Engineering Services	$2,000,000.00	0.0640
3	541370	Surveying and Mapping (except Geophysical) Services	$260,000.00	0.0083
4	541611	Administrative Management and General Management Consulting Services	$1,126,083.53	0.0360
5	236220	Commercial and Institutional Building Construction	$2,338,356.97	0.0748
6	237110	Water and Sewer Line and Related Structures Construction	$1,047,030.14	0.0335
7	237130	Power and Communication Line and Related Structures Construction	$577,935.38	0.0185
8	237310	Highway, Street, and Bridge Construction	$4,401,447.99	0.1408
9	237990	Other Heavy and Civil Engineering Construction	$222,077.00	0.0071
10	238110	Poured Concrete Foundation and Structure Contractors	$8,222,251.77	0.2631
11	238120	Structural Steel and Precast Concrete Contractors	$1,506,328.80	0.0482
12	238130	Framing Contractors	$49,988.18	0.0016
13	238140	Masonry Contractors	$249,940.90	0.0080
14	238150	Glass and Glazing Contractors	$32,023.64	0.0010
15	238160	Roofing Contractors	$307,151.30	0.0098

16	238190	Other Foundation, Structure, and Building Exterior Contractors	$66,720.00	0.0021
17	238210	Electrical Contractors and Other Wiring Installation Contractors	$4,451,645.17	0.1424
18	238220	Plumbing, Heating, and Air-Conditioning Contractors	$368,520.88	0.0118
19	238290	Other Building Equipment Contractors	$100,772.00	0.0032
20	238310	Drywall and Insulation Contractors	$133,431.84	0.0043
21	238320	Painting and Wall Covering Contractors	$140,025.61	0.0045
22	238330	Flooring Contractors	$245,721.04	0.0079
23	238350	Finish Carpentry Contractors	$66,715.92	0.0021
24	238390	Other Building Finishing Contractors	$316,241.13	0.0101
25	238910	Site Preparation Contractors	$1,824,591.21	0.0584
	Total FTA-Assisted		**$31,255,000.42**	**1.0000**

1B Determine the relative availability of DBE's by NAICS Code:

	NAICS Code	Project	*Number of DBEs available to perform this work	**Number of all firms available (including DBEs)	Relative Availability
1	541310	Architectural Services	14	191	0.0733
2	541330	Engineering Services	47	918	0.0512
3	541370	Surveying and Mapping (except Geophysical) Services	7	115	0.0609
4	541611	Administrative Management and General Management Consulting Services	68	531	0.1281
5	236220	Commercial and Institutional Building Construction	37	591	0.0626
6	237110	Water and Sewer Line and Related Structures Construction	22	135	0.1630
7	237130	Power and Communication Line and Related Structures Construction	3	99	0.0303
8	237310	Highway, Street, and Bridge Construction	85	173	0.4913
9	237990	Other Heavy and Civil Engineering Construction	17	86	0.1977
10	238110	Poured Concrete Foundation and Structure Contractors	32	175	0.1829
11	238120	Structural Steel and Precast Concrete Contractors	25	58	0.4310
12	238130	Framing Contractors	11	83	0.1325
13	238140	Masonry Contractors	10	152	0.0658
14	238150	Glass and Glazing Contractors	2	61	0.0328
15	238160	Roofing Contractors	6	191	0.0314

16	238190	Other Foundation, Structure, and Building Exterior Contractors	14	52	0.2692
17	238210	Electrical Contractors and Other Wiring Installation Contractors	31	834	0.0372
18	238220	Plumbing, Heating, and Air-Conditioning Contractors	15	1310	0.0115
19	238290	Other Building Equipment Contractors	7	97	0.0722
20	238310	Drywall and Insulation Contractors	6	192	0.0313
21	238320	Painting and Wall Covering Contractors	14	240	0.0583
22	238330	Flooring Contractors	9	107	0.0841
23	238350	Finish Carpentry Contractors	4	196	0.0204
24	238390	Other Building Finishing Contractors	8	62	0.1290
25	238910	Site Preparation Contractors	48	438	0.1096
	Combined Totals		**542**	**7087**	0.0765

*Alabama Unified Certification Program data for "Number of DBE firms available"

**Census.gov (fact finder) used for number of "all firms available"

1C (Weight) x (Availability) = Weighted Base Figure

	NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
1	541310	Architectural Services	0.0384	x	0.0733	0.0028
2	541330	Engineering Services	0.0640	x	0.0512	0.0033
3	541370	Surveying and Mapping (except Geophysical)	0.0083	x	0.0609	0.0005
4	541611	Administrative Management and General	0.0360	x	0.1281	0.0046
5	236220	Commercial and Institutional Building	0.0748	x	0.0626	0.0047
6	237110	Water and Sewer Line and Related Structures	0.0335	x	0.1630	0.0055
7	237130	Power and Communication Line and	0.0185	x	0.0303	0.0006
8	237310	Highway, Street, and Bridge Construction	0.1408	x	0.4913	0.0692
9	237990	Other Heavy and Civil Engineering Construction	0.0071	x	0.1977	0.0014
10	238110	Poured Concrete Foundation and Structure	0.2631	x	0.1829	0.0481
11	238120	Structural Steel and Precast Concrete	0.0482	x	0.4310	0.0208
12	238130	Framing Contractors	0.0016	x	0.1325	0.0002
13	238140	Masonry Contractors	0.0080	x	0.0658	0.0005
14	238150	Glass and Glazing Contractors	0.0010	x	0.0328	0.0000
15	238160	Roofing Contractors	0.0098	x	0.0314	0.0003
16	238190	Other Foundation, Structure, and Building Exterior Contractors	0.0021	x	0.2692	0.0006
17	238210	Electrical Contractors and Other Wiring Installation Contractors	0.1424	x	0.0372	0.0053
18	238220	Plumbing, Heating, and Air-Conditioning Contractors	0.0118	x	0.0115	0.0001
19	238290	Other Building Equipment Contractors	0.0032	x	0.0722	0.0002
20	238310	Drywall and Insulation Contractors	0.0043	x	0.0313	0.0001

21	238320	Painting and Wall Covering Contractors	0.0045	x	0.0583	0.0003
22	238330	Flooring Contractors	0.0079	x	0.0841	0.0007
23	238350	Finish Carpentry Contractors	0.0021	x	0.0204	0.0000
24	238390	Other Building Finishing Contractors	0.0101	x	0.1290	0.0013
25	238910	Site Preparation Contractors	0.0584	x	0.1096	0.0064
			1.0000		**Total**	0.1775
					Expressed as a % (*100)	17.75%
					Rounded, Weighted Base Figure:	**18%**

*See Attachment 2 for the data / list of available General Contractors from the US Census Bureau and DBE Contractors from the Alabama Unified Certification Program which were used in the goal setting calculations.

Step Two: Adjustment:

Historical actual DBE participation for FY 2016 (FTA Intermodal Facility Project)

Year	Goal	Achieved (Actual)
2016	18%	19.67%

Adjustment to goal for 2017:

DBE availability calculated from tables 1A, 1B, and 1C below = 17.75%
Adjusted DBE Goal = Historical DBE utilization + Calculated DBE Availability / 2
17.75% + 19.67% / 2 = 18.71 rounded to 19%

*See Attachment 2 for list of available DBE Firms and Contractors used in these calculations.

Given the available data and the nature of the services that the City of Birmingham will obtain from the local market, the overall adjusted DBE goal of participation of 19% is appropriate for Federal Transit Projects. This represents all MBE, DBE and Historically Underutilized Businesses (HUB).

Break Out: (Race Neutral and Race Conscious)

USDOT defines a race-neutral measure or program as a gender-neutral program that may be used to assist all small businesses. Section 26.51 states that recipients are required to meet the maximum feasible portion of their overall goals by using race neutral measures. A race- conscious program, on the other hand, is a program that focuses on and provides benefits only for DBEs. Recipients may use race-conscious measures to get the remainders of the DBE participation it needs to meet the overall goal.

The amount of this goal that can be reached through race-neutral means is projected to be approximately 100%. This is based upon the most recent history of DBE contractor participation on publicly bid projects that under race-neutral conditions for FY2016. The City of Birmingham will meet the maximum feasible portion of its overall goal by using race-neutral means of facilitating DBE participation. The City does NOT plan to implement any race-conscious goals at this time. The City of Birmingham plans to utilize the following race-neutral means to increase DBE participation:

(1) Referring DBE's to existing management and technical assistance programs to help improve long-term viability;

(2) Encouraging DBE participation at the prime and subcontracting level of projects that have no contract goal;

(3) Inclusion of DBE's on mailing list to bidders

(4) Outreach-providing DBE directory to prime contractors, management and technical assistance providers, supportive services consultants, and trade based organizations; and

(5) Through the normal course of purchasing.

The City of Birmingham will adjust the estimated breakout of race-neutral and race-conscious participation as needed to reflect actual DBE participation (see 26.51) and will track and report race-neutral and race-conscious participation separately. For reporting purposes, race-neutral DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures; and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

PUBLIC NOTICE
DISADVANTAGED BUSINESS ENTERPRISE
GOAL FOR
FEDERAL TRANSIT PROJECTS
FFY 2017

The City of Birmingham Department of Planning, Engineering and Permits has established a tentative goal of 19% for Disadvantaged Business Enterprise (DBE) participation in Federal Transit Administration funded projects for Federal Fiscal Year (FFY) 2017.

In establishing a base figure, data from the U.S. Census Bureau for the state of Alabama Business Patterns North American Industry Classification System (NAICS) for "all firms available" and the Alabama Unified Certification Program data for "DBE Firms available" were used. This is the latest economic census that has a comparative breakdown of all registered companies as well as the number of minority and women owned businesses compared by North American Industry Classification System (NAICS). A description of this goal is available for public inspection for 45 days from the date of this notice between 9:00 a.m. and 3:00 p.m. Monday through Friday, excluding holidays, at the Department of Planning, Engineering and Permits, 710 North 20th Street Suite 207, Birmingham, AL 35203 and the City Website (www.Birminghamal.gov). Comments on the program goal will be accepted for 45 days after the publication of this notice. Send all comments to Andre' Bittas, Director at the above address.

Andre' Bittas, Director
City of Birmingham Department of Planning, Engineering and Permits

The Birmingham News
March 3, 2018

Public Comments and Adjustments to the City of Birmingham DBE Program:

The Draft Birmingham DBE plan and goal will be advertised for public viewing for a 45 day period. See above advertisement. The goal will also be placed on The City of Birmingham web site. There will also be series of public meetings as detailed in the public engagement section above.

Adjustments will be made to the document and DBE goal as needed to address the public comments and input received.

Section 26.47 Goal Setting and Accountability

If the awards and commitments shown on City of Birmingham's Uniform Report of Awards or Commitments and Payments at the end of any fiscal year are less than the overall applicable to that fiscal year, we will:
1. Analyze in detail the reason for the difference between the overall goal and the actual awards/commitments;
2. Establish specific steps and milestones to correct the problems identified in the analysis; and
3. Submit the plan to FTA within 90 days of the end of the affected fiscal year

Section 26.49 Transit Vehicle Manufacturers Goals

The City of Birmingham will require each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, to certify that it has complied with the requirements of this section. Alternatively, The City of Birmingham may, at its discretion and with FTA approval, establish project-specific goals for DBE participation in the procurement of transit vehicles in lieu of the TVM complying with this element of the program.

Section 26.5 Definitions

The City of Birmingham will adopt the definitions contained in Section 26.5 of Part 26 for this program. The City will ensure that all definitions from the regulations are included and correct; add and include any new/amended definitions as they are provided by USDOT; and not include any definitions for terms not included in the definitions found in Section 26.5 of Part 26. The Definitions are shown in **attachment 5.**

Section 26.51 Meeting Overall Goals/Contract Goals

The City of Birmingham will meet the maximum feasible portion of its overall goal using race-neutral means of facilitating DBE participation. We will establish contract goals only on those FTA-assisted contracts that have subcontracting possibilities. We need not establish a contract goal on every such contract, and the size of contract goals will be adapted to the circumstances of each such contract (e.g., type and location of work, availability of DBEs to perform the particular type of work.)

Below are examples of how we encourage race neutral and race conscious participation.

(1) Small projects are not bundled together to form larger projects. In most cases, The City of Birmingham advertises and bids small to medium size construction projects.
(2) The City of Birmingham operates a tax savings program by which the City purchases material for projects through special PO's. This allows smaller contractors to perform our projects without having to finance the material purchases while waiting to be paid from us. By allowing the contractor's to pick up materials from vendors using City issued PO's, the contractor only has the burden of paying labor to its employees. This has been very successful.
(3) The City of Birmingham funds the Birmingham Construction Industry Authority (BCIA) which is specifically set up to provide assistance to race neutral DBE's. Please see http://www.bcia1.org/
The BCIA conducts free monthly training, holds regular match making events, facilitates mentor protégée arrangements, provides a plan room for all projects advertising for bid, provides contacts and assists in scheduling introductions to city officials and prime contractors, and numerous other activities on behalf of the City of Birmingham.
(4) When a contractor picks up plans that are advertising for bids, it must fill out an intent to bid form. This is provided to the BCIA which provides a notice to all DBE subcontractors of which contractors are possibly bidding a project. At the Pre-bid meeting, DBE goals are discussed in detail and other forms (Attachment 3) are submitted. At the time bids are submitted, DBE participation forms are included in the bid documents. At the pre-construction conference, forms stating DBE participation are collected from the contractor. This information is sent to the finance department for tracking.
(5) Professional service providers which are selected through an RFP process or other legal means are strongly encouraged to provide a list of DBE subcontractors and their professional fee amounts to be included in their contract documents. We have been very successful in meeting our DBE goals in this area along with the construction / bid area.
(6) Professional service start up firms are hired regularly and given small projects. The City provides assistance in learning the process of designing plans to City standards.
(7) Small construction contracts with a value of $50,000 and below can be awarded through a simplified bidding process with less bonding requirements. Typically, three small business or DBE contractors are asked to give quotes and then one is selected. The City then through special PO's provides the materials for the construction project at no cost to the contractor.
(8) A current DBE directory is furnished to all contractors who turn in an intent to bid form. A list is also provided to professional service firms before they start the fee proposal process.

The City of Birmingham will use contract goals to meet any portion of the overall goal The City does not project being able to meet using race-neutral means. Contract goals are established so that, over the period to which the overall goal applies, they will cumulatively result in meeting any portion of our overall goal that is not projected to be met through the use of race-neutral means.

We will establish contract goals only on those DOT-assisted contracts that have subcontracting possibilities. We need not establish a contract goal on every such contract, and the size of contract goals

will be adapted to the circumstances of each such contract.

We will express our contract goals as a percentage of t*otal amount of an FTA-assisted contract.* The current goal is 19% as described in section 26.45.

Section 26.53 Good Faith Efforts Procedures

Award of Contracts with a DBE Contract Goal: 26.53(a)

In those instances where a contract-specific DBE goal is included in a procurement/solicitation, City of Birmingham will not award the contract to a bidder who does not either: (1) meet the contract goal with verified, countable DBE participation; or (2) documents it has made adequate good faith efforts to meet the DBE contract goal, even though it was unable to do so. It is the obligation of the bidder to demonstrate it has made sufficient good faith efforts prior to submission of its bid.

Evaluation of Good Faith Efforts: 26.53(a) & (c)

Within a reasonable period of time from the bid opening, the City's project manager, typically a licensed engineer or architect will review the good faith effort forms submitted by the contractor (attachment 3) and will review these with the DEBLO. A recommendation will be made to the City Architect, and the City Attorney who will ultimately be responsible for determining whether a contractor is a responsive / responsible bidder.

Information to be submitted: 26.53(b)

City of Birmingham treats bidder/offers' compliance with good faith efforts' requirements as a matter of responsiveness.
Each solicitation for which a contract goal has been established will require the bidders/offerors to submit the following information:
1. The names and addresses of DBE firms that will participate in the contract;
2. A description of the work that each DBE will perform;
3. The dollar amount of the participation of each DBE firm participating;
4. Written and signed documentation of commitment to use a DBE subcontractor whose participation it submits to meet a contract goal;
5. Written and signed confirmation from the DBE that it is participating in the contract as provided in the prime contractors commitment and
6. If the contract goal is not met, evidence of good faith efforts.

Administrative Reconsideration: 26.53(d)

Within 7 days of being informed by City of Birmingham that it is not responsive because it has not documented sufficient good faith efforts, a bidder/offeror may request administrative reconsideration. Bidder/offerors should make this request in writing to the following reconsideration official:
Andre Bittas, Director of Planning Engineering and Permits
710 North 20th Street, Birmingham, AL 35203
205-254-2424
Andre.bittas@birminghamal.gov

The reconsideration official will not have played any role in the original determination that the bidder/offeror did not document sufficient good faith efforts.
As part of this reconsideration, the bidder/offeror will have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so. The bidder/offeror will have the opportunity to meet in person with our reconsideration official to discuss the issue of whether it met the goal or made adequate good faith efforts to do. We will send the bidder/offeror a written decision on reconsideration, explaining the basis for finding that the

bidder did or did not meet the goal or make adequate good faith efforts to do so. The result of the reconsideration process is not administratively appealable to the Department of Transpiration.

Good Faith Efforts when a DBE is Terminated/Replaced on a Contract with Contract Goals: 26.53(f)

City of Birmingham requires that prime contractors not terminate a DBE subcontractor listed on a bid/contract with a DBE contract goal without City of Birmingham's prior written consent. Prior written consent will only be provided where there is "good cause" for termination of the DBE firm, as established by Section 26.53(f)(3) of the DBE regulation.
Before transmitting to City of Birmingham its request to terminate, the prime contractor must give notice in writing to the DBE of its intent to do so. A copy of this notice must be provided to City of Birmingham prior to consideration of the request to terminate. The DBE will then have five (5) days to respond and advise City of Birmingham of why it objects to the proposed termination.

In those instances where "good cause" exists to terminate a DBE's contract, City of Birmingham will require the prime contractor to make good faith efforts to replace a DBE that is terminated or has otherwise failed to complete its work on a contract with another certified DBE, to the extent needed to meet the contract goal. We will require the prime contractor to notify the DBE Liaison officer immediately of the DBE's inability or unwillingness to perform and provide reasonable documentation.
In this situation, we will require the prime contractor to obtain our prior approval of the substitute DBE and to provide copies of new or amended subcontracts, or documentation of good faith efforts.

If the contractor fails or refuses to comply in the time specified, our contracting office will issue an order stopping all or part of payment/work until satisfactory action has been taken. If the contractor still fails to comply, the contracting officer may issue a termination for default proceeding.

Sample Bid Specification:

The requirements of 49 CFR Part 26, Regulations of the U.S. Department of Transportation, apply to this contract. It is the policy of the City of Birmingham to practice nondiscrimination based on race, color, sex, or national origin in the award or performance of this contract. All firms qualifying under this solicitation are encouraged to submit bids/proposals. Award of this contract will be conditioned upon satisfying the requirements of this bid specification. These requirements apply to all bidders/offerors, including those who qualify as a DBE. A DBE contract goal of 19 percent has been established for this contract. The bidder/offeror shall make good faith efforts, as defined in Appendix A, 49 CFR Part 26 (Attachment 6), to meet the contract goal for DBE participation in the performance of this contract.
The bidder/offeror will be required to submit the following information: (1) the names and addresses of DBE firms that will participate in the contract; (2) a description of the work that each DBE firm will perform; (3) the dollar amount of the participation of each DBE firm participating; (4) Written documentation of the bidder/offeror's commitment to use a DBE subcontractor whose participation it submits to meet the contract goal; (5) Written confirmation from the DBE that it is participating in the contract as provided in the commitment made under (4); and (5) if the contract goal is not met, evidence of good faith efforts.

Section 26.55 Counting DBE Participation

We will count DBE participation toward overall and contract goals as provided in 49 CFR 26.55.

SUBPARTS D & E– CERTIFICATION

Section 26.61 – 26.73 Certification Process

The City of Birmingham will use the certification standards of Subpart D of Part 26 to determine the eligibility of firms to participate as DBEs in FTA-assisted contracts. To be certified as a DBE, a firm must meet all certification eligibility standards. We will make our certification decisions based on the Alabama

Unified Certification Program (ALUCP) for all FTA funded projects.
http://cpmsweb2.FTA.state.al.us/alucp/default.aspx#home

Section 26.81 Unified Certification Programs

For FTA-assisted contracts, the City of Birmingham will utilize the Unified Certification Program (UCP) administered by Alabama Department of Transportation. The City of Birmingham will use only DBE firms certified by the Alabama UCP when accounting for the published goals. The City of Birmingham has applied to be a non-certifying member of the ALUCP. Based on verbal communication, we expect notification of acceptance within a few days.

ALUCP Certifying Members Include

- The **Alabama Department of Transportation (ALDOT)**
- The **Birmingham Airport Authority**
- The **Huntsville International Airport**
- The **Alabama State Port Authority**
- The **Wave Transit System**

Section 26.83-26.91 Procedures for Certification Decisions

For FTA-assisted contracts, the City of Birmingham will utilize the Unified Certification Program (UCP) administered by Alabama Department of Transportation.

Any firm or complainant may appeal the Alabama Unified Certification Program decision in a certification matter to FTA. Such appeals may be sent to:
U.S. Department of Transportation
Office of Civil Rights Certification Appeals Branch
1200 New Jersey Ave. SE
West Building, 7th Floor
Washington, D.C. 20590
We will promptly implement any FTA certification appeal decisions affecting the eligibility of DBEs for our FTA-assisted contracting.

SUBPART F – COMPLIANCE AND ENFORCEMENT

Section 26.109 Information, Confidentiality, Cooperation

We will safeguard from disclose to third parties information that may reasonably be regarded as confidential business information, consistent with Federal, state, and local law.
Notwithstanding any contrary provisions of state or local law, we will not release personal financial information submitted in response to the personal net worth requirement to a third party (other than FTA) without the written consent of the submitter.
Monitoring Payments to DBEs
We will require prime contractors to maintain records and documents of payments to DBEs for three years following the performance of the contract. These records will be made available for inspection upon request by any authorized representative of the City of Birmingham or FTA. This reporting requirement also extends to any certified DBE subcontractor.
We may perform interim audits of contract payments to DBEs. The audit will review payments to DBE subcontractors to ensure that the actual amount paid to DBE subcontractors equals or exceeds the dollar amounts states in the schedule of DBE participation.

ATTACHMENTS

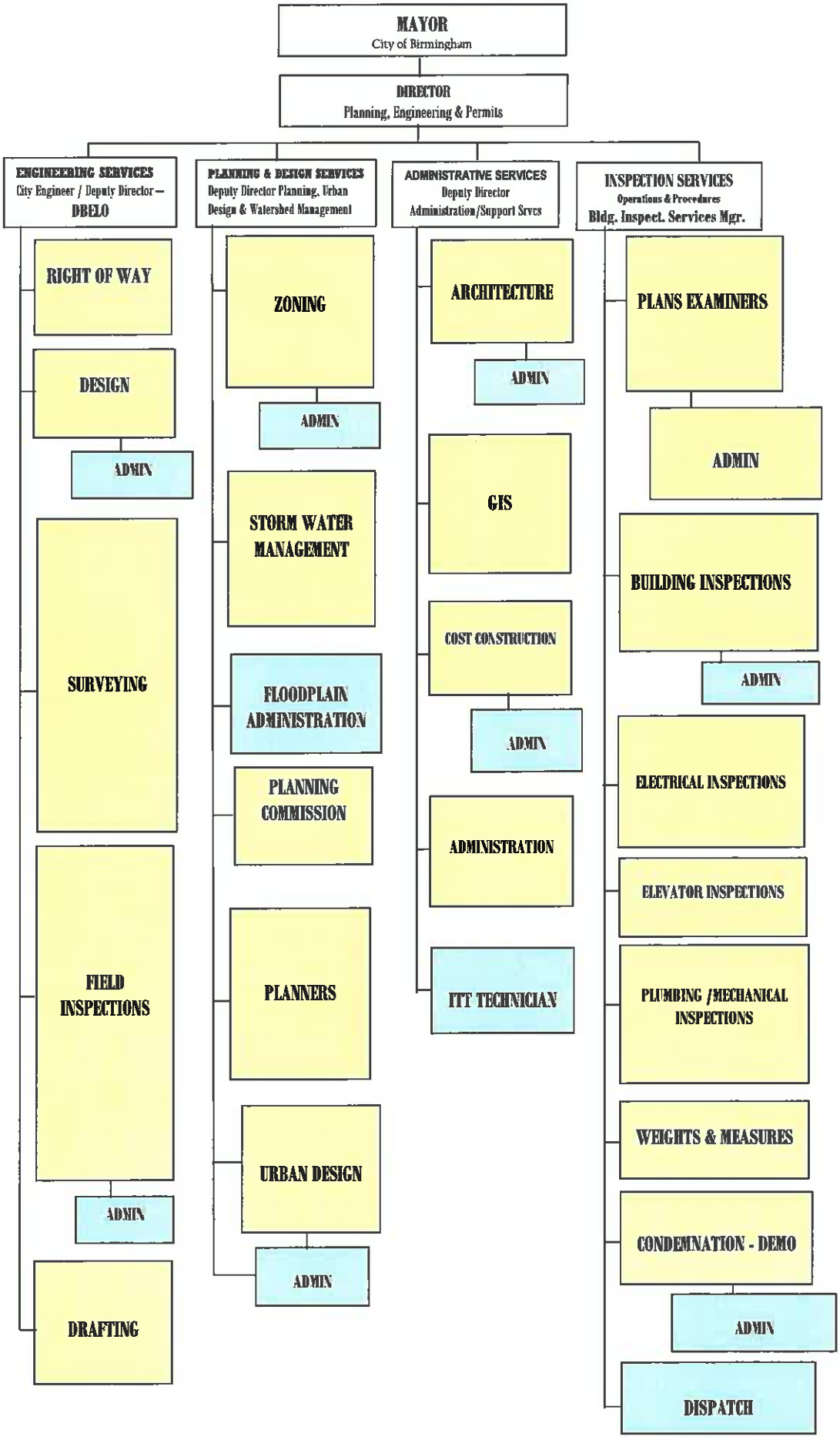
[*List and append; we recommend that a copy of the most current version of Part 26 be attached to the program so that public users to whom we send copies can have it handy; please be sure to update the available version of the regulation each year. Please also note that the list of attachments may include items in addition to those included here, and will likely not include some items included (e.g., goal setting methodology, which will typically be a separate submission.*]

Attachment 1: Organizational Chart
Attachment 2: DBE Directory and List of Available Contractors per NAICS code
Attachment 3: Good Faith Efforts Forms
Attachment 4: "The Birmingham Plan" established by Consent Decree
Attachment 5: Section 26.5 current definitions
Attachment 6: DBE Regulation, 49 CFR Part 26
Attachment 7: Monitoring and Enforcement Mechanisms/Legal Remedies
Attachment 8: Historical DBE participation on FTA projects 2016

Attachment 1
Organizational Chart

PLANNING, ENGINEERING & PERMITS

DEPARTMENTAL ORGANIZATION STRUCTURE



Attachment 2

DBE Directory and List of Available Contractors per Applicable NAICS Code

AMERICAN FactFinder

CB1500A11

Geography Area Series: County Business Patterns

2015 Business Patterns

Table Name
Geography Area Series: County Business Patterns: 2015
Release Schedule
The data in this file were released on April 20, 2017.
Key Table Information
Beginning with reference year 2007, CBP data are released using the Noise disclosure methodology to protect confidentiality. See Survey Methodology for complete information on the coverage and methodology of the County Business Patterns data series.
Universe
The universe of this file is all operating establishments with one or more paid employees. This universe includes most establishments classified in the North American Industry Classification System (NAICS) Codes 11 through 813990. For specific exclusions and inclusions, see Industry Classification of Establishments.
Geography Coverage
The data are shown at the U.S. level and by State, County, Metropolitan and Micropolitan Statistical Areas, and Congressional District. Also available are data for the District of Columbia, Puerto Rico, and the Island Areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands) at the state and county equivalent levels.
Industry Coverage
The data are shown at the 2- through 6-digit NAICS code levels for all sectors with published data.
Data Items and Other Identifying Records
This file contains data on the number of establishments, total employment, first quarter payroll and annual payroll.
Sort Order
Data are presented in ascending geography by NAICS code sequence.
FTP Download
Download the entire table at http://www2.census.gov/econ2015/CB/sector00/CB1500A11.zip.
Contact Information
U.S. Census Bureau
Economy-Wide Statistics Division
Business Statistics Branch
Tel: (301)763-2580
Email: ewd.county.business.patterns@census.gov

Release Date : 04/20/2017

NOTE: Data based on the 2015 County Business Patterns. CBP html tables and download files can be found at the County Business Patterns Website.
For information on confidentiality protection, sampling error, nonsampling error, and definitions, see Survey Methodology.
Data in this table represent those available when this report was created; data may not be available for all NAICS industries or geographies. Excludes most government employees, railroad employees, and self-employed persons.

Geographic area name	2012 NAICS code	Meaning of 2012 NAICS code	Year	Number of establishments	Paid employees for pay period including March 12 (number)	First-quarter payroll ($1,000)	Annual payroll ($1,000)
Alabama	236220	Commercial and institutional building construction	2015	591	9,270	133,211	622,106
Alabama	237110	Water and sewer line and related structures construction	2015	135	1,603	15,775	71,008
Alabama	237130	Power and communication line and related structures construction	2015	99	2,924	37,501	155,464
Alabama	237310	Highway, street, and bridge construction	2015	173	4,402	43,836	210,480
Alabama	237990	Other heavy and civil engineering construction	2015	86	798	8,098	37,494
Alabama	238110	Poured concrete foundation and structure contractors	2015	175	1,765	17,116	81,139
Alabama	238120	Structural steel and precast concrete contractors	2015	58	720	6,519	30,207
Alabama	238130	Framing contractors	2015	83	246	1,345	6,682
Alabama	238140	Masonry contractors	2015	152	1,490	15,181	65,084
Alabama	238150	Glass and glazing contractors	2015	61	689	5,995	30,382
Alabama	238160	Roofing contractors	2015	191	1,282	10,347	47,512
Alabama	238190	Other foundation, structure, and building exterior contractors	2015	52	803	7,962	36,562
Alabama	238210	Electrical contractors and other wiring installation contractors	2015	834	9,856	99,423	445,970
Alabama	238220	Plumbing, heating, and air-conditioning contractors	2015	1,310	13,222	127,999	589,689
Alabama	238290	Other building equipment contractors	2015	97	2,824	37,957	163,300
Alabama	238310	Drywall and insulation contractors	2015	192	2,508	21,745	98,883

Geographic area name	2012 NAICS code	Meaning of 2012 NAICS code	Year	Number of establishments	Paid employees for pay period including March 12 (number)	First-quarter payroll ($1,000)	Annual payroll ($1,000)
Alabama	238320	Painting and wall covering contractors	2015	240	1,370	11,340	51,195
Alabama	238330	Flooring contractors	2015	107	583	4,797	22,351
Alabama	238350	Finish carpentry contractors	2015	196	816	6,376	28,863
Alabama	238390	Other building finishing contractors	2015	62	503	4,055	19,368
Alabama	238910	Site preparation contractors	2015	438	5,144	51,821	242,954
Alabama	541310	Architectural services	2015	191	1,049	15,862	78,896
Alabama	541330	Engineering services	2015	918	22,798	502,207	2,025,178
Alabama	541370	Surveying and mapping (except geophysical) services	2015	115	543	5,187	23,082
Alabama	541611	Administrative management and general management consulting services	2015	531	2,978	46,178	206,179

Source: U.S. Census Bureau, 2015 County Business Patterns.

U.S. Census Bureau

AMERICAN FactFinder

CB1500A11

Geography Area Series: County Business Patterns

2015 Business Patterns

Table Name
Geography Area Series: County Business Patterns: 2015
Release Schedule
The data in this file were released on April 20, 2017.
Key Table Information
Beginning with reference year 2007, CBP data are released using the Noise disclosure methodology to protect confidentiality. See Survey Methodology for complete information on the coverage and methodology of the County Business Patterns data series.
Universe
The universe of this file is all operating establishments with one or more paid employees. This universe includes most establishments classified in the North American Industry Classification System (NAICS) Codes 11 through 813990. For specific exclusions and inclusions, see Industry Classification of Establishments.
Geography Coverage
The data are shown at the U.S. level and by State, County, Metropolitan and Micropolitan Statistical Areas, and Congressional District. Also available are data for the District of Columbia, Puerto Rico, and the Island Areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands) at the state and county equivalent levels.
Industry Coverage
The data are shown at the 2- through 6-digit NAICS code levels for all sectors with published data.
Data Items and Other Identifying Records
This file contains data on the number of establishments, total employment, first quarter payroll and annual payroll.
Sort Order
Data are presented in ascending geography by NAICS code sequence.
FTP Download
Download the entire table at http://www2.census.gov/econ2015/CB/sector00/CB1500A11.zip.
Contact Information
U.S. Census Bureau
Economy-Wide Statistics Division
Business Statistics Branch
Tel: (301)763-2580
Email: ewd.county.business.patterns@census.gov

Release Date : 04/20/2017

NOTE: Data based on the 2015 County Business Patterns. CBP html tables and download files can be found at the County Business Patterns Website.
For information on confidentiality protection, sampling error, nonsampling error, and definitions, see Survey Methodology.
Data in this table represent those available when this report was created; data may not be available for all NAICS industries or geographies. Excludes most government employees, railroad employees, and self-employed persons.

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BELINDA A. MORROW
3130 HARTRIDGE DR.
JOHNS CREEK, GA 30022
Phone: (404)931-3888
Fax: 866-256-8839
Email: bmorrow@2mdesignconsultants.com
Website: www.2m-inc.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 425120 541310 611430 541611

Specialty:
- WHOLESALE TRADE AGENTS AND BROKERS

Certifications:

Clearances:

AJA MGT & TECHNICAL SERVICES, INC.

ANDREW JENKINS
912 N WEST STREET
JACKSON, MS 39202
Phone: 601-352-6800
Fax: 601-352-6807
Email: ajenkins@ajaservices.com
Website: www.ajaservices.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310 541330

Specialty:
- PROJECT MANAGEMENT

Certifications:

Clearances:

BROWN O'DELL& PARTNERS, PC

JAMES A. BROWN, JR.
415 CHURCH ST. NW, SUITE D
HUNTSVILLE, AL 35801
Phone: 256-489-8050
Fax:
Email: jbrown@boarchitects.com
Website: www.boarchitects.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541340 541320 541310

Specialty:
- ARCHITECTURAL SERVICES
- DRAFTING SERVICES
- LANDSCAPE CONSULTING SERVICES

Certifications:

Clearances:

DORSEY ARCHITECTS AND ASSOCIATES INC.

CLAY R. DORSEY
2301 FIRST AVENUE NORTH
BIRMINGHAM, AL 35203
Phone: (205)250-7100
Fax:
Email: cdorsey@dorseyarchitects.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310

Specialty:
- ARCHITECTURAL SERVICES

Certifications:

Clearances:

FORMWORKS ARCHITECTS, INC.

ANGELA B. NASH
3000 7TH AVENUE SOUTH
BIRMINGHAM, AL 35233
Phone: (205)581-1600
Fax:
Email: Angela@formworks.biz
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310

Specialty:
- ARCHITECTURAL SERVICES

Certifications:

Clearances:

JACOBSEN/DANIELS ASSOCIATES, LLC

KRISTA GAMBLE
121 PEARL STREET
YPSILANTI, MI 48197
Phone: 734-961-3200
Fax: 734-961-3204
Email: krista@jacobsendaniels.com
Website: www.jacobsendaniels.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541330 541310

Specialty:
- ARCHITECTURAL SERVICES
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

MELVIN GILL & ASSOC., ARCH., & PLANNERS

MELVIN L. GILL, JR.
1821 ED TEMPLE BOULEVARD
NASHVILLE, TN 37208
Phone: 615-242-4455
Fax: 615-242-0709

NAICS: 541310

Specialty:
- ARCHITECTURAL SERVICES

Email: melvingill@comcast.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

Certifications:

Clearances:

MICHELLE ROBINSON DESIGN

MICHELLE ROBINSON
PO BOX 2234
LEANDER, TX 78641
Phone: 267-767-9644
Fax:
Email: robinson.architect@verizon.net
Website: www.michellerobinsondesign.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611 541310 541340 541410

Specialty:
- ARCHITECTURAL SERVICES
- DRAFTING SERVICES
- INTERIOR DESIGN SERVICES
- MANAGEMENT AND FINANCIAL SERVICES
- PROJECT MANAGEMENT

Certifications:

Clearances:

NEIL ENGINEERING, INC

SHAILESH M. GHODADRA
2828 HEATHER ROW RIDGE
LILBURN, GA 30247
Phone: 770-736-3190
Fax:
Email: neilng@camcast.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310 541360 541620 541614 541330

Specialty:
- ENVIRONMENTAL CONSULTING SERVICES
- GEOPHYSICAL SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

ROBINSON AND ASSOCIATES ARCHITECTURE, IN

WILLIAM B. ROBINSON
906 SOUTH PERRY STREET
MONTGOMERY, AL 36104
Phone: 334-269-5590
Fax: 334-269-0556
Email: administrator@wbrarch.com
Website: www.robinsonandassociatesarchitecture.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541310

Specialty:
- ARCHITECTURAL SERVICES

Certifications:

Clearances:

ROSALES AND PARTNERS, INC.

MIGUEL ROSALES
10 DERNE STREET
BOSTON, MA 02114
Phone: 617-247-7499
Fax:
Email:
Website: www.rosalespartners.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541310

Specialty:
- ARCHITECTURAL SERVICES

Certifications:

Clearances:

STEEL CITY SERVICES, LLC

ERNEST MCGLOTHAN
1820-7TH AVENUE NORTH SUITE 207
BIRMINGHAM, AL 35203
Phone: 205-616-3738
Fax: 205-214-9075
Email: emcglothan@volkert.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310

Specialty:
- PROJECT MANAGEMENT

Certifications:

Clearances:

STUDIO 2H DESIGN, LLC

NOLANDA HATCHER
1721 4TH AVENUE NORTH, SUITE 101
BIRMINGHAM, AL 35203
Phone: 205-264-9988
Fax: 205-264-9992
Email: nolanda@studio2hd.com
Website: www.studio2hd.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541340 541410 541320 541310

Specialty:
- ARCHITECTURAL SERVICES
- DRAFTING SERVICES
- INTERIOR DESIGN SERVICES
- LANDSCAPE CONSULTING SERVICES

Certifications:

Clearances:

THE VANN ORGANIZATION, INC

GREGORY A. VANN
11 SAYER AVENUE
CHERRY HILL, NJ 08002
Phone: 856-486-4440
Fax: 856-486-1301
Email: mellce@vannorg.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310

Specialty:
- PROJECT MANAGEMENT

Certifications:

Clearances:

Found 14 DBE(s)

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ABSI, LLC

ANTHONY ADAMS
119 HIDDEN CREEK DR
HUNTSVILLE, AL 35806
Phone: 256-704-1788
Fax: 256-895-9829
Email: aadams@absieng.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238140 236115 236116 236210 237110 236220 236118 238110 541330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING DESIGN SERVICES
- INDUSTRIAL BUILDING CONTRACTOR
- MASONRY
- PROJECT MANAGEMENT

Certifications:

Clearances:

ABSOLUTE SOLUTIONS ARS, LLC

REBEKAH REGISTER
PO BOX 35746
PANAMA CITY, FL 32412
Phone: 850-258-8782
Fax:
Email: register@absolutesolutions-ars.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- CIVIL ENGINEERING SERVICES

Certifications:

Clearances:

AJA MGT & TECHNICAL SERVICES, INC.

ANDREW JENKINS
912 N WEST STREET
JACKSON, MS 39202
Phone: 601-352-6800
Fax: 601-352-6807
Email: ajenkins@ajaservices.com
Website: www.ajaservices.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310 541330

Specialty:
- PROJECT MANAGEMENT

Certifications:

Clearances:

ALLIANCE TRANSPORTATION GROUP, INC.

GAYLE HEATH
11500 METRIC BLVD, BLDG M1, SUITE 150
AUSTIN, TX 78758
Phone: 512-821-2081
Fax: 512-821-2085
Email: gheath@ameilatg.com
Website: www.alliance-transportation.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330 541320

Specialty:
- ARCHITECTURAL SERVICES

Certifications:

Clearances:

ARORA ENGINEERS, INC.

MANIK K. ARORA
61 WILMINGTON-W. CHESTER PIKE, STE 100
CHADDS FORD, PA 19317
Phone: 610-459-7900
Fax: 610-459-7950
Email: marora@aroraengineers.com
Website: www.aroraengineers.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541512 541370 541330 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- COMPUTER SYSTEMS DESIGN SERVICES
- ENGINEERING AND SUPPORT SERVICES
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

AVIATION ALLIANCE, INC.

SHIRLEY A. ROBERTS
PO BOX 799
COLLEYVILLE, TX 76034
Phone: 817-498-0388
Fax: 817-281-1867
Email:
Website: www.aviationallianceinc.com

NAICS: 541614 236220 541330 488119

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ENGINEERING AND SUPPORT SERVICES

Certifications:

County: STATEWIDE
Source: Alabama Department of Transportation

Clearances:

BABBS ENGINEERING CONSULTANTS, LLC

TORRIS J. BABBS
PO BOX 340
DEARMANVILLE, AL 36257
Phone: 888-835-6707
Fax: 888-835-6707
Email: babbsengineering@hotmail.com
Website: www.babbsengrg.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

BECC

LISA MORRISON
360 INDUSTRIAL LANE
BIRMINGHAM, AL 35211
Phone: 205-941-1119
Fax: 205-941-1198
Email:
Website: www.beccinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330 541380

Specialty:
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- TESTING LABORATORIES

Certifications:

Clearances:

BENKEL CONSULTING SERVICES, LLC

DEXTER KELLY
3315 S. COBB DRIVE, SUITE 813803
SMYRNA, GA 30081
Phone: 770-306-2343
Fax: 678-550-8334
Email: benkel@gmail.com
Website: www.benkelcs.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

BOOKER ENGINEERING, INC.

BRENDA K. BOOKER
1706 JOE POPE ROAD
THOMPSON STATION, TN 37179
Phone: 615-599-7351
Fax: 615-599-7351
Email: bookerbee@bookerengineering.com
Website: www.bookerengineering.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

C.E.R.M.

ALBERT EDWARDS
1990 LAKESIDE PKWY, SUITE 300
TUCKER, GA 30084
Phone: 678-999-0173
Fax: 678-999-0186
Email: aedwards@cerm.com
Website: www.cerm.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541620 236220 541360 541330

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ENVIRONMENTAL CONSULTING SERVICES
- GEOPHYSICAL SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

CIVIL ENGINEERING ASSOCIATES, PA

CHANDRA ABEYSINGHA
5229 MESSER AIRPORT HIGHWAY
BIRMINGHAM, AL 35212
Phone: 205-595-0401
Fax: 205-595-0441
Email:
Website: www.ceassociates.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

COHEN CARNAGGIO REYNOLDS, INC.

TAMMY D. COHEN
2920 1ST AVENUE SOUTH
BIRMINGHAM, AL 35233
Phone: 205-324-8864
Fax:
Email: Tammy@CCRarchitects.net
Website: www.ccarchitects.net
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

COPASETIC ENG. & DESIGN SERVICES, LLC

MAURICE HEIDELBERG
PO BOX 91002
MOBILE, AL 36691
Phone: 251-308-6296
Fax: 251-308-6296
Email: engineer@copaserv.com
Website: www.copaserv.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

COUNTER TECHNOLOGY, INC.

SANTOS. F GARZA
20410 OBSERVATION DRIVE, SUTIE 203
GERMANTOWN, MD 20876
Phone: 301-528-8591
Fax:
Email: San.garza@countertech.com

NAICS: 541512 541330

Specialty:
- COMPUTER SYSTEMS DESIGN SERVICES
- ENGINEERING AND SUPPORT SERVICES

Website:
County: STATEWIDE
Source: Huntsville International Airport

Certifications:

Clearances:

DRIVEN ENGINEERING, INC.

AVALISHA FISHER
8005 MORRIS HILL ROAD
SEMMES, AL 36575
Phone: 251-649-4011
Fax: 251-645-0971
Email: lisha@drivenengineering.com
Website: www.drivenengineering.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

DYNAMIC CIVIL SOLUTIONS, INC.

BOLAJI KUKOYI
2210 2ND AVENUE NORTH
BIRMINGHAM, AL 35203
Phone: 205-358-7256
Fax: 205-358-7258
Email: moku.kovi@dcseng.com
Website: www.dcseng.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

EPHRIAM & ASSOCIATES ENVIRONMENTAL CONSU

TROY EPHRIAM
1101 CHASTANG STREET
PRICHARD, AL 36610
Phone: 251-404-2059
Fax:
Email: tjephriam@bellsouth.net
Website:
County: STATEWIDE
Source: Wave Transit System

NAICS: 541611 541690 221320 237110 562910 541330 541620

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

FIT ENGINEERING, LLC

SANYA JOHNSON
3450 MAIDEN VOYAGE CIRCLE NORTH
JACKSONVILLE, FL 32257
Phone: 904-716-8367
Fax:
Email: sanya@fitengineering.us
Website: www.fitengineering.us
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541990 541340 541330

Specialty:
- ALL OTHER PROFESSIONAL, SCIENTIFIC, AND TECHNICAL SERVICES
- DRAFTING SERVICES
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

FSC, INC.

HASU DOSHI
9225 INDIAN CREEK PARKWAY, SUITE 300
OVERLAND PARK, KS 66210
Phone: 913-722-3473
Fax: 913-722-3484
Email: hdoshi@fsc-inc.com
Website: www.fsc-inc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

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ARORA ENGINEERS, INC.

MANIK K. ARORA
61 WILMINGTON-W. CHESTER PIKE, STE 100
CHADDS FORD, PA 19317
Phone: 610-459-7900
Fax: 610-459-7950
Email: marora@aroraengineers.com
Website: www.aroraengineers.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541512 541370 541330 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- COMPUTER SYSTEMS DESIGN SERVICES
- ENGINEERING AND SUPPORT SERVICES
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

DIVERSIFIED DESIGN & DRAFTING SERS, INC.

PAMELA W. NOBLES
2374 CAPITAL CIRCLE NE
TALLAHASSEE, FL 32308
Phone: 850-385-1133
Fax: 850-385-1236
Email: pam@dddsinc.com
Website: www.dddsinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541370

Specialty:
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

ESSNOVA SOLUTIONS, INC.

SRIDHARA GUTTI
3710 CHALYBE WALK
HOOVER, AL 35226
Phone: 888-405-3189
Fax: 205-833-5091
Email: sgutti@essnova.com
Website: www.essnova.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541370 541511 561320 561330 541612 541512

Specialty:
- COMPUTER PROGRAMMING
- COMPUTER SOFTWARE CONSULTING SERVICES OR CONSULTANTS
- COMPUTER SOFTWARE TRAINING
- COMPUTER SYSTEMS DESIGN AND (CONSULTING SERVICES)
- COMPUTER SYSTEMS DESIGN SERVICES
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

HICKS LAND SURVEYING SERVICES, INC.

STEVEN HICKS
555 SPARKMAN DRIVE, SUITE 802-F
HUNTSVILLE, AL 35816
Phone: 256-864-0781
Fax:
Email: hickslandsurvey@knology.net
Website:
County: STATEWIDE
Source: Huntsville International Airport

NAICS: 541370

Specialty:
- LAND SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

MARTINEZ GEOSPATIAL, INC.

ANTHONY MARTINEZ
2915 WATERS ROAD, SUITE 100
EAGAN, MN 55121
Phone: 651-686-8424
Fax: 651-686-8369
Email: martinez@mtzgeo.com
Website: www.mtzgeo.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541922 541512 561499 541370

Specialty:
- LAND SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

SARCOR, LLC

SELENA RODGERS
1116 20TH STREET SOUTH, SUITE 322
BIRMINGHAM, AL 35205
Phone: 334-434-1555
Fax:
Email: srodgers@sarcorllc.com
Website: www.sarcorllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330 541370

Specialty:
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING CONTROL
- LAND SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

SYNERGETIC ENGINEERING SOLUTIONS, INC.

RONALD K. WILSON
132 SUNDANCE
ALABASTER, AL 35007
Phone: 205-960-7374
Fax:
Email: rwilson@sesinc.us
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541330 541350 541370 541340 541690

Specialty:

- BUILDING INSPECTION SERVICES
- DRAFTING SERVICES
- ENGINEERING AND SUPPORT SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

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2M DESIGN CONSULTANTS, INC.

BELINDA A. MORROW
3130 HARTRIDGE DR.
JOHNS CREEK, GA 30022
Phone: (404)931-3888
Fax: 866-256-8839
Email: bmorrow@2mdesignconsultants.com
Website: www.2m-inc.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541310 425120 611430 541611

Specialty:
- WHOLESALE TRADE AGENTS AND BROKERS

Certifications:

Clearances:

AHRMDCO INTERNATIONAL, LLC

RODERICK LEMON
14405 WALTERS ROAD STE. 1002
HOUSTON, TX 770141357
Phone: 713-589-3688
Fax: 281-764-1266
Email: rlemon@ahrmdcint.com
Website: www.ahrmdcint.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611 561720

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- JANITORIAL SERVICES

Certifications:

Clearances:

AIRPORT CONTRACTORS SERVICES LLC

SHERRIE WASLEY
8264 SHAY LYNN CT
ORLANDO, FL 32810
Phone: 407-722-1735
Fax: 678-840-2137
Email: aceplanetservices@yahoo.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 423810 541612 541611 541614 541618 561110 238990 238120

Specialty:
- BUILDING MATERIAL AND SUPPLIES DEALER
- CONCRETE SPECIALTY
- MANAGEMENT AND FINANCIAL SERVICES
- OTHER BUILDING EQUIPMENT CONTRACTORS
- TEMPORARY HELP SERVICES
- WHOLESALERS

Certifications:

Clearances:

ALLWORLD PROJECT MANAGEMENT, LLC

MICHAEL HOOKS, JR.
415 SOUTH FRONT STREET, SUITE 121
MEMPHIS, TN 38103
Phone: 901-881-2985
Fax:
Email: procurement@allworldmail.com
Website: www.allworldpm.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

AMBER CONSULTING, LLC

ELIGIE "JACK" BOATMAN
10375 KEARNS ROAD
MOBILE, AL 36582
Phone: 314-422-6208
Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611 561410

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

ARMAND RESOURCE GROUP, INC

GREGORY A. JENIFER
300 FRANK W. BURR BLVD, SUITE 35
TEANECK, NJ 07666
Phone: 201-357-8725
Fax: 201-357-8727
Email: armandresourcegroup@msn.com
Website: www.arcdiversity.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561422 541613 541618 541611 541990 561110 541690

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

BCP, INC.

DOUGLAS WICKS
PO BOX 10193
PRICHARD, AL 36610
Phone: 251-457-9575
Fax: 251-457-9575
Email: dmwicks1@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

BILLUE AND ASSOCIATES INTERNATIONAL, LLC

FRANK M. BILLUE
5964 SOUTHLAND DRIVE, SUITE 2
STONE MOUNTAIN, GA 30087
Phone: 770-312-5815
Fax: 470-385-6885
Email: billuef@bellsouth.net
Website: www.billueandassociatesllcinternational.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541618 541611

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

BROWN & PIPKINS, LLC

DEIDRE F. BROWN
PO BOX 312245
ATLANTA, GA 31131
Phone: 404-349-9030
Fax: 404-349-8848
Email: dfbrown@acsential.com
Website: www.acsential.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541820 541810 561210 561720 541611 541513 238350

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ADVERTISING AGENCIES
- ALL OTHER SPECIALTY TRADE CONTRACTORS
- COMPUTER FACILITIES MANAGEMENT SERVICES
- FACILITIES AND SUPPORT SERVICES
- FINISH CARPENTRY CONTRACTORS
- JANITORIAL SERVICES/COMMERCIAL CLEANING
- PUBLIC RELATIONS AGENCIES AND CONSULTING SERVICES

Certifications:

Clearances:

CAD CONCEPTS, INC. DBA CCI ENGINEERING S

JOYCE K. JOHNSON
2323 WEST 5TH AVENUE, SUITE 120
COLUMBUS, OH 43204
Phone: 614-485-0670
Fax: 614-485-0677
Email: support@cadconcetsinc.cc
Website: www.ccitechs.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541410 541430 561110 541611 541340

Specialty:
- DRAFTING SERVICES
- GRAPHIC DESIGN SERVICES
- INTERIOR DESIGN SERVICES
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

CHANDLER AND CAMPBELLE INVESTMENT GROUP

DARRELL B. SEARCY
310 VIZCAYA DR
PALM BEACH GARDENS, FL 33418
Phone: (561)630-5885
Fax:
Email: Darrell@chandlercampbelle.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541613 541611 541810 561320 611430 541690

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ADVERTISING AGENCIES
- MARKETING (CONSULTING SERVICES)
- PROJECT MANAGEMENT
- TEMPORARY HELP SERVICES

Certifications:

Clearances:

CONNICO, INC.

CONNIE S. GOWDER
2594 NORTH MOUNT JULIET ROAD
MOUNT JULIET, TN 37122
Phone: 615-758-7474
Fax:
Email:
Website: www.connico.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 541611

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

COOPER CONSULTING COMPANY, INC.

CONNIE B. COOPER
3839 MCKINNEY AVENUE STE 155-235
DALLAS, TX 75204
Phone: 214-228-0211
Fax:
Email: cconniecooper@cs.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

CSCI, INC.

CLIFTENA CARTER
PO BOX 579
FAYETTEVILLE, TN 37334
Phone: 931-438-2404
Fax: 931-241-6612
Email: ccarter@cartersafetyconsultants.con
Website: www.csci-online.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541611 541690 237990 541511

Specialty:
- ENVIRONMENTAL CONSULTING SERVICES
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

DOVETAIL CONSULTING, INC.

MIGNON ALLEN
1777 PHEONIX PKWY, SUITE 306
COLLEGE PARK, GA 30349
Phone: 770-603-3113
Fax: 770-603-6557
Email: mallen@dovetailconsulting.net
Website: www.dovetailconsulting.net
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 925120 541611

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

ELLANA, INC.

ELLA BEREZNITSKY
32 BROADWAY, SUITE 801
NEW YORK, NY 10004
Phone: 212-971-0936
Fax: 212-361-6169
Email: gmendoza@ellana.net
Website: www.ellana.net
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 611410 541611

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

ENTOUCH SOLUTIONS, INC.

ORUNDA A. BRYANT
1808 CROSS CIRCLE
HOOVER, AL 35244
Phone: 205-565-8296
Fax:
Email: entouchsolutions@gmail.com
Website: www.entouchsolutions.net
County: JEFFERSON
Source: Alabama Department of Transportation

NAICS: 541611 524291

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

EPHRIAM & ASSOCIATES ENVIRONMENTAL CONSU

TROY EPHRIAM
1101 CHASTANG STREET
PRICHARD, AL 36610
Phone: 251-404-2059
Fax:
Email: tlephriam@bellsouth.net
Website:
County: STATEWIDE
Source: Wave Transit System

NAICS: 541611 541690 221320 237110 562910 541330 541620

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

E-SQUARED CONSLTG CORP DBA E-SQUARED

RICHARD EASLEY
43969 TAVERN DRIVE, SUITE 200
ASHBURN, VA 20147
Phone: 703-858-5588
Fax: 703-724-0983
Email: reasley@e-squared.org
Website: www.e-squared.org
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541614 541618 611420 611430 541611

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- COMPUTER SOFTWARE TRAINING
- OTHER MANAGEMENT CONSULTING SERVICES
- PROFESSIONAL AND MANAGEMENT DEVELOPMENT TRAINING
- TRANPORTATION MANAGEMENT CONSULTING SERVICES (PLANNING, PUBLIC INVOLVEMENT)

Certifications:

Clearances:

EVOLVE ENTERPRISES, LLC

SHANETTA YOUNGER
6252 RIME VILLAGE DRIVE, NW #204
HUNTSVILLE, AL 35806
Phone: (256)334-0013
Fax:
Email: syounger@evolve-nterprises.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541519 541512 561110 541611 541511

Specialty:
- COMPUTER FACILITIES MANAGEMENT SERVICES
- TEMPORARY HELP SERVICES

Certifications:

Clearances:

Found 68 DBE(s)

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ABSI, LLC

ANTHONY ADAMS
119 HIDDEN CREEK DR
HUNTSVILLE, AL 35806
Phone: 256-704-1788
Fax: 256-895-9829
Email: aadams@absieng.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236115 236116 236210 237110 236220 238110 238140 541330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING DESIGN SERVICES
- INDUSTRIAL BUILDING CONTRACTOR
- MASONRY
- PROJECT MANAGEMENT

Certifications:

Clearances:

ARORA ENGINEERS, INC.

MANIK K. ARORA
61 WILMINGTON-W. CHESTER PIKE, STE 100
CHADDS FORD, PA 19317
Phone: 610-459-7900
Fax: 610-459-7950
Email: marora@aroraengineers.com
Website: www.aroraengineers.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541512 541370 541330 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- COMPUTER SYSTEMS DESIGN SERVICES
- ENGINEERING AND SUPPORT SERVICES
- SURVEYING AND MAPPING (EXCEPT GEOPHYSICAL) SERVICES

Certifications:

Clearances:

ATHENA CONSTRUCTION GROUP, INC.

GRETCHEN ORRIN
1620 VESTA AVENUE
COLLEGE PARK, GA 30337
Phone: 404-768-7803
Fax: 404-768-7975
Email:
Website: www.athenaconstruction.net
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Certifications:

Clearances:

AVIATION ALLIANCE, INC.

SHIRLEY A. ROBERTS
PO BOX 799
COLLEYVILLE, TX 76034
Phone: 817-498-0388
Fax: 817-281-1867
Email:
Website: www.avistionallianceinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541614 236220 541330 488119

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

BARRIER CABLE, INC.

MERRI C. ADDISON
665 LEE ROAD 212
PHENIX CITY, AL 36870
Phone: 334-448-4889
Fax: 334-448-4889
Email: merri81@bellsouth.net
Website: www.barriercableinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 238120 238990

Specialty:
- FENCING
- REINFORCING STEEL
- SAFETY APPAREL AND PRODUCTS

Certifications:

Clearances:

BENKEL CONSULTING SERVICES, LLC

DEXTER KELLY
3315 S. COBB DRIVE, SUITE B13803
SMYRNA, GA 30081
Phone: 770-306-2343

NAICS: 541330 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Fax: 678-550-8334
Email: benkel@gmail.com
Website: www.benkelcs.com
County: STATEWIDE
Source: Alabama Department of Transportation

- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

BIRMINGHAM INDUSTRIAL CONSTRUCTION, LLC

RAFAEL CABELLO
4136 OLD JASPER HIGHWAY
ADAMSVILLE, AL 35005
Phone: 205-930-5995
Fax: 205-930-5996
Email: rafael@bhamconstruction.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Certifications:

Clearances:

BRYSON CONSTRUCTORS, INC.

STEVE BARNES
2847 MAIN STREET, SUITE 200
EAST POINT, GA 30344
Phone: 404-762-1000
Fax:
Email: sbarnes@brysonconstructors.com
Website: www.brysonconstructors.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Certifications:

Clearances:

C.E.R.M.

ALBERT EDWARDS
1990 LAKESIDE PKWY, SUITE 300
TUCKER, GA 30084
Phone: 678-999-0173
Fax: 678-999-0186
Email: aedwards@cerm.com
Website: www.cerm.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 541620 541360 541330

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ENVIRONMENTAL CONSULTING SERVICES
- GEOPHYSICAL SURVEYING AND MAPPING SERVICES

Certifications:

Clearances:

CONNICO, INC.

CONNIE S. GOWDER
2594 NORTH MOUNT JULIET ROAD
MOUNT JULIET, TN 37122
Phone: 615-758-7474
Fax:
Email:
Website: www.connico.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 541611

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

D. T. READ STEEL COMPANY, INC.

DONALD T. READ, JR.
1751 WEST ROAD
CHESAPEAKE, VA 23323
Phone: 757-487-2047
Fax: 757-487-0768
Email: dtread@dtreadsteel.com
Website: www.dtreadsteel.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238190 238120 238990 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS
- OTHER SPECIALTY TRADE CONTRACTORS
- REINFORCING STEEL
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

DCD CONSTRUCTION, INC.

ELLIOT DAVIS
6512 ROSE FARM ROAD
OCEAN SPRINGS, MS 39564
Phone: 228-872-8060
Fax: 228-872-0709
Email:
Website: www.dcdconst.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 236220 238910 237110

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Certifications:

Clearances:

DIGGS CONSTRUCTION, LLC

DERRICK DIGGS
1010 WETHERSFIELD AVENUE
HARTFORD, CT 67214
Phone: 860-296-1664
Fax: 860-296-1554
Email: ddiggs@diggsconstruction.com
Website: www.diggsconstruction.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561110 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

ESSAYON PROGRESS MANAGEMENT, LLC

ISRAEL BROWN
2655 DALLAS HIGHWAY, SUITE 430
MARIETTA, GA 30064
Phone: 770-423-2211
Fax: 770-424-7593
Email: ibrown@essayonpm.com
Website: www.essayon.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 236210 236220 236116

Specialty:

Certifications:

Clearances:

G & G, INC.

GENE ANDERSON
801 COUNTY ROAD 12
FLORENCE, AL 35633
Phone: 256-762-0180
Fax: 256-767-4163
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 237310

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE SPECIALTY
- CURB AND GUTTER
- PAVE (ASPHALT)

Certifications:

Clearances:

GLENDON PREWITT'S CONTRACTORS, INC.

GLENDON PREWITT
720 ENERGY CENTER BLVD, SUITE 501
NORTHPORT, AL 35473
Phone: 205-750-0086
Fax: 844-269-8352
Email:
Website: www.prewittcontractors.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 531311 236118 236116 236115 236220

Specialty:
- BUILDING/FRAMING CONTRACTOR

Certifications:

Clearances:

GLOBAL WRAP, LLC

JUDITH SERAPHIN
218 RIBERIA STREET # B
ST. AUGUSTINE, FL 32084
Phone: 904-829-0808
Fax: 904-819-5817
Email: judith@globalwrap.com
Website: www.globalwrap.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 561910 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- REPROGRAPHIC/PRINTING SERVICES

Certifications:

Clearances:

ITNOA, LLC

HORATIO R. LEE
PO BOX 9096
MONTGOMERY, AL 36108
Phone: 334-356-0824
Fax: 334-356-0826
Email: itnoallc@hotmail.com
Website: www.itnoallc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236115 236220 541330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING CONTROL

Certifications:

Clearances:

JORRIN CONSTRUCTION MGT.CO.

GONZALO JORRIN
5905 SW 28TH STREET
MIAMI, FL 33155
Phone: 305-608-7880
Fax: 305-667-5912
Email: jorrincmc@aol.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 236220 237310 238320 238310

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER BUILDING FINISHING CONTRACTORS

Certifications:

Clearances:

KEMP MANAGEMENT SOLUTIONS, LLC

J. MICHAEL KEMP, SR
2317 3RD AVE NORTH, SUITE 100
BIRMINGHAM, AL 35203
Phone: (205)731-7372
Fax: 205-263-6808
Email: mkemp@kempms.net
Website: www.kempmanagementsolutions.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541611 236220

Specialty:
- FACILITIES AND SUPPORT SERVICES
- PROJECT MANAGEMENT

Certifications:

Clearances:

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ABSI, LLC

ANTHONY ADAMS
119 HIDDEN CREEK DR
HUNTSVILLE, AL 35806
Phone: 256-704-1788
Fax: 256-895-9829
Email: aadams@absieng.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238140 541330 236115 236116 236210 237110 236220 236118 238110

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING DESIGN SERVICES
- INDUSTRIAL BUILDING CONTRACTOR
- MASONRY
- PROJECT MANAGEMENT

Certifications:

Clearances:

AKEEM CONSTRUCTION, INC.

ERIC McCRARY
1711 WEYMOUTH LANE
HUNTSVILLE, AL 35806
Phone: 256-694-1084
Fax: 256-721-6515
Email:
Website:
County: MADISON
Source: Alabama Department of Transportation

NAICS: 237110 237310 238110 238210 236115 561730 237210

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- ELECTRICAL CONTRACTORS
- LANDSCAPING
- PLUMBING CONTRACTORS

Certifications:

Clearances:

C. D. ROBERTS CONTRACTING, INC.

KAYE R. WOODMAN
PO BOX 1027
JASPER, AL 35502
Phone: 205-221-3015
Fax: 205-221-3073
Email: kwoodman.cdrc@att.net
Website:
County: WALKER
Source: Alabama Department of Transportation

NAICS: 237110 237310 484220

Specialty:
- BORING/TRENCHING
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD
BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-613-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238110 237110 238320 238220 238910 484220

Specialty:
- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

DCD CONSTRUCTION, INC.

ELLIOT DAVIS
6512 ROSE FARM ROAD
OCEAN SPRINGS, MS 39564
Phone: 228-872-8060
Fax: 228-872-0709
Email:

NAICS: 237110 236220 238910 238990

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Website: www.dcdconst.com
County: STATEWIDE
Source: Alabama Department of Transportation

Certifications:

Clearances:

EPHRIAM & ASSOCIATES ENVIRONMENTAL CONSU

TROY EPHRIAM
1101 CHASTANG STREET
PRICHARD, AL 36610
Phone: 251-404-2059
Fax:
Email: tlephriam@bellsouth.net
Website:
County: STATEWIDE
Source: Wave Transit System

NAICS: 562910 541330 541620 221320 237110 541611 541690

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

GSW ENTERPRISE, INC.

TIM WALKER
PO BOX 31065
JACKSON, MS 39206
Phone: 601-331-8173
Fax: 601-856-4000
Email: gswenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 561730 238910

Specialty:
- CLEARING & GRUBBING
- DRAINAGE
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238160 238120 238310 238330 238910 238990 561990 237310 561730 236118 238110 238140 238130 238170 238190 236116 236115 236210 237110 237990

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JACKSON AND TULL, CHARTERED ENGINEERS

KNOX TULL, JR.
2705 BLADENSBURG ROAD NE
WASHINGTON, DC 200181424
Phone: 202-333-9100
Fax: 202-526-2876
Email: hqadmin.1@jnt.com
Website: www.jnt.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541512 237110

Specialty:
- COMPUTER SOFTWARE CONSULTING SERVICES OR CONSULTANTS
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JERRY & JOHN WOODS CONSTR. CORP, INC.

JERRY L. WOODS
3858 AL HWY 14 WEST
SELMA, AL 36703
Phone: 334-407-0258
Fax:
Email: jerrywoods874@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 237110 238110 237310 238990

Specialty:
- CLEARING & GRUBBING
- CONCRETE
- EXCAVATION
- GRADE, DRAIN & BASE
- LAND CLEARING
- PAVE (ASPHALT)

Certifications:

Clearances:

JONES GROUP, LLC

ANTHONY K. JONES
201 10TH AVENUE WEST
BIRMINGHAM, AL 35204
Phone: 251-463-2140
Fax:
Email: ajones@jgstaffingllc.com
Website: www.jgstaffingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 561320 541690

Specialty:
- MANAGEMENT AND FINANCIAL SERVICES
- SECURITY CONSULTANT
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

MS J & M, INC.

MARGARET PRITCHETT
3219 MINNOW BUCKET RD
TOOMSUBA, MS 393640000
Phone: 601-632-4534
Fax: 888-681-6789
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238140 238110 237110 238910

Specialty:
- CONCRETE SPECIALTY
- MASONRY
- SITE PREPARATION

Certifications:

Clearances:

P & P, INC.

MONIKA B. PINKERTON
PO BOX 26508
BIRMINGHAM, AL 35260
Phone: 205-907-3881
Fax: 205-823-5313
Email: ppinc.monika@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484220 237310 238910 238990 237110

Specialty:
- CONCRETE
- CONCRETE BOXES
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- EXCAVATION
- GRADE, DRAIN & BASE
- PAVE (DRIVEWAYS, SLABS, AND INLETS)
- TRUCKING – HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

R. A. SMITH ROOFING & ASPH.SERVICE,INC.

ROY ANTHONY SMITH
1498 NASH ROAD NW
ATLANTA, GA 30331
Phone: 404-799-3332
Fax: 404-799-0251
Email:
Website: www.rasasphalt.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 237110 237990 237310 238990

Specialty:
- CONCRETE SPECIALTY
- OTHER HEAVY AND CIVIL ENGINEERING CONSTRUCTION
- OTHER SPECIALTY TRADE CONTRACTORS
- PAVE (ASPHALT)
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdadesir.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236116 236117 236118 236210 237110 236220 237310 238110 238130 238170 238140 238320 238190 236220 238290 238310 238910 238990 561730 561720 238120 236115

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

STRADA PROFESSIONAL SERVICES, LLC

EDMOND V. WATTERS
PO BOX 55375
BIRMINGHAM, AL 35255
Phone: 205-307-6655
Fax: 205-307-6656
Email: Edmond@stradaps.com
Website: www.stradaps.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541990 237110 541330 237990

Specialty:
- ALL OTHER PROFESSIONAL, SCIENTIFIC, AND TECHNICAL SERVICES
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- PROJECT MANAGEMENT
- REMEDIATION SERVICES
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

T. C. CONCRETE CONTRACTOR

ANTHONY CLARK
532 TIMBER RIDGE DRIVE
BIRMINGHAM, AL 35214
Phone: 205-807-7481
Fax: 205-798-7356
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 237110 238990 484220 238910

Specialty:
- BRIDGE CONSTRUCTION
- CONCRETE SPECIALTY
- CURB AND GUTTER
- SITE PREPARATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

TARGET MANAGEMENT CONTRACTORS

ROGER WATTS, JR
415 CRAWFORD AVENUE
GADSDEN, AL 35906
Phone: 917-684-4470
Fax: 256-549-1786
Email: RWWatts@TargetManagementLLC.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238110 237110 237310 236220

Specialty:
- CONCRETE SPECIALTY
- PROJECT MANAGEMENT

Certifications:

Clearances:

THE RENEE GROUP, INC.

SHELITHA ROBERTSON
1285 SHANTER TRAIL
ATLANTA, GA 30311
Phone: 404-409-1244
Fax: 404-669-5543
Email: thereneegroup@ymail.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 562998 237110 237310 238990

Specialty:
- CONCRETE
- CONSTRUCTION COST MANAGEMENT

Certifications:

Clearances:

TTR CONSTRUCTION, LLC

TONY & CAROLYN ROBINSON
1166 MOUNTAIN OAK DRIVE
HOOVER, AL 35226
Phone: (205)515-5380
Fax: 678-228-2033
Email: ttrconstruction@yahoo.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 237110

Specialty:
- ENVIRONMENTAL CONSULTING SERVICES

Certifications:

Clearances:

Found 22 DBE(s)

1 / 2

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EJO VENTURES

EDWARD J. ODOM, IV
610 CUMBERLAND HILLS DR
HENDERSONVILLE, TN 370754374
Phone: (615)347-2173
Fax: 413-294-7278
Email: ej@ejoventures.net
Website: www.ejoventures.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 237130 238210 541512 517110 517410 517911 561621

Specialty:
- COMMUNICATION & OFFICE MACHINE REPAIR & MAINT.
- FIBER OPTICS
- PROJECT MANAGEMENT
- WIRED TELECOMMUNICATIONS

Certifications:

Clearances:

LORI'S TRANSPORTATION $ EXCAVATION, LLC

LORI MARXMILLER
2824 FRONTIER TRAIL
ATLANTA, GA 30341
Phone: 678-691-2036
Fax: 678-691-2157
Email: ldmarxmiller@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237130 238910 238990 484220 484110

Specialty:
- CONCRETE SPECIALTY
- CURB AND GUTTER
- EXCAVATION
- PAVING
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- TRUCKING FREIGHT – LOCAL

Certifications:

Clearances:

WENLIGHT FIBER OPTIC SERVICES, LLC

WENDELL HARRIS
PO BOX 340
ALABASTER, AL 35007
Phone: 205-266-3611
Fax: 205-358-3481
Email: wen@wenlightfiber.com
Website: www.wenlightfiber.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237130 541618 423610 238210

Specialty:
- ELECTRICAL APPARATUS AND EQUIPMENT, WIRING SUPPLIES, AND RELATED EQUIPMENT MERCHANT WHOLESALERS
- ELECTRICAL CONTRACTORS AND OTHER WIRING INSTALLATION CONTRACTORS
- FIBER OPTICS
- TELECOMMUNICATIONS CONSULTING
- WHOLESALERS

Certifications:

Clearances:

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ALABAMA DEPARTMENT OF TRANSPORTATION
Enriching lives in Alabama through excellence in transportation

Enter search words here: Search

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Alabama

Unified Certification Program

ALUCP Home | Directory | Work Codes | Applications | Members

Found 85 DBE(s) 237310 1 / 5 New Search Format: Excel Download

AIRFIELD, ETC., INC.

STEPHANIE POOLE
3629 PRESCOTT ROAD
MEMPHIS, TN 38118
Phone: 901-363-9210
Fax: 901-795-5519
Email: stephanie@airfield.comcastbiz.net
Website: www.airfieldetcinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 237990 238990 561990

Specialty:
- CONCRETE SPECIALTY
- ELECTRICAL CONTRACTORS
- TRAFFIC CONTROL

Certifications:

Clearances:

AKEEM CONSTRUCTION, INC.

ERIC McCRARY
1711 WEYMOUTH LANE
HUNTSVILLE, AL 35806
Phone: 256-694-1084
Fax: 256-721-6515
Email:
Website:
County: MADISON
Source: Alabama Department of Transportation

NAICS: 236115 561730 237110 237210 237310 238110 238210

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- ELECTRICAL CONTRACTORS
- LANDSCAPING
- PLUMBING CONTRACTORS

Certifications:

Clearances:

ATLANTA PAVING & CONCRETE CONST., INC.

ERNEST T. LOPEZ III
6825 JIMMY CARTER BLVD, SUITE 1400
NORCROSS, GA 30071
Phone: 770-220-0228
Fax: 770-220-2628
Email: atlpaving@aol.com
Website: www.atlantapaving.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310

Specialty:
- CONCRETE SPECIALTY
- PAVE (ASPHALT)

Certifications:

Clearances:

ATWOOD FENCE COMPANY, INC.

KAY ATWOOD
PO BOX 565
KOSCIUSKO, MS 390900000
Phone: 662-289-6336
Fax: 662-289-7463
Email:
Website: www.atwoodfencecompany.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310

Specialty:
- CONCRETE SPECIALTY
- FENCING
- GUARDRAIL
- SIGNAGE ERECTION

Certifications:

Clearances:

B & L ASSOCIATES, INC

LARRY WILLIAMS
4520 ALATEX ROAD
MONTGOMERY, AL 36108
Phone: 334-244-1870
Fax: 334-271-1851
Email:
Website: www.eblassociates.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 423840 561730

Specialty:
- CULVERT CONSTRUCTION
- DRAINAGE
- GRADE
- SEDIMENT/EROSION CONTROL
- WHOLESALERS

Certifications:

Clearances:

BONN-J CONTRACTING INC. OF

BONNIE RIMEL
2596 CURRYVILLE ROAD
CHULUOTA, FL 32766
Phone: 407-977-7666

NAICS: 237990 238990 237310

Specialty:
- CONCRETE SPECIALTY

Fax: 407-366-2629
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

- FENCING
- MINOR STRUCTURE CONCRETE
- RETAINING WALL

Certifications:

Clearances:

BOULTON ENTERPRISES, INC.

JOYCE BOULTON
447 HUMPHREYS STREET
NASHVILLE, TN 372034842
Phone: 615-255-2581
Fax: 615-255-2599
Email: boultonenterprises@comcast.net
Website: www.bepainting.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238390 238220 561720 561790 237310

Specialty:

- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS

Certifications:

Clearances:

BULLS CONSTRUCTION GROUP, LLC

ELLIOTT BULLS
PO BOX 360302
BIRMINGHAM, AL 35236
Phone: 256-394-9981
Fax:
Email: bulls.bcg@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310 484220

Specialty:

- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- MILLING
- MINOR STRUCTURE CONCRETE
- PAVING
- RETAINING WALL
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

BURNETT CIVIL CONTRACTING, LLC

OWEN BURNETT
1311 WEDGEWOOD DRIVE
MONTGOMERY, AL 36111
Phone: 334-558-6947
Fax: 334-513-7310
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238990

Specialty:

- CONCRETE SPECIALTY
- GRADE, DRAIN, BASE & PAVE

Certifications:

Clearances:

C. D. ROBERTS CONTRACTING, INC.

KAYE R. WOODMAN
PO BOX 1027
JASPER, AL 35502
Phone: 205-221-3015
Fax: 205-221-3073
Email: kwoodman.cdrc@att.net
Website:
County: WALKER
Source: Alabama Department of Transportation

NAICS: 484220 237110 237310

Specialty:

- BORING/TRENCHING
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

CARCEL & G. CONSTRUCTION, LLC

CARMEN S. HASTINGS
31 COUNTY ROAD 240
HANCEVILLE, AL 35077
Phone: 256-736-5556
Fax: 256-736-9968
Email:
Website: www.carcelandg.com
County: CULLMAN
Source: Alabama Department of Transportation

NAICS: 238110 237310 561730

Specialty:

- CONCRETE
- GRADE, DRAIN & BASE
- LANDSCAPING
- MINOR STRUCTURE CONCRETE
- PRIME CONTRACTOR

Certifications:

Clearances:

CARTER'S CONTRACTING SERVICES, INC.

MERTHA CARTER
23263 HARMONY CHURCH ROAD
ANDALUSIA, AL 36421
Phone: 334-222-6670
Fax: 334-222-3838
Email:
Website: www.carters-contracting.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 238110 238910 237310

Specialty:

- CONCRETE
- EXCAVATION
- LANDSCAPING SERVICES (FERTILIZING, MOWING, SEEDING, SPRAYING)
- PRIME CONTRACTOR
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

CONCRETE BUILDERS, INC.

MICHELLE UNDERWOOD
2102 MILLER FERRY WAY
HUNTSVILLE, AL 35801
Phone: 256-883-7107
Fax: 256-883-7175
Email: michelle@concretebuilders.us
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 484220 238110

Specialty:

- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- MINOR STRUCTURE CONCRETE
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

D & N CONSTRUCTION

NATHANIEL BLOUNT
P O BOX 2044
ANDALUSIA, AL 36420
Phone: 888-720-7979
Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484230 238120 238910 237310

Specialty:

- CLEARING & GRUBBING
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- EXCAVATION
- REINFORCING STEEL
- TRUCKING -- TANKER
- UTILITY WORK

Certifications:

Clearances:

D. T. READ STEEL COMPANY, INC.

DONALD T. READ, JR.
1751 WEST ROAD
CHESAPEAKE, VA 23323
Phone: 757-487-2047
Fax: 757-487-0768
Email: dtread@dtreadsteel.com
Website: www.dtreadsteel.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 237310 236190 238120 236220

Specialty:

- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS
- OTHER SPECIALTY TRADE CONTRACTORS
- REINFORCING STEEL
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6538
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

DECCA PAVING, INC.

JASON D. GIBBS
1007 CHILI AVENUE
ROCHESTER, NY 14611
Phone: 585-279-0948
Fax: 585-424-3092
Email: deccapaving@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310

Specialty:

- BRIDGE CONSTRUCTION
- PAVE (ASPHALT)

Certifications:

Clearances:

DOBBINS & COMPANY, INC.

INGRID DOBBINS
80 ORCHARD ROAD
CAMPOBELLO, SC 29322
Phone: 864-590-1793
Fax:
Email: dobbinsinc@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 235190

Specialty:

- CONCRETE
- GRADE
- PAVE (ASPHALT)

Certifications:

Clearances:

ECONOMY ENTERPRISES COMPANY

CHRISTOPHER MCNEIL
519 CROWNE WOODS DRIVE
HOOVER, AL 35244
Phone: 205-229-4673
Fax: 205-593-4545
Email: nettacute@bellsouth.net
Website: www.pavingworksllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 237310

Specialty:

- CONCRETE SPECIALTY
- PAVING
- STRIPING

Certifications:

Clearances:

FORRESTER DEVELOPER, LLC

BETH FORRESTER
219 HILL STREET
WETUMPKA, AL 36092
Phone: 334-567-7420
Fax: 334-567-7487
Email: Beth@forresterdeveloper.com
Website: www.forresterdeveloper.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238130 561730

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CULVERT CONSTRUCTION
- GRADE, DRAIN & BASE
- LANDSCAPING

Certifications:

Clearances:

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Alabama

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AIRFIELD, ETC., INC.

STEPHANIE POOLE
3629 PRESCOTT ROAD
MEMPHIS, TN 38118
Phone: 901-363-9210
Fax: 901-795-5519
Email: stephanie@airfield.comcastbiz.net
Website: www.airfieldetcinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 237990 238990 561990

Specialty:
- CONCRETE SPECIALTY
- ELECTRICAL CONTRACTORS
- TRAFFIC CONTROL

Certifications:

Clearances:

BONN-J CONTRACTING INC. OF

BONNIE RIMEL
2596 CURRYVILLE ROAD
CHULUOTA, FL 32766
Phone: 407-977-7666
Fax: 407-366-2629
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238990 237310

Specialty:
- CONCRETE SPECIALTY
- FENCING
- MINOR STRUCTURE CONCRETE
- RETAINING WALL

Certifications:

Clearances:

CHAMPIONSHIP ENTERSRISES, INC.

ANTONIO M. LONDON
3590-B HWY 31 S., #187
PELHAM, AL 35124
Phone: 205-621-8415
Fax: 205-621-8566
Email: alondon@champsinc.us
Website: www.championshipenterprises.com
County: SHELBY
Source: Alabama Department of Transportation

NAICS: 237990 561720 561730 221310

Specialty:
- JANITORIAL SERVICES/COMMERCIAL CLEANING
- LANDSCAPING SERVICES (FERTILIZING, MOWING, SEEDING, SPRAYING)
- PARKING LOT CLEANING SERVICES
- RETAINING WALL
- SECURITY GUARD SERVICES
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- VEGETATION ESTABLISHMENT

Certifications:

Clearances:

CSCI, INC.

CLIFTENA CARTER
PO BOX 579
FAYETTEVILLE, TN 37334
Phone: 931-438-2404
Fax: 931-241-6612
Email: ccarter@cartersafetyconsultants.con
Website: www.csci-online.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 541611 541690 541511

Specialty:
- ENVIRONMENTAL CONSULTING SERVICES
- MANAGEMENT AND FINANCIAL SERVICES

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-671-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING

- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

EDWARDS-KAMADULSKI, LLC

FALON MONTRAY
PO BOX 2557
EAST ST. LOUIS, IL 62202
Phone: 618-274-7150
Fax:
Email: fjones@ed-kam.com
Website: www.ed-kam.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 238910 237990

Specialty:

- CIVIL ENGINEERING SERVICES
- CONCRETE SPECIALTY
- DEMOLITION
- DRAINAGE
- EXCAVATION
- GRADE

Certifications:

Clearances:

ESSAYON PROGRESS MANAGEMENT, LLC

ISRAEL BROWN
2655 DALLAS HIGHWAY, SUITE 430
MARIETTA, GA 30064
Phone: 770-423-2211
Fax: 770-424-7593
Email: lbrown@essayonpm.com
Website: www.essayon.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 236210 236220 236116

Specialty:

Certifications:

Clearances:

G.W. PEOPLES CONTRACTING CO.

MELVIN CLARK
2011 CRYSTAL DRIVE, SUITE 400
ARLINGTON, VA 22202
Phone: 202-486-7185
Fax: 703-682-6804
Email: mbanks.gwpeoples@gmail.com
Website: www.gwpeoples.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 423860

Specialty:

- RAILROAD CONSTRUCTION
- WHOLESALERS

Certifications:

Clearances:

GLOBAL MANAGEMENT GROUP, INC.

MARY RUGANO
PO BOX 19731
BIRMINGHAM, AL 35219
Phone: 205-838-8238
Fax: 205-838-8868
Email:
Website: www.gmginconline.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310 237990 238910

Specialty:

- CONCRETE
- GRADE
- GRADE & DRAIN
- PAVE (ASPHALT)
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236116 236118 236115 236210 237110 237990 238310 238330 238910 238990 561730 238110 238140 238130 238170 238190 238160 238120 561990 237310

Specialty:

- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JTS CONSTRUCTION, INC.

JANE SIMPSON
PO BOX 262
COLLINSVILLE, AL 35961
Phone: 256-524-2586
Fax:
Email: janejts320@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 237990

Specialty:

- LANDSCAPING
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

MATERIALS SERVICES, INC.

EMILY DEARMAN
PO BOX 20505
MONTGOMERY, AL 36120
Phone: 334-398-8219
Fax: 334-269-5282
Email: matconserv@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484220 237990 237310 238110 238910 212321

Specialty:
- BARRIER RAIL (CONCRETE)
- BASE
- CULVERT CONSTRUCTION
- DEMOLITION
- GRADE & DRAIN
- MINOR STRUCTURE CONCRETE
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

MESSIER & ASSOCIATES, INC.

FERNANDO MESSIER
P.O. BOX 21293
LOUISVILLE, KY 40221
Phone: 502-533-4573
Fax: 502-742-6011
Email: fermessier@messainc.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 484110 541330 561110 561320 611430 561730 237990 237310 541611

Specialty:
- CLEARING & GRUBBING
- CONCRETE
- CONSULTING
- DRAINAGE
- ENGINEERING AND SUPPORT SERVICES
- GENERAL FREIGHT TRUCKING, LOCAL
- LANDSCAPING
- PROJECT MANAGEMENT
- SEDIMENT/EROSION CONTROL
- SITE PREPARATION
- TEMPORARY HELP SERVICES

Certifications:

Clearances:

R. A. SMITH ROOFING & ASPH.SERVICE,INC.

ROY ANTHONY SMITH
1498 NASH ROAD NW
ATLANTA, GA 30331
Phone: 404-799-3332
Fax: 404-799-0251
Email:
Website: www.rasaphalt.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 237110 237990 237310 236910

Specialty:
- CONCRETE SPECIALTY
- OTHER HEAVY AND CIVIL ENGINEERING CONSTRUCTION
- OTHER SPECIALTY TRADE CONTRACTORS
- PAVE (ASPHALT)
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

RANDOLPH & COMPANY, INC

HENRY RANDOLPH, JR.
4382 CAMPBELLTON ROAD, SW
ATLANTA, GA 30331
Phone: 404-349-2952
Fax: 404-349-2954
Email: hankran@aol.com
Website: www.randbuilt.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 237310 237990

Specialty:
- ENGINEERING AND SUPPORT SERVICES

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238330 236910 238990 237310 238130 238160 238190 238110 238220 238320

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

STRADA PROFESSIONAL SERVICES, LLC

EDMOND V. WATTERS
PO BOX 55375
BIRMINGHAM, AL 35255
Phone: 205-307-6655
Fax: 205-307-6656
Email: Edmond@stradaps.com
Website: www.stradaps.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541330 237110 541990 237990

Specialty:
- ALL OTHER PROFESSIONAL, SCIENTIFIC, AND TECHNICAL SERVICES
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- OTHER SCIENTIFIC AND TECHNICAL CONSULTING SERVICES
- PROJECT MANAGEMENT
- REMEDIATION SERVICES
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

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ABSI, LLC

ANTHONY ADAMS
119 HIDDEN CREEK DR
HUNTSVILLE, AL 35806
Phone: 256-704-1788
Fax: 256-895-9829
Email: aadams@absieng.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236115 236116 236210 237110 236220 236118 238110 238140 541330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING DESIGN SERVICES
- INDUSTRIAL BUILDING CONTRACTOR
- MASONRY
- PROJECT MANAGEMENT

Certifications:

Clearances:

AKEEM CONSTRUCTION, INC.

ERIC McCRARY
1711 WEYMOUTH LANE
HUNTSVILLE, AL 35806
Phone: 256-694-1084
Fax: 256-721-6515
Email:
Website:
County: MADISON
Source: Alabama Department of Transportation

NAICS: 237110 237210 237310 238110 238210 236115 561730

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- ELECTRICAL CONTRACTORS
- LANDSCAPING
- PLUMBING CONTRACTORS

Certifications:

Clearances:

ATWOOD FENCE COMPANY, INC.

KAY ATWOOD
PO BOX 565
KOSCIUSKO, MS 390900000
Phone: 662-289-8338
Fax: 662-289-7463
Email:
Website: www.atwoodfencecompany.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310

Specialty:
- CONCRETE SPECIALTY
- FENCING
- GUARDRAIL
- SIGNAGE ERECTION

Certifications:

Clearances:

BULLS CONSTRUCTION GROUP, LLC

ELLIOTT BULLS
PO BOX 360302
BIRMINGHAM, AL 35236
Phone: 256-394-9951
Fax:
Email: bulls.bcg@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310 484220

Specialty:
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- MILLING
- MINOR STRUCTURE CONCRETE
- PAVING
- RETAINING WALL
- TRUCKING – HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

CARCEL & G. CONSTRUCTION, LLC

CARMEN S. HASTINGS
31 COUNTY ROAD 240
HANCEVILLE, AL 35077
Phone: 256-736-5556
Fax: 256-736-9968
Email:
Website: www.carcelandg.com

NAICS: 238110 237310 561730

Specialty:
- CONCRETE
- GRADE, DRAIN & BASE
- LANDSCAPING

County: CULLMAN
Source: Alabama Department of Transportation

- MINOR STRUCTURE CONCRETE
- PRIME CONTRACTOR

Certifications:

Clearances:

CARTER'S CONTRACTING SERVICES, INC.

MERTHA CARTER
23263 HARMONY CHURCH ROAD
ANDALUSIA, AL 36421
Phone: 334-222-6670
Fax: 334-222-3638
Email:
Website: www.carters-contracting.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 235110 238910 237310

Specialty:

- CONCRETE
- EXCAVATION
- LANDSCAPING SERVICES (FERTILIZING, MOWING, SEEDING, SPRAYING)
- PRIME CONTRACTOR
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

CHIEF CONSTRUCTION SERVICES, LLC

JEREMY MILLER
116 APACHE PT.
SENOIA, GA 30276
Phone: 770-780-8963
Fax: 770-304-2937
Email: Jmiller24@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 238110

Specialty:

- BORING/TRENCHING
- CONCRETE
- CONCRETE SPECIALTY
- EXCAVATION
- GRADE
- RETAINING WALL

Certifications:

Clearances:

COLOM CONSTRUCTION COMPANY, INC.

ROD COLOM
PO BOX 414
RIPLEY, MS 38663
Phone: 662-837-3474
Fax: 662-837-3494
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 561730 484220

Specialty:

- CLEARING & GRUBBING
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DRAINAGE
- EXCAVATION
- LANDSCAPING
- MINOR STRUCTURE CONCRETE
- REINFORCING STEEL
- TRUCKING – HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

CONCRETE BUILDERS, INC.

MICHELLE UNDERWOOD
2102 MILLER FERRY WAY
HUNTSVILLE, AL 35801
Phone: 256-883-7107
Fax: 256-883-7175
Email: michelle@concretebuilders.us
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 484220 237310

Specialty:

- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- MINOR STRUCTURE CONCRETE
- TRUCKING – HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD

BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-613-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238320 238220 238910 484220 238110 237110

Specialty:
- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

EDWARDS-KAMADULSKI, LLC

FALON MONTRAY
PO BOX 2557
EAST ST. LOUIS, IL 62202
Phone: 618-274-7150
Fax:
Email: fjones@ed-kam.com
Website: www.ed-kam.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 238910 237990

Specialty:
- CIVIL ENGINEERING SERVICES
- CONCRETE SPECIALTY
- DEMOLITION
- DRAINAGE
- EXCAVATION
- GRADE

Certifications:

Clearances:

GLOBAL MANAGEMENT GROUP, INC.

MARY RUGANO
PO BOX 19731
BIRMINGHAM, AL 35219
Phone: 205-838-8238
Fax: 205-838-8888
Email:
Website: www.gmginconline.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 237310 237990 238110

Specialty:
- CONCRETE
- GRADE
- GRADE & DRAIN
- PAVE (ASPHALT)
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

GOUDY CONSTRUCTION, INC.

PATRICIA SIGERS
3417 DAVEY ALLISON BLVD
HUEYTOWN, AL 35023
Phone: 205-229-9864
Fax: 205-277-9890
Email: psigers@goudyconstructioninc.com
Website: www.goudyconstructioninc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238140 238110

Specialty:
- CONCRETE
- MASONRY

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236115 236210 237110 237990 238110 238140 238130 238170 238190 238160 238120 561990 237310 238310 238330 238910 238990 561730

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JERRY & JOHN WOODS CONSTR. CORP, INC.

JERRY L. WOODS
3858 AL HWY 14 WEST
SELMA, AL 36703
Phone: 334-407-0258
Fax:
Email: jerrywoods874@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237310 238990 238910 237110

Specialty:
- CLEARING & GRUBBING
- CONCRETE
- EXCAVATION
- GRADE, DRAIN & BASE
- LAND CLEARING
- PAVE (ASPHALT)

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238160 238130 238110 237310 238220 238210 238320 238330 238310 238910 561730 238990

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

KEMIT CONSTRUCTION, LLC

MARKEITH CARROLL
3944 VIOLA AVENUE SW
BIRMINGHAM, AL 35221
Phone: 205-910-1625
Fax: 205-202-3489
Email: kemitcon@kemitconcrete.com
Website: www.kemitconcrete.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238110 238990

Specialty:

- ALL OTHER SPECIALTY TRADE CONTRACTORS
- HIGHWAY, STREET , AND BRIDGE CONSTRUCTION (TAPE RELECTIVE)
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fiohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 238320 238330 238350 238310 238390 236116 238110

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

MATERIALS SERVICES, INC.

EMILY DEARMAN
PO BOX 20505
MONTGOMERY, AL 36120
Phone: 334-398-8219
Fax: 334-269-5282
Email: matconserv@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484220 212321 237990 237310 238110 238910

Specialty:

- BARRIER RAIL (CONCRETE)
- BASE
- CULVERT CONSTRUCTION
- DEMOLITION
- GRADE & DRAIN
- MINOR STRUCTURE CONCRETE
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

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AIRPORT CONTRACTORS SERVICES LLC

SHERRIE WASLEY
8264 SHAY LYNN CT
ORLANDO, FL 32810
Phone: 407-722-1735
Fax: 678-840-2137
Email: acsplanetservices@yahoo.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541612 541611 541614 541618 561110 423810 238120 238990

Specialty:
- BUILDING MATERIAL AND SUPPLIES DEALER
- CONCRETE SPECIALTY
- MANAGEMENT AND FINANCIAL SERVICES
- OTHER BUILDING EQUIPMENT CONTRACTORS
- TEMPORARY HELP SERVICES
- WHOLESALERS

Certifications:

Clearances:

ASSOCIATED COST ENG. OF DELAWARE, INC.

JAJA WADE
801 NORTH PINE HILLS ROAD
ORLANDO, FL 32808
Phone: (407)704-7803
Fax: 407-704-7937
Email: jwade@aceconstructionmanagement.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238120 541618

Specialty:
- CONCRETE SPECIALTY
- MANAGEMENT AND FINANCIAL SERVICES
- MINOR STRUCTURE CONCRETE
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS

Certifications:

Clearances:

BARRIER CABLE, INC.

MERRI C. ADDISON
665 LEE ROAD 212
PHENIX CITY, AL 36870
Phone: 334-448-4889
Fax: 334-448-4889
Email: merri81@bellsouth.net
Website: www.barriercableinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 236220 238120

Specialty:
- FENCING
- REINFORCING STEEL
- SAFETY APPAREL AND PRODUCTS

Certifications:

Clearances:

BORDER REBAR LLC

BRUCE RANGEL
1613 WEST GARRISON BOULEVARD
GASTONIA, NC 28052
Phone: 704-675-5313
Fax: 704-675-9676
Email: bruce@borderrebar.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:
- REINFORCING STEEL

Certifications:

Clearances:

BUMPY'S STEEL ERECTION, LLC

ASHANTI MITCHELL
327 MISSOURI AVE., SUITE 520
EAST ST. LOUIS, IL 62201
Phone: 618-274-0105
Fax: 618-274-0289
Email: ashantim@bumpyssteel.com
Website: www.bumpyssteel.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:
- REINFORCING STEEL

Certifications:

Clearances:

CHOCTAW ERECTORS, INC.

KEVIN BALL
PO BOX 15606
FORT WORTH, TX 76155
Phone: 973-790-2255
Fax: 972-790-2424
Email: marcus@choctawerectors.com
Website: www.choctawerectors.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

D & N CONSTRUCTION

NATHANIEL BLOUNT
P O BOX 2044
ANDALUSIA, AL 36420
Phone: 888-720-7979
Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120 238910 484230 237310

Specialty:
- CLEARING & GRUBBING
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- EXCAVATION
- REINFORCING STEEL
- TRUCKING -- TANKER
- UTILITY WORK

Certifications:

Clearances:

D. T. READ STEEL COMPANY, INC.

DONALD T. READ, JR.
1751 WEST ROAD
CHESAPEAKE, VA 23323
Phone: 757-487-2047
Fax: 757-487-0768
Email: dtread@dtreadsteel.com
Website: www.dtreadsteel.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190 237310 238120 238990 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS
- OTHER SPECIALTY TRADE CONTRACTORS
- REINFORCING STEEL
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

FRIENDLY & FRIENDLY REINFORCEMENT STEEL

ANNIE FRIENDLY
985 HUNTER LOOP ROAD
MONTGOMERY, AL 36108
Phone: 334-264-5492
Fax: 334-264-5492
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:
- GENERAL CONSTRUCTION
- REINFORCING STEEL

Certifications:

Clearances:

GILLEY CONSTRUCTION CO., INC.

VICKI A. GILLEY
4741 MURFREESBORO HWY
MANCHESTER, TN 37355
Phone: 931-728-3296
Fax: 937-728-4574
Email: vgilley@gilleyconstruction.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:
- REINFORCING STEEL

Certifications:

Clearances:

GULF COAST REBAR, INC.

CHAD JONES
4560 SHILOH MILL BLVD.
JACKSONVILLE, FL 32246
Phone: 904-982-0521
Fax: 904-385-4002
Email: gulfcoastplacer@yahoo.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120 238990

Specialty:
- ALL OTHER SPECIALTY TRADE CONTRACTORS
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

GUNBY CONSTRUCTION CO., INC.

ERNESTO VALDEZ
13400 LACKEY ROAD
ROSWELL, GA 30075
Phone: 770-442-1594

NAICS: 237310 238120 238190

Specialty:
- BRIDGE CONSTRUCTION

Fax:
Email: daver@gunbyconstruction.com
Website: www.gunbyco.com
County: STATEWIDE
Source: Alabama Department of Transportation

- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- GRADE, DRAIN & BASE
- MINOR STRUCTURE CONCRETE

Certifications:

Clearances:

HIGHWAY SPECIALTIES, INC.

JANET M. NICHOLSON
2050 S. PINE BARREN ROAD
McDAVID, FL 32568
Phone: 850-256-3397
Fax:
Email: jan@highwayspecialtiesinc.com
Website: www.highwayspecialtiesinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 339950 238990 561990 238120 237310

Specialty:

- BARRIER RAIL (CONCRETE)
- FENCING
- GUARDRAIL
- MANUFACTURER
- TRAFFIC CONTROL

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 238910 238990 561990 237310 561730 236116 236115 236210 237110 237990 238110 238140 238130 238170 238190 238160 238120 238310 238330

Specialty:

- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

HOYTT REINFORCING, INC.

JERRY HOYTT
PO BOX 2156
SYLACAUGA, AL 35150
Phone: 256-249-8471
Fax: 256-487-8067
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120 237310

Specialty:

- CONCRETE SPECIALTY
- REINFORCING STEEL

Certifications:

Clearances:

J. LEE ENTERPRISES

JIMMIE LEE
2000 PRINCETON AVENUE SW
BIRMINGHAM, AL 35211
Phone: 205-923-0877
Fax: 205-923-0143
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120

Specialty:

- REINFORCING STEEL

Certifications:

Clearances:

LONGWIND PRODUCTS & SERVICES, INC.

LONNIE WALKER
PO BOX 11838
JACKSON, MS 39283
Phone: 601-750-4597
Fax: 601-366-8777
Email: longwindproducts@hotmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 454390 238120

Specialty:

- DRAINAGE
- EXCAVATION
- FENCING
- GRADE & DRAIN
- SEDIMENT/EROSION CONTROL
- WHOLESALERS

Certifications:

Clearances:

MORRIS BUILDERS, LLC

JIMMY MORRIS, JR
P O BOX 680627
PRATTVILLE, AL 36068
Phone: 334-365-6306
Fax: 334-365-6308
Email: morrisbuilders@aol.com
Website: www.morrisbuildersllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236116 236118 236115 238120

Specialty:

- BUILDING/FRAMING CONTRACTOR
- REINFORCING STEEL

Certifications:

Clearances:

RJM-MCQUEEN CONTRACTING, INC.

REGINA MCQUEEN
80 RAMSEY MCQUEEN ROAD
COLLINS, MS 39428
Phone: 601-765-6561
Fax: 601-765-6562
Email: rimmcqueen@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 238120 561730 484110 237310

Specialty:
- CONCRETE
- CULVERT CONSTRUCTION
- MINOR STRUCTURE CONCRETE
- REINFORCING STEEL
- SEDIMENT/EROSION CONTROL
- TRUCKING FREIGHT -- LOCAL

Certifications:

Clearances:

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Alabama

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Found 11 DBE(s) 238130 1 / 1 New Search Format: Excel Download

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD
BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-613-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238910 484220 238110 238320 238220 237110

Specialty:
- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

FORRESTER DEVELOPER, LLC

BETH FORRESTER
219 HILL STREET
WETUMPKA, AL 36092
Phone: 334-567-7420
Fax: 334-567-7487
Email: Beth@forresterdeveloper.com
Website: www.forresterdeveloper.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238130 561730

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CULVERT CONSTRUCTION
- GRADE, DRAIN & BASE
- LANDSCAPING

Certifications:

Clearances:

GFG CONTRACTOR

ALEXANDER DRAKE, SR.
4305 NORMAN BRIDGE RD, SUITE H
MONTGOMERY, AL 36105
Phone: 334-315-0396
Fax: 334-593-0392
Email: ad1171@hotmail.com
Website: www.gfgplumbingcontractor.org
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238220

Specialty:
- BUILDING/FRAMING CONTRACTOR
- PLUMBING CONTRACTORS

Certifications:

Clearances:

GOVERNMENT SUPPLY SERVICES, LLC

GREGORY MODICA
1015 NW AVENUE, SUITE 360
EL DORADO, AZ 71730
Phone: 855-477-5528
Fax: 800-477-1749
Email: customerservice@governmentsupplyservices.com
Website: www.governmentsupplyservices.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 423840 238160 238130 236115 236990 322211 423390 423210 423450 423610 424610 424130 423830 454111

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ROOFING CONTRACTORS
- WHOLESALERS

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 237990 238110 238140 238130 236116 236115 236210 237110 238170 238190 238160 238120 238310 238330 238910 238990 561990 237310 561730

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY

- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JICA, INC

JINNIE ADAMS
253 STEWART ROAD
TONEY, AL 35773
Phone: 256-859-9742
Fax:
Email: jicacorp@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130

Specialty:
- BUILDING/FRAMING CONTRACTOR

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330 238990 238310 238910 561730 238160 238130 238110 237310 238220 238210 238320

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

M. T. RICE CONSTRUCTION

MARCUS T. RICE
6740 SPRING STREET
MOBILE, AL 36613
Phone: 251-458-3966
Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238990 238910 238130

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- CURB AND GUTTER
- DEMOLITION
- FENCING
- PAVE (ASPHALT)

Certifications:

Clearances:

PAYMON'S MASONRY & CONSTRUCTION, LLC

LATONDA PAYMON
415 LAMAR ROAD
HOPE HULL, AL 36043
Phone: 334-799-0114
Fax:
Email: latondapaymon@yahoo.com
Website: paymonsconstruction.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 236130 236140

Specialty:
- BUILDING/FRAMING CONTRACTOR
- MASONRY

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190 238130 237990 237310 238160 238110 238220 238320 238330 238910 238990

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdacsa.com
Website: www.sdacsa.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238320 237110 236115 236116 236117 561730 236118 236210 236220 238130 238170 238140 238190 238220 238290 238310 238910 238990 561720 238120 237310 238110

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE

- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

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ABSI, LLC

ANTHONY ADAMS
119 HIDDEN CREEK DR
HUNTSVILLE, AL 35806
Phone: 256-704-1788
Fax: 256-895-9829
Email: aadams@absieng.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 236115 236116 236210 236220 236118 238110 238140 541330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ENGINEERING AND SUPPORT SERVICES
- ENGINEERING DESIGN SERVICES
- INDUSTRIAL BUILDING CONTRACTOR
- MASONRY
- PROJECT MANAGEMENT

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

GOUDY CONSTRUCTION, INC.

PATRICIA SIGERS
3417 DAVEY ALLISON BLVD
HUEYTOWN, AL 35023
Phone: 205-229-9864
Fax: 205-277-9890
Email: psigers@goudyconstructioninc.com
Website: www.goudyconstructioninc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 238140

Specialty:
- CONCRETE
- MASONRY

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236115 236210 237110 237990 238110 238140 238130 238170 238190 561730 237310 236990 561990 238160 238120 238310 238330 238910

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY

- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

MS J & M, INC.

MARGARET PRITCHETT
3219 MINNOW BUCKET RD
TOOMSUBA, MS 393640000
Phone: 601-632-4534
Fax: 888-681-6789
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 238140 238110 238910

Specialty:

- CONCRETE SPECIALTY
- MASONRY
- SITE PREPARATION

Certifications:

Clearances:

PAYMON'S MASONRY & CONSTRUCTION, LLC

LATONDA PAYMON
415 LAMAR ROAD
HOPE HULL, AL 36043
Phone: 334-799-0114
Fax:
Email: latondapaymon@yahoo.com
Website: paymonsconstruction.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 236220 238140

Specialty:

- BUILDING/FRAMING CONTRACTOR
- MASONRY

Certifications:

Clearances:

RWH CONSTRUCTION, INC.

RUSSELL HENDERSON
PO BOX 753
MT. DORA, FL 32756
Phone: 352-267-6224
Fax:
Email: russellh@rwhfabrication.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 238120 238140 237310

Specialty:

- CONCRETE
- MASONRY
- REINFORCING STEEL

Certifications:

Clearances:

S & S CONSTRUCTION SERVICES, LLC

MICHAEL SNOW
3435 ZACK GORDON LANE
HOPE HULL, AL 36043
Phone: 334-224-0118
Fax: 334-260-9906
Email: sns-handy@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 236118 236220 238140 238910 238350 238990 561730

Specialty:

- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- FENCING
- FINISH CARPENTRY CONTRACTORS
- GUARDRAIL
- LAWN CARE SERVICES
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- VEGETATION ESTABLISHMENT

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdacsa.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 236118 561730 236210 237110 236220 561720 238120 237310 238110 238130 238170 238140 238190 238220 238290 238310 238910 238320 236115 236116 236117

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

	Clearances:
STEPKO MASONRY	
EDDIE HUNTER 232-23RD STREET SW BIRMINGHAM, AL 35211 **Phone:** 205-210-6771 **Fax:** 888-517-7662 **Email:** stepko.ehunter@gmail.com **Website:** www.stepkomasonry.com **County:** STATEWIDE **Source:** Birmingham Airport Authority	**NAICS:** 238140 **Specialty:** • MASONRY **Certifications:** **Clearances:**

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EGM SERVICES, INC.

ARTHUR QUEEN
4251 E. SIDE DRIVE
DECATUR, GA 30034
Phone: 404-288-9521
Fax: 404-288-9523
Email:
Website: www.egmatlanta.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238290 238150

Specialty:
- OTHER BUILDING EQUIPMENT CONTRACTORS

Certifications:

Clearances:

MAGIC GLASS COMPANY, LLC

JOE D. ROBERTSON
205 AVENUE U-PRATT CITY
BIRMINGHAM, AL 35214
Phone: 205-798-7534
Fax: 205-791-1824
Email: magicgls@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238150

Specialty:
- GLASS

Certifications:

Clearances:

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Alabama

Unified Certification Program

ALUCP Home | Directory | Work Codes | Applications | Members

Found 6 DBE(s) 238160 1 / 1 New Search Format: Excel Download

BIG MOORE ROOFING COMPANY

EUGENE MOORE
924 DUNLAP CIRCLE
PRICHARD, AL 36610
Phone: 251-456-8788
Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238160

Specialty:
- ROOFING CONTRACTORS

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

GOVERNMENT SUPPLY SERVICES, LLC

GREGORY MODICA
1015 NW AVENUE, SUITE 360
EL DORADO, AZ 71730
Phone: 855-477-5528
Fax: 800-477-1749
Email: customerservice@governmentsupplyservices.com
Website: www.governmentsupplyservices.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 454111 322211 423390 423210 423450 423610 423840 424610 424130 238160 238130 236115 238990 423830

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- ROOFING CONTRACTORS
- WHOLESALERS

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236115 236210 237110 237990 238110 238140 238130 238170 238190 238160 238120 561990 237310 238310 238330 238910 238990 561730

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330 238310 238910 561730 238990 238110 238160 238130 237310 238220 238210 238320

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190 238330 238910 238990 237990 237310 238130 238160 238110 238220 238320

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

Found 6 DBE(s)

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Found 14 DBE(s) 238190 1 / 1 New Search Format: Excel Download

CLARK WELDING, INC.

JACK CLARK, JR.
1290 LYNWYN LANE SE
ATLANTA, GA 30316
Phone: 404-622-6926
Fax: 404-622-6988
Email: info@clarkweldinginc.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190

Specialty:
- BUILDING/FRAMING CONTRACTOR
- REINFORCING STEEL
- WELDING/IRON WORK

Certifications:

Clearances:

COLLIER WELDING SERVICES, LLC

WILLIE COLLIER
16 GREEN SPRINGS AVENUE SW
BIRMINGHAM, AL 35211
Phone: 205-747-6134
Fax:
Email: williecollier422@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190

Specialty:
- WELDING/IRON WORK

Certifications:

Clearances:

D. T. READ STEEL COMPANY, INC.

DONALD T. READ, JR.
1751 WEST ROAD
CHESAPEAKE, VA 23323
Phone: 757-487-2047
Fax: 757-487-0768
Email: dtread@dtreadsteel.com
Website: www.dtreadsteel.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 237310 238190 238120 236220

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS
- OTHER SPECIALTY TRADE CONTRACTORS
- REINFORCING STEEL
- STRUCTURAL STEEL AND PRECAST CONCRETE CONTRACTORS

Certifications:

Clearances:

DOBBINS & COMPANY, INC.

INGRID DOBBINS
80 ORCHARD ROAD
CAMPOBELLO, SC 29322
Phone: 864-590-1793
Fax:
Email: dobbinsinc@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238190 237310

Specialty:
- CONCRETE
- GRADE
- PAVE (ASPHALT)

Certifications:

Clearances:

GUNBY CONSTRUCTION CO., INC.

ERNESTO VALDEZ
13400 LACKEY ROAD
ROSWELL, GA 30075
Phone: 770-442-1594
Fax:
Email: daver@gunbyconstruction.com
Website: www.gunbyco.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238120 238190

Specialty:
- BRIDGE CONSTRUCTION
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- GRADE, DRAIN & BASE
- MINOR STRUCTURE CONCRETE

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916

NAICS: 561730 236116 236210 237110 236115 237990 238110 238140 238130 238170 238190 238160 238120 237310 238310 236118 238330 238910 238990 561990

Specialty:

Email:
Website: www.hollonontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

MACHINE TECHNOLOGY, LLC

ALI Y. SLEIMAN
1647 BLAKE BOTTOM ROAD
HUNTSVILLE, AL 35806
Phone: 256-682-7855
Fax: 256-837-9776
Email: msleiman@knology.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 811310 237310 238910 238190

Specialty:

- CLEARING & GRUBBING
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- DRAINAGE
- EXCAVATION

Certifications:

Clearances:

MITCHELL INDUSTRIAL CONTRACTORS, INC

ALAN MITCHELL
188 WESTCHESTER DRIVE
MADISON, AL 35758
Phone: 256-772-5554
Fax: 256-772-5579
Email: alan@mitchellindustrialcontractors.com
Website: www.mitchellindustrialcontractors.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 238190 237310 238320 484220

Specialty:

- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- LANDSCAPING
- PAVE (ASPHALT)
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- WELDING/IRON WORK

Certifications:

Clearances:

PRECISION 2000, INC.

GUIOMAR OBREGON
2215 LAWSON WAY
ATLANTA, GA 30341
Phone: 770-455-6142
Fax: 770-455-6120
Email: gobregon@precision2k.com
Website: www.precision2k.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 236210 236220 236116 237310 238190

Specialty:

- BRIDGE CONSTRUCTION
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE SPECIALTY
- EXCAVATION
- LANDSCAPING

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 238130 238160 238190 238110 238220 238320 238330 238910 237990 237310

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdacair.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238120 236210 236118 237110 236220 237310 238110 238130 238170 238140 561730 561720 238190 238220 238290 238310 238910 238320 238990 236116 236117 236115

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION

- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

STEMBRIDGE CUSTOM METALS, INC.

BRADFORD STEMBRIDGE
10720 WOODRUFF ROAD
FAIRBURN, GA 30213
Phone: 770-969-8139
Fax: 770-964-9964
Email: bstemsr@att.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 331222 238990 238190

Specialty:

- FENCING
- WELDING/IRON WORK

Certifications:

Clearances:

SYNERGY DEVELOPMENT PARTNERS, LLC

JEANIE HUNTER
83 WALTON STREET, NE, SUITE 400
ATLANTA, GA 30303
Phone: 404-254-4755
Fax: 404-254-4742
Email: jhunter@synergydp.com
Website: www.synergydp.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 541611 541618 561990 561210 238990 236118 238110 238390 238190 236116

Specialty:

- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE SPECIALTY
- DRYWALL AND INSULATION CONTRACTORS
- FACILITIES AND SUPPORT SERVICES
- MANAGEMENT AND FINANCIAL SERVICES
- OTHER BUILDING EQUIPMENT CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS

Certifications:

Clearances:

VALLO CORPORATION

ERIC TYUS
827 7TH STREET WEST
BIRMINGHAM, AL 35204
Phone: 866-781-5559
Fax:
Email: erictyus@vallocorporation.com
Website: www.vallocorporation.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238190 237310 236220

Specialty:

- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS
- REINFORCING STEEL
- WELDING/IRON WORK

Certifications:

Clearances:

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Found 31 DBE(s) 238210 1 /2 New Search Format: Excel Download

AKEEM CONSTRUCTION, INC.

ERIC McCRARY
1711 WEYMOUTH LANE
HUNTSVILLE, AL 35806
Phone: 256-694-1084
Fax: 256-721-6515
Email:
Website:
County: MADISON
Source: Alabama Department of Transportation

NAICS: 237110 237210 237310 238110 238210 236115 561730

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- ELECTRICAL CONTRACTORS
- LANDSCAPING
- PLUMBING CONTRACTORS

Certifications:

Clearances:

BLACKBELT TECHNOLOGIES, INC.

DEBORAH WORKS
2663 VALLEYDALE ROAD #184
BIRMINGHAM, AL 35244
Phone: 205-989-0056
Fax:
Email: dworks@bbtconsulting.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

BOBBY TERRY COMPANY, INC.

ROBYN T. ABERNATHY
110 ROBYN STREET
ATHENS, AL 35611
Phone: 256-232-3138
Fax: 256-232-3597
Email: robyn@bobbyterry.com
Website: www.bobbyterry.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238220 454390 238210 236118

Specialty:
- HEATING AND AIR CONDITIONING CONTRACTOR
- PLUMBING CONTRACTORS
- WHOLESALERS

Certifications:

Clearances:

BRIGHTER DAYS AND NITES, INC.

DOROTHY L. SINCLAIR
2165 TROYER AVENUE
MEMPHIS, TN 38114
Phone: 901-775-1902
Fax: 901-775-1905
Email: dlsinclair@bdnllc.com
Website: www.bdnincorp.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 423610 238210

Specialty:
- ELECTRICAL CONTRACTORS
- WHOLESALERS

Certifications:

Clearances:

C & J ELECTRICAL SERVICES, LLC

CURTIS RICHARDSON
201 BEACON PARKWAY W., SUITE 306
HOMEWOOD, AL 35209
Phone: 205-966-3805
Fax: 205-685-5727
Email: electricalcj@bellsouth.net
Website: www.cjelectricalservice.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

COMPLETE 3 TECH SOLUTIONS, LLC

CHRISTOPHER CHARLES WHITE
722 37TH STREET SOUTH
BIRMINGHAM, AL 35222
Phone: 205-251-5966
Fax: 205-251-5978
Email: cwhite@complete3tech.com
Website: www.complete3techsolutions.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541513 238210 811212 541512 541519 541511

Specialty:

Certifications:

Clearances:

DIXIE ELECTRIC COMPANY

KATHRYN W. LAKE
5195 SHILOH ROAD
CUMMING, GA 30040
Phone: 770-663-8440
Fax: 770-663-8449
Email: klake@dixieelectric.net
Website: www.dixieelectric.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

EJO VENTURES

EDWARD J. ODOM, IV
610 CUMBERLAND HILLS DR
HENDERSONVILLE, TN 370754374
Phone: (615)347-2173
Fax: 413-294-7278
Email: ej@ejoventures.net
Website: www.ejoventures.net
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 517911 561621 541512 517110 517410 237130 238210

Specialty:
- COMMUNICATION & OFFICE MACHINE REPAIR & MAINT.
- FIBER OPTICS
- PROJECT MANAGEMENT
- WIRED TELECOMMUNICATIONS

Certifications:

Clearances:

FIRELAKE CONSTRUCTION, INC.

JACKIE FOLEY
14217 W. 95TH STREET
LENEXA, KS 66215
Phone: 913-312-9540
Fax: 913-312-9545
Email: jfoley@firelakeconstruction.com
Website: www.firelakeco.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

FRANKLIN ELECTRIC

KARL FRANKLIN
78 VALERA STREET, EAST
MOBILE, AL 36607
Phone: 251-402-3541
Fax: 251-478-0592
Email: franklinelectric1@yahoo.com
Website:
County: STATEWIDE
Source: Wave Transit System

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS AND OTHER WIRING INSTALLATION CONTRACTORS

Certifications:

Clearances:

FUKE'S TELEPHONE AND INTERCONNECT

LEROY FUQUA
6410 GREEN MEADOW RD NW
HUNTSVILLE, AL 35810
Phone: 256-651-8919
Fax: 256-858-8370
Email: Fuqua852@comcast.net
Website:
County: STATEWIDE
Source: Huntsville International Airport

NAICS: 238210 811213

Specialty:
- COMMUNICATION & OFFICE MACHINE REPAIR & MAINT.

Certifications:

Clearances:

G5 TEK SOLUTIONS, LLC

TERRI WHITFIELD
PO BOX 1443
SUWANEE, GA 30024
Phone: 678-714-3937
Fax: 678-714-3947
Email: admin@g5tek.com
Website: www.g5tek.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210 812990

Specialty:
- WIRED TELECOMMUNICATIONS

Certifications:

Clearances:

GLOBENET TELLECOMMUNICATIONS, LLC

DAVID L. MIDDLETON
210 TITUS LANE
PINEVILLE, SC 29468
Phone: 828-320-3297
Fax:
Email: dlmiddleton@charter.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

GTG TRAFFIC SIGNALS, LLC

JASON D. GRAY
4191 JVL INDUSTRIAL PARK DRIVE
MARIETTA, GA 30066
Phone: 404-446-2784
Fax:
Email: trintobagoman@aol.com
Website: www.gtgtrafficsignals.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

INFINITE, INC.

GILBERT T. LONG, JR.
1010 UPPER GIBSON TOWN ROAD
COOSADA, AL 36020
Phone: 334-657-9567
Fax:
Email: gilbert.long@infinite-inc.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

J N AUDIO and VIDEO, INC.

JAIME RODRIGUEZ
1612 MAPLE TRACE COURT
GRAYSON, GA 30017
Phone: 678-407-3607
Fax:
Email: jaimer@inaudiovideo.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS
- WIRED TELECOMMUNICATIONS

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330 238310 238910 238990 561730 238160 236130 238110 237310 238220 238210 238320

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

JUSTCO SERVICES, INC.

BENNIE ANDREWS
1021 VILLAGE MILL ROAD
BIRMINGHAM, AL 35215
Phone: 205-586-7821
Fax:
Email: Bandrews1021@yahoo.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

LEE ELECTRICAL SERVICE, INC

CLARENCE LEE
2520-26TH COURT NORTH
BIRMINGHAM, AL 35206
Phone: 205-326-9798
Fax:
Email: inc_lee@bellsouth.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238210

Specialty:
- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

MD ROSS CONSTRUCTION CO., INC.

MELVIN ROSS
115 NORTH TALLASSEE STREET
DADEVILLE, AL 36853
Phone: 256-825-8289
Fax: 256-825-8382
Email:
Website: www.mdrossconst.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 238210 238910

Specialty:
- BUILDING/FRAMING CONTRACTOR
- INDUSTRIAL BUILDING CONTRACTOR
- SITE PREPARATION

Certifications:

Clearances:

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AMIGO FIRE PROTECTION, LLC

SYLVIA VILLANUEVA
1111 W. MOCKINGBIRD LANE, SUITE 560
DALLAS, TX 75247
Phone: 972-979-0280
Fax: 972-780-4710
Email: amigoservices@sbcglobal.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238220

Specialty:
- FIRE PROTECTION SERVICES

Certifications:

Clearances:

ARS MECHANICAL

SADAT NICHOLS
1941 GEES MILL ROAD
CONYERS, GA 30013
Phone: 770-760-1533
Fax:
Email: Snichols@arsmechanical.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238220

Specialty:
- HEATING AND AIR CONDITIONING CONTRACTOR
- PLUMBING CONTRACTORS

Certifications:

Clearances:

BOBBY TERRY COMPANY, INC.

ROBYN T. ABERNATHY
110 ROBYN STREET
ATHENS, AL 35611
Phone: 256-232-3138
Fax: 256-232-3597
Email: robyn@bobbyterry.com
Website: www.bobbyterry.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238220 454390 236118 238210

Specialty:
- HEATING AND AIR CONDITIONING CONTRACTOR
- PLUMBING CONTRACTORS
- WHOLESALERS

Certifications:

Clearances:

BOULTON ENTERPRISES, INC.

JOYCE BOULTON
447 HUMPHREYS STREET
NASHVILLE, TN 372034842
Phone: 615-255-2581
Fax: 615-255-2599
Email: boultonenterprises@comcast.net
Website: www.bepainting.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 561720 561790 238390 238220 237310

Specialty:
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS

Certifications:

Clearances:

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD
BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-613-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238110 237110 238320 238220 238910 484220

Specialty:
- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING – HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

GFG CONTRACTOR

ALEXANDER DRAKE, SR.
4305 NORMAN BRIDGE RD, SUITE H
MONTGOMERY, AL 36105
Phone: 334-315-0396
Fax: 334-593-0392
Email: ad1171@hotmail.com
Website: www.gfgplumbingcontractor.org

NAICS: 238130 238220

Specialty:
- BUILDING/FRAMING CONTRACTOR
- PLUMBING CONTRACTORS

Certifications:

County: STATEWIDE
Source: Alabama Department of Transportation

Clearances:

JOINER FIRE SPRINKLER COMPANY, INC.

ROBERT E. JOINER
3408 MESSER AIRPORT HIGHWAY
BIRMINGHAM, AL 35222
Phone: 205-716-1317
Fax:
Email: info@joinerfire.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238220

Specialty:
- FIRE PROTECTION SERVICES

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 238110 237310 238220 238210 238320 238330 238310 238910 238130 561730 238160

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

MARLO MECHANICAL, LLC

ERNEST RICK MARTIN
824 80TH STREET SOUTH
BIRMINGHAM, AL 35206
Phone: 205-601-6836
Fax:
Email: rickmm@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238220

Specialty:
- HEATING AND AIR CONDITIONING CONTRACTOR

Certifications:

Clearances:

ORR'S ENVIRONMENTAL, LLC

DEBRA SANDERS
PO BOX 404
CAPSHAW, AL 35742
Phone: 256-556-1220
Fax: 866-739-0182
Email: dsanders011@yahoo.com
Website: www.orrsenvironmental.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541620 238220

Specialty:
- ENVIRONMENTAL CONSULTING SERVICES

Certifications:

Clearances:

RAKS FIRE SPRINKLER, LLC

ROMERO ALI
215 MOBILE STREET
HATTIESBURG, MS 39401
Phone: 601-261-0820
Fax: 601-255-1373
Email: rali@raksfiresprinkler.com
Website: www.raks.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238220

Specialty:
- FIRE PROTECTION SERVICES

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 237990 237310 238130 238160 238190 238220 238320 238330 238910 238990

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdacdesir.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561720 561730 238190 238220 238290 238310 238910 238320 238990 236115 236116 236117 236118 236210 237110 236220 237310 238110 238130 238170 238140 236120

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY

- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

TRINITY TECHNOLOGY SERVICES, INC.

GEORGE KINNISON
539 W. TAFT DRIVE
SOUTH HOLLAND, IL 60643
Phone: 708-207-7157
Fax: 708-596-1020
Email: gkinnison@accshome.com jharris@accshome.com
Website: www.trinitytechservices.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238210 238220

Specialty:

- ELECTRICAL CONTRACTORS

Certifications:

Clearances:

WEAVER ENVIRONMENTAL SERVICES CO., INC.

JIMMIE SHAUN GONZALES
1110 PUTMAN DRIVE NW
HUNTSVILLE, AL 35816
Phone: 256-837-3650
Fax: 256-895-0650
Email: sgonzales@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 423990 237210 238220 561730 423840 484220 237110 237310

Specialty:

- CONCRETE
- LANDSCAPING
- PLUMBING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK
- WHOLESALERS

Certifications:

Clearances:

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CLAY CO., INC. T/A SKYLINE ELEVATORS

MARCIA SMITH BELL
11306 BAY LAKE ROAD
GROVELAND, FL 34736
Phone: 352-429-7688
Fax:
Email: skylineley@aol.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 333921 238290

Specialty:
- OTHER BUILDING EQUIPMENT CONTRACTORS

Certifications:

Clearances:

EGM SERVICES, INC.

ARTHUR QUEEN
4251 E. SIDE DRIVE
DECATUR, GA 30034
Phone: 404-288-9521
Fax: 404-288-9523
Email:
Website: www.egmatlanta.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238150 238290

Specialty:
- OTHER BUILDING EQUIPMENT CONTRACTORS

Certifications:

Clearances:

GLOBAL CONVEYOR INSTALLATION, INC.

BRANDON HENRY
3909 BOWFIN TRAIL
KISSIMMEE, FL 34746
Phone: 571-235-2594
Fax: 407-201-6232
Email: b.henry26@yahoo.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238290

Specialty:
- CONVEYOR SYSTEMS EQUIPMENT INSTALLATION

Certifications:

Clearances:

HARRIS INSULATION, INC.

1715 WHITE OAK PLACE
BIRMINGHAM, AL 35244
Phone: 205-283-9949
Fax:
Email: harris5556@bellsouth.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238290

Specialty:
- OTHER BUILDING EQUIPMENT CONTRACTORS

Certifications:

Clearances:

JORDIM INTERNATIONAL, INC.

CARLOS PENARANDA
12502 SW 78 STREET
MIAMI, FL 33183
Phone: 305-273-7441
Fax: 305-273-7442
Email: jordinint@aol.com
Website: www.jordim.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238290

Specialty:
- OTHER BUILDING EQUIPMENT CONTRACTORS

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdadeair.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 236220 237310 238110 238130 238170 238140 238190 238220 238290 236115 236116 236117 561730 236118 236210 236310 238910 238320 561720 238990 238120

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING

- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

THE AARON GROUP, INC.

MICHEL TURPEAU
754 WOODSON STREET SE
ATLANTA, GA 30315
Phone: (404)622-4308
Fax:
Email: michelturpeau@aarongroup.us
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 425120 237310 238290 541612 541614 541613 541611

Specialty:

- CONCRETE
- MARKETING (CONSULTING SERVICES)
- OTHER MANAGEMENT CONSULTING SERVICES
- PROCESS, PHYSICAL DISTRIBUTION, AND LOGISTICS CONSULTING SERVICES

Certifications:

Clearances:

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CLEANING EXPERTS INC.

KANADA SIMMONS
1709-H HILLYER ROBINSON PKWY
OXFORD, AL 36203
Phone: 256-310-8515
Fax: 256-770-7317
Email: Kanada@cleaningexpertsinc.com
Website: www.cleaningexpertsinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238310 238990 238390 561730 561720

Specialty:
- DRYWALL AND INSULATION CONTRACTORS
- JANITORIAL SERVICES
- LAWN CARE SERVICES
- OTHER SERVICES TO BUILDING AND DWELLINGS

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236115 236210 237110 237990 238310 238330 238910 238990 561990 237310 561730 238110 238140 238130 238170 238190 238160 238120

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JORRIN CONSTRUCTION MGT.CO.

GONZALO JORRIN
5905 SW 28TH STREET
MIAMI, FL 33155
Phone: 305-608-7860
Fax: 305-667-5912
Email: jorrincmc@aol.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 236320 236220 237310 238310

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER BUILDING FINISHING CONTRACTORS

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330 238310 238910 561730 238990 238110 238160 238130 237310 238220 238210 238320

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fjohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236116 238110 238320 238330 238350 238310 238390 236220

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4844
Email: paul@sdacair.com
Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236115 236116 236117 561730 236118 236210 237110 236220 237310 238110 238130 238170 238140 238190 238220 238290 238310 238910 238320 238990 561720 238120

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

Found 6 DBE(s)

1 / 1

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Alabama

Unified Certification Program

Found 14 DBE(s) 238320 1 / 1 New Search Format: Excel Download

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD
BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-613-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238130 238320 238220 238910 484220 238110 237110

Specialty:
- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

J&J STRIPING AND PAINTING, INC.

JERRY JENKINS
1108 7th AVENUE WEST
BIRMINGHAM, AL 35204
Phone: 205-787-6022
Fax: 205-781-7116
Email: Jjstripingpal238@bellsouth.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238320 238990

Specialty:
- STRIPING

Certifications:

Clearances:

JORRIN CONSTRUCTION MGT.CO.

GONZALO JORRIN
5905 SW 28TH STREET
MIAMI, FL 33155
Phone: 305-608-7880
Fax: 305-667-5912
Email: jorrincmc@aol.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238310 238320 236220 237310

Specialty:
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- OTHER BUILDING FINISHING CONTRACTORS

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: jpayne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330 238310 561730 238220 238210 238320 238160 238130 238910 238990 238110 237310

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

KIMRO PAINTING & SERVICES, INC.

KIMRO PAINTING & SERVICES, INC
5642 LAKE TRACE DRIVE
HOOVER, AL 35236
Phone: 205-403-0457
Fax:
Email: kimropaint@aol.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238320

Specialty:
- PAINTING AND WALL COVERING CONTRACTORS

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fjohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 238110 238320 238330 238350 238310 238390 236116

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

MITCHELL INDUSTRIAL CONTRACTORS, INC

ALAN MITCHELL
188 WESTCHESTER DRIVE
MADISON, AL 35758
Phone: 256-772-5554
Fax: 256-772-5579
Email: alan@mitchellindustrialcontractors.com
Website: www.mitchellindustrialcontractors.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 238190 237310 238320 484220

Specialty:
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- LANDSCAPING
- PAVE (ASPHALT)
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- WELDING/IRON WORK

Certifications:

Clearances:

MONUMENTAL CONTRACTING SERVICE, LLC

CHRISTOPHER L SWAIN
PO BOX 8361
BIRMINGHAM, AL 35218
Phone: 205-788-6275
Fax: 205-788-6278
Email: info@monumentalcs.com
Website: www.monumentalcs.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 332323 236220 238110 238330 238320 237310 531210

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- PRIME CONTRACTOR
- RIGHT OF WAY SERVICES

Certifications:

Clearances:

P & H STUCCO & CONSTRUCTION, INC.

OLGA ANGELIADIS
1705 SUNSET DRIVE
TARPON SPRINGS, FL 346890000
Phone: 727-485-72829
Fax: 727-934-9049
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 238320

Specialty:
- BRIDGE COATING
- PAINTING AND WALL COVERING CONTRACTORS
- PRESSURE WASHING SERVICES

Certifications:

Clearances:

RESTORATION SPECIALISTS, INC.

ROBERT H. MABRA
921 BATTLE STREET W
TALLADEGA, AL 35160
Phone: 256-493-5497
Fax: 256-480-3063
Email: barbaramlawler@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238320

Specialty:
- PAINTING AND WALL COVERING CONTRACTORS
- STRIPING (PARKING LOT MARKING)

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238990 237990 237310 238130 238160 238190 236110 238220 238320 238330 238910

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

SDAC, INC.

PAUL MORROW
910 LANDLINE ROAD
SELMA, AL 36701
Phone: 334-872-2228
Fax: 888-371-4644
Email: paul@sdadeair.com

NAICS: 561720 238990 238120 561730 236115 236116 236117 236220 237310 238110 238130 238170 238140 238190 238220 238290 238310 238910 238320 236118 236210 237110

Specialty:
- BUILDING/FRAMING CONTRACTOR

Website: www.sdac8a.com
County: STATEWIDE
Source: Alabama Department of Transportation

- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE
- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DRAINAGE
- DRYWALL AND INSULATION CONTRACTORS
- EXCAVATION
- HEATING, VENTILATION AND AIR-CONDITIONING (HVAC) CONTRACTORS
- INDUSTRIAL BUILDING CONSTRUCTION
- JANITORIAL SERVICES
- LAND CLEARING
- LANDSCAPING
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- PAVE (ASPHALT)
- PAVE (CONCRETE)
- RESIDENTIAL REMODELERS
- SIDING CONTRACTOR
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

SOUTHEAST BRIDGE FL CORP

MICHAEL TSALICKIS
38856 U.S. HWY 19 NORTH
TARPON SPRINGS, FL 34689
Phone: 727-940-3401
Fax: 727-938-2253
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238320

Specialty:

- BRIDGE COATING

Certifications:

Clearances:

TIM ALLEN PAINTS, INC.

TIM ALLEN, JR.
1141 PRATT HIGHWAY
BIRMINGHAM, AL 35214
Phone: 205-791-6050
Fax: 205-791-9265
Email: paintsa@bellsouth.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238320 561790

Specialty:

- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- PRESSURE WASHING SERVICES
- RESIDENTIAL PAINTING AND WALL COVERING CONTRACTORS

Certifications:

Clearances:

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COMMERCIAL FLOOR CARE, LLC

GEORGE W. SIMS II
709 1ST AVENUE NORTH
BIRMINGHAM, AL 35203
Phone: (205)706-1671
Fax:
Email: gwsims@millicare.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238340 238330 561740

Specialty:
- CARPET AND UPHOLSTERY CLEANING SERVICES
- FLOORING CONTRACTORS
- TILE AND TERRAZZO CONTRACTORS

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

FLOORS & MORE, LLC

JOHNNY RODGERS
PO BOX 636
YORK, AL 36925
Phone: 205-392-9308
Fax: 205-392-9309
Email: bertha_floors@yahoo.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238330

Specialty:
- FLOORING CONTRACTORS

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236115 236210 237110 237990 238110 238140 238130 238170 238190 238160 238120 238310 238330 238910 238990 561990 237310 561730

Specialty:
- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

JPAYNE ORGANIZATION

JOSEPH PAYNE
2655 AIRPORT BLVD
MOBILE, AL 36606
Phone: (251)471-0001
Fax: 251-471-0003
Email: j.payne@live.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 238110 238160 238130 238220 238210 238320 238330 238310 238910 561730 238990

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CLEARING & GRUBBING
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE
- DRYWALL AND INSULATION CONTRACTORS
- ELECTRICAL CONTRACTORS
- FENCING
- FLOORING CONTRACTORS
- HEATING AND AIR CONDITIONING CONTRACTOR
- LAWN CARE SERVICES
- PAVING
- PLUMBING CONTRACTORS
- ROOFING CONTRACTORS

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fjohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236116 238390 238110 238320 238330 238350 238310 236220

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

MONUMENTAL CONTRACTING SERVICE, LLC

CHRISTOPHER L. SWAIN
PO BOX 8361
BIRMINGHAM, AL 35218
Phone: 205-788-6275
Fax: 205-788-6278
Email: info@monumentalcs.com
Website: www.monumentalcs.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238320 236220 238110 238330 332323 237310 531210

Specialty:
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- PRIME CONTRACTOR
- RIGHT OF WAY SERVICES

Certifications:

Clearances:

ROLIN CONSTRUCTION, INC.

STEPHANIE ROLIN
5060 JACK SPRINGS ROAD
ATMORE, AL 36502
Phone: 251-368-0072
Fax: 251-368-0073
Email: srolininc@frontiernet.net
Website: www.rolinbuilds.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 238990 237990 237310 238160 238190 238110 238220 238320 238330 238130

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- DEMOLITION
- FENCING
- FLOORING CONTRACTORS
- GUARDRAIL
- PAINTING AND WALL COVERING CONTRACTORS
- PLUMBING
- ROOFING CONTRACTORS
- SEDIMENT/EROSION CONTROL
- WELDING/IRON WORK

Certifications:

Clearances:

SPEC 9 FLOORING GROUP, LLC

OLANDUS EASON
1020 9TH AVE SW
BESSEMER, AL 35022
Phone: (205)475-4631
Fax:
Email: o.eason@spec9contractflooring.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238330

Specialty:
- FLOORING CONTRACTORS

Certifications:

Clearances:

Found 9 DBE(s)

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Alabama

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Found 4 DBE(s) 239350 1 / 1 New Search Format: Excel Download

BROWN & PIPKINS, LLC

DEIDRE F. BROWN
PO BOX 312245
ATLANTA, GA 31131
Phone: 404-349-9030
Fax: 404-349-8848
Email: dfbrown@acsential.com
Website: www.acsential.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 541820 541810 561210 561720 541611 541513 238350

Specialty:
- ADMINISTRATIVE MANAGEMENT AND GENERAL MANAGEMENT (CONSULTING) SERVICES
- ADVERTISING AGENCIES
- ALL OTHER SPECIALTY TRADE CONTRACTORS
- COMPUTER FACILITIES MANAGEMENT SERVICES
- FACILITIES AND SUPPORT SERVICES
- FINISH CARPENTRY CONTRACTORS
- JANITORIAL SERVICES/COMMERCIAL CLEANING
- PUBLIC RELATIONS AGENCIES AND CONSULTING SERVICES

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fjohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 236116 238110 238320 238330 238350 238310 238390

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

MIDWEST BUILDING SUPPLIES, INC.

ELMER DIXON
PO BOX 85524
WESTLAND, MI 48185
Phone: 313-592-4989
Fax: 734-721-9209
Email: elmer@dixon99.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238350 423330 425120 423320

Specialty:
- BUILDING MATERIAL AND SUPPLIES DEALER

Certifications:

Clearances:

S & S CONSTRUCTION SERVICES, LLC

MICHAEL SNOW
3435 ZACK GORDON LANE
HOPE HULL, AL 36043
Phone: 334-224-0118
Fax: 334-280-9906
Email: sns-handy@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 238350 238990 561730 236220 237310 236118 236140

Specialty:
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- FENCING
- FINISH CARPENTRY CONTRACTORS
- GUARDRAIL
- LAWN CARE SERVICES
- MASONRY
- NEW MULTIFAMILY HOUSING CONSTRUCTION (EXCEPT OPERATIVE BUILDERS)
- NEW SINGLE FAMILY HOUSING CONSTRUCTION
- PAINTING AND WALL COVERING CONTRACTORS
- VEGETATION ESTABLISHMENT

Certifications:

Clearances:

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BOULTON ENTERPRISES, INC.

JOYCE BOULTON
447 HUMPHREYS STREET
NASHVILLE, TN 372034842
Phone: 615-255-2581
Fax: 615-255-2599
Email: boultonenterprises@comcast.net
Website: www.bepainting.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238220 561720 561790 238390 237310

Specialty:
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS

Certifications:

Clearances:

CLEANING EXPERTS INC.

KANADA SIMMONS
1709-H HILLYER ROBINSON PKWY
OXFORD, AL 36203
Phone: 256-310-8515
Fax: 256-770-7317
Email: Kanada@cleaningexpertsinc.com
Website: www.cleaningexpertsinc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 238310 238990 238390 561720

Specialty:
- DRYWALL AND INSULATION CONTRACTORS
- JANITORIAL SERVICES
- LAWN CARE SERVICES
- OTHER SERVICES TO BUILDING AND DWELLINGS

Certifications:

Clearances:

COX COMMERCIAL INTERIORS, LLC

OPHELIA COX
1073 REGENT PARK DRIVE
BIRMINGHAM, AL 35242
Phone: 205-243-0972
Fax: 205-991-7299
Email: ocox@ccominteriors.com
Website: www.ccominteriors.com
County: SHELBY
Source: Alabama Department of Transportation

NAICS: 238390 423210

Specialty:
- OFFICE FURNITURE
- WHOLESALERS

Certifications:

Clearances:

ERWIN and ASSOCIATES, INC.

LISA ERWIN
9232 OLD HIGHWAY 31
KIMBERLY, AL 35091
Phone: 205-590-0616
Fax:
Email: lisa@erwinandassociates.net
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 811420 314999 321918 337920 423220 238390

Specialty:
- CARPET AND UPHOLSTERY

Certifications:

Clearances:

M. F. MORGAN & ASSOCIATES, INC.

JOHN FOWLER
16401 GAINSVILLE ROAD
RALPH, AL 35480
Phone: 205-239-1365
Fax: 205-553-6289
Email: Fjohn1947@gmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236220 238110 238320 238330 238350 238310 238390 236116

Specialty:
- BUILDING/FRAMING CONTRACTOR
- CONSTRUCTION (RESIDENTIAL)
- DRYWALL AND INSULATION CONTRACTORS
- FINISH CARPENTRY CONTRACTORS
- FLOORING CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- PAINTING AND WALL COVERING CONTRACTORS
- POURED CONCRETE FOUNDATION AND STRUCTURE CONTRACTORS

Certifications:

Clearances:

NOVAN CONSTRUCTION, INC.

SANG EUN JUN
PO BOX 1843
AUBURN, AL 36831
Phone: 334-737-8038
Fax:
Email: Melinda@novanconstruction.com
Website: www.novanconstruction.com

NAICS: 238390 236220 561730

Specialty:
- CLEARING & GRUBBING
- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- DEMOLITION
- DRAINAGE

County: STATEWIDE
Source: Alabama Department of Transportation

- EXCAVATION
- GRADE
- LAND CLEARING
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

REANKH, LLC dba 360 SPECIALTIES.COM

ANDREA M. BARNETT
3365 NEW HERITAGE DRIVE #101
ALPHARETTA, GA 30022
Phone: 404-423-2444
Fax: 866-908-6327
Email: angie@360specialties.com
Website:
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238390

Specialty:

- OTHER BUILDING FINISHING CONTRACTORS

Certifications:

Clearances:

SYNERGY DEVELOPMENT PARTNERS, LLC

JEANIE HUNTER
83 WALTON STREET, NE, SUITE 400
ATLANTA, GA 30303
Phone: 404-254-4755
Fax: 404-254-4742
Email: jhunter@synergydp.com
Website: www.synergydp.com
County: STATEWIDE
Source: Birmingham Airport Authority

NAICS: 238190 236118 238110 238390 236116 541618 541611 238990 561210 561990

Specialty:

- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION
- CONCRETE SPECIALTY
- DRYWALL AND INSULATION CONTRACTORS
- FACILITIES AND SUPPORT SERVICES
- MANAGEMENT AND FINANCIAL SERVICES
- OTHER BUILDING EQUIPMENT CONTRACTORS
- OTHER BUILDING FINISHING CONTRACTORS
- OTHER FOUNDATION, STRUCTURE, AND BUILDING EXTERIOR CONTRACTORS

Certifications:

Clearances:

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BOSTIC TRUCKING & EXCAVATING, INC.

JAY BOSTIC
PO BOX 38
WATSON, AL 35181
Phone: 205-323-8840
Fax: 205-323-8839
Email: bostic.trucking@att.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 561730 484220

Specialty:
- CLEARING & GRUBBING
- DEMOLITION
- EXCAVATION
- TRUCKING – HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

CARTER'S CONTRACTING SERVICES, INC.

MERTHA CARTER
23263 HARMONY CHURCH ROAD
ANDALUSIA, AL 36421
Phone: 334-222-6670
Fax: 334-222-3838
Email:
Website: www.carters-contracting.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 561730 238110 238910 237310

Specialty:
- CONCRETE
- EXCAVATION
- LANDSCAPING SERVICES (FERTILIZING, MOWING, SEEDING, SPRAYING)
- PRIME CONTRACTOR
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

CHIEF CONSTRUCTION SERVICES, LLC

JEREMY MILLER
116 APACHE PT.
SENOIA, GA 30276
Phone: 770-760-6963
Fax: 770-304-2937
Email: Jmiller24@charter.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 238110

Specialty:
- BORING/TRENCHING
- CONCRETE
- CONCRETE SPECIALTY
- EXCAVATION
- GRADE
- RETAINING WALL

Certifications:

Clearances:

CONSTRUCTION PLUS

EARL LOGAN, SR.
PO BOX 4344
MERIDIAN, MS 39304
Phone: 601-553-3105
Fax: 601-553-3106
Email:
Website: www.aconstructionplus.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 238990

Specialty:
- CLEARING & GRUBBING
- DRAINAGE
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

CORNERSTONE DEMOLITION & GRADING, INC.

LORI S. BAXTER
18 BRIDLEWOOD COURT
CARTERSVILLE, GA 30120
Phone: 770-560-3976
Fax: 770-386-8711
Email: cornerstone.demo@gmail.com
Website: www.cornerstonedemolition.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 484220

Specialty:
- SITE PREPARATION
- TRUCKING FREIGHT -- LOCAL

Certifications:

Clearances:

D & N CONSTRUCTION

NATHANIEL BLOUNT
P O BOX 2044
ANDALUSIA, AL 36420
Phone: 888-720-7979

NAICS: 238120 484230 238910 237310

Specialty:
- CLEARING & GRUBBING

Fax:
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

- CONCRETE SPECIALTY
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- EXCAVATION
- REINFORCING STEEL
- TRUCKING -- TANKER
- UTILITY WORK

Certifications:

Clearances:

DADS ENTERPRISES

DEBRA WILLIS BALDWIN
406 NORTH CHURCH STREET
TUSKEGEE, AL 36083
Phone: 334-725-8251
Fax: 775-871-6638
Email: dadsenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237990 238120 238110 238140 238160 237310 238990 238910 238330

Specialty:

- BUILDING/FRAMING CONTRACTOR
- CONCRETE
- CULVERT CONSTRUCTION
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- FENCING
- FLOORING CONTRACTORS
- GRADE, DRAIN & BASE
- LAND CLEARING
- MASONRY
- PAINTING AND WALL COVERING CONTRACTORS
- PAVING
- PILEDRIVING
- REINFORCING STEEL
- RETAINING WALL
- ROOFING
- SEDIMENT/EROSION CONTROL
- STRIPING

Certifications:

Clearances:

DAVIDSON TRUCKING, INC.

LINDA DAVIDSON
2818 SLOSS LANE
FULTONDALE, AL 35068
Phone: 205-849-6452
Fax: 205-841-0665
Email: linda.davidson@earthlink.net
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484220 238910

Specialty:

- DEMOLITION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

DAVIS PLUMBING COMPANY

ZERRY DAVIS
51 HARRIET TUBMAN ROAD
BURKVILLE, AL 36752
Phone: 334-412-1440
Fax: 334-813-2813
Email: zerryd@msn.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 238130 238110 238320 238220 238910 484220

Specialty:

- BORING/TRENCHING
- BUILDING/FRAMING CONTRACTOR
- COMMERCIAL PAINTING AND WALL COVERING CONTRACTORS
- CONCRETE SPECIALTY
- DEMOLITION
- EXCAVATION
- PLUMBING CONTRACTORS
- SITE PREPARATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS
- UTILITY WORK

Certifications:

Clearances:

DAVLIN, LLC

LINDA MALONE
305 MISTY WATER DRIVE
COLUMBIANA, AL 35051
Phone: 205-420-9664
Fax:
Email: malo2211@bellsouth.net
Website: www.davlinllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 423850 238910

Specialty:

- CLEARING & GRUBBING
- WHOLESALER

Certifications:

Clearances:

DAYSTAR ENTERPRISES, LLC

TRISHA MCDONALD
721 COUNTY ROAD 421
CULLMAN, AL 35057
Phone: 256-739-3816
Fax: 256-739-7066
Email: trishadaystar@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 484220

Specialty:

- CLEARING & GRUBBING
- EXCAVATION
- GRADE
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

DCD CONSTRUCTION, INC.

ELLIOT DAVIS
6512 ROSE FARM ROAD
OCEAN SPRINGS, MS 39564
Phone: 228-872-8060

NAICS: 238990 236220 238910 237110

Specialty:

- COMMERCIAL AND INSTITUTIONAL BUILDING CONSTRUCTION

Fax: 228-872-0709
Email:
Website: www.dcdconst.com
County: STATEWIDE
Source: Alabama Department of Transportation

Certifications:

Clearances:

EDWARDS-KAMADULSKI, LLC

FALON MONTRAY
PO BOX 2557
EAST ST. LOUIS, IL 62202
Phone: 618-274-7150
Fax:
Email: flones@ed-kam.com
Website: www.ed-kam.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238110 238910 237990

Specialty:
- CIVIL ENGINEERING SERVICES
- CONCRETE SPECIALTY
- DEMOLITION
- DRAINAGE
- EXCAVATION
- GRADE

Certifications:

Clearances:

GIBCO CONSTRUCTION, LLC

SHARON L. GILBERT
241 INDUSTRIAL WAY SW
CLEVELAND, TN 37311
Phone: 423-476-7905
Fax: 423-614-5797
Email: sharon@gibcoconstruction.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 484220

Specialty:
- EXCAVATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

GLOBAL MANAGEMENT GROUP, INC.

MARY RUGANO
PO BOX 19731
BIRMINGHAM, AL 35219
Phone: 205-838-8238
Fax: 205-838-8886
Email:
Website: www.gmginconline.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237310 237990 238910 238110

Specialty:
- CONCRETE
- GRADE
- GRADE & DRAIN
- PAVE (ASPHALT)
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

GRADY DORTCH & SONS, INC.

GRADY DORTCH, SR.
2476 COMMERCIAL PARK DRIVE
MOBILE, AL 36606
Phone: 251-338-9751
Fax: 251-338-9754
Email:
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 238910 484110 236115

Specialty:
- CLEARING & GRUBBING
- CONCRETE
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- EXCAVATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

GSE, INC.

KAYE MEEKS
3795 GORDON TERRY PARKWAY
TRINITY, AL 35619
Phone: 256-350-9754
Fax:
Email: kmeeks@gseinc.com
Website:
County:
Source: Huntsville International Airport

NAICS: 541620 541330 562910 238910

Specialty:
- DRILLING/BLASTING
- ENGINEERING AND SUPPORT SERVICES
- ENVIRONMENTAL CONSULTING SERVICES
- REMEDIATION SERVICES

Certifications:

Clearances:

GSW ENTERPRISE, INC.

TIM WALKER
PO BOX 31065
JACKSON, MS 39206
Phone: 601-331-8173
Fax: 601-856-4000
Email: gswenterprise@aol.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 237110 561730 238910

Specialty:
- CLEARING & GRUBBING
- DRAINAGE
- SEDIMENT/EROSION CONTROL

Certifications:

Clearances:

GULLEY'S TRUCKING, INC.

RITA GULLEY
PO BOX 28791
MIDFIELD, AL 35228
Phone: 205-425-4186
Fax: 205-425-3295
Email: gulley1405@hotmail.com
Website:
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 484220 238910 541330

Specialty:
- SEDIMENT/EROSION CONTROL
- SITE PREPARATION
- TRUCKING -- HAULING OF AGGREGATE MATERIALS

Certifications:

Clearances:

HOLLON CONTRACTING, LLC

CATHERINE HOLLON
200 CAT WAY
DOTHAN, AL 36303
Phone: 334-671-1911
Fax: 334-671-1916
Email:
Website: www.holloncontractingllc.com
County: STATEWIDE
Source: Alabama Department of Transportation

NAICS: 236118 236116 236210 237110 236115 237990 238110 238140 238130 238170 238190 238160 238120 237310 238310 238330 238910 561730 561990 238990

Specialty:

- BRIDGE REPAIR SERVICES
- BUILDING/FRAMING CONTRACTOR
- CONCRETE SPECIALTY
- CURB AND GUTTER
- DEMOLITION
- FLOORING CONTRACTORS
- GUARDRAIL
- LANDSCAPING
- MASONRY
- ROOFING CONTRACTORS
- TRAFFIC CONTROL
- TUNNEL WORK
- WATER AND SEWER LINE AND RELATED STRUCTURES CONSTRUCTION

Certifications:

Clearances:

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Attachment 3
Forms A, C and D

THE CITY OF BIRMINGHAM
FORM "A"
MBE/DBE BID SOLICITATION NOTICE

GENERAL CONTRACTOR'S NOTICE OF INTENT TO BID
MBE/DBE PARTICIPATION

BID DATA

1. **GENERAL CONTRACTOR** _____

ADDRESS: _____

_____

CONTACT(S): _____

PHONE: _____

FAX: _____

EMAIL: _____

2. **OWNER:** _____

3. **NAME OF PROJECT:** _____

4. **SCHEDULED PRE-BID MEETING**
DATE/TIME: _____

LOCATION: _____

5. **DATE/TIME FOR RECEIPT OF BIDS**
TO CONTACT INDICATED ABOVE: _____

6. **SCHEDULED BID OPENING**
DATE/TIME: _____

LOCATION: _____

7. **ESTIMATED JOB START DATE:** _____

8. **ESTIMATED COMPLETION DATE:** _____

Assistance is hereby requested in securing proposals for MBE/DBE Subcontractors/Suppliers per the attached listing of construction specialties.

NOTICE TO BCIA - CERTIFIED CONTRACTORS:
IN ORDER TO BE CONSIDERED, PROPOSALS MUST BE RECEIVED IN THE OFFICE OF THE GENERAL CONTRACTOR ON OR BEFORE THE DATE LISTED IN ITEM FIVE (5) ABOVE.

PROJECT: _____ **LOCATION:** _____

_____ **BID DATE:** _____

GENERAL CONTRACTOR CONTACT:

NAME_____

ADDRESS: _____

_____

TELEPHONE: ( ) _____

FAX: ( ) _____

EMAIL: ( ) _____

_____

DEADLINE FOR PROPOSALS

_____
DATE/TIME

* Estimated Contract Opportunity Value:
{1} 0-25,000 {2) 25,000-50,000 {3} 50,000-100,000 {4} 100,000 - 500,000 {5} over 500,000

DIVISION 02 – EXISTING CONDITIONS
{1} {2} {3} {4} {5} *

[___] 02 21 SURVEYS
[___] 02 32 GEOTECHNICAL INVESTIGATIONS
[___] 02 41 DEMOLITION
[___] 02 42 REMOVAL and SALVAGE of CONSTRUCTION MATERIALS
[___] 02 43 STRUCTURE MOVING
[___] 02 56 SITE CONTAINMENT
[___] 02 65 UNDERGROUND STORAGE TANK REMOVAL
[___] 02 81 TRANSPORTATION and DISPOSAL of HAZARDOUS MATERIALS
[___] 02 82 ASBESTOS REMEDIATION
[___] 02 83 LEAD REMEDIATION
[___] 02 85 MOLD REMEDIATION
[___] 02 91 CHEMICAL SAMPLING, TESTING and ANALYSIS
[___] 02 __ _____
(Please fill-in other opportunity)

DIVISION 3 - CONCRETE {1} {2} {3} {4} [5}
[___] 03 01 MAINTENANCE OF CONCRETE
[___] 03 11 CONCRETE FORMING
[___] 03 15 CONCRETE ACCESSORIES
[___] 03 21 REINFORCING STEEL
[___] 03 22 WELDED WIRE FABRIC REINFORCING
[___] 03 30 CAST–IN-PLACE CONCRETE
[___] 03 31 STRUCTURAL CONCRETE
[___] 03 35 CONCRETE FINISHING
[___] 03 37 SPECIALTY PLACED CONCRETE
[___] 03 39 CONCRETE CURING
[___] 03 41 PRECAST STRUCTURAL CONCRETE
[___] 03 45 PRECAST ARCHITECTURAL CONCRETE
[___] 03 47 SITE-CAST CONCRETE
[___] 03 62 NON-SHRINK GROUTING
[___] 03 63 EPOXY GROUTING
[___] 03 81 CONCRETE CUTTING
[___] 03 82 CONCRETE BORING
[___] 03 __ _____
(Please fill-in for other opportunity)

DIVISION 4 - MASONRY {1} {2} {3} {4} {5}
[___] 04 21 CLAY UNIT MASONRY
[___] 04 22 CONCRETE UNIT MASONRY
[___] 04 25 UNIT MASONRY PANELS
[___] 04 30 MULTIPLE-WYTHE MASONRY
[___] 04 43 STONE MASONRY
[___] 04 57 MASONRY FIREPLACES
[___] 04 71 MANUFACTURED BRICK MASONRY
[___] 04 73 MANUFACTURED STONE MASONRY
[___] 04 __ _____
(Please fill-in for other opportunity)

DIVISION 5 - METALS {1} {2} {3} {4} {5}
[___] 05 12 STRUCTURAL STEEL FRAMING
[___] 05 14 STRUCTURAL ALUMINUM FRAMING
[___] 05 15 WIRE ROPE ASSEMBLIES
[___] 05 21 STEEL JOIST FRAMING
[___] 05 31 STEEL DECKING
[___] 05 35 RACEWAY DECKING ASSEMBLIES
[___] 05 41 STRUCTURAL METAL STUD FRAMING
[___] 05 42 COLD-FORMED METAL JOIST FRAMING
[___] 05 44 COLD-FORMED METAL TRUSSES
[___] 05 51 METAL STAIRS
[___] 05 52 METAL RAILINGS
[___] 05 53 METAL GRATINGS
[___] 05 55 METAL STAIR TREADS & NOSING
[___] 05 56 METAL CASTINGS
[___] 05 58 FORMED METAL FABRICATIONS
[___] 05 71 DECORATIVE METAL STAIRS
[___] 05 73 DECORATIVE METAL RAILINGS
[___] 05 75 DECORATIVE FORMED METAL
[___] 05 __ _____
(Please fill-in for other opportunity)

DIVISION 6 – WOODS, PLASTICS & COMPOSITIES
{1} {2] {3} {4} {5}

[___] 06 11 WOOD FRAMING
[___] 06 12 STRUCTURAL PANELS
[___] 06 15 WOOD DECKING
[___] 06 16 SHEATING
[___] 06 17 SHOP FABRICATED STRUCTURAL WOOD
[___] 06 22 MILLWORK
[___] 06 25 PREFINISHED PANEL
[___] 06 26 PANELING
[___] 06 43 WOOD STAIRS & RAILINGS
[___] 06 44 ORNAMENTAL WOODWORK
[___] 06 48 WOOD FRAMES

[___] 06 __ _____
(Please fill-in for other opportunity)

DIVISION 7 – THERMAL & MOISTURE PROTECTION {1} {2} {3} {4} {5}
[___] 07 11 DAMPPROOFING
[___] 07 12 BUILT-UP BITUMINOUS WATERPROOFING
[___] 07 13 SHEET WATERPROOFING
[___] 07 16 CEMENTIOUS & REACTIVE WATERPROOFING
[___] 07 19 WATER REPELLANTS
[___] 07 21 THERMAL INSULATION
[___] 07 22 ROOF & DECK INSULATION
[___] 07 24 EXTERIOR INSULATION & FINISH SYSTEMS
[___] 07 25 WEATHER BARRIERS
[___] 07 26 VAPOR RETARDERS
[___] 07 31 SHINGLES & SHAKES
[___] 07 32 ROOF TILES
[___] 07 33 NATURAL ROOF COVERINGS
[___] 07 41 ROOF PANELS
[___] 07 42 WALL PANELS
[___] 07 46 SIDING
[___] 07 51 BUILT-UP BITUMINOUS ROOFING
[___] 07 52 MODIFIED BIITUMINOUS MEMBRANE ROOFING
[___] 07 53 ELASTOMETRIC MEMBRANE ROOFING
[___] 07 54 THERMOPLASTIC MEMBRANE ROOFING
[___] 07 56 FLUID APPLIED ROOFING
[___] 07 58 ROLL ROOFING
[___] 07 61 SHEET METAL ROOFING
[___] 07 65 FLEXIBLE FLASHING
[___] 07 71 ROOF SPECIALTIES
[___] 07 72 ROOF ACCESSORIES
[___] 07 81 APPLIED FIREPROOFING
[___] 07 84 FIRESTOPPING
[___] 07 91 PREFORMED JOINT SEALS
[___] 07 92 JOINT SEALANTS
[___] 07 95 EXPANSION CONTROL
[___] 07 __ _____
(Please fill-in for other opportunity)

DIVISION 8 - OPENINGS {1} {2} {3} [4} {5}
[___] 08 11 METAL DOORS & FRAMES
[___] 08 12 METAL FRAMES
[___] 08 13 METAL DOORS
[___] 08 14 WOOD DOORS
[___] 08 16 COMPOSITE DOORS
[___] 08 17 INTEGRATED DOOR OPENING ASSEMBLIES
[___] 08 31 ACCESS DOORS & PANELS
[___] 08 32 SLIDING GLASS DOORS
[___] 08 33 COILING DOORS & GRILLES
[___] 08 34 SPECIAL FUNCTION DOORS
[___] 08 36 PANEL DOORS
[___] 08 38 TRAFFIC DOORS
[___] 08 41 ENTRANCES & STOREFRONTS
[___] 08 42 ENTRANCES
[___] 08 43 STOREFRONTS
[___] 08 44 CURTAIN WALL & GLAZED ASSEMBLIES
[___] 08 51 METAL WINDOWS
[___] 08 52 WOOD WINDOWS
[___] 08 53 PLASTIC WINDOWS
[___] 08 54 COMPOSITE WINDOWS
[___] 08 56 SPECIAL FUNCTION WINDOWS
[___] 08 62 UNIT SKYLIGHTS
[___] 08 63 METAL-FRAMED SKYLIGHTS
[___] 08 71 DOOR HARDWARE
[___] 08 74 ACCESS CONTROL HARDWARE
[___] 08 75 WINDOW HARDWARE
[___] 08 79 HARDWARE ACCESSORIES
[___] 08 81 GLASS GLAZING
[___] 08 83 MIRRORS
[___] 08 84 PLASTIC GLAZING
[___] 08 88 SPECIAL FUNCTION GLAZING
[___] 08 91 LOUVERS
[___] 08 95 VENTS
[___] 08 __ _____
(Please fill-in for other opportunity)

DIVISION 9 - FINISHES {1} {2} {3} {4} {5}
[___] 09 21 PLASTER & GYPSUM ASSEMBLIES
[___] 09 22 SUPPORTS FOR PLASTER & GYPSUM
[___] 09 23 GYPSUM PLASTERING
[___] 09 24 CEMENT PLASTERING
[___] 09 26 VENEER PLASTERING
[___] 09 28 BACKING S & UNDERLAYMENTS
[___] 09 29 GYPSUM
[___] 09 30 TILING
[___] 09 51 ACOUSTICAL CEILINGS
[___] 09 54 SPECIALTY CEILINGS
[___] 09 62 SPECIALTY FLOORING
[___] 09 63 MASONRY FLOORING
[___] 09 64 WOOD FLOORING
[___] 09 65 RESILIENT FLOORING
[___] 09 66 TERRAZZO FLOORING
[___] 09 68 CARPETING
[___] 09 72 WALL COVERINGS
[___] 09 77 SPECIAL WALL SURFACING
[___] 09 91 PAINTING
[___] 09 93 STAINING & TRANSPARENT FINISHING
[___] 09 96 HIGH PERFORMANCE COATINGS
[___] 09 97 SPECIAL COATINGS
[___] 09 __ _____
(Please fill-in for other opportunity)

DIVISION 10 - SPECIALTIES {1} {2} {3} {4} {5}
[___] 10 11 VISUAL DISPLAY UNITS
[___] 10 14 SIGNAGE
[___] 10 22 PARTITIONS
[___] 10 26 WALL & DOOR PROTECTION
[___] 10 28 TOILET, BATH & LAUNDRY ACCESSORIES

[___] 10 44 FIRE PROTECTION SPECIALTIES
[___] 10 51 LOCKERS
[___] 10 71 EXTERIOR PROTECTION
[___] 10 74 MANUFACTURED EXTERIOR SPECIALTIES
[___] 10 75 FLAGPOLES
[___] 10 81 PEST CONTROL DEVICES
[___] 10 88 SCALES
[___] 10 __ _____
(Please fill-in for other opportunity)

DIVISION 11 - EQUIPMENT {1} {2} {3} {4} {5}
[___] 11 11 VEHICLE SERVICE EQUIPMENT
[___] 11 12 PARKING CONTROL EQUIPMENT
[___] 11 13 LOADING DOCK EQUIPMENT
[___] 11 14 PEDESTRIAN CONTROL EQUIPMENT
[___] 11 24 MAINTENANCE EQUIPMENT
[___] 11 31 RESIDENTIAL APPLIANCES
[___] 11 33 RETRACTABLE STAIRS
[___] 11 41 FOODSERVICE STORAGE EQUIPMENT
[___] 11 42 FOOD PREPARATION EQUIPMENT
[___] 11 43 FOOD DELIVERY CARTS AND CONVEYORS
[___] 11 44 FOOD COOKING EQUIPMENT
[___] 11 46 FOOD DISPENSING EQUIPMENT
[___] 11 47 ICE MACHINES
[___] 11 48 CLEANING & DISPOSAL EQUIPMENT
[___] 11 52 AUDIO-VISUAL EQUIPMENT
[___] 11 53 LABORATORY EQUIPMENT
[___] 11 66 ATHLETIC EQUIPMENT
[___] 11 67 RECREATIONAL EQUIPMENT
[___] 11 82 SOLID WASTE HANDLING
[___] 11 __ _____
(Please fill-in for other opportunity)

DIVISION 12 - FURNISHINGS {1} {2} {3} {4} {5}
[___] 12 21 WINDOW BLINDS
[___] 12 22 CURTAINS & DRAPES
[___] 12 23 INTERIOR SHUTTERS
[___] 12 24 WINDOW SHADES
[___] 12 32 MANUFACTURED CASEWORK
[___] 12 35 SPECIALTY CASEWORK
[___] 12 36 COUNTERTOPS
[___] 12 46 FURNISHING ACCESSORIES
[___] 12 48 RUGS & MATS
[___] 12 51 OFFICE FURNITURE
[___] 12 52 SEATING
[___] 12 54 HOSPITALITY FURNITURE
[___] 12 56 INSTITUTIONAL FURNITURE
[___] 12 61 FIXED AUDIENCE SEATING
[___] 12 63 STADIUM & ARENA SEATING
[___] 12 67 PEWS & BENCHES
[___] 12 92 INTERIOR PLANTERS & ARTIFICAL PLANTS
[___] 12 93 SITE FURNISHINGS
[___] 12 __ _____
(Please fill-in for other opportunity)

DIVISION 13 - SPECIAL CONSTRUCTION {1} {2} {3} {4} {5}
[___] 13 11 SWIMMING POOLS
[___] 13 17 TUBS & POOLS
[___] 13 18 ICE RINKS
[___] 13 21 CONTROLLED ENVIRONMENT ROOMS
[___] 13 24 SPECIAL ACTIVITY ROOMS
[___] 13 28 ATHLETIC & RECREATIONAL SPECIAL CONSTRUCTION
[___] 13 31 FABRIC STRUCTURES
[___] 13 34 FABRICATED ENGINEERED STRUCTURES
[___] 13 36 TOWERS
[___] 13 42 BUILDING MODULES
[___] 13 48 SOUND, VIBRATION, & SEISMIC CONTROL
[___] 13 49 RADIATION PROTECTION
[___] 13 __ _____
(Please fill-in for other opportunity)

DIVISION 14 - CONVEYING SYSTEMS {1} {2} {3} {4} {5}

[___] 14 11 MANUAL DUMBWAITERS
[___] 14 12 ELECTRIC DUMBWAITERS
[___] 14 21 ELECTRIC TRACTION ELEVATORS
[___] 14 24 HYDRAULIC ELEVATORS
[___] 14 27 CUSTOM ELEVATOR CABS & DOORS
[___] 14 28 ELEVATOR EQUIPMENT & CONTROLS
[___] 14 31 ESCALATORS
[___] 14 32 MOVING WALKS
[___] 14 42 WHEELCHAIR LIFTS
[___] 14 51 CORRESPNDENCE & PARCEL LIFTS
[_ _] 14 91 FACILITY CHUTES
[___] 14 92 PNEUMATIC TUBE SYSYTEMS
[___] 14 __ _____
(Please fill-in for other opportunity)

DIVISION 21 –FIRE SUPPRESSION {1} {2} {3} {4} {5}
[___] 21 11 FIRE-SUPPRESSION WATER SERVICE PIPING &METHODS
[___] 21 12 FIRE SUPPRESSION STANDPIPES
[___] 21 13 FIRE SUPPRESSION SPRINKLER SYSTEMS
[___] 21 21 CARBON-DIOXIDE FIRE EXTINGUISHING SYSTEMS
[___] 21 22 CLEAN AGENT FIRE EXTINGUISHING SYSTEMS
[___] 21 31 CENTIFUGAL FIRE PUMPS
[___] 21 __ _____
(Please fill-in for other opportunity)

DIVISON 22-PLUMBING {1} {2} {3} {4} {5}
[___] 22 07 PLUMBING INSULATION
[___] 22 11 FACILITY WATER DISTRIBUTION
[___] 22 13 FACILITY SANITARY SEWERAGE

[___] 22 14 FACILITY STORM DRAINAGE
[___] 22 41 COMMERICAL PLUMBING FIXTURE

[___] 22 42 COMMERICAL PLUMBING FIXTURES
[___] 22 45 EMERGENCY PLUMBING FIXTURES
[___] 22 47 DRINKING FOUNTAINS & WATER COOLERS
[___] 22 51 SWIMMING POOL PLUMBING SYSTEMS
[___] 22 66 CHEMICAL-WASTE SYSTEMS FOR LAB & HEALTHCARE FACILITIES
[___] 22 __ _____
(Please fill-in for other opportunity)

DIVISON 23-HEATING VENTILATION AIR CONDITIONING {1} {2} {3} {4} {5}

[___] 23 07 HVAC INSULATION
[___] 23 09 INSTRUMENTATION & CONTROL FOR HVAC
[___] 23 13 FACILITY FUEL-STORAGE TANKS
[___] 23 21 HYDRONIC PIPING & PUMPS
[___] 23 22 STEAM & CONDENSATE PIPING & PUMPS
[___] 23 31 HVAC DUCTS & CASINGS
[___] 23 33 AIR DUCT ACCESSORIES
[___] 23 34 HVAC FANS
[___] 23 37 AIR OUTLETS & INLETS
[___] 23 38 VENTILATION HOODS
[___] 23 41 PARTICULATE AIR FILTRATION
[___] 23 52 HEATING BOILERS
[___] 23 54 FURNACES
[___] 23 56 SOLAR ENERGY HEATING EQUIP.
[___] 23 57 HEAT EXCHANGES FOR HVAC
[___] 23 62 PACKAGED COMPRESSOR & CONDENSOR UNITS
[___] 23 63 REFRIGERANT CONDENSORS
[___] 23 64 PACKAGED WATER CHILLERS
[___] 23 65 COOLING TOWERS
[___] 23 73 INDOOR CENTRAL-STATION AIR-HANDLING UNITS
[___] 23 74 PACKAGED OUTDOOR HVAC EQUIP

[___] 23 82 CONVECTION HEATING & COOLING UNITS
[___] 23 84 HUMIDITY CONTROL EQUIPMENT
[___] 23 __ _____
(Please fill-in for other opportunity)

DIVISON 26-ELECTRICAL {1} {2} {3} {4} {5}
[___] 26 09 INSTRUMENTATION & CONTROL FOR ELECTRICAL SYSTEMS
[___] 26 12 MEDIUM VOLTAGE TRANSFORMERS
[___] 26 22 LOW VOLTAGE TRANSFORMERS
[___] 26 24 SWITCHS & PANELS
[___] 26 25 ENCLOSED BUS ASSEMBLIES
[___] 26 27 LOW VOLTAGE DISTRIBUTION EQUIPMENT
[___] 26 28 LOW VOLTAGE CIRCUIT PROTECTIVE DEVICES
[___] 26 29 LOW VOLTAGE CONTROLLERS
[___] 26 32 PACKAGED GENERATOR ASSEMBLIES
[___] 26 35 POWER FILTERS & CONDITIONERS
[___] 26 42 CATHODIC PROTECTION
[___] 26 51 INTERIOR LIGHTING
[___] 26 52 EMERGENCY LIGHTING
[___] 26 53 EXIT SIGNS
[___] 26 54 CLASSIFIED LOCATION LIGHTING
[___] 26 55 SPECIAL PURPOSE LIGHTING
[___] 26 56 EXTERIOR LIGHTING
[___] 26 61 LIGHTING SYSTEMS & ACCESSORIES
[___] 26 71 ELECTRICAL MACHINES
[___] 26 __ _____
(Please fill-in for other opportunity)

COMMUNICATIONS- 27 {1} {2} {3} {4} {5}
[___] 27 13 COMMUNICATIONS BACKBONE CABLING
[___] 27 41 AUDIO-VIDEO SYSTEMS
[___] 27 51 DISTRIBUTED AUDIO VIDEO
[___] 27 52 HEALTHCARE COMMUNICATIONS & MONITORING SYSTEMS
[___] 27 53 DISTRIBUTED SYSTEMS
[___] 27 __ _____
(Please fill-in for other opportunity)

ELECTRONIC SAFETY & SECURITY- 28 {1} {2} {3} {4} {5}
[___] 28 13 COMMUNICATIONS BACKBONE CABLING
[___] 28 16 INTRUSION DETECTION
[___] 28 23 VIDEO SURVEILLANCE
[___] 28 31 FIRE DETECTION
[___] 28 33 FUEL-GAS DETECTION
[___] 28 39 MASS NOTIFICATION SYSTEMS
[___] 28 __ _____
(Please fill-in for other opportunity)

EARTHWORK-31 {1} {2} {3} {4} {5}
[___] 31 06 SCHEDULES FOR EARTHWORK
[___] 31 11 CLEARING & GRUBBING
[___] 31 13 SELECTIVE TREE & SHRUB REMOVAL & TRIMMING
[___] 31 14 EARTH STRIPPING & STOCKPILING
[___] 31 22 GRADING
[___] 31 23 EXCAVATION & FILL
[___] 31 25 ERSION & SEDIMENTATION
[___] 31 31 SOIL TREATMENT
[___] 31 32 SOIL STABILIZATION
[___] 31 33 ROCK STABILIZATION
[___] 31 36 GABIONS
[___] 31 37 RIPRAP
[___] 31 41 SHORING
[___] 31 43 CONCRETE RAISING
[___] 31 45 VIBROFLORATION & DENSIFICATION
[___] 31 46 NEEDLE BEAMS
[___] 31 48 UNDERPINNING
[___] 31 52 COFFERDAMS
[___] 31 56 SHURRY WALLS

[___] 31 62 DRIVEN PILES
[___] 31 63 BORED PILES
[___] 31 __ _____
(Please fill-in for other opportunity)

EXTERIOR IMPROVEMENTS- 32 {1} {2} {3} {4} {5}
[___] 32 11 BASE COURSES
[___] 32 12 FLEXIBLE PAVING
[___] 32 13 RIGID PAVING
[___] 32 16 CURBS, GUTTERS SIDEWALKS & DRIVEWAYS
[___] 32 17 PAVING SPECIALTIES
[___] 32 18 ATHLETIC & RECREATIONAL SURFACING
[___] 32 31 FENCES & GATES
[___] 32 32 RETAINING WALLS
[___] 32 34 FABRICATED BRIDGES
[___] 32 35 SCREENING DEVICES
[___] 32 84 PLANTING IRRIGATION
[___] 32 91 PLANTING PREPARATION
[___] 32 92 TURF & GRASSES
[___] 32 93 PLANTS
[___] 32 94 PLANTING ACCESSORIES
[___] 32 96 TRANSPLANTING
[___] 32 __ _____
(Please fill-in for other opportunity)

UTILITIES-33 {1} {2} {3} {4} {5}
[___] 33 11 WATER UTILITY DISTRIBUTION PIPING
[___] 33 12 WATER UTILITY DISTRIBUTION EQUIPMENT
[___] 33 16 WATER UTILITY STORAGE TANKS
[___] 33 21 WATER SUPPLY WELLS
[___] 33 31 SANITARY UTILITY SEWERAGE PIPING
[___] 33 36 UTILITY SEPTIC TANKS
[___] 33 41 STORM UTILITY DRAINAGE PIPING
[___] 33 42 CULVERTS
[___] 33 44 STORM UTILITY WATER DRAINS
[___] 33 46 SUBDRAINAGE
[___] 33 49 STORM DRAINAGE STRUCTURES
[___] 33 51 NATURAL GAS DISTRIBUTION
[___] 33 52 LIQUID FUEL DISTRIBUTION
[___] 33 71 ELECTRICAL UTILITY TRANSMISSION & DISTRIBUTION
[___] 33 81 COMMUNICATIONS & STRUCTURES
[___] 33 __ _____
(Please fill-in for other opportunity)

TRANSPORATION-34 {1} {2} {3} {4} {5}
[___] 34 11 RAIL TRACKS
[___] 34 41 ROADWAY SIGNALING AND CONTROL EQUIPMENT
[___] 34 71 ROADWAY CONSTRUCTION[___] 34 72 RAILWAY CONSTRUCTION[___] 34 __
_____
(Please fill-in for other opportunity)

MATERIAL PROCESSING & HANDLING EQUIPMENT-41 {1} {2} {3} {4} {5}
[___] 41 21 CONVEYORS
[___] 41 22 CRANES & HOISTS
[___] 41 __ _____
(Please fill-in for other opportunity)

POLLUTION CONTROL EQUIP-44 {1} {2} {3} {4} {5}
[___] 44 11 PARTICULATE CONTROL EQUIPMENT
[___] 44 __ _____
(Please fill-in for other opportunity)

WATER & WASTEWATER EQUIPMENT-46 {1} {2} {3} {4} {5}
[___] 46 07 PACKAGED WATER & WASTEWATER TREATMENT EQUIPMENT
[___] 46 __ _____
(Please fill-in for other opportunity)

THE CITY OF BIRMINGHAM
FORM "C"

MBE/DBE CONTRACTORS SUBMITTING BIDS AND/OR PROPOSALS
(TO BE SUBMITTED WITH BID DOCUMENTS)

GENERAL CONTRACTOR: _____

CONTACT: _____

NAME OF PROJECT: _____

DATE SUBMITTED: _____

List Each MBE/DBE Firm Submitting Bids and/or Proposals	Scope of Work	Proposal Amount ($)

Instructions:

Complete this form regarding the MBE/DBE Firms submitting bids and/or proposals on the specified project.
Submit completed form WITH BID DOCUMENTS to THE CITY and BCIA.
Submission of Form "B" and Form "C" is a prerequisite to awarding the Contract.

THE CITY OF BIRMINGHAM
FORM "D"
MBE/DBE CONTRACTORS TO BE UTILIZED
(TO BE SUBMITTED WITH BID DOCUMENTS)

GENERAL CONTRACTOR: _____

CONTACT: _____

NAME OF PROJECT: _____

TOTAL CONTRACT AMOUNT $ _____

TOTAL AMOUNT OF ALL SUBCONTRACTORS $ _____

DATE SUBMITTED: _____

List Each MBE/DBE Subcontractor to be Utilized	Scope of Work	Contract Amount ($)
	TOTAL	

Instructions:

1. Complete this form regarding the MBE/DBE participation to be utilized on the specified project.
2. Submit completed form prior to contract award.
3. Submission of Form "D" is a prerequisite to awarding the Contract.

Attachment 4

"The Birmingham Plan" established by consent Decree

CITY OF BIRMINGHAM

EXECUTIVE ORDER 64-90

OFFICE OF THE MAYOR

SUBJECT: BIRMINGHAM PLAN-CONSTRUCTION INDUSTRY PROGRAM
(Original issue; Effective 1/22/90; Approved 1/22/90)

APPLIES TO ALL DEPARTMENT HEADS, PURCHASING AGENT, ALL CITY BOARDS AND AGENCIES; ALL ENTITIES RECEIVING FUNDS FROM THE CITY

On January 17, 1990, Jefferson County Circuit Court Judge Marvin Cherner approved and entered a Consent Decree in the case of Associated General Contractors, Alabama Branch, Inc., et al. v. City of Birmingham, et al., CV77-506-014-WAT, ending this litigation which has been pending for almost 13 years.

As a part of the settlement, the City has changed its Minority Business Enterprise Program and adopted a Disadvantaged Business Enterprise Program. The City Council has adopted Ordinance No. 89-296, which authorizes the Birmingham Plan-Construction Industry Program and creates the Construction Industry Authority ("CIA") to administer the Program. Specific questions of an administrative nature regarding the Authority should be directed to the Executive Director at 3600-4th Avenue, South, Birmingham, AL 35233; (205) 324-6202.

The Consent Decree and the new Ordinance replace all previously adopted City minority business enterprise ordinances, resolutions, manuals, rules and regulations, including but not limited to: the Code of City of Birmingham, Sections 3-3-16, 4-1-51, 4-1-52, and 4-1-53; Executive Order 31-81, as last amended; Resolution 87-88, as last amended; Executive Order 61-89; and The City of Birmingham Manual for Minority Business Enterprise Program (issued March 1, 1989).

To implement the terms of the Consent Decree, it is ORDERED:

A. None of the above mentioned ordinances, resolutions, executive orders, regulations and manuals shall be enforced by any official of the City. The Minority Business Utilization Form shall no longer be used or required on City contracts. The specifications, bid documents, and other forms related to the City's procurement process shall be changed to reflect this new policy of the City. The changes will include, but not be limited to, the discontinuance of the use of the Minority Business Utilization Form. The Authority will be developing various forms for use in the new MBE/DBE Program.

B. The new Program will include a certification procedure which shall certify Minority Business Enterprises and Disadvantaged Business Enterprises

("MBE/DBE"). Any business certified by the United States Department of Transportation or the Urban Mass Transit Authority or which has attained SBA-8A status will be considered for a waiver of certification as a MBE/DBE. Also, any business at least 51% of which is owned by blacks, women or other disadvantaged minorities is presumed to be a MBE/DBE. Other business may also qualify if they show that they meet the requirements for being an MBE/DBE.

C. The procedure for contractors bidding on public works construction projects of the City of Birmingham shall generally comply with the following procedure:

(1) The potential bidders acquire specifications and drawings to decide whether to make a bid;

(2) The potential bidders contact the CIA for assistance in obtaining MBE/DBE participation in the bid and contract;

(3) The potential bidders identify those trades for which it will solicit and accept bids from potential subcontractors and notify the CIA;

(4) CIA identifies and notifies qualified MBE/DBEs of bid opportunities;

(5) CIA assists MBE/DBEs in bid preparation and MBE/DBEs submit bids to potential bidders;

(6) In cases of a dispute between a MBE/DBE and a potential general contractor with respect to bid placement, the CIA Executive Director will be allowed to confidentially view the general contractor's bid analysis spread sheets with the concurrence of the general contractor;

(7) Within 45 days after receiving notice to proceed, the general contractor will provide CIA Executive Director a list of MBE/DBE contractors to whom it anticipates awarding subcontracts; and

(8) After job closeout and before the general contractor receives the final payment of retainage, the general contractor will submit to CIA names of DBE/MBE contractors used on the job along with the net dollar amount paid to each such contractor.

D. It shall continue to be the policy of the City not to discriminate against anyone because of race. However, affirmative action to correct the identified vestiges of racial discrimination and segregation is an integral part of this policy of nondiscrimination.

E. All employees and agents of the City are ordered to give their full cooperation to CIA. A complete copy of the Consent Decree may be obtained from the receptionist's desk in my office. This Office recognizes that the City is in a period of transition from the old MBE Program to the new MBE/DBE Program. As the transition develops, the Mayor will issue a new executive order setting forth the duties of the Contract Compliance Office of the City. If any employee or agent of the City has a question of a legal nature about any aspect of the Consent Decree, the MBE/DBE Program, or the CIA, please contact the City's attorneys who handled this matter:

Donald V. Watkins
1205 North 19th Street
Birmingham, Alabama 35234
Telephone: (205) 323-5963 OR

Joe R. Whatley, Jr.
409 North 21st Street
Birmingham, Alabama 35203
Telephone: (205) 328-9576

Done this 22nd day of January, 1990.

RICHARD ARRINGTON, JR.
MAYOR

CITY OF BIRMINGHAM

BID CONDITIONS, AFFIRMATIVE ACTION REQUIREMENTS EQUAL EMPLOYMENT OPPORTUNITY

For all non-exempt Federal and Federally-Assisted Construction Contracts to be awarded in **Jefferson, Shelby, and Walker Counties, Alabama**

NOTICE

EACH BIDDER, CONTRACTOR OR SUBCONTRACTOR (HEREINAFTER THE CONTRACTOR) MUST FULLY COMPLY WITH EITHER PART I OR PART II, AS APPLICABLE, OF THESE BID CONDITIONS AS TO EACH CONSTRUCTION TRADE IT INTENDS TO USE ON THIS CONSTRUCTION CONTRACT AND ALL OTHER CONSTRUCTION WORK (BOTH FEDERAL AND NON-FEDERAL IN THE BIRMINGHAM AREA DURING THE PERFORMANCE OF THIS CONTRACT OR SUBCONTRACT, THE CONTRACTOR COMMITS ITSELF TO THE GOALS FOR MINORITY MANPOWER UTILIZATION IN EITHER PART I OR PART II, AS APPLICABLE, AND ALL OTHER EQUIPMENTS, TERMS AND CONDITIONS OF THESE BID CONDITIONS BY SUBMITTING A PROPERLY SIGNED BID.

THE CONTRACTOR SHALL APPOINT A COMPANY EXECUTIVE TO ASSUME THE RESPONSIBILITY FOR THE IMPLEMENTATION OF THE REQUIREMENTS, TERMS AND CONDITIONS OF THESE BID CONDITIONS.

PART I: The provisions of this Part I apply to contractors which are party to collective bargaining agreements with labor organizations which together have agreed to the Birmingham Area Construction program (hereinafter the Birmingham Hometown Plan) for equal opportunity and have jointly made a commitment to specific goals of minority and, where applicable, female utilization. The Birmingham Hometown Plan is a trip-partite voluntary agreement between the Birmingham Building and Construction Trades Council, Associated General Contractors and Specialty Contractors Associations along with representatives of the Greater Birmingham Minority Coalition, made up of the Birmingham Urban League, Inc., The National Association for the Advancement of Colored People (NAACP), the Birmingham Ministerial Alliance.

The Birmingham Hometown Plan, together with all implementing agreements that have been and may hereafter be developed pursuant thereto, are incorporated herein by reference.

Any contractor using one or more trades of construction employees must comply with either Part I or Part II of these Bid Conditions as to each such trade. A Contractor may therefore be in compliance with Part I of these Bid Conditions by its participation with the labor organization which represents its employees in the Birmingham Hometown Plan as to one trade provided there is set forth in the Birmingham Hometown Plan a specific commitment by both the contractor and the labor organization to a goal of minority

utilization for the trade. Contractors using trades which are not covered by Part I (See Part II, Section A) must comply with the commitments contained in Part II including goals for minorities and female utilization set forth in Part II.

If a contractor does not comply with the requirements of these Bid Conditions, it shall be subject to the provisions of Part II.

PART II: A. Coverage. The provisions of this Part II shall be applicable to those Contractors who:

1) Are not or hereafter cease to be signatories to the Birmingham Hometown Plan incorporated by reference in Part I hereof;

2) Are signatories to the Birmingham Hometown Plan but are not parties to collective bargaining agreements;

3) Are signatories to the Birmingham Hometown Plan but are parties to collective bargaining agreements with labor organizations which are not or hereafter cease to be signatories to the Birmingham Hometown Plan;

4) Are signatories to the Birmingham Hometown Plan and are parties to collective bargaining agreements with labor organization but the two have not jointly executed a specific commitment to goals for minority utilization and incorporated the commitment in the Birmingham Hometown Plan; or

5) Are participating in an affirmative action plan which is no longer acceptable to the Director, OFCCP, including the Birmingham Hometown Plan.

6) Are signatories to the Birmingham Hometown Plan but are parties to collective bargaining agreements with labor organizations which together have failed to make a good faith effort to comply with their obligations under the Birmingham Hometown Plan and, as a result, have been placed under Part II of the Bid Conditions by the Officer of Federal Contract Compliance Programs.

B. REQUIREMENTS - AN AFFIRMATIVE ACTION PLAN. Contractors described in paragraphs 1 through 6 above shall be subject to the provisions and requirements of Part II of these Bid Conditions including the goals and timetables for minority utilization, and specific affirmative action steps set forth in Section B.1 and 2 of this Part II. The contractor's commitment to the goals for minority utilization as required by this Part II constitutes a commitment that it will make every good faith effort to meet such goals.

1) GOALS AND TIMETABLESS. The goals of minority utilization required of the Contractor are applicable to each trade used by the contractor in the Birmingham Hometown Plan area and which is not otherwise bound by the provisions of Part I.

For all such trades the following goals and timetables shall be applicable.

Until December 31, 1973	4% -8%
From January 1, 1974 to December 31, 1974	8% - 12%
From January 1, 1975 to December 31, 1975	12% - 16%
From January 1, 1976 to December 31, 1976	16% - 20%
From January 1, 1977 to December 31, 1977	20% - 24%

In the event that any work which is subject to these Bid Conditions is performed in a year later than the latest year for which goals of minority utilizations have been established, the goals for the last year of the Bid Conditions will be applicable to such work.

The goals of minority and female utilization above are expressed in terms of hours of training and employment as s proportion of total number of hours to be worked by the contractor's aggregate work force which includes all supervisory personnel, in each trade on all projects (both Federal and no-Federal) in the Birmingham Hometown Plan area during the performance of its contract (i.e., the period beginning with the first day of work on the Federal or federally assisted construction contract and ending with the last day of work).

The hours of minority employment and training must be substantially inform throughout the length of the contract in each trade and minorities must be employed evenly on each of a contractor's project. Therefore, the transfer of minority employees or trainees from contractor to contractor or from project to project for the purpose of meeting the contractor's goals shall be a violation of Part II of these Bid Conditions.

If the contractor counts the non-working hours of trainees and apprentices in meeting the contractor's goals, such trainees and apprentices must be employed by the contractor during the training period; the contractor must have made a commitment to employ the trainees and apprentices of at the completion of their training subject to the availability to employment opportunities; and the trainees must be trained pursuant to training programs approved by the Bureau of Apprenticeship and Training for "Federal Purposes" or approved as supplementing the Birmingham Hometown Plan.

2) SPECIFIC AFFIRMATIVE ACTION STEPS. No contractor shall be found to be in noncompliance with Executive Order 11246, as amended, solely on account of its failure to meet its goals, but shall be given an opportunity to demonstrate that the contractor has instituted all the specific affirmative action steps specified in this Part II and has made every good faith effort to make these steps work toward the attainment of its goals within the timetables, all to the purpose of expanding minority utilization in its aggregate work force in the Birmingham Hometown Plan area. A contractor subject to part I which fails to comply with its obligations under the Equal Opportunity clause of its contract (including failure to meet its fair share obligation if provided in the Birmingham Hometown Plan) or subject to Part Ii which fails to achieve its commitments to the goals for minority utilization has the burden of proving that it has engaged in an affirmative

action program directed at increasing minority utilization and that such efforts were at least as extensive and as specific as the following:

a) The contractor should have notified minority organizations when employment opportunities were available and should have maintained records of the organizations' response.

b) The contractor should have maintained a file of the names and addresses of each minority referred to it by any individual or organization and what action was taken with respect to each such referred individual, and if the individual was not employed by the contractor, the reasons therefore. If such individual was sent to the union or if referred, not employed by the contractor, the file should have documented this and the reasons therefore.

c) The contractor should have promptly notified the contracting or administering agency and the Office of Federal Contract Compliance Programs when the union or unions with which the contractor has collective bargaining agreements did not refer to the contractor a minority sent by the contractor, or when the contractor had other information that the union referral process has impeded efforts to meet its goals.

d) The contractor should have disseminated its EEO policy within its organization by including it in any employee handbook or policy manual; by publicizing it in company newspapers and annual reports, and by advertising such policy at reasonable intervals in union publications. The EEO policy should be further disseminated by conducting staff meetings to explain and discuss the policy; by posting of the policy; and by review of the policy with minority employees.

e) The contractor should have disseminated its EEO policy externally by informing and discussing it with all recruitment sources; by advertising in news media, specifically including minority news media; and by notifying and discussing it with all subcontractors.

f) The contractor should have made both specific and reasonably recurrent written and oral recruitment efforts. Such efforts should have been directed at minority organizations, schools with substantial minority enrollment, and minority recruitment and training organizations within the contractor's recruitment area.

g) The contractor should have evidence available for inspection that all tests and other selection techniques used to select from among candidates for hire, transfer, promotion, training or retention are being used in a manner that does not violate the OFCCP Testing Guidelines in 41 CFR part 60-3.

h) The contractor where reasonable should have developed on-the-job training opportunities and participated and assisted in all Department of Labor funded and or approved training programs relevant to the contractor's employee needs consistent with its obligations under this Part II.

i) The contractor should have made sure that seniority practices and job classifications do not have a discriminatory effect.

j) The contractor should have made certain that all facilities were not segregated by race.

k) The contractor should have continually monitored all personnel activities to ensure that its EEO policy was being carried out including the evaluation of minority employees for promotional opportunities on a quarterly basis and the encouragement of such employees to seek those opportunities.

l) The contractor should have solicited bids for subcontractors from available minority subcontracts engaged in the trades covered by these Bid Conditions, including circulation of minority contractor associations.

NOTE: The Assistant Regional Administrator of the Office of Federal Contract Compliance Programs and the compliance agency staff will provide technical assistance on questions pertaining to minority recruitment sources, minority community organizations and minority news media upon receipt of a request for assistance from a contractor.

3) SUBSEQUENT SIGNATORY TO THE BIRMINGHAM HOMETOWN PLAN. Contractors that are subject to the requirements of Part II at the time of the submission of their bids which, together with labor organizations with which they have collective bargaining agreements, subsequently become signatory to the Birmingham Hometown Plan either individually or through an association, will be deemed bound to their commitments to the Birmingham Hometown Plan from that time until and unless they once again become subject to the requirements of Part II pursuant to Section A.1-6.

4) NON-DISCRIMINATION. In no event may a contractor utilize the goals and affirmative action steps required by this Part II in such a manner as to cause or result in discrimination against any person on account of race, color, religion, sex or national origin.

PART III: COMPLIANCE AND ENFORCEMENT. In all cases, the compliance of a contractor will be determined in accordance with its obligations under the terms of these Bid Conditions. Therefore, contractors who are governed by the provisions of either Part I or Part II shall be subject to requirements of that Part regardless of the obligations of its prime contractor or lower tier subcontractors.

All contractors performing or to perform work on projects subject to these Bid Conditions hereby agree to inform their subcontractors in writing of their respective obligations under the terms and requirements of these Bid Conditions, including the provisions relating to goals of minority employment and training.

A. CONTRACTORS SUBJECT TO PART I. I.A Contractor covered by Part I of these Bid Conditions shall be in compliance with Executive Order 11246, as amended,

the implementing regulations and its obligations under Part I, provided the contractor together with the labor organization or organizations with which it has a collective bargaining agreement meet the goals for minority utilization to which they committed themselves in the Birmingham Hometown Plan, or can demonstrate that every good faith effort has been made to meet the goals. In that event, no formal sanctions or proceedings leading toward sanctions shall be instituted unless the Office of Federal Contract Compliance Programs determines that the contractor has violated as substantial requirement in the Birmingham Hometown Plan or Executive Order 11246, as amended, and its implementing regulations, including the failure of such contractor to make a good faith effort to meet its fair share obligation if provided in the Birmingham Hometown Plan or has engaged in unlawful discrimination. Such violations shall be deemed to be non-compliance with the Equal Opportunity clause of the contract and shall be grounds for imposition of the sanctions and penalties provided for in Executive Order 11246, as amended.

2) The OFCCP shall review Part I contractors' employment practices during the performance of the contract. Further, OFCCP shall be solely responsible for any final determination that the Birmingham Hometown Plan is no longer an acceptable affirmative action program and the consequences thereof. The OFCCP may, upon review and notice to the contractor and any affected labor organization, determine that the Birmingham Hometown Plan no longer represents effective affirmative action. In the event it shall be solely responsible for any final determination of that question and the consequences thereof.

3) Where OFCCP finds that a contractor has failed to comply with the requirements of the Birmingham Hometown Plan and its obligation under Part I of these Bid Conditions, it shall take such action and/or impose such sanctions as may be appropriate under the Executive Order and its regulations. When the OFCCP proceeds with such formal action it has the burden of proving that the contractor has not met the requirements of these Bid Conditions. The failure of the contractor to comply with its obligations under the Equal Opportunity clause shall shift to it the requirement to come forward with evidence to show that it has met the good faith requirements of these Bid Conditions by instituting at least the specific affirmative action steps listed in Part II, Section 2. The contractor must also provide evidence of its steps toward the attainment of its trade's goals within the timetables set forth in the Birmingham Hometown Plan. The pendency of such formal proceedings shall be taken into consideration by Federal agencies in determining whether such contractor can comply with the requirements of Executive Order 11246, as amended, and is therefore a "responsible prospective contractor" within the meaning of basic principles of Federal procurement law.

B. CONTRACTORS SUBJECT TO PART II. In regard to Part Ii of these Bid Conditions, if the contractor meets the goals set forth therein or can demonstrate that it has made very good faith effort to meet these goals, the contractor shall be presumed to be in compliance with Executive Order 11246, as amended, the implementing regulations and its obligations under part II of these Bid Conditions. In that event, no formal sanctions or proceedings leading toward sanctions shall be instituted unless the

contracting or administering agency otherwise determines that the contractor is violating the Equal Opportunity clause.

Where the agency finds that the contractor failed to comply with the requirements of Executive Order 11246, as amended, the implementing regulations and the obligations under Part II of these Bid Conditions, the agency shall take such action and impose such sanctions, which include suspension, termination, cancellation, and debarment, as may be appropriate under the Executive Order and its regulations. When the agency proceeds with such formal action it has the burden of proving that the contractor has not met the goals contained in Part II of these Bid Conditions. The contractor's failure to meet its goals shall shift to it the requirement to come forward with evidence to show that it has met the good faith requirements of these Bid Conditions by instituting at least the specific affirmative action steps listed in Part II, Section 2. The pendency of such proceedings shall be taken into consideration by Federal agencies in determining whether such contractor can comply with the requirements of Executive Order 11246, as amended, and is therefore, a "responsible prospective contractor" within the meaning of the basic principles of Federal procurement law.

C. OBLIGATIONS APPLICABLE TO CONTRACTORS SUBJECT TO EITHER PART I OR PART II. It shall be no excuse that the union with which the contractor has a collective bargaining agreement providing for exclusive referral failed to refer minority employees. Discrimination in referral for employment, even if pursuant to provisions of a collective bargaining agreement, is prohibited by the National Labor Relations Act, as amended; and Title VII of the Civil Rights Act of 1964, as amended. It is the policy of the Office of Federal Contract Compliance Programs that contractors have a responsibility to provide equal employment opportunity if they wish to participate in federally involved contracts. To the extent they have delegated the responsibility for some of their employment practices to a labor organization and, as a result, are prevented from meeting their obligations pursuant to Executive Order 11246, as amended, such contractors cannot be considered to be in compliance with Executive Order 11246, as amended, its implementing rules and regulations.

PART IV: GENERAL REQUIREMENTS.

1) Contractors are responsible for informing their subcontractors, in writing, regardless of tier, as to their respective obligations under Part I and II hereof, as applicable. Whenever a contractor subcontracts a portion of the work in any trade covered by these Bid Conditions, it shall include these Bid Conditions in such subcontracts and each subcontractor shall be bound by these Bid Conditions to the full extent as if it were the prime contractor. The contractor shall not, however, be held accountable for the failure of its subcontractors to fulfill their obligations under these Bid Conditions. However, the prime contractor shall give notice to the Assistant Regional Administrator of the Office of Federal Contract Compliance Programs of the Department of labor and to the contracting or administering agency of any refusal or failure of any subcontractor to fulfill its obligations under these Bid Conditions. A subcontractor's failure to comply will be treated in the same manner as such failure by a prime contractor.

2) Contractors hereby agree to refrain from entering into any contract or contract modification subject to Executive Order 11246, as amended, with a contractor debared from, or who is determined not to be a "responsible" bidder for government contracts and federally-assisted construction contracts pursuant to the Executive Order.

3) The Contractor shall carry out such sanctions and penalties for violation of these Bid Conditions and the Equal Opportunity clause including suspension, termination and cancellation of existing subcontracts and debarment from future contracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations by the contracting or administering agency and the Office of Federal Contract Compliance Programs. Any contractor who fails to carry out such sanctions and penalties shall also be deemed to be in noncompliance with these Bid Conditions and Executive Order 11246, as amended.

4) Nothing herein is intended to relieve any contractor during the term of its contract from compliance with Executive Order 11246, as amended, and the Equal Opportunity clause of its contract with respect to matters not covered in the Birmingham Hometown Plan or in Part II of these Bid Conditions.

5) The procedures set forth in these Bid Conditions shall not apply to any contract which the head of the contracting or administering agency determines is essential to the national security and its award without following such procedures is necessary to the national security. Upon making such a determination, the agency head will notify, in writing, the Director of the Office of Federal Contract Compliance Programs within thirty (30) days.

6) Requests for exemptions from these Bid Conditions must be made, in writing, with justification, to the Director, Office of Federal Contract Compliance Programs, U. S. Department of Labor, Washington, DC 20210, and shall be forwarded through and with endorsement of the head of the contracting or administering agency.

7) Contractors must keep such records and file such records and file such reports relating to the provisions of these Bid Conditions as shall be required by the contracting or administering agency of the Office of Federal Contract Compliance Programs.

For the information of bidders, a copy of the Birmingham Hometown Plan may be obtained from the contracting officer.

A list of trades which are currently participating in the Birmingham Hometown Plan may be obtained from Office of Federal Contract Compliance (OFCCP), or the contracting or administering agency.

Signed, this 28th day of July, 1976.

CITY OF BIRMINGHAM

MISCELLANEOUS

1. The City is now participating in the BIRMINGHAM PLAN - CONSTRUCTION INDUSTRY PROGRAM, which is described in the project bid specifications and proposal. Any reference to the City's Minority Business Enterprise Program should be disregarded.

2. All terms and conditions of Executive Order 64-90 issued by the City of Birmingham on January 22, 1990 and approved on January 22, 1990 shall apply to the Park and Recreation Board of the City of Birmingham.

Attachment 5

Section 26.5 Current Definitions

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or Titles I, III, and V of the Transportation Equity Act for the 21st Century (TEA–21), Pub. L. 105–178, 112 Stat. 107.

(2) Federal transit funds authorized by Titles I, III, V and VI of ISTEA, Pub. L. 102–240 or by Federal transit laws in Title 49, U.S. Code, or Titles I, III, and V of the TEA–21, Pub. L. 105–178.

(3) Airport funds authorized by 49 U.S.C. 47101, *et seq.*

(b) [Reserved]

(c) If you are letting a contract, and that contract is to be performed entirely outside the United States, its territories and possessions, Puerto Rico, Guam, or the Northern Marianas Islands, this part does not apply to the contract.

(d) If you are letting a contract in which DOT financial assistance does not participate, this part does not apply to the contract.

§26.5 What do the terms used in this part mean?

Affiliation has the same meaning the term has in the Small Business Administration (SBA) regulations, 13 CFR part 121.

(1) Except as otherwise provided in 13 CFR part 121, concerns are affiliates of each other when, either directly or indirectly:

(i) One concern controls or has the power to control the other; or

(ii) A third party or parties controls or has the power to control both; or

(iii) An identity of interest between or among parties exists such that affiliation may be found.

(2) In determining whether affiliation exists, it is necessary to consider all appropriate factors, including common ownership, common management, and contractual relationships. Affiliates must be considered together in determining whether a concern meets small business size criteria and the statutory cap on the participation of firms in the DBE program.

Alaska Native means a citizen of the United States who is a person of one-fourth degree or more Alaskan Indian (including Tsimshian Indians not enrolled in the Metlaktla Indian Community), Eskimo, or Aleut blood, or a combination of those bloodlines. The term includes, in the absence of proof of a minimum blood quantum, any citizen whom a Native village or Native group regards as an Alaska Native if their father or mother is regarded as an Alaska Native.

Alaska Native Corporation (ANC) means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, *et seq.*).

Compliance means that a recipient has correctly implemented the requirements of this part.

Contract means a legally binding relationship obligating a seller to furnish supplies or services (including, but not limited to, construction and professional services) and the buyer to pay for them. For purposes of this part, a lease is considered to be a contract.

Contractor means one who participates, through a contract or subcontract (at any tier), in a DOT-assisted highway, transit, or airport program.

Department or *DOT* means the U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Aviation Administration (FAA).

Disadvantaged business enterprise or *DBE* means a for-profit small business concern—

(1) That is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and

(2) Whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.

DOT-assisted contract means any contract between a recipient and a contractor (at any tier) funded in whole or in part with DOT financial assistance, including letters of credit or loan guarantees, except a contract solely for the purchase of land.

DOT/SBA Memorandum of Understanding or MOU, refers to the agreement signed on November 23, 1999, between the Department of Transportation (DOT) and the Small Business Administration (SBA) streamlining certification procedures for participation in SBA's 8(a) Business Development (8(a) BD) and Small Disadvantaged Business (SDB) programs, and DOT's Disadvantaged Business Enterprise (DBE) program for small and disadvantaged businesses.

Good faith efforts means efforts to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, can reasonably be expected to fulfill the program requirement.

Immediate family member means father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law.

Indian tribe means any Indian tribe, band, nation, or other organized group or community of Indians, including any ANC, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians, or is recognized as such by the State in which the tribe, band, nation, group, or community resides. See definition of "tribally-owned concern" in this section.

Joint venture means an association of a DBE firm and one or more other firms to carry out a single, for-profit business enterprise, for which the parties combine their property, capital, efforts, skills and knowledge, and in which the DBE is responsible for a distinct, clearly defined portion of the work of the contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

Native Hawaiian means any individual whose ancestors were natives, prior to 1778, of the area which now comprises the State of Hawaii.

Native Hawaiian Organization means any community service organization serving Native Hawaiians in the State of Hawaii which is a not-for-profit organization chartered by the State of Hawaii, is controlled by Native Hawaiians, and whose business activities will principally benefit such Native Hawaiians.

Noncompliance means that a recipient has not correctly implemented the requirements of this part.

Operating Administration or *OA* means any of the following parts of DOT: the Federal Aviation Administration (FAA), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA). The "Administrator" of an operating administration includes his or her designees.

Personal net worth means the net value of the assets of an individual remaining after total liabilities are deducted. An individual's personal net worth does not include: The individual's ownership interest in an applicant or participating DBE firm; or the individual's equity in his or her primary place of residence. An individual's personal net worth includes only his or her own share of assets held jointly or as community property with the individual's spouse.

Primary industry classification means the North American Industrial Classification System (NAICS) designation which best describes the primary business of a firm. The NAICS is described in the *North American Industry Classification Manual—United States, 1997* which is available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA, 22161; by calling 1 (800) 553–6847; or via the Internet at: *http://www.ntis.gov/product/naics.htm.*

Primary recipient means a recipient which receives DOT financial assistance and passes some or all of it on to another recipient.

Principal place of business means the business location where the individuals who manage the firm's day-to-day operations spend most working hours and where top management's business records are kept. If the offices from which management is directed and where business records are kept are in different locations, the recipient will determine the principal place of business for DBE program purposes.

Program means any undertaking on a recipient's part to use DOT financial assistance, authorized by the laws to which this part applies.

Race-conscious measure or program is one that is focused specifically on assisting only DBEs, including women-owned DBEs.

Race-neutral measure or program is one that is, or can be, used to assist all small businesses. For the purposes of this part, *race-neutral* includes gender-neutrality.

Recipient is any entity, public or private, to which DOT financial assistance is extended, whether directly or through another recipient, through the programs of the FAA, FHWA, or FTA, or who has applied for such assistance.

Secretary means the Secretary of Transportation or his/her designee.

Set-aside means a contracting practice restricting eligibility for the competitive award of a contract solely to DBE firms.

Small Business Administration or *SBA* means the United States Small Business Administration.

SBA certified firm refers to firms that have a current, valid certification from or recognized by the SBA under the 8(a) BD or SDB programs.

Small business concern means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipts specified in §26.65(b).

Socially and economically disadvantaged individual means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is—

(1) Any individual who a recipient finds to be a socially and economically disadvantaged individual on a case-by-case basis.

(2) Any individual in the following groups, members of which are rebuttably presumed to be socially and economically disadvantaged:

(i) "Black Americans," which includes persons having origins in any of the Black racial groups of Africa;

(ii) "Hispanic Americans," which includes persons of Mexican, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;

(iii) "Native Americans," which includes persons who are American Indians, Eskimos, Aleuts, or Native Hawaiians;

(iv) "Asian-Pacific Americans," which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma (Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, the Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianas Islands, Macao, Fiji, Tonga, Kirbati, Juvalu, Nauru, Federated States of Micronesia, or Hong Kong;

(v) "Subcontinent Asian Americans," which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;

(vi) Women;

(vii) Any additional groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

Tribally-owned concern means any concern at least 51 percent owned by an Indian tribe as defined in this section.

You refers to a recipient, unless a statement in the text of this part or the context requires otherwise (i.e., 'You must do XYZ' means that recipients must do XYZ).

[64 FR 5126, Feb. 2, 1999, as amended at 64 FR 34570, June 28, 1999; 68 FR 35553, June 16, 2003]

§26.7 What discriminatory actions are forbidden?

(a) You must never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by this part on the basis of race, color, sex, or national origin.

(b) In administering your DBE program, you must not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the program with respect to individuals of

Attachment 6

Regulations: 49 CFR Part 26

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§ 25.545 Pre-employment inquiries.

(a) *Marital status.* A recipient shall not make pre-employment inquiry as to the marital status of an applicant for employment, including whether such applicant is "Miss" or "Mrs."

(b) *Sex.* A recipient may make pre-employment inquiry as to the sex of an applicant for employment, but only if such inquiry is made equally of such applicants of both sexes and if the results of such inquiry are not used in connection with discrimination prohibited by these Title IX regulations.

§ 25.550 Sex as a bona fide occupational qualification.

A recipient may take action otherwise prohibited by §§ 25.500 through 25.550 provided it is shown that sex is a bona fide occupational qualification for that action, such that consideration of sex with regard to such action is essential to successful operation of the employment function concerned. A recipient shall not take action pursuant to this section that is based upon alleged comparative employment characteristics or stereotyped characterizations of one or the other sex, or upon preference based on sex of the recipient, employees, students, or other persons, but nothing contained in this section shall prevent a recipient from considering an employee's sex in relation to employment in a locker room or toilet facility used only by members of one sex.

Subpart F—Procedures

§ 25.600 Notice of covered programs.

Within 60 days of September 29, 2000, each Federal agency that awards Federal financial assistance shall publish in the FEDERAL REGISTER a notice of the programs covered by these Title IX regulations. Each such Federal agency shall periodically republish the notice of covered programs to reflect changes in covered programs. Copies of this notice also shall be made available upon request to the Federal agency's office that enforces Title IX.

§ 25.605 Enforcement procedures.

The investigative, compliance, and enforcement procedural provisions of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) ("Title VI") are hereby adopted and applied to these Title IX regulations. These procedures may be found at 49 CFR part 21.

[65 FR 52895, Aug. 30, 2000]

PART 26—PARTICIPATION BY DISADVANTAGED BUSINESS ENTERPRISES IN DEPARTMENT OF TRANSPORTATION FINANCIAL ASSISTANCE PROGRAMS

Subpart A—General

Sec.

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26.3 To whom does this part apply?
26.5 What do the terms used in this part mean?
26.7 What discriminatory actions are forbidden?
26.9 How does the Department issue guidance and interpretations under this part?
26.11 What records do recipients keep and report?
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Subpart B—Administrative Requirements for DBE Programs for Federally-Assisted Contracting

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26.25 What is the requirement for a liaison officer?
26.27 What efforts must recipients make concerning DBE financial institutions?
26.29 What prompt payment mechanisms must recipients have?
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26.69 What rules govern determinations of ownership?
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26.85 How do recipients respond to requests from DBE-certified firms or the SBA made pursuant to the DOT/SBA MOU?
26.86 What rules govern recipients' denials of initial requests for certification?
26.87 What procedures does a recipient use to remove a DBE's eligibility?
26.89 What is the process for certification appeals to the Department of Transportation?
26.91 What actions do recipients take following DOT certification appeal decisions?

Subpart F—Compliance and Enforcement

26.101 What compliance procedures apply to recipients?
26.103 What enforcement actions apply in FHWA and FTA programs?
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26.109 What are the rules governing information, confidentiality, cooperation, and intimidation or retaliation?

APPENDIX A TO PART 26—GUIDANCE CONCERNING GOOD FAITH EFFORTS

APPENDIX B TO PART 26—UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS FORM

APPENDIX C TO PART 26—DBE BUSINESS DEVELOPMENT PROGRAM GUIDELINES

APPENDIX D TO PART 26—MENTOR-PROTÉGÉ PROGRAM GUIDELINES

APPENDIX E TO PART 26—INDIVIDUAL DETERMINATIONS OF SOCIAL AND ECONOMIC DISADVANTAGE

APPENDIX F TO PART 26—UNIFORM CERTIFICATION APPLICATION FORM

AUTHORITY: 23 U.S.C. 324; 42 U.S.C. 2000d, *et seq.*; 49 U.S.C 1615, 47107, 47113, 47123; Sec. 1101(b), Pub. L. 105–178, 112 Stat. 107, 113.

SOURCE: 64 FR 5126, Feb. 2, 1999, unless otherwise noted.

Subpart A—General

§ 26.1 What are the objectives of this part?

This part seeks to achieve several objectives:

(a) To ensure nondiscrimination in the award and administration of DOT-assisted contracts in the Department's highway, transit, and airport financial assistance programs;

(b) To create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;

(c) To ensure that the Department's DBE program is narrowly tailored in accordance with applicable law;

(d) To ensure that only firms that fully meet this part's eligibility standards are permitted to participate as DBEs;

(e) To help remove barriers to the participation of DBEs in DOT-assisted contracts;

(f) To assist the development of firms that can compete successfully in the marketplace outside the DBE program; and

(g) To provide appropriate flexibility to recipients of Federal financial assistance in establishing and providing opportunities for DBEs.

§ 26.3 To whom does this part apply?

(a) If you are a recipient of any of the following types of funds, this part applies to you:

(1) Federal-aid highway funds authorized under Titles I (other than Part B) and V of the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA), Pub. L. 102–240, 105 Stat. 1914,

or Titles I, III, and V of the Transportation Equity Act for the 21st Century (TEA–21), Pub. L. 105–178, 112 Stat. 107.

(2) Federal transit funds authorized by Titles I, III, V and VI of ISTEA, Pub. L. 102–240 or by Federal transit laws in Title 49, U.S. Code, or Titles I, III, and V of the TEA–21, Pub. L. 105–178.

(3) Airport funds authorized by 49 U.S.C. 47101, *et seq.*

(b) [Reserved]

(c) If you are letting a contract, and that contract is to be performed entirely outside the United States, its territories and possessions, Puerto Rico, Guam, or the Northern Marianas Islands, this part does not apply to the contract.

(d) If you are letting a contract in which DOT financial assistance does not participate, this part does not apply to the contract.

§ 26.5 What do the terms used in this part mean?

Affiliation has the same meaning the term has in the Small Business Administration (SBA) regulations, 13 CFR part 121.

(1) Except as otherwise provided in 13 CFR part 121, concerns are affiliates of each other when, either directly or indirectly:

(i) One concern controls or has the power to control the other; or

(ii) A third party or parties controls or has the power to control both; or

(iii) An identity of interest between or among parties exists such that affiliation may be found.

(2) In determining whether affiliation exists, it is necessary to consider all appropriate factors, including common ownership, common management, and contractual relationships. Affiliates must be considered together in determining whether a concern meets small business size criteria and the statutory cap on the participation of firms in the DBE program.

Alaska Native means a citizen of the United States who is a person of one-fourth degree or more Alaskan Indian (including Tsimshian Indians not enrolled in the Metlaktla Indian Community), Eskimo, or Aleut blood, or a combination of those bloodlines. The term includes, in the absence of proof of a minimum blood quantum, any citizen whom a Native village or Native group regards as an Alaska Native if their father or mother is regarded as an Alaska Native.

Alaska Native Corporation (ANC) means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, *et seq.*).

Compliance means that a recipient has correctly implemented the requirements of this part.

Contract means a legally binding relationship obligating a seller to furnish supplies or services (including, but not limited to, construction and professional services) and the buyer to pay for them. For purposes of this part, a lease is considered to be a contract.

Contractor means one who participates, through a contract or subcontract (at any tier), in a DOT-assisted highway, transit, or airport program.

Department or *DOT* means the U.S. Department of Transportation, including the Office of the Secretary, the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Aviation Administration (FAA).

Disadvantaged business enterprise or *DBE* means a for-profit small business concern—

(1) That is at least 51 percent owned by one or more individuals who are both socially and economically disadvantaged or, in the case of a corporation, in which 51 percent of the stock is owned by one or more such individuals; and

(2) Whose management and daily business operations are controlled by one or more of the socially and economically disadvantaged individuals who own it.

DOT-assisted contract means any contract between a recipient and a contractor (at any tier) funded in whole or in part with DOT financial assistance, including letters of credit or loan guarantees, except a contract solely for the purchase of land.

DOT/SBA Memorandum of Understanding or MOU, refers to the agreement signed on November 23, 1999, between the Department of Transportation (DOT) and the Small Business Administration (SBA) streamlining certification procedures for participation in SBA's 8(a) Business Development (8(a) BD) and Small Disadvantaged Business (SDB) programs, and DOT's Disadvantaged Business Enterprise (DBE) program for small and disadvantaged businesses.

Good faith efforts means efforts to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, can reasonably be expected to fulfill the program requirement.

Immediate family member means father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law.

Indian tribe means any Indian tribe, band, nation, or other organized group or community of Indians, including any ANC, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians, or is recognized as such by the State in which the tribe, band, nation, group, or community resides. See definition of "tribally-owned concern" in this section.

Joint venture means an association of a DBE firm and one or more other firms to carry out a single, for-profit business enterprise, for which the parties combine their property, capital, efforts, skills and knowledge, and in which the DBE is responsible for a distinct, clearly defined portion of the work of the contract and whose share in the capital contribution, control, management, risks, and profits of the joint venture are commensurate with its ownership interest.

Native Hawaiian means any individual whose ancestors were natives, prior to 1778, of the area which now comprises the State of Hawaii.

Native Hawaiian Organization means any community service organization serving Native Hawaiians in the State of Hawaii which is a not-for-profit organization chartered by the State of Hawaii, is controlled by Native Hawaiians, and whose business activities will principally benefit such Native Hawaiians.

Noncompliance means that a recipient has not correctly implemented the requirements of this part.

Operating Administration or *OA* means any of the following parts of DOT: the Federal Aviation Administration (FAA), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA). The "Administrator" of an operating administration includes his or her designees.

Personal net worth means the net value of the assets of an individual remaining after total liabilities are deducted. An individual's personal net worth does not include: The individual's ownership interest in an applicant or participating DBE firm; or the individual's equity in his or her primary place of residence. An individual's personal net worth includes only his or her own share of assets held jointly or as community property with the individual's spouse.

Primary industry classification means the North American Industrial Classification System (NAICS) designation which best describes the primary business of a firm. The NAICS is described in the *North American Industry Classification Manual—United States, 1997* which is available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA, 22161; by calling 1 (800) 553–6847; or via the Internet at: *http://www.ntis.gov/product/naics.htm.*

Primary recipient means a recipient which receives DOT financial assistance and passes some or all of it on to another recipient.

Principal place of business means the business location where the individuals who manage the firm's day-to-day operations spend most working hours and where top management's business records are kept. If the offices from which management is directed and where business records are kept are in different locations, the recipient will determine the principal place of business for DBE program purposes.

Program means any undertaking on a recipient's part to use DOT financial assistance, authorized by the laws to which this part applies.

Race-conscious measure or program is one that is focused specifically on assisting only DBEs, including women-owned DBEs.

Race-neutral measure or program is one that is, or can be, used to assist all small businesses. For the purposes of this part, *race-neutral* includes gender-neutrality.

Recipient is any entity, public or private, to which DOT financial assistance is extended, whether directly or through another recipient, through the programs of the FAA, FHWA, or FTA, or who has applied for such assistance.

Secretary means the Secretary of Transportation or his/her designee.

Set-aside means a contracting practice restricting eligibility for the competitive award of a contract solely to DBE firms.

Small Business Administration or *SBA* means the United States Small Business Administration.

SBA certified firm refers to firms that have a current, valid certification from or recognized by the SBA under the 8(a) BD or SDB programs.

Small business concern means, with respect to firms seeking to participate as DBEs in DOT-assisted contracts, a small business concern as defined pursuant to section 3 of the Small Business Act and Small Business Administration regulations implementing it (13 CFR part 121) that also does not exceed the cap on average annual gross receipts specified in § 26.65(b).

Socially and economically disadvantaged individual means any individual who is a citizen (or lawfully admitted permanent resident) of the United States and who is—

(1) Any individual who a recipient finds to be a socially and economically disadvantaged individual on a case-by-case basis.

(2) Any individual in the following groups, members of which are rebuttably presumed to be socially and economically disadvantaged:

(i) "Black Americans," which includes persons having origins in any of the Black racial groups of Africa;

(ii) "Hispanic Americans," which includes persons of Mexican, Puerto Rican, Cuban, Dominican, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;

(iii) "Native Americans," which includes persons who are American Indians, Eskimos, Aleuts, or Native Hawaiians;

(iv) "Asian-Pacific Americans," which includes persons whose origins are from Japan, China, Taiwan, Korea, Burma (Myanmar), Vietnam, Laos, Cambodia (Kampuchea), Thailand, Malaysia, Indonesia, the Philippines, Brunei, Samoa, Guam, the U.S. Trust Territories of the Pacific Islands (Republic of Palau), the Commonwealth of the Northern Marianas Islands, Macao, Fiji, Tonga, Kirbati, Juvalu, Nauru, Federated States of Micronesia, or Hong Kong;

(v) "Subcontinent Asian Americans," which includes persons whose origins are from India, Pakistan, Bangladesh, Bhutan, the Maldives Islands, Nepal or Sri Lanka;

(vi) Women;

(vii) Any additional groups whose members are designated as socially and economically disadvantaged by the SBA, at such time as the SBA designation becomes effective.

Tribally-owned concern means any concern at least 51 percent owned by an Indian tribe as defined in this section.

You refers to a recipient, unless a statement in the text of this part or the context requires otherwise (i.e., 'You must do XYZ' means that recipients must do XYZ).

[64 FR 5126, Feb. 2, 1999, as amended at 64 FR 34570, June 28, 1999; 68 FR 35553, June 16, 2003]

§ 26.7 What discriminatory actions are forbidden?

(a) You must never exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract covered by this part on the basis of race, color, sex, or national origin.

(b) In administering your DBE program, you must not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the program with respect to individuals of

a particular race, color, sex, or national origin.

§ 26.9 How does the Department issue guidance and interpretations under this part?

(a) Only guidance and interpretations (including interpretations set forth in certification appeal decisions) consistent with this part 26 and issued after March 4, 1999 express the official positions and views of the Department of Transportation or any of its operating administrations.

(b) The Secretary of Transportation, Office of the Secretary of Transportation, FHWA, FTA, and FAA may issue written interpretations of or written guidance concerning this part. Written interpretations and guidance are valid, and express the official positions and views of the Department of Transportation or any of its operating administrations, only if they are issued over the signature of the Secretary of Transportation or if they contain the following statement:

The General Counsel of the Department of Transportation has reviewed this document and approved it as consistent with the language and intent of 49 CFR part 26.

[72 FR 15617, Apr. 2, 2007]

§ 26.11 What records do recipients keep and report?

(a) [Reserved]

(b) You must continue to provide data about your DBE program to the Department as directed by DOT operating administrations.

(c) You must create and maintain a bidders list.

(1) The purpose of this list is to provide you as accurate data as possible about the universe of DBE and non-DBE contractors and subcontractors who seek to work on your Federally-assisted contracts for use in helping you set your overall goals.

(2) You must obtain the following information about DBE and non-DBE contractors and subcontractors who seek to work on your Federally-assisted contracts:

(i) Firm name;

(ii) Firm address;

(iii) Firm's status as a DBE or non-DBE;

(iv) Age of the firm; and

(v) The annual gross receipts of the firm. You may obtain this information by asking each firm to indicate into what gross receipts bracket they fit (*e.g.*, less than $500,000; $500,000–$1 million; $1–2 million; $2–5 million; etc.) rather than requesting an exact figure from the firm.

(3) You may acquire the information for your bidders list in a variety of ways. For example, you can collect the data from all bidders, before or after the bid due date. You can conduct a survey that will result in statistically sound estimate of the universe of DBE and non-DBE contractors and subcontractors who seek to work on your Federally-assisted contracts. You may combine different data collection approaches (*e.g.*, collect name and address information from all bidders, while conducting a survey with respect to age and gross receipts information).

[64 FR 5126, Feb. 2, 1999, as amended at 65 FR 68951, Nov. 15, 2000]

§ 26.13 What assurances must recipients and contractors make?

(a) Each financial assistance agreement you sign with a DOT operating administration (or a primary recipient) must include the following assurance:

The recipient shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE program or the requirements of 49 CFR part 26. The recipient shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of DOT-assisted contracts. The recipient's DBE program, as required by 49 CFR part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the recipient of its failure to carry out its approved program, the Department may impose sanctions as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 *et seq.*).

(b) Each contract you sign with a contractor (and each subcontract the prime contractor signs with a subcontractor) must include the following assurance:

The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

§26.15 How can recipients apply for exemptions or waivers?

(a) You can apply for an exemption from any provision of this part. To apply, you must request the exemption in writing from the Office of the Secretary of Transportation, FHWA, FTA, or FAA. The Secretary will grant the request only if it documents special or exceptional circumstances, not likely to be generally applicable, and not contemplated in connection with the rulemaking that established this part, that make your compliance with a specific provision of this part impractical. You must agree to take any steps that the Department specifies to comply with the intent of the provision from which an exemption is granted. The Secretary will issue a written response to all exemption requests.

(b) You can apply for a waiver of any provision of Subpart B or C of this part including, but not limited to, any provisions regarding administrative requirements, overall goals, contract goals or good faith efforts. Program waivers are for the purpose of authorizing you to operate a DBE program that achieves the objectives of this part by means that may differ from one or more of the requirements of Subpart B or C of this part. To receive a program waiver, you must follow these procedures:

(1) You must apply through the concerned operating administration. The application must include a specific program proposal and address how you will meet the criteria of paragraph (b)(2) of this section. Before submitting your application, you must have had public participation in developing your proposal, including consultation with the DBE community and at least one public hearing. Your application must include a summary of the public participation process and the information gathered through it.

(2) Your application must show that—

(i) There is a reasonable basis to conclude that you could achieve a level of DBE participation consistent with the objectives of this part using different or innovative means other than those that are provided in subpart B or C of this part;

(ii) Conditions in your jurisdiction are appropriate for implementing the proposal;

(iii) Your proposal would prevent discrimination against any individual or group in access to contracting opportunities or other benefits of the program; and

(iv) Your proposal is consistent with applicable law and program requirements of the concerned operating administration's financial assistance program.

(3) The Secretary has the authority to approve your application. If the Secretary grants your application, you may administer your DBE program as provided in your proposal, subject to the following conditions:

(i) DBE eligibility is determined as provided in subparts D and E of this part, and DBE participation is counted as provided in §26.49;

(ii) Your level of DBE participation continues to be consistent with the objectives of this part;

(iii) There is a reasonable limitation on the duration of your modified program; and

(iv) Any other conditions the Secretary makes on the grant of the waiver.

(4) The Secretary may end a program waiver at any time and require you to comply with this part's provisions. The Secretary may also extend the waiver, if he or she determines that all requirements of paragraphs (b)(2) and (3) of this section continue to be met. Any such extension shall be for no longer than period originally set for the duration of the program.

Subpart B—Administrative Requirements for DBE Programs for Federally-Assisted Contracting

§ 26.21 Who must have a DBE program?

(a) If you are in one of these categories and let DOT-assisted contracts, you must have a DBE program meeting the requirements of this part:

(1) All FHWA recipients receiving funds authorized by a statute to which this part applies;

(2) FTA recipients receiving planning, capital and/or operating assistance who will award prime contracts (excluding transit vehicle purchases) exceeding $250,000 in FTA funds in a Federal fiscal year;

(3) FAA recipients receiving grants for airport planning or development who will award prime contracts exceeding $250,000 in FAA funds in a Federal fiscal year.

(b)(1) You must submit a DBE program conforming to this part by August 31, 1999 to the concerned operating administration (OA). Once the OA has approved your program, the approval counts for all of your DOT-assisted programs (except that goals are reviewed by the particular operating administration that provides funding for your DOT-assisted contracts).

(2) You do not have to submit regular updates of your DBE programs, as long as you remain in compliance. However, you must submit significant changes in the program for approval.

(c) You are not eligible to receive DOT financial assistance unless DOT has approved your DBE program and you are in compliance with it and this part. You must continue to carry out your program until all funds from DOT financial assistance have been expended.

[64 FR 5126, Feb. 2, 1999, as amended at 64 FR 34570, June 28, 1999; 65 FR 68951, Nov. 15, 2000]

§ 26.23 What is the requirement for a policy statement?

You must issue a signed and dated policy statement that expresses your commitment to your DBE program, states its objectives, and outlines responsibilities for its implementation. You must circulate the statement throughout your organization and to the DBE and non-DBE business communities that perform work on your DOT-assisted contracts.

§ 26.25 What is the requirement for a liaison officer?

You must have a DBE liaison officer, who shall have direct, independent access to your Chief Executive Officer concerning DBE program matters. The liaison officer shall be responsible for implementing all aspects of your DBE program. You must also have adequate staff to administer the program in compliance with this part.

§ 26.27 What efforts must recipients make concerning DBE financial institutions?

You must thoroughly investigate the full extent of services offered by financial institutions owned and controlled by socially and economically disadvantaged individuals in your community and make reasonable efforts to use these institutions. You must also encourage prime contractors to use such institutions.

§ 26.29 What prompt payment mechanisms must recipients have?

(a) You must establish, as part of your DBE program, a contract clause to require prime contractors to pay subcontractors for satisfactory performance of their contracts no later than 30 days from receipt of each payment you make to the prime contractor.

(b) You must ensure prompt and full payment of retainage from the prime contractor to the subcontractor within 30 days after the subcontractor's work is satisfactorily completed. You must use one of the following methods to comply with this requirement:

(1) You may decline to hold retainage from prime contractors and prohibit prime contractors from holding retainage from subcontractors.

(2) You may decline to hold retainage from prime contractors and require a contract clause obligating prime contractors to make prompt and full payment of any retainage kept by prime contractor to the subcontractor within

30 days after the subcontractor's work is satisfactorily completed.

(3) You may hold retainage from prime contractors and provide for prompt and regular incremental acceptances of portions of the prime contract, pay retainage to prime contractors based on these acceptances, and require a contract clause obligating the prime contractor to pay all retainage owed to the subcontractor for satisfactory completion of the accepted work within 30 days after your payment to the prime contractor.

(c) For purposes of this section, a subcontractor's work is satisfactorily completed when all the tasks called for in the subcontract have been accomplished and documented as required by the recipient. When a recipient has made an incremental acceptance of a portion of a prime contract, the work of a subcontractor covered by that acceptance is deemed to be satisfactorily completed.

(d) Your DBE program must provide appropriate means to enforce the requirements of this section. These means may include appropriate penalties for failure to comply, the terms and conditions of which you set. Your program may also provide that any delay or postponement of payment among the parties may take place only for good cause, with your prior written approval.

(e) You may also establish, as part of your DBE program, any of the following additional mechanisms to ensure prompt payment:

(1) A contract clause that requires prime contractors to include in their subcontracts language providing that prime contractors and subcontractors will use appropriate alternative dispute resolution mechanisms to resolve payment disputes. You may specify the nature of such mechanisms.

(2) A contract clause providing that the prime contractor will not be reimbursed for work performed by subcontractors unless and until the prime contractor ensures that the subcontractors are promptly paid for the work they have performed.

(3) Other mechanisms, consistent with this part and applicable state and local law, to ensure that DBEs and other contractors are fully and promptly paid.

[68 FR 35553, June 16, 2003]

§ 26.31 What requirements pertain to the DBE directory?

You must maintain and make available to interested persons a directory identifying all firms eligible to participate as DBEs in your program. In the listing for each firm, you must include its address, phone number, and the types of work the firm has been certified to perform as a DBE. You must revise your directory at least annually and make updated information available to contractors and the public on request.

§ 26.33 What steps must a recipient take to address overconcentration of DBEs in certain types of work?

(a) If you determine that DBE firms are so overconcentrated in a certain type of work as to unduly burden the opportunity of non-DBE firms to participate in this type of work, you must devise appropriate measures to address this overconcentration.

(b) These measures may include the use of incentives, technical assistance, business development programs, mentor-protégé programs, and other appropriate measures designed to assist DBEs in performing work outside of the specific field in which you have determined that non-DBEs are unduly burdened. You may also consider varying your use of contract goals, to the extent consistent with § 26.51, to unsure that non-DBEs are not unfairly prevented from competing for subcontracts.

(c) You must obtain the approval of the concerned DOT operating administration for your determination of overconcentration and the measures you devise to address it. Once approved, the measures become part of your DBE program.

§ 26.35 What role do business development and mentor-protégé programs have in the DBE program?

(a) You may or, if an operating administration directs you to, you must establish a DBE business development program (BDP) to assist firms in gaining the ability to compete successfully

in the marketplace outside the DBE program. You may require a DBE firm, as a condition of receiving assistance through the BDP, to agree to terminate its participation in the DBE program after a certain time has passed or certain objectives have been reached. See Appendix C of this part for guidance on administering BDP programs.

(b) As part of a BDP or separately, you may establish a "mentor-protégé" program, in which another DBE or non-DBE firm is the principal source of business development assistance to a DBE firm.

(1) Only firms you have certified as DBEs before they are proposed for participation in a mentor-protégé program are eligible to participate in the mentor-protégé program.

(2) During the course of the mentor-protégé relationship, you must:

(i) Not award DBE credit to a non-DBE mentor firm for using its own protégé firm for more than one half of its goal on any contract let by the recipient; and

(ii) Not award DBE credit to a non-DBE mentor firm for using its own protégé firm for more than every other contract performed by the protégé firm.

(3) For purposes of making determinations of business size under this part, you must not treat protégé firms as affiliates of mentor firms, when both firms are participating under an approved mentor-protégé program. See Appendix D of this part for guidance concerning the operation of mentor-protégé programs.

(c) Your BDPs and mentor-protégé programs must be approved by the concerned operating administration before you implement them. Once approved, they become part of your DBE program.

§ 26.37 What are a recipient's responsibilities for monitoring the performance of other program participants?

(a) You must implement appropriate mechanisms to ensure compliance with the part's requirements by all program participants (e.g., applying legal and contract remedies available under Federal, state and local law). You must set forth these mechanisms in your DBE program.

(b) Your DBE program must also include a monitoring and enforcement mechanism to ensure that work committed to DBEs at contract award is actually performed by DBEs.

(c) This mechanism must provide for a running tally of actual DBE attainments (*e.g.*, payments actually made to DBE firms), including a means of comparing these attainments to commitments. In your reports of DBE participation to the Department, you must display both commitments and attainments.

[64 FR 5126, Feb. 2, 1999, as amended at 65 FR 68951, Nov. 15, 2000; 68 FR 35554, June 16, 2003]

Subpart C—Goals, Good Faith Efforts, and Counting

§ 26.41 What is the role of the statutory 10 percent goal in this program?

(a) The statutes authorizing this program provide that, except to the extent the Secretary determines otherwise, not less than 10 percent of the authorized funds are to be expended with DBEs.

(b) This 10 percent goal is an aspirational goal at the national level, which the Department uses as a tool in evaluating and monitoring DBEs' opportunities to participate in DOT-assisted contracts.

(c) The national 10 percent goal does not authorize or require recipients to set overall or contract goals at the 10 percent level, or any other particular level, or to take any special administrative steps if their goals are above or below 10 percent.

§ 26.43 Can recipients use set-asides or quotas as part of this program?

(a) You are not permitted to use quotas for DBEs on DOT-assisted contracts subject to this part.

(b) You may not set-aside contracts for DBEs on DOT-assisted contracts subject to this part, except that, in limited and extreme circumstances, you may use set-asides when no other method could be reasonably expected to redress egregious instances of discrimination.

§ 26.45 How do recipients set overall goals?

(a)(1) Except as provided in paragraph (a)(2) of this section, you must set an overall goal for DBE participation in your DOT-assisted contracts.

(2) If you are a FTA or FAA recipient who reasonably anticipates awarding (excluding transit vehicle purchases) $250,000 or less in FTA or FAA funds in prime contracts in a Federal fiscal year, you are not required to develop overall goals for FTA or FAA respectively for that fiscal year. However, if you have an existing DBE program, it must remain in effect and you must seek to fulfill the objectives outlined in § 26.1.

(b) Your overall goal must be based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all businesses ready, willing and able to participate on your DOT-assisted contracts (hereafter, the "relative availability of DBEs"). The goal must reflect your determination of the level of DBE participation you would expect absent the effects of discrimination. You cannot simply rely on either the 10 percent national goal, your previous overall goal or past DBE participation rates in your program without reference to the relative availability of DBEs in your market.

(c) *Step 1.* You must begin your goal setting process by determining a base figure for the relative availability of DBEs. The following are examples of approaches that you may take toward determining a base figure. These examples are provided as a starting point for your goal setting process. Any percentage figure derived from one of these examples should be considered a basis from which you begin when examining all evidence available in your jurisdiction. These examples are not intended as an exhaustive list. Other methods or combinations of methods to determine a base figure may be used, subject to approval by the concerned operating administration.

(1) *Use DBE Directories and Census Bureau Data.* Determine the number of ready, willing and able DBEs in your market from your DBE directory. Using the Census Bureau's County Business Pattern (CBP) data base, determine the number of all ready, willing and able businesses available in your market that perform work in the same NAICS codes. (Information about the CBP data base may be obtained from the Census Bureau at their web site, *www.census.gov/epcd/cbp/view/cbpview.html.*) Divide the number of DBEs by the number of all businesses to derive a base figure for the relative availability of DBEs in your market.

(2) *Use a bidders list.* Determine the number of DBEs that have bid or quoted on your DOT-assisted prime contracts or subcontracts in the previous year. Determine the number of all businesses that have bid or quoted on prime or subcontracts in the same time period. Divide the number of DBE bidders and quoters by the number for all businesses to derive a base figure for the relative availability of DBEs in your market.

(3) *Use data from a disparity study.* Use a percentage figure derived from data in a valid, applicable disparity study.

(4) *Use the goal of another DOT recipient.* If another DOT recipient in the same, or substantially similar, market has set an overall goal in compliance with this rule, you may use that goal as a base figure for your goal.

(5) *Alternative methods.* You may use other methods to determine a base figure for your overall goal. Any methodology you choose must be based on demonstrable evidence of local market conditions and be designed to ultimately attain a goal that is rationally related to the relative availability of DBEs in your market.

(d) *Step 2.* Once you have calculated a base figure, you must examine all of the evidence available in your jurisdiction to determine what adjustment, if any, is needed to the base figure in order to arrive at your overall goal.

(1) There are many types of evidence that must be considered when adjusting the base figure. These include:

(i) The current capacity of DBEs to perform work in your DOT-assisted contracting program, as measured by the volume of work DBEs have performed in recent years;

(ii) Evidence from disparity studies conducted anywhere within your jurisdiction, to the extent it is not already accounted for in your base figure; and

(iii) If your base figure is the goal of another recipient, you must adjust it for differences in your local market and your contracting program.

(2) If available, you must consider evidence from related fields that affect the opportunities for DBEs to form, grow and compete. These include, but are not limited to:

(i) Statistical disparities in the ability of DBEs to get the financing, bonding and insurance required to participate in your program;

(ii) Data on employment, self-employment, education, training and union apprenticeship programs, to the extent you can relate it to the opportunities for DBEs to perform in your program.

(3) If you attempt to make an adjustment to your base figure to account for the continuing effects of past discrimination (often called the "but for" factor) or the effects of an ongoing DBE program, the adjustment must be based on demonstrable evidence that is logically and directly related to the effect for which the adjustment is sought.

(e) Once you have determined a percentage figure in accordance with paragraphs (c) and (d) of this section, you should express your overall goal as follows:

(1) If you are an FHWA recipient, as a percentage of all Federal-aid highway funds you will expend in FHWA-assisted contracts in the forthcoming three fiscal years.

(2) If you are an FTA or FAA recipient, as a percentage of all FTA or FAA funds (exclusive of FTA funds to be used for the purchase of transit vehicles) that you will expend in FTA- or FAA-assisted contracts in the three forthcoming fiscal years.

(3) In appropriate cases, the FHWA, FTA or FAA Administrator may permit you to express your overall goal as a percentage of funds for a particular grant or project or group of grants and/or projects.

(f)(1) If you set overall goals on a fiscal year basis, you must submit them to the applicable DOT operating administration by August 1 at three-year intervals, based on a schedule established by the FHWA, FTA, or FAA, as applicable, and posted on that agency's Web site. You must submit to the operating administration for approval any significant adjustment you make to your goal during the three-year period based on changed circumstances. The operating administration may direct you to undertake a review of your goal if necessary to ensure that the goal continues to fit your circumstances appropriately.

(2) If you are an FHWA, FTA, or FAA recipient and set your overall goal on a project or grant basis, you must submit the goal for review at a time determined by the FHWA, FTA, or FAA Administrator.

(3) Timely submission and operating administration approval of your overall goal is a condition of eligibility for DOT financial assistance.

(4) If you fail to establish and implement goals as provided in this section, you are not in compliance with this part. If you establish and implement goals in a way different from that provided in this part, you are not in compliance with this part. If you fail to comply with this requirement, you are not eligible to receive DOT financial assistance.

(g) In establishing an overall goal, you must provide for public participation. This public participation must include:

(1) Consultation with minority, women's and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and your efforts to establish a level playing field for the participation of DBEs.

(2) A published notice announcing your proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at your principal office for 30 days following the date of the notice, and informing the public that you and the Department will accept comments on the goals for 45 days from the date of the notice. The notice must include addresses to which comments may be sent, and you must publish it in general circulation media and available

minority-focused media and trade association publications.

(h) Your overall goals must provide for participation by all certified DBEs and must not be subdivided into group-specific goals.

[64 FR 5126, Feb. 2, 1999, as amended at 64 FR 34570, June 28, 1999; 65 FR 68951, Nov. 15, 2000; 68 FR 35553, June 16, 2003; 75 FR 5536, Feb. 3, 2010]

§ 26.47 Can recipients be penalized for failing to meet overall goals?

(a) You cannot be penalized, or treated by the Department as being in noncompliance with this rule, because your DBE participation falls short of your overall goal, unless you have failed to administer your program in good faith.

(b) If you do not have an approved DBE program or overall goal, or if you fail to implement your program in good faith, you are in noncompliance with this part.

§ 26.49 How are overall goals established for transit vehicle manufacturers?

(a) If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section. You do not include FTA assistance used in transit vehicle procurements in the base amount from which your overall goal is calculated.

(b) If you are a transit vehicle manufacturer, you must establish and submit for FTA's approval an annual overall percentage goal. In setting your overall goal, you should be guided, to the extent applicable, by the principles underlying § 26.45. The base from which you calculate this goal is the amount of FTA financial assistance included in transit vehicle contracts you will perform during the fiscal year in question. You must exclude from this base funds attributable to work performed outside the United States and its territories, possessions, and commonwealths. The requirements and procedures of this part with respect to submission and approval of overall goals apply to you as they do to recipients.

(c) As a transit vehicle manufacturer, you may make the certification required by this section if you have submitted the goal this section requires and FTA has approved it or not disapproved it.

(d) As a recipient, you may, with FTA approval, establish project-specific goals for DBE participation in the procurement of transit vehicles in lieu of complying through the procedures of this section.

(e) If you are an FHWA or FAA recipient, you may, with FHWA or FAA approval, use the procedures of this section with respect to procurements of vehicles or specialized equipment. If you choose to do so, then the manufacturers of this equipment must meet the same requirements (including goal approval by FHWA or FAA) as transit vehicle manufacturers must meet in FTA-assisted procurements.

§ 26.51 What means do recipients use to meet overall goals?

(a) You must meet the maximum feasible portion of your overall goal by using race-neutral means of facilitating DBE participation. Race-neutral DBE participation includes any time a DBE wins a prime contract through customary competitive procurement procedures, is awarded a subcontract on a prime contract that does not carry a DBE goal, or even if there is a DBE goal, wins a subcontract from a prime contractor that did not consider its DBE status in making the award (e.g., a prime contractor that uses a strict low bid system to award subcontracts).

(b) Race-neutral means include, but are not limited to, the following:

(1) Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBE, and other small businesses, participation (e.g., unbundling large contracts to make them more accessible to small businesses, requiring or encouraging prime contractors to subcontract portions of work that they might otherwise perform with their own forces);

(2) Providing assistance in overcoming limitations such as inability to

obtain bonding or financing (e.g., by such means as simplifying the bonding process, reducing bonding requirements, eliminating the impact of surety costs from bids, and providing services to help DBEs, and other small businesses, obtain bonding and financing);

(3) Providing technical assistance and other services;

(4) Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on recipient mailing lists for bidders; ensuring the dissemination to bidders on prime contracts of lists of potential subcontractors; provision of information in languages other than English, where appropriate);

(5) Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;

(6) Providing services to help DBEs, and other small businesses, improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;

(7) Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;

(8) Ensuring distribution of your DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors; and

(9) Assisting DBEs, and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

(c) Each time you submit your overall goal for review by the concerned operating administration, you must also submit your projection of the portion of the goal that you expect to meet through race-neutral means and your basis for that projection. This projection is subject to approval by the concerned operating administration, in conjunction with its review of your overall goal.

(d) You must establish contract goals to meet any portion of your overall goal you do not project being able to meet using race-neutral means.

(e) The following provisions apply to the use of contract goals:

(1) You may use contract goals only on those DOT-assisted contracts that have subcontracting possibilities.

(2) You are not required to set a contract goal on every DOT-assisted contract. You are not required to set each contract goal at the same percentage level as the overall goal. The goal for a specific contract may be higher or lower than that percentage level of the overall goal, depending on such factors as the type of work involved, the location of the work, and the availability of DBEs for the work of the particular contract. However, over the period covered by your overall goal, you must set contract goals so that they will cumulatively result in meeting any portion of your overall goal you do not project being able to meet through the use of race-neutral means.

(3) Operating administration approval of each contract goal is not necessarily required. However, operating administrations may review and approve or disapprove any contract goal you establish.

(4) Your contract goals must provide for participation by all certified DBEs and must not be subdivided into group-specific goals.

(f) To ensure that your DBE program continues to be narrowly tailored to overcome the effects of discrimination, you must adjust your use of contract goals as follows:

(1) If your approved projection under paragraph (c) of this section estimates that you can meet your entire overall goal for a given year through race-neutral means, you must implement your program without setting contract goals during that year.

Example to paragraph (f)(1): Your overall goal for Year I is 12 percent. You estimate that you can obtain 12 percent or more DBE participation through the use of race-neutral measures, without any use of contract goals. In this case, you do not set any contract goals for the contracts that will be performed in Year I.

(2) If, during the course of any year in which you are using contract goals,

you determine that you will exceed your overall goal, you must reduce or eliminate the use of contract goals to the extent necessary to ensure that the use of contract goals does not result in exceeding the overall goal. If you determine that you will fall short of your overall goal, then you must make appropriate modifications in your use of race-neutral and/or race-conscious measures to allow you to meet the overall goal.

Example to paragraph (f)(2): In Year II, your overall goal is 12 percent. You have estimated that you can obtain 5 percent DBE participation through use of race-neutral measures. You therefore plan to obtain the remaining 7 percent participation through use of DBE goals. By September, you have already obtained 11 percent DBE participation for the year. For contracts let during the remainder of the year, you use contract goals only to the extent necessary to obtain an additional one percent DBE participation. However, if you determine in September that your participation for the year is likely to be only 8 percent total, then you would increase your use of race-neutral and/or race-conscious means during the remainder of the year in order to achieve your overall goal.

(3) If the DBE participation you have obtained by race-neutral means alone meets or exceeds your overall goals for two consecutive years, you are not required to make a projection of the amount of your goal you can meet using such means in the next year. You do not set contract goals on any contracts in the next year. You continue using only race-neutral means to meet your overall goals unless and until you do not meet your overall goal for a year.

Example to paragraph (f)(3): Your overall goal for Years I and Year II is 10 percent. The DBE participation you obtain through race-neutral measures alone is 10 percent or more in each year. (For this purpose, it does not matter whether you obtained additional DBE participation through using contract goals in these years.) In Year III and following years, you do not need to make a projection under paragraph (c) of this section of the portion of your overall goal you expect to meet using race-neutral means. You simply use race-neutral means to achieve your overall goals. However, if in Year VI your DBE participation falls short of your overall goal, then you must make a paragraph (c) projection for Year VII and, if necessary, resume use of contract goals in that year.

(4) If you obtain DBE participation that exceeds your overall goal in two consecutive years through the use of contract goals (*i.e.*, not through the use of race-neutral means alone), you must reduce your use of contract goals proportionately in the following year.

Example to paragraph (f)(4): In Years I and II, your overall goal is 12 percent, and you obtain 14 and 16 percent DBE participation, respectively. You have exceeded your goals over the two-year period by an average of 25 percent. In Year III, your overall goal is again 12 percent, and your paragraph (c) projection estimates that you will obtain 4 percent DBE participation through race-neutral means and 8 percent through contract goals. You then reduce the contract goal projection by 25 percent (*i.e.*, from 8 to 6 percent) and set contract goals accordingly during the year. If in Year III you obtain 11 percent participation, you do not use this contract goal adjustment mechanism for Year IV, because there have not been two *consecutive* years of exceeding overall goals.

(g) In any year in which you project meeting part of your goal through race-neutral means and the remainder through contract goals, you must maintain data separately on DBE achievements in those contracts with and without contract goals, respectively. You must report this data to the concerned operating administration as provided in § 26.11.

§ 26.53 What are the good faith efforts procedures recipients follow in situations where there are contract goals?

(a) When you have established a DBE contract goal, you must award the contract only to a bidder/offeror who makes good faith efforts to meet it. You must determine that a bidder/offeror has made good faith efforts if the bidder/offeror does either of the following things:

(1) Documents that it has obtained enough DBE participation to meet the goal; or

(2) Documents that it made adequate good faith efforts to meet the goal, even though it did not succeed in obtaining enough DBE participation to do so. If the bidder/offeror does document adequate good faith efforts, you must not deny award of the contract on the basis that the bidder/offeror failed to meet the goal. See Appendix A of

this part for guidance in determining the adequacy of a bidder/offeror's good faith efforts.

(b) In your solicitations for DOT-assisted contracts for which a contract goal has been established, you must require the following:

(1) Award of the contract will be conditioned on meeting the requirements of this section;

(2) All bidders/offerors will be required to submit the following information to the recipient, at the time provided in paragraph (b)(3) of this section:

(i) The names and addresses of DBE firms that will participate in the contract;

(ii) A description of the work that each DBE will perform;

(iii) The dollar amount of the participation of each DBE firm participating;

(iv) Written documentation of the bidder/offeror's commitment to use a DBE subcontractor whose participation it submits to meet a contract goal;

(v) Written confirmation from the DBE that it is participating in the contract as provided in the prime contractor's commitment; and

(vi) If the contract goal is not met, evidence of good faith efforts (see Appendix A of this part); and

(3) At your discretion, the bidder/offeror must present the information required by paragraph (b)(2) of this section—

(i) Under sealed bid procedures, as a matter of responsiveness, or with initial proposals, under contract negotiation procedures; or

(ii) At any time before you commit yourself to the performance of the contract by the bidder/offeror, as a matter of responsibility.

(c) You must make sure all information is complete and accurate and adequately documents the bidder/offeror's good faith efforts before committing yourself to the performance of the contract by the bidder/offeror.

(d) If you determine that the apparent successful bidder/offeror has failed to meet the requirements of paragraph (a) of this section, you must, before awarding the contract, provide the bidder/offeror an opportunity for administrative reconsideration.

(1) As part of this reconsideration, the bidder/offeror must have the opportunity to provide written documentation or argument concerning the issue of whether it met the goal or made adequate good faith efforts to do so.

(2) Your decision on reconsideration must be made by an official who did not take part in the original determination that the bidder/offeror failed to meet the goal or make adequate good faith efforts to do so.

(3) The bidder/offeror must have the opportunity to meet in person with your reconsideration official to discuss the issue of whether it met the goal or made adequate good faith efforts to do so.

(4) You must send the bidder/offeror a written decision on reconsideration, explaining the basis for finding that the bidder did or did not meet the goal or make adequate good faith efforts to do so.

(5) The result of the reconsideration process is not administratively appealable to the Department of Transportation.

(e) In a "design-build" or "turnkey" contracting situation, in which the recipient lets a master contract to a contractor, who in turn lets subsequent subcontracts for the work of the project, a recipient may establish a goal for the project. The master contractor then establishes contract goals, as appropriate, for the subcontracts it lets. Recipients must maintain oversight of the master contractor's activities to ensure that they are conducted consistent with the requirements of this part.

(f)(1) You must require that a prime contractor not terminate for convenience a DBE subcontractor listed in response to paragraph (b)(2) of this section (or an approved substitute DBE firm) and then perform the work of the terminated subcontract with its own forces or those of an affiliate, without your prior written consent.

(2) When a DBE subcontractor is terminated, or fails to complete its work on the contract for any reason, you must require the prime contractor to make good faith efforts to find another DBE subcontractor to substitute for

the original DBE. These good faith efforts shall be directed at finding another DBE to perform at least the same amount of work under the contract as the DBE that was terminated, to the extent needed to meet the contract goal you established for the procurement.

(3) You must include in each prime contract a provision for appropriate administrative remedies that you will invoke if the prime contractor fails to comply with the requirements of this section.

(g) You must apply the requirements of this section to DBE bidders/offerors for prime contracts. In determining whether a DBE bidder/offeror for a prime contract has met a contract goal, you count the work the DBE has committed to performing with its own forces as well as the work that it has committed to be performed by DBE subcontractors and DBE suppliers.

§26.55 How is DBE participation counted toward goals?

(a) When a DBE participates in a contract, you count only the value of the work actually performed by the DBE toward DBE goals.

(1) Count the entire amount of that portion of a construction contract (or other contract not covered by paragraph (a)(2) of this section) that is performed by the DBE's own forces. Include the cost of supplies and materials obtained by the DBE for the work of the contract, including supplies purchased or equipment leased by the DBE (except supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate).

(2) Count the entire amount of fees or commissions charged by a DBE firm for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, toward DBE goals, provided you determine the fee to be reasonable and not excessive as compared with fees customarily allowed for similar services.

(3) When a DBE subcontracts part of the work of its contract to another firm, the value of the subcontracted work may be counted toward DBE goals only if the DBE's subcontractor is itself a DBE. Work that a DBE subcontracts to a non-DBE firm does not count toward DBE goals.

(b) When a DBE performs as a participant in a joint venture, count a portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work of the contract that the DBE performs with its own forces toward DBE goals.

(c) Count expenditures to a DBE contractor toward DBE goals only if the DBE is performing a commercially useful function on that contract.

(1) A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE must also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, you must evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the DBE credit claimed for its performance of the work, and other relevant factors.

(2) A DBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, you must examine similar transactions, particularly those in which DBEs do not participate.

(3) If a DBE does not perform or exercise responsibility for at least 30 percent of the total cost of its contract with its own work force, or the DBE subcontracts a greater portion of the work of a contract than would be expected on the basis of normal industry practice for the type of work involved,

you must presume that it is not performing a commercially useful function.

(4) When a DBE is presumed not to be performing a commercially useful function as provided in paragraph (c)(3) of this section, the DBE may present evidence to rebut this presumption. You may determine that the firm is performing a commercially useful function given the type of work involved and normal industry practices.

(5) Your decisions on commercially useful function matters are subject to review by the concerned operating administration, but are not administratively appealable to DOT.

(d) Use the following factors in determining whether a DBE trucking company is performing a commercially useful function:

(1) The DBE must be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there cannot be a contrived arrangement for the purpose of meeting DBE goals.

(2) The DBE must itself own and operate at least one fully licensed, insured, and operational truck used on the contract.

(3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.

(4) The DBE may lease trucks from another DBE firm, including an owner-operator who is certified as a DBE. The DBE who leases trucks from another DBE receives credit for the total value of the transportation services the lessee DBE provides on the contract.

(5) The DBE may also lease trucks from a non-DBE firm, including from an owner-operator. The DBE who leases trucks from a non-DBE is entitled to credit for the total value of transportation services provided by non-DBE lessees not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE lessees receives credit only for the fee or commission it receives as a result of the lease arrangement. If a recipient chooses this approach, it must obtain written consent from the appropriate Department Operating Administration.

Example to this paragraph (d)(5): DBE Firm X uses two of its own trucks on a contract. It leases two trucks from DBE Firm Y and six trucks from non-DBE Firm Z. DBE credit would be awarded for the total value of transportation services provided by Firm X and Firm Y, and may also be awarded for the total value of transportation services provided by four of the six trucks provided by Firm Z. In all, full credit would be allowed for the participation of eight trucks. With respect to the other two trucks provided by Firm Z, DBE credit could be awarded only for the fees or commissions pertaining to those trucks Firm X receives as a result of the lease with Firm Z.

(6) For purposes of this paragraph (d), a lease must indicate that the DBE has exclusive use of and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. Leased trucks must display the name and identification number of the DBE.

(e) Count expenditures with DBEs for materials or supplies toward DBE goals as provided in the following:

(1)(i) If the materials or supplies are obtained from a DBE manufacturer, count 100 percent of the cost of the materials or supplies toward DBE goals.

(ii) For purposes of this paragraph (e)(1), a manufacturer is a firm that operates or maintains a factory or establishment that produces, on the premises, the materials, supplies, articles, or equipment required under the contract and of the general character described by the specifications.

(2)(i) If the materials or supplies are purchased from a DBE regular dealer, count 60 percent of the cost of the materials or supplies toward DBE goals.

(ii) For purposes of this section, a regular dealer is a firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials, supplies, articles or equipment of the general character described by the specifications and required under the contract are bought, kept in stock, and regularly sold or leased to the public in the usual course of business.

(A) To be a regular dealer, the firm must be an established, regular business that engages, as its principal business and under its own name, in the purchase and sale or lease of the products in question.

(B) A person may be a regular dealer in such bulk items as petroleum products, steel, cement, gravel, stone, or asphalt without owning, operating, or maintaining a place of business as provided in this paragraph (e)(2)(ii) if the person both owns and operates distribution equipment for the products. Any supplementing of regular dealers' own distribution equipment shall be by a long-term lease agreement and not on an ad hoc or contract-by-contract basis.

(C) Packagers, brokers, manufacturers' representatives, or other persons who arrange or expedite transactions are not regular dealers within the meaning of this paragraph (e)(2).

(3) With respect to materials or supplies purchased from a DBE which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site, toward DBE goals, provided you determine the fees to be reasonable and not excessive as compared with fees customarily allowed for similar services. Do not count any portion of the cost of the materials and supplies themselves toward DBE goals, however.

(f) If a firm is not currently certified as a DBE in accordance with the standards of subpart D of this part at the time of the execution of the contract, do not count the firm's participation toward any DBE goals, except as provided for in § 26.87(i)).

(g) Do not count the dollar value of work performed under a contract with a firm after it has ceased to be certified toward your overall goal.

(h) Do not count the participation of a DBE subcontractor toward a contractor's final compliance with its DBE obligations on a contract until the amount being counted has actually been paid to the DBE.

[64 FR 5126, Feb. 2, 1999, as amended at 65 FR 68951, Nov. 15, 2000; 68 FR 35554, June 16, 2003]

Subpart D—Certification Standards

§ 26.61 How are burdens of proof allocated in the certification process?

(a) In determining whether to certify a firm as eligible to participate as a DBE, you must apply the standards of this subpart.

(b) The firm seeking certification has the burden of demonstrating to you, by a preponderance of the evidence, that it meets the requirements of this subpart concerning group membership or individual disadvantage, business size, ownership, and control.

(c) You must rebuttably presume that members of the designated groups identified in § 26.67(a) are socially and economically disadvantaged. This means they do not have the burden of proving to you that they are socially and economically disadvantaged. In order to obtain the benefit of the rebuttable presumption, individuals must submit a signed, notarized statement that they are a member of one of the groups in § 26.67(a). Applicants do have the obligation to provide you information concerning their economic disadvantage (*see* § 26.67).

(d) Individuals who are not presumed to be socially and economically disadvantaged, and individuals concerning whom the presumption of disadvantage has been rebutted, have the burden of proving to you, by a preponderance of the evidence, that they are socially and economically disadvantaged. (See Appendix E of this part.)

(e) You must make determinations concerning whether individuals and firms have met their burden of demonstrating group membership, ownership, control, and social and economic disadvantage (where disadvantage must be demonstrated on an individual basis) by considering all the facts in the record, viewed as a whole.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35554, June 16, 2003]

§ 26.63 What rules govern group membership determinations?

(a)(1) If, after reviewing the signed notarized statement of membership in a presumptively disadvantaged group (see § 26.61(c)), you have a well founded reason to question the individual's claim of membership in that group,

you must require the individual to present additional evidence that he or she is a member of the group.

(2) You must provide the individual a written explanation of your reasons for questioning his or her group membership and a written request for additional evidence as outlined in paragraph (b) of this section.

(3) In implementing this section, you must take special care to ensure that you do not impose a disproportionate burden on members of any particular designated group. Imposing a disproportionate burden on members of a particular group could violate § 26.7(b) and/or Title VI of the Civil Rights Act of 1964 and 49 CFR part 21.

(b) In making such a determination, you must consider whether the person has held himself out to be a member of the group over a long period of time prior to application for certification and whether the person is regarded as a member of the group by the relevant community. You may require the applicant to produce appropriate documentation of group membership.

(1) If you determine that an individual claiming to be a member of a group presumed to be disadvantaged is not a member of a designated disadvantaged group, the individual must demonstrate social and economic disadvantage on an individual basis.

(2) Your decisions concerning membership in a designated group are subject to the certification appeals procedure of § 26.89.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35554, June 16, 2003]

§ 26.65 What rules govern business size determinations?

(a) To be an eligible DBE, a firm (including its affiliates) must be an existing small business, as defined by Small Business Administration (SBA) standards. As a recipient, you must apply current SBA business size standard(s) found in 13 CFR part 121 appropriate to the type(s) of work the firm seeks to perform in DOT-assisted contracts.

(b) Even if it meets the requirements of paragraph (a) of this section, a firm is not an eligible DBE in any Federal fiscal year if the firm (including its affiliates) has had average annual gross receipts, as defined by SBA regulations (see 13 CFR 121.402), over the firm's previous three fiscal years, in excess of $22.41 million.

(c) The Department adjusts the number in paragraph (b) of this section annually using the Department of Commerce price deflators for purchases by State and local governments as the basis for this adjustment.

[74 FR 15224, Apr. 3, 2009]

§ 26.67 What rules determine social and economic disadvantage?

(a) *Presumption of disadvantage.* (1) You must rebuttably presume that citizens of the United States (or lawfully admitted permanent residents) who are women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian Americans, or other minorities found to be disadvantaged by the SBA, are socially and economically disadvantaged individuals. You must require applicants to submit a signed, notarized certification that each presumptively disadvantaged owner is, in fact, socially and economically disadvantaged.

(2) (i) You must require each individual owner of a firm applying to participate as a DBE (except a firm applying to participate as a DBE airport concessionaire) whose ownership and control are relied upon for DBE certification to certify that he or she has a personal net worth that does not exceed $750,000.

(ii) You must require each individual who makes this certification to support it with a signed, notarized statement of personal net worth, with appropriate supporting documentation. This statement and documentation must not be unduly lengthy, burdensome, or intrusive.

(iii) In determining an individual's net worth, you must observe the following requirements:

(A) Exclude an individual's ownership interest in the applicant firm;

(B) Exclude the individual's equity in his or her primary residence (except any portion of such equity that is attributable to excessive withdrawals from the applicant firm).

(C) Do not use a contingent liability to reduce an individual's net worth.

(D) With respect to assets held in vested pension plans, Individual Retirement Accounts, 401(k) accounts, or other retirement savings or investment programs in which the assets cannot be distributed to the individual at the present time without significant adverse tax or interest consequences, include only the present value of such assets, less the tax and interest penalties that would accrue if the asset were distributed at the present time.

(iv) Notwithstanding any provision of Federal or state law, you must not release an individual's personal net worth statement nor any documentation supporting it to any third party without the written consent of the submitter. *Provided,* that you must transmit this information to DOT in any certification appeal proceeding under §26.89 in which the disadvantaged status of the individual is in question.

(b) *Rebuttal of presumption of disadvantage.* (1) If the statement of personal net worth that an individual submits under paragraph (a)(2) of this section shows that the individual's personal net worth exceeds $750,000, the individual's presumption of economic disadvantage is rebutted. You are not required to have a proceeding under paragraph (b)(2) of this section in order to rebut the presumption of economic disadvantage in this case.

(2) If you have a reasonable basis to believe that an individual who is a member of one of the designated groups is not, in fact, socially and/or economically disadvantaged you may, at any time, start a proceeding to determine whether the presumption should be regarded as rebutted with respect to that individual. Your proceeding must follow the procedures of §26.87.

(3) In such a proceeding, you have the burden of demonstrating, by a preponderance of the evidence, that the individual is not socially and economically disadvantaged. You may require the individual to produce information relevant to the determination of his or her disadvantage.

(4) When an individual's presumption of social and/or economic disadvantage has been rebutted, his or her ownership and control of the firm in question cannot be used for purposes of DBE eligibility under this subpart unless and until he or she makes an individual showing of social and/or economic disadvantage. If the basis for rebutting the presumption is a determination that the individual's personal net worth exceeds $750,000, the individual is no longer eligible for participation in the program and cannot regain eligibility by making an individual showing of disadvantage.

(c) [Reserved]

(d) *Individual determinations of social and economic disadvantage.* Firms owned and controlled by individuals who are not presumed to be socially and economically disadvantaged (including individuals whose presumed disadvantage has been rebutted) may apply for DBE certification. You must make a case-by-case determination of whether each individual whose ownership and control are relied upon for DBE certification is socially and economically disadvantaged. In such a proceeding, the applicant firm has the burden of demonstrating to you, by a preponderance of the evidence, that the individuals who own and control it are socially and economically disadvantaged. An individual whose personal net worth exceeds $750,000 shall not be deemed to be economically disadvantaged. In making these determinations, use the guidance found in Appendix E of this part. You must require that applicants provide sufficient information to permit determinations under the guidance of Appendix E of this part.

[64 FR 5126, Feb. 2, 1999, as amended at 64 FR 34570, June 28, 1999; 68 FR 35554, June 16, 2003]

§26.69 What rules govern determinations of ownership?

(a) In determining whether the socially and economically disadvantaged participants in a firm own the firm, you must consider all the facts in the record, viewed as a whole.

(b) To be an eligible DBE, a firm must be at least 51 percent owned by socially and economically disadvantaged individuals.

(1) In the case of a corporation, such individuals must own at least 51 percent of the each class of voting stock outstanding and 51 percent of the aggregate of all stock outstanding.

(2) In the case of a partnership, 51 percent of each class of partnership interest must be owned by socially and economically disadvantaged individuals. Such ownership must be reflected in the firm's partnership agreement.

(3) In the case of a limited liability company, at least 51 percent of each class of member interest must be owned by socially and economically disadvantaged individuals.

(c) The firm's ownership by socially and economically disadvantaged individuals must be real, substantial, and continuing, going beyond pro forma ownership of the firm as reflected in ownership documents. The disadvantaged owners must enjoy the customary incidents of ownership, and share in the risks and profits commensurate with their ownership interests, as demonstrated by the substance, not merely the form, of arrangements.

(d) All securities that constitute ownership of a firm shall be held directly by disadvantaged persons. Except as provided in this paragraph (d), no securities or assets held in trust, or by any guardian for a minor, are considered as held by disadvantaged persons in determining the ownership of a firm. However, securities or assets held in trust are regarded as held by a disadvantaged individual for purposes of determining ownership of the firm, if—

(1) The beneficial owner of securities or assets held in trust is a disadvantaged individual, and the trustee is the same or another such individual; or

(2) The beneficial owner of a trust is a disadvantaged individual who, rather than the trustee, exercises effective control over the management, policymaking, and daily operational activities of the firm. Assets held in a revocable living trust may be counted only in the situation where the same disadvantaged individual is the sole grantor, beneficiary, and trustee.

(e) The contributions of capital or expertise by the socially and economically disadvantaged owners to acquire their ownership interests must be real and substantial. Examples of insufficient contributions include a promise to contribute capital, an unsecured note payable to the firm or an owner who is not a disadvantaged individual, or mere participation in a firm's activities as an employee. Debt instruments from financial institutions or other organizations that lend funds in the normal course of their business do not render a firm ineligible, even if the debtor's ownership interest is security for the loan.

(f) The following requirements apply to situations in which expertise is relied upon as part of a disadvantaged owner's contribution to acquire ownership:

(1) The owner's expertise must be—

(i) In a specialized field;

(ii) Of outstanding quality;

(iii) In areas critical to the firm's operations;

(iv) Indispensable to the firm's potential success;

(v) Specific to the type of work the firm performs; and

(vi) Documented in the records of the firm. These records must clearly show the contribution of expertise and its value to the firm.

(2) The individual whose expertise is relied upon must have a significant financial investment in the firm.

(g) You must always deem as held by a socially and economically disadvantaged individual, for purposes of determining ownership, all interests in a business or other assets obtained by the individual—

(1) As the result of a final property settlement or court order in a divorce or legal separation, provided that no term or condition of the agreement or divorce decree is inconsistent with this section; or

(2) Through inheritance, or otherwise because of the death of the former owner.

(h)(1) You must presume as not being held by a socially and economically disadvantaged individual, for purposes of determining ownership, all interests in a business or other assets obtained by the individual as the result of a gift, or transfer without adequate consideration, from any non-disadvantaged individual or non-DBE firm who is—

(i) Involved in the same firm for which the individual is seeking certification, or an affiliate of that firm;

(ii) Involved in the same or a similar line of business; or

(iii) Engaged in an ongoing business relationship with the firm, or an affiliate of the firm, for which the individual is seeking certification.

(2) To overcome this presumption and permit the interests or assets to be counted, the disadvantaged individual must demonstrate to you, by clear and convincing evidence, that—

(i) The gift or transfer to the disadvantaged individual was made for reasons other than obtaining certification as a DBE; and

(ii) The disadvantaged individual actually controls the management, policy, and operations of the firm, notwithstanding the continuing participation of a non-disadvantaged individual who provided the gift or transfer.

(i) You must apply the following rules in situations in which marital assets form a basis for ownership of a firm:

(1) When marital assets (other than the assets of the business in question), held jointly or as community property by both spouses, are used to acquire the ownership interest asserted by one spouse, you must deem the ownership interest in the firm to have been acquired by that spouse with his or her own individual resources, provided that the other spouse irrevocably renounces and transfers all rights in the ownership interest in the manner sanctioned by the laws of the state in which either spouse or the firm is domiciled. You do not count a greater portion of joint or community property assets toward ownership than state law would recognize as belonging to the socially and economically disadvantaged owner of the applicant firm.

(2) A copy of the document legally transferring and renouncing the other spouse's rights in the jointly owned or community assets used to acquire an ownership interest in the firm must be included as part of the firm's application for DBE certification.

(j) You may consider the following factors in determining the ownership of a firm. However, you must not regard a contribution of capital as failing to be real and substantial, or find a firm ineligible, solely because—

(1) A socially and economically disadvantaged individual acquired his or her ownership interest as the result of a gift, or transfer without adequate consideration, other than the types set forth in paragraph (h) of this section;

(2) There is a provision for the co-signature of a spouse who is not a socially and economically disadvantaged individual on financing agreements, contracts for the purchase or sale of real or personal property, bank signature cards, or other documents; or

(3) Ownership of the firm in question or its assets is transferred for adequate consideration from a spouse who is not a socially and economically disadvantaged individual to a spouse who is such an individual. In this case, you must give particularly close and careful scrutiny to the ownership and control of a firm to ensure that it is owned and controlled, in substance as well as in form, by a socially and economically disadvantaged individual.

§ 26.71 What rules govern determinations concerning control?

(a) In determining whether socially and economically disadvantaged owners control a firm, you must consider all the facts in the record, viewed as a whole.

(b) Only an independent business may be certified as a DBE. An independent business is one the viability of which does not depend on its relationship with another firm or firms.

(1) In determining whether a potential DBE is an independent business, you must scrutinize relationships with non-DBE firms, in such areas as personnel, facilities, equipment, financial and/or bonding support, and other resources.

(2) You must consider whether present or recent employer/employee relationships between the disadvantaged owner(s) of the potential DBE and non-DBE firms or persons associated with non-DBE firms compromise the independence of the potential DBE firm.

(3) You must examine the firm's relationships with prime contractors to determine whether a pattern of exclusive or primary dealings with a prime contractor compromises the independence of the potential DBE firm.

(4) In considering factors related to the independence of a potential DBE

firm, you must consider the consistency of relationships between the potential DBE and non-DBE firms with normal industry practice.

(c) A DBE firm must not be subject to any formal or informal restrictions which limit the customary discretion of the socially and economically disadvantaged owners. There can be no restrictions through corporate charter provisions, by-law provisions, contracts or any other formal or informal devices (e.g., cumulative voting rights, voting powers attached to different classes of stock, employment contracts, requirements for concurrence by non-disadvantaged partners, conditions precedent or subsequent, executory agreements, voting trusts, restrictions on or assignments of voting rights) that prevent the socially and economically disadvantaged owners, without the cooperation or vote of any non-disadvantaged individual, from making any business decision of the firm. This paragraph does not preclude a spousal co-signature on documents as provided for in § 26.69(j)(2).

(d) The socially and economically disadvantaged owners must possess the power to direct or cause the direction of the management and policies of the firm and to make day-to-day as well as long-term decisions on matters of management, policy and operations.

(1) A disadvantaged owner must hold the highest officer position in the company (e.g., chief executive officer or president).

(2) In a corporation, disadvantaged owners must control the board of directors.

(3) In a partnership, one or more disadvantaged owners must serve as general partners, with control over all partnership decisions.

(e) Individuals who are not socially and economically disadvantaged may be involved in a DBE firm as owners, managers, employees, stockholders, officers, and/or directors. Such individuals must not, however, possess or exercise the power to control the firm, or be disproportionately responsible for the operation of the firm.

(f) The socially and economically disadvantaged owners of the firm may delegate various areas of the management, policymaking, or daily operations of the firm to other participants in the firm, regardless of whether these participants are socially and economically disadvantaged individuals. Such delegations of authority must be revocable, and the socially and economically disadvantaged owners must retain the power to hire and fire any person to whom such authority is delegated. The managerial role of the socially and economically disadvantaged owners in the firm's overall affairs must be such that the recipient can reasonably conclude that the socially and economically disadvantaged owners actually exercise control over the firm's operations, management, and policy.

(g) The socially and economically disadvantaged owners must have an overall understanding of, and managerial and technical competence and experience directly related to, the type of business in which the firm is engaged and the firm's operations. The socially and economically disadvantaged owners are not required to have experience or expertise in every critical area of the firm's operations, or to have greater experience or expertise in a given field than managers or key employees. The socially and economically disadvantaged owners must have the ability to intelligently and critically evaluate information presented by other participants in the firm's activities and to use this information to make independent decisions concerning the firm's daily operations, management, and policymaking. Generally, expertise limited to office management, administration, or bookkeeping functions unrelated to the principal business activities of the firm is insufficient to demonstrate control.

(h) If state or local law requires the persons to have a particular license or other credential in order to own and/or control a certain type of firm, then the socially and economically disadvantaged persons who own and control a potential DBE firm of that type must possess the required license or credential. If state or local law does not require such a person to have such a license or credential to own and/or control a firm, you must not deny certification solely on the ground that the person lacks the license or credential.

However, you may take into account the absence of the license or credential as one factor in determining whether the socially and economically disadvantaged owners actually control the firm.

(l)(1) You may consider differences in remuneration between the socially and economically disadvantaged owners and other participants in the firm in determining whether to certify a firm as a DBE. Such consideration shall be in the context of the duties of the persons involved, normal industry practices, the firm's policy and practice concerning reinvestment of income, and any other explanations for the differences proffered by the firm. You may determine that a firm is controlled by its socially and economically disadvantaged owner although that owner's remuneration is lower than that of some other participants in the firm.

(2) In a case where a non-disadvantaged individual formerly controlled the firm, and a socially and economically disadvantaged individual now controls it, you may consider a difference between the remuneration of the former and current controller of the firm as a factor in determining who controls the firm, particularly when the non-disadvantaged individual remains involved with the firm and continues to receive greater compensation than the disadvantaged individual.

(j) In order to be viewed as controlling a firm, a socially and economically disadvantaged owner cannot engage in outside employment or other business interests that conflict with the management of the firm or prevent the individual from devoting sufficient time and attention to the affairs of the firm to control its activities. For example, absentee ownership of a business and part-time work in a full-time firm are not viewed as constituting control. However, an individual could be viewed as controlling a part-time business that operates only on evenings and/or weekends, if the individual controls it all the time it is operating.

(k)(1) A socially and economically disadvantaged individual may control a firm even though one or more of the individual's immediate family members (who themselves are not socially and economically disadvantaged individuals) participate in the firm as a manager, employee, owner, or in another capacity. Except as otherwise provided in this paragraph, you must make a judgment about the control the socially and economically disadvantaged owner exercises vis-a-vis other persons involved in the business as you do in other situations, without regard to whether or not the other persons are immediate family members.

(2) If you cannot determine that the socially and economically disadvantaged owners—as distinct from the family as a whole—control the firm, then the socially and economically disadvantaged owners have failed to carry their burden of proof concerning control, even though they may participate significantly in the firm's activities.

(l) Where a firm was formerly owned and/or controlled by a non-disadvantaged individual (whether or not an immediate family member), ownership and/or control were transferred to a socially and economically disadvantaged individual, and the non-disadvantaged individual remains involved with the firm in any capacity, the disadvantaged individual now owning the firm must demonstrate to you, by clear and convincing evidence, that:

(1) The transfer of ownership and/or control to the disadvantaged individual was made for reasons other than obtaining certification as a DBE; and

(2) The disadvantaged individual actually controls the management, policy, and operations of the firm, notwithstanding the continuing participation of a non-disadvantaged individual who formerly owned and/or controlled the firm.

(m) In determining whether a firm is controlled by its socially and economically disadvantaged owners, you may consider whether the firm owns equipment necessary to perform its work. However, you must not determine that a firm is not controlled by socially and economically disadvantaged individuals solely because the firm leases, rather than owns, such equipment, where leasing equipment is a normal industry practice and the lease does not involve a relationship with a prime

contractor or other party that compromises the independence of the firm.

(n) You must grant certification to a firm only for specific types of work in which the socially and economically disadvantaged owners have the ability to control the firm. To become certified in an additional type of work, the firm need demonstrate to you only that its socially and economically disadvantaged owners are able to control the firm with respect to that type of work. You may not, in this situation, require that the firm be recertified or submit a new application for certification, but you must verify the disadvantaged owner's control of the firm in the additional type of work.

(o) A business operating under a franchise or license agreement may be certified if it meets the standards in this subpart and the franchiser or licenser is not affiliated with the franchisee or licensee. In determining whether affiliation exists, you should generally not consider the restraints relating to standardized quality, advertising, accounting format, and other provisions imposed on the franchisee or licensee by the franchise agreement or license, provided that the franchisee or licensee has the right to profit from its efforts and bears the risk of loss commensurate with ownership. Alternatively, even though a franchisee or licensee may not be controlled by virtue of such provisions in the franchise agreement or license, affiliation could arise through other means, such as common management or excessive restrictions on the sale or transfer of the franchise interest or license.

(p) In order for a partnership to be controlled by socially and economically disadvantaged individuals, any non-disadvantaged partners must not have the power, without the specific written concurrence of the socially and economically disadvantaged partner(s), to contractually bind the partnership or subject the partnership to contract or tort liability.

(q) The socially and economically disadvantaged individuals controlling a firm may use an employee leasing company. The use of such a company does not preclude the socially and economically disadvantaged individuals from controlling their firm if they continue to maintain an employer-employee relationship with the leased employees. This includes being responsible for hiring, firing, training, assigning, and otherwise controlling the on-the-job activities of the employees, as well as ultimate responsibility for wage and tax obligations related to the employees.

§ 26.73 What are other rules affecting certification?

(a)(1) Consideration of whether a firm performs a commercially useful function or is a regular dealer pertains solely to counting toward DBE goals the participation of firms that have already been certified as DBEs. Except as provided in paragraph (a)(2) of this section, you must not consider commercially useful function issues in any way in making decisions about whether to certify a firm as a DBE.

(2) You may consider, in making certification decisions, whether a firm has exhibited a pattern of conduct indicating its involvement in attempts to evade or subvert the intent or requirements of the DBE program.

(b) You must evaluate the eligibility of a firm on the basis of present circumstances. You must not refuse to certify a firm based solely on historical information indicating a lack of ownership or control of the firm by socially and economically disadvantaged individuals at some time in the past, if the firm currently meets the ownership and control standards of this part. Nor must you refuse to certify a firm solely on the basis that it is a newly formed firm.

(c) DBE firms and firms seeking DBE certification shall cooperate fully with your requests (and DOT requests) for information relevant to the certification process. Failure or refusal to provide such information is a ground for a denial or removal of certification.

(d) Only firms organized for profit may be eligible DBEs. Not-for-profit organizations, even though controlled by socially and economically disadvantaged individuals, are not eligible to be certified as DBEs.

(e) An eligible DBE firm must be owned by individuals who are socially and economically disadvantaged. Except as provided in this paragraph, a

firm that is not owned by such individuals, but instead is owned by another firm—even a DBE firm—cannot be an eligible DBE.

(1) If socially and economically disadvantaged individuals own and control a firm through a parent or holding company, established for tax, capitalization or other purposes consistent with industry practice, and the parent or holding company in turn owns and controls an operating subsidiary, you may certify the subsidiary if it otherwise meets all requirements of this subpart. In this situation, the individual owners and controllers of the parent or holding company are deemed to control the subsidiary through the parent or holding company.

(2) You may certify such a subsidiary only if there is cumulatively 51 percent ownership of the subsidiary by socially and economically disadvantaged individuals. The following examples illustrate how this cumulative ownership provision works:

Example 1: Socially and economically disadvantaged individuals own 100 percent of a holding company, which has a wholly-owned subsidiary. The subsidiary may be certified, if it meets all other requirements.

Example 2: Disadvantaged individuals own 100 percent of the holding company, which owns 51 percent of a subsidiary. The subsidiary may be certified, if all other requirements are met.

Example 3: Disadvantaged individuals own 80 percent of the holding company, which in turn owns 70 percent of a subsidiary. In this case, the cumulative ownership of the subsidiary by disadvantaged individuals is 56 percent (80 percent of the 70 percent). This is more than 51 percent, so you may certify the subsidiary, if all other requirements are met.

Example 4: Same as Example 2 or 3, but someone other than the socially and economically disadvantaged owners of the parent or holding company controls the subsidiary. Even though the subsidiary is owned by disadvantaged individuals, through the holding or parent company, you cannot certify it because it fails to meet control requirements.

Example 5: Disadvantaged individuals own 60 percent of the holding company, which in turn owns 51 percent of a subsidiary. In this case, the cumulative ownership of the subsidiary by disadvantaged individuals is about 31 percent. This is less than 51 percent, so you cannot certify the subsidiary.

Example 6: The holding company, in addition to the subsidiary seeking certification, owns several other companies. The combined gross receipts of the holding companies and its subsidiaries are greater than the size standard for the subsidiary seeking certification and/or the gross receipts cap of §26.65(b). Under the rules concerning affiliation, the subsidiary fails to meet the size standard and cannot be certified.

(f) Recognition of a business as a separate entity for tax or corporate purposes is not necessarily sufficient to demonstrate that a firm is an independent business, owned and controlled by socially and economically disadvantaged individuals.

(g) You must not require a DBE firm to be prequalified as a condition for certification unless the recipient requires all firms that participate in its contracts and subcontracts to be prequalified.

(h) A firm that is owned by an Indian tribe or Native Hawaiian organization, rather than by Indians or Native Hawaiians as individuals, may be eligible for certification. Such a firm must meet the size standards of §26.35. Such a firm must be controlled by socially and economically disadvantaged individuals, as provided in §26.71.

(i) The following special rules apply to the certification of firms related to Alaska Native Corporations (ANCs).

(1) Notwithstanding any other provisions of this subpart, a direct or indirect subsidiary corporation, joint venture, or partnership entity of an ANC is eligible for certification as a DBE if it meets all of the following requirements:

(i) The Settlement Common Stock of the underlying ANC and other stock of the ANC held by holders of the Settlement Common Stock and by Natives and descendents of Natives represents a majority of both the total equity of the ANC and the total voting power of the corporation for purposes of electing directors;

(ii) The shares of stock or other units of common ownership interest in the subsidiary, joint venture, or partnership entity held by the ANC and by holders of its Settlement Common Stock represent a majority of both the total equity of the entity and the total voting power of the entity for the purpose of electing directors, the general partner, or principal officers; and

(iii) The subsidiary, joint venture, or partnership entity has been certified

by the Small Business Administration under the 8(a) or small disadvantaged business program.

(2) As a recipient to whom an ANC-related entity applies for certification, you do not use the DOT uniform application form (*see* Appendix F of this part). You must obtain from the firm documentation sufficient to demonstrate that entity meets the requirements of paragraph (i)(1) of this section. You must also obtain sufficient information about the firm to allow you to administer your program (*e.g.*, information that would appear in your DBE Directory).

(3) If an ANC-related firm does not meet all the conditions of paragraph (i)(1) of this section, then it must meet the requirements of paragraph (h) of this section in order to be certified, on the same basis as firms owned by Indian Tribes or Native Hawaiian Organizations.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35555, June 16, 2003]

Subpart E—Certification Procedures

§ 26.81 What are the requirements for Unified Certification Programs?

(a) You and all other DOT recipients in your state must participate in a Unified Certification Program (UCP).

(1) Within three years of March 4, 1999, you and the other recipients in your state must sign an agreement establishing the UCP for that state and submit the agreement to the Secretary for approval. The Secretary may, on the basis of extenuating circumstances shown by the recipients in the state, extend this deadline for no more than one additional year.

(2) The agreement must provide for the establishment of a UCP meeting all the requirements of this section. The agreement must specify that the UCP will follow all certification procedures and standards of this part, on the same basis as recipients; that the UCP shall cooperate fully with oversight, review, and monitoring activities of DOT and its operating administrations; and that the UCP shall implement DOT directives and guidance concerning certification matters. The agreement shall also commit recipients to ensuring that the UCP has sufficient resources and expertise to carry out the requirements of this part. The agreement shall include an implementation schedule ensuring that the UCP is fully operational no later than 18 months following the approval of the agreement by the Secretary.

(3) Subject to approval by the Secretary, the UCP in each state may take any form acceptable to the recipients in that state.

(4) The Secretary shall review the UCP and approve it, disapprove it, or remand it to the recipients in the state for revisions. A complete agreement which is not disapproved or remanded within 180 days of its receipt is deemed to be accepted.

(5) If you and the other recipients in your state fail to meet the deadlines set forth in this paragraph (a), you shall have the opportunity to make an explanation to the Secretary why a deadline could not be met and why meeting the deadline was beyond your control. If you fail to make such an explanation, or the explanation does not justify the failure to meet the deadline, the Secretary shall direct you to complete the required action by a date certain. If you and the other recipients fail to carry out this direction in a timely manner, you are collectively in noncompliance with this part.

(b) The UCP shall make all certification decisions on behalf of all DOT recipients in the state with respect to participation in the DOT DBE Program.

(1) Certification decisions by the UCP shall be binding on all DOT recipients within the state.

(2) The UCP shall provide "one-stop shopping" to applicants for certification, such that an applicant is required to apply only once for a DBE certification that will be honored by all recipients in the state.

(3) All obligations of recipients with respect to certification and nondiscrimination must be carried out by UCPs, and recipients may use only UCPs that comply with the certification and nondiscrimination requirements of this part.

(c) All certifications by UCPs shall be pre-certifications; i.e., certifications that have been made final before the

due date for bids or offers on a contract on which a firm seeks to participate as a DBE.

(d) A UCP is not required to process an application for certification from a firm having its principal place of business outside the state if the firm is not certified by the UCP in the state in which it maintains its principal place of business. The "home state" UCP shall share its information and documents concerning the firm with other UCPs that are considering the firm's application.

(e) Subject to DOT approval as provided in this section, the recipients in two or more states may form a regional UCP. UCPs may also enter into written reciprocity agreements with other UCPs. Such an agreement shall outline the specific responsibilities of each participant. A UCP may accept the certification of any other UCP or DOT recipient.

(f) Pending the establishment of UCPs meeting the requirements of this section, you may enter into agreements with other recipients, on a regional or inter-jurisdictional basis, to perform certification functions required by this part. You may also grant reciprocity to other recipient's certification decisions.

(g) Each UCP shall maintain a unified DBE directory containing, for all firms certified by the UCP (including those from other states certified under the provisions of this section), the information required by § 26.31. The UCP shall make the directory available to the public electronically, on the internet, as well as in print. The UCP shall update the electronic version of the directory by including additions, deletions, and other changes as soon as they are made.

(h) Except as otherwise specified in this section, all provisions of this subpart and subpart D of this part pertaining to recipients also apply to UCPs.

§ 26.83 What procedures do recipients follow in making certification decisions?

(a) You must ensure that only firms certified as eligible DBEs under this section participate as DBEs in your program.

(b) You must determine the eligibility of firms as DBEs consistent with the standards of subpart D of this part. When a UCP is formed, the UCP must meet all the requirements of subpart D of this part and this subpart that recipients are required to meet.

(c) You must take all the following steps in determining whether a DBE firm meets the standards of subpart D of this part:

(1) Perform an on-site visit to the offices of the firm. You must interview the principal officers of the firm and review their résumés and/or work histories. You must also perform an on-site visit to job sites if there are such sites on which the firm is working at the time of the eligibility investigation in your jurisdiction or local area. You may rely upon the site visit report of any other recipient with respect to a firm applying for certification;

(2) If the firm is a corporation, analyze the ownership of stock in the firm;

(3) Analyze the bonding and financial capacity of the firm;

(4) Determine the work history of the firm, including contracts it has received and work it has completed;

(5) Obtain a statement from the firm of the type of work it prefers to perform as part of the DBE program and its preferred locations for performing the work, if any;

(6) Obtain or compile a list of the equipment owned by or available to the firm and the licenses the firm and its key personnel possess to perform the work it seeks to do as part of the DBE program;

(7) Require potential DBEs to complete and submit an appropriate application form, unless the potential DBE is an SBA certified firm applying pursuant to the DOT/SBA MOU.

(i) You must use the application form provided in Appendix F to this part without change or revision. However, you may provide in your DBE program, with the approval of the concerned operating administration, for supplementing the form by requesting additional information not inconsistent with this part.

(ii) You must make sure that the applicant attests to the accuracy and truthfulness of the information on the

application form. This shall be done either in the form of an affidavit sworn to by the applicant before a person who is authorized by state law to administer oaths or in the form of an unsworn declaration executed under penalty of perjury of the laws of the United States.

(iii) You must review all information on the form prior to making a decision about the eligibility of the firm.

(d) When another recipient, in connection with its consideration of the eligibility of a firm, makes a written request for certification information you have obtained about that firm (e.g., including application materials or the report of a site visit, if you have made one to the firm), you must promptly make the information available to the other recipient.

(e) When another DOT recipient has certified a firm, you have discretion to take any of the following actions:

(1) Certify the firm in reliance on the certification decision of the other recipient;

(2) Make an independent certification decision based on documentation provided by the other recipient, augmented by any additional information you require the applicant to provide; or

(3) Require the applicant to go through your application process without regard to the action of the other recipient.

(f) Subject to the approval of the concerned operating administration as part of your DBE program, you may impose a reasonable application fee for certification. Fee waivers shall be made in appropriate cases.

(g) You must safeguard from disclosure to unauthorized persons information gathered as part of the certification process that may reasonably be regarded as proprietary or other confidential business information, consistent with applicable Federal, state, and local law.

(h) Once you have certified a DBE, it shall remain certified for a period of at least three years unless and until its certification has been removed through the procedures of § 26.87. You may not require DBEs to reapply for certification as a condition of continuing to participate in the program during this three-year period, unless the factual basis on which the certification was made changes.

(i) If you are a DBE, you must inform the recipient or UCP in writing of any change in circumstances affecting your ability to meet size, disadvantaged status, ownership, or control requirements of this part or any material change in the information provided in your application form.

(1) Changes in management responsibility among members of a limited liability company are covered by this requirement.

(2) You must attach supporting documentation describing in detail the nature of such changes.

(3) The notice must take the form of an affidavit sworn to by the applicant before a person who is authorized by state law to administer oaths or of an unsworn declaration executed under penalty of perjury of the laws of the United States. You must provide the written notification within 30 days of the occurrence of the change. If you fail to make timely notification of such a change, you will be deemed to have failed to cooperate under § 26.109(c).

(j) If you are a DBE, you must provide to the recipient, every year on the anniversary of the date of your certification, an affidavit sworn to by the firm's owners before a person who is authorized by state law to administer oaths or an unsworn declaration executed under penalty of perjury of the laws of the United States. This affidavit must affirm that there have been no changes in the firm's circumstances affecting its ability to meet size, disadvantaged status, ownership, or control requirements of this part or any material changes in the information provided in its application form, except for changes about which you have notified the recipient under paragraph (i) of this section. The affidavit shall specifically affirm that your firm continues to meet SBA business size criteria and the overall gross receipts cap of this part, documenting this affirmation with supporting documentation of your firm's size and gross receipts. If you fail to provide this affidavit in a timely manner, you will be deemed to have failed to cooperate under § 26.109(c).

(k) If you are a recipient, you must make decisions on applications for certification within 90 days of receiving from the applicant firm all information required under this part. You may extend this time period once, for no more than an additional 60 days, upon written notice to the firm, explaining fully and specifically the reasons for the extension. You may establish a different time frame in your DBE program, upon a showing that this time frame is not feasible, and subject to the approval of the concerned operating administration. Your failure to make a decision by the applicable deadline under this paragraph is deemed a constructive denial of the application, on the basis of which the firm may appeal to DOT under § 26.89.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35555, June 16, 2003]

§ 26.84 How do recipients process applications submitted pursuant to the DOT/SBA MOU?

(a) When an SBA-certified firm applies for certification pursuant to the DOT/SBA MOU, you must accept the certification applications, forms and packages submitted by a firm to the SBA for either the 8(a) BD or SDB programs, in lieu of requiring the applicant firm to complete your own application forms and packages. The applicant may submit the package directly, or may request that the SBA forward the package to you. Pursuant to the MOU, the SBA will forward the package within thirty days.

(b) If necessary, you may request additional relevant information from the SBA. The SBA will provide this additional material within forty-five days of your written request.

(c) Before certifying a firm based on its 8(a) BD or SDB certification, you must conduct an on-site review of the firm (*see* § 26.83(c)(1)). If the SBA conducted an on-site review, you may rely on the SBA's report of the on-site review. In connection with this review, you may also request additional relevant information from the firm.

(d) Unless you determine, based on the on-site review and information obtained in connection with it, that the firm does not meet the eligibility requirements of Subpart D of this part, you must certify the firm.

(e) You are not required to process an application for certification from an SBA-certified firm having its principal place of business outside the state(s) in which you operate unless there is a report of a "home state" on-site review on which you may rely.

(f) You are not required to process an application for certification from an SBA-certified firm if the firm does not provide products or services that you use in your DOT-assisted programs or airport concessions.

[68 FR 35555, June 16, 2003]

§ 26.85 How do recipients respond to requests from DBE-certified firms or the SBA made pursuant to the DOT/SBA MOU?

(a) Upon receipt of a signed, written request from a DBE-certified firm, you must transfer to the SBA a copy of the firm's application package. You must transfer this information within thirty days of receipt of the request.

(b) If necessary, the SBA may make a written request to the recipient for additional materials (*e.g.*, the report of the on-site review). You must provide a copy of this material to the SBA within forty-five days of the additional request.

(c) You must provide appropriate assistance to SBA-certified firms, including providing information pertaining to the DBE application process, filing locations, required documentation and status of applications.

[68 FR 35555, June 16, 2003]

§ 26.86 What rules govern recipients' denials of initial requests for certification?

(a) When you deny a request by a firm, which is not currently certified with you, to be certified as a DBE, you must provide the firm a written explanation of the reasons for the denial, specifically referencing the evidence in the record that supports each reason for the denial. All documents and other information on which the denial is based must be made available to the applicant, on request.

(b) When you deny DBE certification to a firm certified by the SBA, you must notify the SBA in writing. The

notification must include the reason for denial.

(c) When a firm is denied certification, you must establish a time period of no more than twelve months that must elapse before the firm may reapply to the recipient for certification. You may provide, in your DBE program, subject to approval by the concerned operating administration, a shorter waiting period for reapplication. The time period for reapplication begins to run on the date the explanation required by paragraph (a) of this section is received by the firm.

(d) When you make an administratively final denial of certification concerning a firm, the firm may appeal the denial to the Department under § 26.89.

[64 FR 5126, Feb. 2, 1999. Redesignated and amended at 68 FR 35555, June 16, 2003]

§ 26.87 What procedures does a recipient use to remove a DBE's eligibility?

(a) *Ineligibility complaints.* (1) Any person may file with you a written complaint alleging that a currently-certified firm is ineligible and specifying the alleged reasons why the firm is ineligible. You are not required to accept a general allegation that a firm is ineligible or an anonymous complaint. The complaint may include any information or arguments supporting the complainant's assertion that the firm is ineligible and should not continue to be certified. Confidentiality of complainants' identities must be protected as provided in § 26.109(b).

(2) You must review your records concerning the firm, any material provided by the firm and the complainant, and other available information. You may request additional information from the firm or conduct any other investigation that you deem necessary.

(3) If you determine, based on this review, that there is reasonable cause to believe that the firm is ineligible, you must provide written notice to the firm that you propose to find the firm ineligible, setting forth the reasons for the proposed determination. If you determine that such reasonable cause does not exist, you must notify the complainant and the firm in writing of this determination and the reasons for it. All statements of reasons for findings on the issue of reasonable cause must specifically reference the evidence in the record on which each reason is based.

(b) *Recipient-initiated proceedings.* If, based on notification by the firm of a change in its circumstances or other information that comes to your attention, you determine that there is reasonable cause to believe that a currently certified firm is ineligible, you must provide written notice to the firm that you propose to find the firm ineligible, setting forth the reasons for the proposed determination. The statement of reasons for the finding of reasonable cause must specifically reference the evidence in the record on which each reason is based.

(c) *DOT directive to initiate proceeding.* (1) If the concerned operating administration determines that information in your certification records, or other information available to the concerned operating administration, provides reasonable cause to believe that a firm you certified does not meet the eligibility criteria of this part, the concerned operating administration may direct you to initiate a proceeding to remove the firm's certification.

(2) The concerned operating administration must provide you and the firm a notice setting forth the reasons for the directive, including any relevant documentation or other information.

(3) You must immediately commence and prosecute a proceeding to remove eligibility as provided by paragraph (b) of this section.

(d) *Hearing.* When you notify a firm that there is reasonable cause to remove its eligibility, as provided in paragraph (a), (b), or (c) of this section, you must give the firm an opportunity for an informal hearing, at which the firm may respond to the reasons for the proposal to remove its eligibility in person and provide information and arguments concerning why it should remain certified.

(1) In such a proceeding, you bear the burden of proving, by a preponderance of the evidence, that the firm does not meet the certification standards of this part.

(2) You must maintain a complete record of the hearing, by any means acceptable under state law for the retention of a verbatim record of an administrative hearing. If there is an appeal to DOT under § 26.89, you must provide a transcript of the hearing to DOT and, on request, to the firm. You must retain the original record of the hearing. You may charge the firm only for the cost of copying the record.

(3) The firm may elect to present information and arguments in writing, without going to a hearing. In such a situation, you bear the same burden of proving, by a preponderance of the evidence, that the firm does not meet the certification standards, as you would during a hearing.

(e) *Separation of functions.* You must ensure that the decision in a proceeding to remove a firm's eligibility is made by an office and personnel that did not take part in actions leading to or seeking to implement the proposal to remove the firm's eligibility and are not subject, with respect to the matter, to direction from the office or personnel who did take part in these actions.

(1) Your method of implementing this requirement must be made part of your DBE program.

(2) The decisionmaker must be an individual who is knowledgeable about the certification requirements of your DBE program and this part.

(3) Before a UCP is operational in its state, a small airport or small transit authority (*i.e.*, an airport or transit authority serving an area with less than 250,000 population) is required to meet this requirement only to the extent feasible.

(f) *Grounds for decision.* You must not base a decision to remove eligibility on a reinterpretation or changed opinion of information available to the recipient at the time of its certification of the firm. You may base such a decision only on one or more of the following:

(1) Changes in the firm's circumstances since the certification of the firm by the recipient that render the firm unable to meet the eligibility standards of this part;

(2) Information or evidence not available to you at the time the firm was certified;

(3) Information that was concealed or misrepresented by the firm in previous certification actions by a recipient;

(4) A change in the certification standards or requirements of the Department since you certified the firm; or

(5) A documented finding that your determination to certify the firm was factually erroneous.

(g) *Notice of decision.* Following your decision, you must provide the firm written notice of the decision and the reasons for it, including specific references to the evidence in the record that supports each reason for the decision. The notice must inform the firm of the consequences of your decision and of the availability of an appeal to the Department of Transportation under § 26.89. You must send copies of the notice to the complainant in an ineligibility complaint or the concerned operating administration that had directed you to initiate the proceeding.

(h) When you decertify a DBE firm certified by the SBA, you must notify the SBA in writing. The notification must include the reason for denial.

(i) *Status of firm during proceeding.* (1) A firm remains an eligible DBE during the pendancy of your proceeding to remove its eligibility.

(2) The firm does not become ineligible until the issuance of the notice provided for in paragraph (g) of this section.

(j) *Effects of removal of eligibility.* When you remove a firm's eligibility, you must take the following action:

(1) When a prime contractor has made a commitment to using the ineligible firm, or you have made a commitment to using a DBE prime contractor, but a subcontract or contract has not been executed before you issue the decertification notice provided for in paragraph (g) of this section, the ineligible firm does not count toward the contract goal or overall goal. You must direct the prime contractor to meet the contract goal with an eligible DBE firm or demonstrate to you that it has made a good faith effort to do so.

(2) If a prime contractor has executed a subcontract with the firm before you have notified the firm of its ineligibility, the prime contractor may continue to use the firm on the contract

and may continue to receive credit toward its DBE goal for the firm's work. In this case, or in a case where you have let a prime contract to the DBE that was later ruled ineligible, the portion of the ineligible firm's performance of the contract remaining after you issued the notice of its ineligibility shall not count toward your overall goal, but may count toward the contract goal.

(3) *Exception:* If the DBE's ineligibility is caused solely by its having exceeded the size standard during the performance of the contract, you may continue to count its participation on that contract toward overall and contract goals.

(k) *Availability of appeal.* When you make an administratively final removal of a firm's eligibility under this section, the firm may appeal the removal to the Department under § 26.89.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35556, June 16, 2003]

§ 26.89 What is the process for certification appeals to the Department of Transportation?

(a)(1) If you are a firm that is denied certification or whose eligibility is removed by a recipient, including SBA-certified firms applying pursuant to the DOT/SBA MOU, you may make an administrative appeal to the Department.

(2) If you are a complainant in an ineligibility complaint to a recipient (including the concerned operating administration in the circumstances provided in § 26.87(c)), you may appeal to the Department if the recipient does not find reasonable cause to propose removing the firm's eligibility or, following a removal of eligibility proceeding, determines that the firm is eligible.

(3) Send appeals to the following address: Department of Transportation, Office of Civil Rights, 1200 New Jersey Avenue, SE., Washington, DC 20590.

(b) Pending the Department's decision in the matter, the recipient's decision remains in effect. The Department does not stay the effect of the recipient's decision while it is considering an appeal.

(c) If you want to file an appeal, you must send a letter to the Department within 90 days of the date of the recipient's final decision, including information and arguments concerning why the recipient's decision should be reversed. The Department may accept an appeal filed later than 90 days after the date of the decision if the Department determines that there was good cause for the late filing of the appeal.

(1) If you are an appellant who is a firm which has been denied certification, whose certification has been removed, whose owner is determined not to be a member of a designated disadvantaged group, or concerning whose owner the presumption of disadvantage has been rebutted, your letter must state the name and address of any other recipient which currently certifies the firm, which has rejected an application for certification from the firm or removed the firm's eligibility within one year prior to the date of the appeal, or before which an application for certification or a removal of eligibility is pending. Failure to provide this information may be deemed a failure to cooperate under § 26.109(c).

(2) If you are an appellant other than one described in paragraph (c)(1) of this section, the Department will request, and the firm whose certification has been questioned shall promptly provide, the information called for in paragraph (c)(1) of this section. Failure to provide this information may be deemed a failure to cooperate under § 26.109(c).

(d) When it receives an appeal, the Department requests a copy of the recipient's complete administrative record in the matter. If you are the recipient, you must provide the administrative record, including a hearing transcript, within 20 days of the Department's request. The Department may extend this time period on the basis of a recipient's showing of good cause. To facilitate the Department's review of a recipient's decision, you must ensure that such administrative records are well organized, indexed, and paginated. Records that do not comport with these requirements are not acceptable and will be returned to you to be corrected immediately. If an

appeal is brought concerning one recipient's certification decision concerning a firm, and that recipient relied on the decision and/or administrative record of another recipient, this requirement applies to both recipients involved.

(e) The Department makes its decision based solely on the entire administrative record. The Department does not make a de novo review of the matter and does not conduct a hearing. The Department may supplement the administrative record by adding relevant information made available by the DOT Office of Inspector General; Federal, state, or local law enforcement authorities; officials of a DOT operating administration or other appropriate DOT office; a recipient; or a firm or other private party.

(f) As a recipient, when you provide supplementary information to the Department, you shall also make this information available to the firm and any third-party complainant involved, consistent with Federal or applicable state laws concerning freedom of information and privacy. The Department makes available, on request by the firm and any third-party complainant involved, any supplementary information it receives from any source.

(1) The Department affirms your decision unless it determines, based on the entire administrative record, that your decision is unsupported by substantial evidence or inconsistent with the substantive or procedural provisions of this part concerning certification.

(2) If the Department determines, after reviewing the entire administrative record, that your decision was unsupported by substantial evidence or inconsistent with the substantive or procedural provisions of this part concerning certification, the Department reverses your decision and directs you to certify the firm or remove its eligibility, as appropriate. You must take the action directed by the Department's decision immediately upon receiving written notice of it.

(3) The Department is not required to reverse your decision if the Department determines that a procedural error did not result in fundamental unfairness to the appellant or substantially prejudice the opportunity of the appellant to present its case.

(4) If it appears that the record is incomplete or unclear with respect to matters likely to have a significant impact on the outcome of the case, the Department may remand the record to you with instructions seeking clarification or augmentation of the record before making a finding. The Department may also remand a case to you for further proceedings consistent with Department instructions concerning the proper application of the provisions of this part.

(5) The Department does not uphold your decision based on grounds not specified in your decision.

(6) The Department's decision is based on the status and circumstances of the firm as of the date of the decision being appealed.

(7) The Department provides written notice of its decision to you, the firm, and the complainant in an ineligibility complaint. A copy of the notice is also sent to any other recipient whose administrative record or decision has been involved in the proceeding (*see* paragraph (d) of this section). The Department will also notify the SBA in writing when DOT takes an action on an appeal that results in or confirms a loss of eligibility to any SBA-certified firm. The notice includes the reasons for the Department's decision, including specific references to the evidence in the record that supports each reason for the decision.

(8) The Department's policy is to make its decision within 180 days of receiving the complete administrative record. If the Department does not make its decision within this period, the Department provides written notice to concerned parties, including a statement of the reason for the delay and a date by which the appeal decision will be made.

(g) All decisions under this section are administratively final, and are not subject to petitions for reconsideration.

[64 FR 5126, Feb. 2, 1999, as amended at 65 FR 68951, Nov. 15, 2000; 68 FR 35556, June 16, 2003; 73 FR 33329, June 12, 2008]

§ 26.91 What actions do recipients take following DOT certification appeal decisions?

(a) If you are the recipient from whose action an appeal under § 26.89 is taken, the decision is binding. It is not binding on other recipients.

(b) If you are a recipient to which a DOT determination under § 26.89 is applicable, you must take the following action:

(1) If the Department determines that you erroneously certified a firm, you must remove the firm's eligibility on receipt of the determination, without further proceedings on your part. Effective on the date of your receipt of the Department's determination, the consequences of a removal of eligibility set forth in § 26.87(i) take effect.

(2) If the Department determines that you erroneously failed to find reasonable cause to remove the firm's eligibility, you must expeditiously commence a proceeding to determine whether the firm's eligibility should be removed, as provided in § 26.87.

(3) If the Department determines that you erroneously declined to certify or removed the eligibility of the firm, you must certify the firm, effective on the date of your receipt of the written notice of Department's determination.

(4) If the Department determines that you erroneously determined that the presumption of social and economic disadvantage either should or should not be deemed rebutted, you must take appropriate corrective action as determined by the Department.

(5) If the Department affirms your determination, no further action is necessary.

(c) Where DOT has upheld your denial of certification to or removal of eligibility from a firm, or directed the removal of a firm's eligibility, other recipients with whom the firm is certified may commence a proceeding to remove the firm's eligibility under § 26.87. Such recipients must not remove the firm's eligibility absent such a proceeding. Where DOT has reversed your denial of certification to or removal of eligibility from a firm, other recipients must take the DOT action into account in any certification action involving the firm. However, other recipients are not required to certify the firm based on the DOT decision.

Subpart F—Compliance and Enforcement

§ 26.101 What compliance procedures apply to recipients?

(a) If you fail to comply with any requirement of this part, you may be subject to formal enforcement action under § 26.103 or § 26.105 or appropriate program sanctions by the concerned operating administration, such as the suspension or termination of Federal funds, or refusal to approve projects, grants or contracts until deficiencies are remedied. Program sanctions may include, in the case of the FHWA program, actions provided for under 23 CFR 1.36; in the case of the FAA program, actions consistent with 49 U.S.C. 47106(d), 47111(d), and 47122; and in the case of the FTA program, any actions permitted under 49 U.S.C. chapter 53 or applicable FTA program requirements.

(b) As provided in statute, you will not be subject to compliance actions or sanctions for failing to carry out any requirement of this part because you have been prevented from complying because a Federal court has issued a final order in which the court found that the requirement is unconstitutional.

§ 26.103 What enforcement actions apply in FHWA and FTA programs?

The provisions of this section apply to enforcement actions under FHWA and FTA programs:

(a) *Noncompliance complaints.* Any person who believes that a recipient has failed to comply with its obligations under this part may file a written complaint with the concerned operating administration's Office of Civil Rights. If you want to file a complaint, you must do so no later than 180 days after the date of the alleged violation or the date on which you learned of a continuing course of conduct in violation of this part. In response to your written request, the Office of Civil Rights may extend the time for filing in the interest of justice, specifying in writing the reason for so doing. The Office of Civil Rights may protect the

confidentiality of your identity as provided in §26.109(b). Complaints under this part are limited to allegations of violation of the provisions of this part.

(b) *Compliance reviews.* The concerned operating administration may review the recipient's compliance with this part at any time, including reviews of paperwork and on-site reviews, as appropriate. The Office of Civil Rights may direct the operating administration to initiate a compliance review based on complaints received.

(c) *Reasonable cause notice.* If it appears, from the investigation of a complaint or the results of a compliance review, that you, as a recipient, are in noncompliance with this part, the appropriate DOT office promptly sends you, return receipt requested, a written notice advising you that there is reasonable cause to find you in noncompliance. The notice states the reasons for this finding and directs you to reply within 30 days concerning whether you wish to begin conciliation.

(d) *Conciliation.* (1) If you request conciliation, the appropriate DOT office shall pursue conciliation for at least 30, but not more than 120, days from the date of your request. The appropriate DOT office may extend the conciliation period for up to 30 days for good cause, consistent with applicable statutes.

(2) If you and the appropriate DOT office sign a conciliation agreement, then the matter is regarded as closed and you are regarded as being in compliance. The conciliation agreement sets forth the measures you have taken or will take to ensure compliance. While a conciliation agreement is in effect, you remain eligible for FHWA or FTA financial assistance.

(3) The concerned operating administration shall monitor your implementation of the conciliation agreement and ensure that its terms are complied with. If you fail to carry out the terms of a conciliation agreement, you are in noncompliance.

(4) If you do not request conciliation, or a conciliation agreement is not signed within the time provided in paragraph (d)(1) of this section, then enforcement proceedings begin.

(e) *Enforcement actions.* (1) Enforcement actions are taken as provided in this subpart.

(2) Applicable findings in enforcement proceedings are binding on all DOT offices.

§26.105 What enforcement actions apply in FAA programs?

(a) Compliance with all requirements of this part by airport sponsors and other recipients of FAA financial assistance is enforced through the procedures of Title 49 of the United States Code, including 49 U.S.C. 47106(d), 47111(d), and 47122, and regulations implementing them.

(b) The provisions of §26.103(b) and this section apply to enforcement actions in FAA programs.

(c) Any person who knows of a violation of this part by a recipient of FAA funds may file a complaint under 14 CFR part 16 with the Federal Aviation Administration Office of Chief Counsel.

§26.107 What enforcement actions apply to firms participating in the DBE program?

(a) If you are a firm that does not meet the eligibility criteria of subpart D of this part and that attempts to participate in a DOT-assisted program as a DBE on the basis of false, fraudulent, or deceitful statements or representations or under circumstances indicating a serious lack of business integrity or honesty, the Department may initiate suspension or debarment proceedings against you under 49 CFR part 29.

(b) If you are a firm that, in order to meet DBE contract goals or other DBE program requirements, uses or attempts to use, on the basis of false, fraudulent or deceitful statements or representations or under circumstances indicating a serious lack of business integrity or honesty, another firm that does not meet the eligibility criteria of subpart D of this part, the Department may initiate suspension or debarment proceedings against you under 49 CFR part 29.

(c) In a suspension or debarment proceeding brought under paragraph (a) or (b) of this section, the concerned operating administration may consider the fact that a purported DBE has been

certified by a recipient. Such certification does not preclude the Department from determining that the purported DBE, or another firm that has used or attempted to use it to meet DBE goals, should be suspended or debarred.

(d) The Department may take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, against any participant in the DBE program whose conduct is subject to such action under 49 CFR part 31.

(e) The Department may refer to the Department of Justice, for prosecution under 18 U.S.C. 1001 or other applicable provisions of law, any person who makes a false or fraudulent statement in connection with participation of a DBE in any DOT-assisted program or otherwise violates applicable Federal statutes.

§ 26.109 What are the rules governing information, confidentiality, cooperation, and intimidation or retaliation?

(a) *Availability of records.* (1) In responding to requests for information concerning any aspect of the DBE program, the Department complies with provisions of the Federal Freedom of Information and Privacy Acts (5 U.S.C. 552 and 552a). The Department may make available to the public any information concerning the DBE program release of which is not prohibited by Federal law.

(2) Notwithstanding any provision of Federal or state law, you must not release information that may be reasonably be construed as confidential business information to any third party without the written consent of the firm that submitted the information. This includes applications for DBE certification and supporting documentation. However, you must transmit this information to DOT in any certification appeal proceeding under § 26.89 in which the disadvantaged status of the individual is in question.

(b) *Confidentiality of information on complainants.* Notwithstanding the provisions of paragraph (a) of this section, the identity of complainants shall be kept confidential, at their election. If such confidentiality will hinder the investigation, proceeding or hearing, or result in a denial of appropriate administrative due process to other parties, the complainant must be advised for the purpose of waiving the privilege. Complainants are advised that, in some circumstances, failure to waive the privilege may result in the closure of the investigation or dismissal of the proceeding or hearing. FAA follows the procedures of 14 CFR part 16 with respect to confidentiality of information in complaints.

(c) *Cooperation.* All participants in the Department's DBE program (including, but not limited to, recipients, DBE firms and applicants for DBE certification, complainants and appellants, and contractors using DBE firms to meet contract goals) are required to cooperate fully and promptly with DOT and recipient compliance reviews, certification reviews, investigations, and other requests for information. Failure to do so shall be a ground for appropriate action against the party involved (e.g., with respect to recipients, a finding of noncompliance; with respect to DBE firms, denial of certification or removal of eligibility and/or suspension and debarment; with respect to a complainant or appellant, dismissal of the complaint or appeal; with respect to a contractor which uses DBE firms to meet goals, findings of non-responsibility for future contracts and/or suspension and debarment).

(d) *Intimidation and retaliation.* If you are a recipient, contractor, or any other participant in the program, you must not intimidate, threaten, coerce, or discriminate against any individual or firm for the purpose of interfering with any right or privilege secured by this part or because the individual or firm has made a complaint, testified, assisted, or participated in any manner in an investigation, proceeding, or hearing under this part. If you violate this prohibition, you are in noncompliance with this part.

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35556, June 16, 2003]

APPENDIX A TO PART 26—GUIDANCE CONCERNING GOOD FAITH EFFORTS

I. When, as a recipient, you establish a contract goal on a DOT-assisted contract, a bidder must, in order to be responsible and/or responsive, make good faith efforts to

meet the goal. The bidder can meet this requirement in either of two ways. First, the bidder can meet the goal, documenting commitments for participation by DBE firms sufficient for this purpose. Second, even if it doesn't meet the goal, the bidder can document adequate good faith efforts. This means that the bidder must show that it took all necessary and reasonable steps to achieve a DBE goal or other requirement of this part which, by their scope, intensity, and appropriateness to the objective, could reasonably be expected to obtain sufficient DBE participation, even if they were not fully successful.

II. In any situation in which you have established a contract goal, part 26 requires you to use the good faith efforts mechanism of this part. As a recipient, it is up to you to make a fair and reasonable judgment whether a bidder that did not meet the goal made adequate good faith efforts. It is important for you to consider the quality, quantity, and intensity of the different kinds of efforts that the bidder has made. The efforts employed by the bidder should be those that one could reasonably expect a bidder to take if the bidder were actively and aggressively trying to obtain DBE participation sufficient to meet the DBE contract goal. Mere *pro forma* efforts are not good faith efforts to meet the DBE contract requirements. We emphasize, however, that your determination concerning the sufficiency of the firm's good faith efforts is a judgment call: meeting quantitative formulas is not required.

III. The Department also strongly cautions you against requiring that a bidder meet a contract goal (*i.e.*, obtain a specified amount of DBE participation) in order to be awarded a contract, even though the bidder makes an adequate good faith efforts showing. This rule specifically prohibits you from ignoring *bona fide* good faith efforts.

IV. The following is a list of types of actions which you should consider as part of the bidder's good faith efforts to obtain DBE participation. It is not intended to be a mandatory checklist, nor is it intended to be exclusive or exhaustive. Other factors or types of efforts may be relevant in appropriate cases.

A. Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising and/or written notices) the interest of all certified DBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within sufficient time to allow the DBEs to respond to the solicitation. The bidder must determine with certainty if the DBEs are interested by taking appropriate steps to follow up initial solicitations.

B. Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goals will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.

C. Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.

D. (1) Negotiating in good faith with interested DBEs. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.

(2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBEs is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Prime contractors are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.

E. Not rejecting DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The contractor's standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations (for example union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the contractor's efforts to meet the project goal.

F. Making efforts to assist interested DBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or contractor.

G. Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.

H. Effectively using the services of available minority/women community organizations; minority/women contractors' groups; local, state, and Federal minority/women

business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs.

V. In determining whether a bidder has made good faith efforts, you may take into account the performance of other bidders in meeting the contract. For example, when the apparent successful bidder fails to meet the contract goal, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts, the apparent successful bidder could have met the goal. If the apparent successful bidder fails to meet the goal, but meets or exceeds the average DBE participation obtained by other bidders, you may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made good faith efforts.

APPENDIX B TO PART 26—UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS FORM

INSTRUCTIONS FOR COMPLETING THE UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS

1. Indicate the DOT Operating Administration (OA) that provides your Federal financial assistance. If assistance comes from more than one OA, use separate reporting forms for each OA. If you are an FTA recipient, indicate your Vendor Number in the space provided.

2. If you are an FAA recipient, indicate the relevant AIP Numbers covered by this report. If more than six, attach a separate sheet.

3. Specify the Federal fiscal year (i.e., October 1 – September 30) in which the covered reporting period falls.

4. State the date of submission of this report.

5. Check the appropriate box that indicates the reporting period that the data provided in this report covers. If this report is due June 1, data should cover October 1 – March 31. If this report is due December 1, data should cover April 1 – September 30. If this report is due to the FAA, data should cover the entire year.

6. Name of the recipient.

7. State your annual DBE goal(s) established for the Federal fiscal year of this report to be submitted to and approved by the relevant OA. Your Overall Goal is to be reported as well as the breakdown for specific Race Conscious and Race Neutral Goals (both of which include gender-conscious/neutral goals). The Race Conscious Goal portion should be based on programs that focus on and provide benefits only for DBEs. The use of contract goals is a primary example of a Race Conscious measure. The Race Neutral Goal portion should include programs that, while benefiting DBEs, are not solely focused on DBE firms. For example, a small business outreach program, technical assistance, and prompt payment clauses can assist a wide variety of businesses in addition to helping DBE firms.

8-9. The amounts in items 8(A)-9(I) should include all types of prime contracts awarded and all types of subcontracts awarded or committed, including: professional or consultant services, construction, purchase of materials or supplies, lease or purchase of equipment and any other types of services. All dollar amounts are to reflect only the Federal share of such contracts, and should be rounded to the nearest dollar.

8(A). Provide the total dollar amount for all prime contracts assisted with DOT funds that were awarded during this reporting period.

8(B). Provide the total number of all prime contracts assisted with DOT funds that were awarded during this reporting period.

8(C). From the total dollar amount awarded in item 8(A), provide the dollar amount awarded to certified DBEs during this reporting period.

8(D). From the total number of prime contracts awarded in item 8(B), specify the number awarded to certified DBEs during this reporting period.

8(E). From the total dollars awarded in 8(C), provide the dollar amount awarded to DBEs though the use of Race Conscious methods. See the definition of Race Conscious Goal in item 7 and the explanation of project types in item 8 to include in your calculation.

8(F). From the total number of prime contracts awarded in 8(D), specify the number awarded to DBEs through Race Conscious methods.

8(G). From the total dollar amount awarded in item 8(C), provide the dollar amount awarded to certified DBEs through the use of Race Neutral methods. See the definition of Race Neutral Goal in item 7 and the explanation of project types in item 8 to include.

8(H). From the total number of prime contracts awarded in 8(D), specify the number awarded to DBEs through Race Neutral methods.

8(I). Of all prime contracts awarded this reporting period, calculate the percentage going to DBEs. Divide the dollar amount in item 8(C) by the dollar amount in item 8(A) to derive this percentage. Round percentage to the nearest tenth.

9(A)-9(I). Items 9(A)-9(I) are derived in the same way as items 8(A)-8(I), except that these calculations should be based on subcontracts rather than prime contracts. Unlike prime contracts, which may only be awarded, subcontracts may be either awarded or committed.

10(A)-11(I). For all DBEs awarded prime contracts and awarded or committed subcontracts as indicated in 8(C)-(D) and 9(C)-(D), break the data down further by total dollar amount as well as the number of all contracts going to each ethnic group as well as to non-minority women. The "Other" category includes those DBEs who are not members of the presumptively disadvantaged groups already listed, but who are determined eligible for the DBE program on an individual basis (e.g. a Caucasian male with a disability). The TOTALS value in 10(H) should equal the sum of 8(C) plus 9(C), and similarly, the TOTALS value in 11(H) should equal the sum of 8(D) plus 9(D). Column I should only be filled out if this report is due on December 1, as indicated in item 5. The values for this column are derived by adding the values reported in column H in your first report with the values reported in this second report.

12(A). Provide the total number of prime contracts completed during this reporting period that had Race Conscious goals. Race Conscious contracts are those with contract goals or another Race Conscious measure.

12(B). Provide the total dollar value of prime contracts completed this reporting period that had Race Conscious goals.

12(C). Provide the total dollar amount of DBE participation on all Race Conscious prime contracts completed this reporting period that was necessary to meet the contract goals on them. This applies only to Race Conscious prime contracts.

12(D). Provide the actual total DBE participation in dollars on the race conscious prime contracts completed this reporting period.

12(E). Of all the prime contracts completed this reporting period, calculate the percentage of DBE participation. Divide the actual total dollar amount in 12(D) by the total dollar value provided in 12(B) to derive this percentage. Round to the nearest tenth.

13(A)-13(E). Items 13(A)-13(E) are derived in the same manner as items 12(A)-12(E), except these figures should be based on Race Neutral prime contacts (i.e. those with no race conscious measures).

14(A)-14(E). Calculate the totals for each column by adding the race conscious and neutral figures provided in each row above.

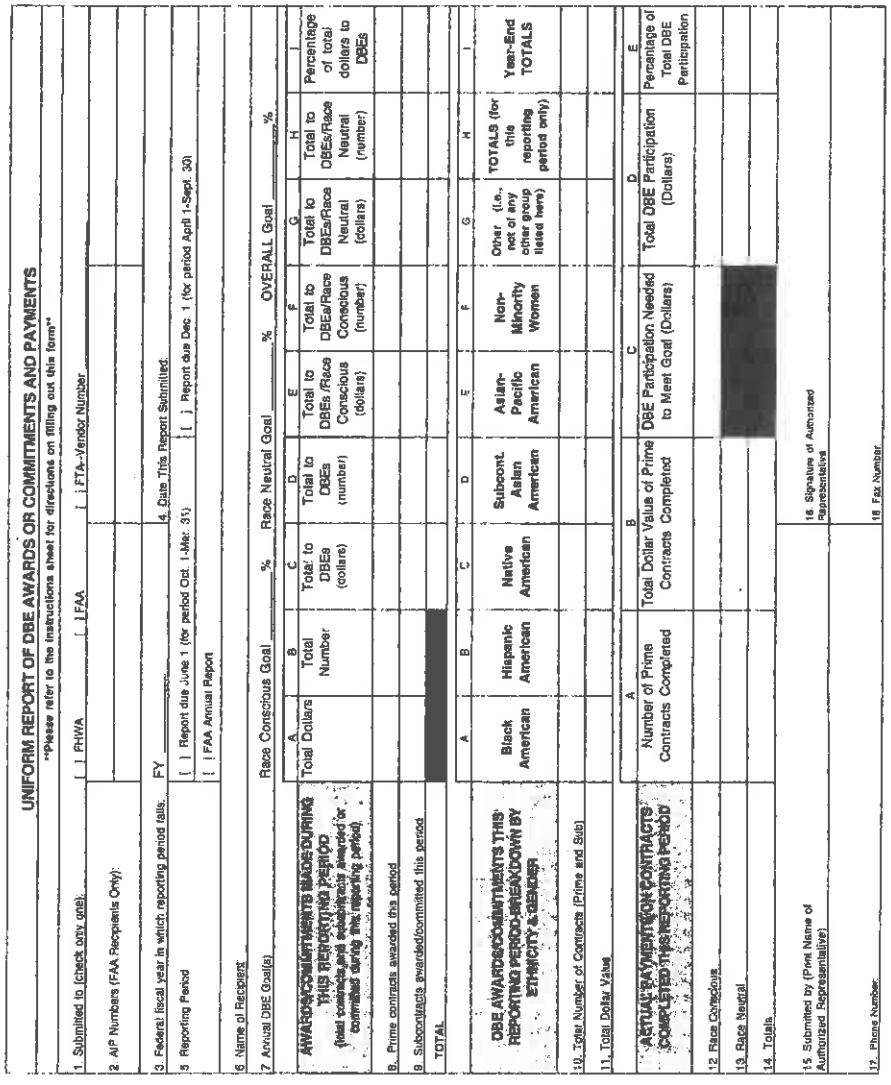
15. Name of the Authorized Representative preparing this form.

16. Signature of the Authorized Representative.

17. Phone number of the Authorized Representative.

18. Fax number of the Authorized Representative.

****Submit your completed report to your Regional or Division Office.**



UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS

Please refer to the instructions sheet for directions on filling out this form

1. Submitted to (check only one): [ ] FHWA [ ] FAA [ ] FTA--Vendor Number

2. AIP Numbers (FAA Recipients Only):

3. Federal fiscal year in which reporting period falls: FY _____ 4. Date This Report Submitted: _____

5. Reporting Period: [ ] Report due June 1 (for period Oct. 1-Mar. 31) [ ] Report due Dec. 1 (for period April 1-Sept. 30)
[ ] FAA Annual Report

6. Name of Recipient:

7. Annual DBE Goal(s): Race Conscious Goal _____% Race Neutral Goal _____% OVERALL Goal _____%

	A	B	C	D	E	F	G	H	I
AWARD/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs /Race Conscious (dollars)	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs
8. Prime contracts awarded this period									
9. Subcontracts awarded/committed this period									
TOTAL									

	A	B	C	D	E	F	G	H	I
DBE AWARDS/COMMITMENTS THIS REPORTING PERIOD BREAKDOWN BY ETHNICITY & GENDER	Black American	Hispanic American	Native American	Subcont. Asian American	Asian-Pacific American	Non-Minority Women	Other (i.e., not of any other group listed here)	TOTALS (for this reporting period only)	Year-End TOTALS
10. Total Number of Contracts (Prime and Sub)									
11. Total Dollar Value									

	A	B	C	D	E
ACTUAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	Number of Prime Contracts Completed	Total Dollar Value of Prime Contracts Completed	DBE Participation Needed to Meet Goal (Dollars)	Total DBE Participation (Dollars)	Percentage of Total DBE Participation
12. Race Conscious					
13. Race Neutral					
14. Totals					

15. Submitted by (Print Name of Authorized Representative): 16. Signature of Authorized Representative

17. Phone Number: 18. Fax Number:

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35556, June 16, 2003]

APPENDIX C TO PART 26—DBE BUSINESS DEVELOPMENT PROGRAM GUIDELINES

The purpose of this program element is to further the development of DBEs, including but not limited to assisting them to move into non-traditional areas of work and/or compete in the marketplace outside the DBE program, via the provision of training and assistance from the recipient.

(A) Each firm that participates in a recipient's business development program (BDP) program is subject to a program term determined by the recipient. The term should consist of two stages; a developmental stage and a transitional stage.

(B) In order for a firm to remain eligible for program participation, it must continue to meet all eligibility criteria contained in part 26.

(C) By no later than 6 months of program entry, the participant should develop and submit to the recipient a comprehensive business plan setting forth the participant's business targets, objectives and goals. The participant will not be eligible for program benefits until such business plan is submitted and approved by the recipient. The approved business plan will constitute the participant's short and long term goals and the strategy for developmental growth to the point of economic viability in non-traditional areas of work and/or work outside the DBE program.

(D) The business plan should contain at least the following:

(1) An analysis of market potential, competitive environment and other business analyses estimating the program participant's prospects for profitable operation during the term of program participation and after graduation from the program.

(2) An analysis of the firm's strengths and weaknesses, with particular attention paid to the means of correcting any financial, managerial, technical, or labor conditions which could impede the participant from receiving contracts other than those in traditional areas of DBE participation.

(3) Specific targets, objectives, and goals for the business development of the participant during the next two years, utilizing the results of the analysis conducted pursuant to paragraphs (C) and (D)(1) of this appendix;

(4) Estimates of contract awards from the DBE program and from other sources which are needed to meet the objectives and goals for the years covered by the business plan; and

(5) Such other information as the recipient may require.

(E) Each participant should annually review its currently approved business plan with the recipient and modify the plan as may be appropriate to account for any changes in the firm's structure and redefined needs. The currently approved plan should be considered the applicable plan for all program purposes until the recipient approves in writing a modified plan. The recipient should establish an anniversary date for review of the participant's business plan and contract forecasts.

(F) Each participant should annually forecast in writing its need for contract awards for the next program year and the succeeding program year during the review of its business plan conducted under paragraph (E) of this appendix. Such forecast should be included in the participant's business plan. The forecast should include:

(1) The aggregate dollar value of contracts to be sought under the DBE program, reflecting compliance with the business plan;

(2) The aggregate dollar value of contracts to be sought in areas other than traditional areas of DBE participation;

(3) The types of contract opportunities being sought, based on the firm's primary line of business; and

(4) Such other information as may be requested by the recipient to aid in providing effective business development assistance to the participant.

(G) Program participation is divided into two stages; (1) a developmental stage and (2) a transitional stage. The developmental stage is designed to assist participants to overcome their social and economic disadvantage by providing such assistance as may be necessary and appropriate to enable them to access relevant markets and strengthen their financial and managerial skills. The transitional stage of program participation follows the developmental stage and is designed to assist participants to overcome, insofar as practical, their social and economic disadvantage and to prepare the participant for leaving the program.

(H) The length of service in the program term should not be a pre-set time frame for either the developmental or transitional stages but should be figured on the number of years considered necessary in normal progression of achieving the firm's established goals and objectives. The setting of such time could be factored on such items as, but not limited to, the number of contracts, aggregate amount of the contract received, years in business, growth potential, etc.

(I) Beginning in the first year of the transitional stage of program participation, each participant should annually submit for inclusion in its business plan a transition management plan outlining specific steps to promote profitable business operations in areas other than traditional areas of DBE participation after graduation from the program. The transition management plan should be submitted to the recipient at the same time other modifications are submitted pursuant to the annual review under paragraph (E) of this section. The plan should set forth the same information as required under paragraph (F) of steps the participant will take to continue its business development after the expiration of its program term.

(J) When a participant is recognized as successfully completing the program by substantially achieving the targets, objectives and goals set forth in its program term, and has demonstrated the ability to compete in the marketplace, its further participation within the program may be determined by the recipient.

(A) At least one objective distinguishing feature that has contributed to social disadvantage, such as race, ethnic origin, gender, disability, long-term residence in an environment isolated from the mainstream of American society, or other similar causes not common to individuals who are not socially disadvantaged;

(B) Personal experiences of substantial and chronic social disadvantage in American society, not in other countries; and

(C) Negative impact on entry into or advancement in the business world because of the disadvantage. Recipients will consider any relevant evidence in assessing this element. In every case, however, recipients will consider education, employment and business history, where applicable, to see if the totality of circumstances shows disadvantage in entering into or advancing in the business world.

(1) *Education.* Recipients will consider such factors as denial of equal access to institutions of higher education and vocational training, exclusion from social and professional association with students or teachers, denial of educational honors rightfully earned, and social patterns or pressures which discouraged the individual from pursuing a professional or business education.

(2) *Employment.* Recipients will consider such factors as unequal treatment in hiring, promotions and other aspects of professional advancement, pay and fringe benefits, and other terms and conditions of employment; retaliatory or discriminatory behavior by an employer or labor union; and social patterns or pressures which have channeled the individual into non-professional or non-business fields.

(3) *Business history.* The recipient will consider such factors as unequal access to credit or capital, acquisition of credit or capital under commercially unfavorable circumstances, unequal treatment in opportunities for government contracts or other work, unequal treatment by potential customers and business associates, and exclusion from business or professional organizations.

II. With respect to paragraph I.(A) of this appendix, the Department notes that people with disabilities have disproportionately low incomes and high rates of unemployment. Many physical and attitudinal barriers remain to their full participation in education, employment, and business opportunities available to the general public. The Americans with Disabilities Act (ADA) was passed in recognition of the discrimination faced by people with disabilities. It is plausible that many individuals with disabilities—especially persons with severe disabilities (e.g., significant mobility, vision, or hearing impairments)—may be socially and economically disadvantaged.

III. Under the laws concerning social and economic disadvantage, people with disabilities are not a group presumed to be disadvantaged. Nevertheless, recipients should look carefully at individual showings of disadvantage by individuals with disabilities, making a case-by-case judgment about whether such an individual meets the criteria of this appendix. As public entities subject to Title II of the ADA, recipients must also ensure their DBE programs are accessible to individuals with disabilities. For example, physical barriers or the lack of application and information materials in accessible formats cannot be permitted to thwart the access of potential applicants to the certification process or other services made available to DBEs and applicants.

ECONOMIC DISADVANTAGE

(A) *General.* Economically disadvantaged individuals are socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially disadvantaged.

(B) *Submission of narrative and financial information.*

(1) Each individual claiming economic disadvantage must describe the conditions which are the basis for the claim in a narrative statement, and must submit personal financial information.

(2) [Reserved]

(C) *Factors to be considered.* In considering diminished capital and credit opportunities, recipients will examine factors relating to the personal financial condition of any individual claiming disadvantaged status, including personal income for the past two years (including bonuses and the value of company stock given in lieu of cash), personal net worth, and the fair market value of all assets, whether encumbered or not. Recipients will also consider the financial condition of the applicant compared to the financial profiles of small businesses in the same primary industry classification, or, if not available, in similar lines of business, which are not owned and controlled by socially and economically disadvantaged individuals in evaluating the individual's access to credit and capital. The financial profiles that recipients will compare include total assets, net sales, pre-tax profit, sales/working capital ratio, and net worth.

(D) *Transfers within two years.*

(1) Except as set forth in paragraph (D)(2) of this appendix, recipients will attribute to an individual claiming disadvantaged status any assets which that individual has transferred to an immediate family member, or to

(K) In determining whether a concern has substantially achieved the goals and objectives of its business plan, the following factors, among others, should be considered by the recipient:

(1) Profitability;

(2) Sales, including improved ratio of non-traditional contracts to traditional-type contracts;

(3) Net worth, financial ratios, working capital, capitalization, access to credit and capital;

(4) Ability to obtain bonding;

(5) A positive comparison of the DBE's business and financial profile with profiles of non-DBE businesses in the same area or similar business category; and

(6) Good management capacity and capability.

(L) Upon determination by the recipient that the participant should be graduated from the developmental program, the recipient should notify the participant in writing of its intent to graduate the firm in a letter of notification. The letter of notification should set forth findings, based on the facts, for every material issue relating to the basis of the program graduation with specific reasons for each finding. The letter of notification should also provide the participant 45 days from the date of service of the letter to submit in writing information that would explain why the proposed basis of graduation is not warranted.

(M) Participation of a DBE firm in the program may be discontinued by the recipient prior to expiration of the firm's program term for good cause due to the failure of the firm to engage in business practices that will promote its competitiveness within a reasonable period of time as evidenced by, among other indicators, a pattern of inadequate performance or unjustified delinquent performance. Also, the recipient can discontinue the participation of a firm that does not actively pursue and bid on contracts, and a firm that, without justification, regularly fails to respond to solicitations in the type of work it is qualified for and in the geographical areas where it has indicated availability under its approved business plan. The recipient should take such action if over a 2-year period a DBE firm exhibits such a pattern.

APPENDIX D TO PART 26—MENTOR-PROTÉGÉ PROGRAM GUIDELINES

(A) The purpose of this program element is to further the development of DBEs, including but not limited to assisting them to move into non-traditional areas of work and/or compete in the marketplace outside the DBE program, via the provision of training and assistance from other firms. To operate a mentor-protégé program, a recipient must obtain the approval of the concerned operating administration.

(B)(1) Any mentor-protégé relationship shall be based on a written development plan, approved by the recipient, which clearly sets forth the objectives of the parties and their respective roles, the duration of the arrangement and the services and resources to be provided by the mentor to the protégé. The formal mentor-protégé agreement may set a fee schedule to cover the direct and indirect cost for such services rendered by the mentor for specific training and assistance to the protégé through the life of the agreement. Services provided by the mentor may be reimbursable under the FTA, FHWA, and FAA programs.

(2) To be eligible for reimbursement, the mentor's services provided and associated costs must be directly attributable and properly allowable to specific individual contracts. The recipient may establish a line item for the mentor to quote the portion of the fee schedule expected to be provided during the life of the contract. The amount claimed shall be verified by the recipient and paid on an incremental basis representing the time the protégé is working on the contract. The total individual contract figures accumulated over the life of the agreement shall not exceed the amount stipulated in the original mentor/protégé agreement.

(C) DBEs involved in a mentor-protégé agreement must be independent business entities which meet the requirements for certification as defined in subpart D of this part. A protégé firm must be certified *before* it begins participation in a mentor-protégé arrangement. If the recipient chooses to recognize mentor/protégé agreements, it should establish formal general program guidelines. These guidelines must be submitted to the operating administration for approval prior to the recipient executing an individual contractor/ subcontractor mentor-protégé agreement.

APPENDIX E TO PART 26—INDIVIDUAL DETERMINATIONS OF SOCIAL AND ECONOMIC DISADVANTAGE

The following guidance is adapted, with minor modifications, from SBA regulations concerning social and economic disadvantage determinations (see 13 CFR 124.103(c) and 124.104).

SOCIAL DISADVANTAGE

I. Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias within American society because of their identities as members of groups and without regard to their individual qualities. Social disadvantage must stem from circumstances beyond their control. Evidence of individual social disadvantage must include the following elements:

a trust, a beneficiary of which is an immediate family member, for less than fair market value, within two years prior to a concern's application for participation in the DBE program, unless the individual claiming disadvantaged status can demonstrate that the transfer is to or on behalf of an immediate family member for that individual's education, medical expenses, or some other form of essential support.

(2) Recipients will not attribute to an individual claiming disadvantaged status any assets transferred by that individual to an immediate family member that are consistent with the customary recognition of special occasions, such as birthdays, graduations, anniversaries, and retirements.

(3) In determining an individual's access to capital and credit, recipients may consider any assets that the individual transferred within such two-year period described by paragraph (D)(1) of this appendix that are not considered in evaluating the individual's assets and net worth (e.g., transfers to charities).

[64 FR 5126, Feb. 2, 1999, as amended at 68 FR 35559, June 16, 2003]

APPENDIX F TO PART 26—UNIFORM CERTIFICATION APPLICATION FORM

INSTRUCTIONS FOR COMPLETING THE DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM UNIFORM CERTIFICATION APPLICATION

NOTE: *If you require additional space for any question in this application, please attach additional sheets or copies as needed, taking care to indicate on each attached sheet/copy the section and number of this application to which it refers.*

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Check the appropriate box indicating for which program your firm is currently certified. If you are already certified as a DBE, indicate in the appropriate box the name of the certifying agency that has previously certified your firm, and also indicate whether your firm has undergone an onsite visit. If your firm has already undergone an onsite visit/review, indicate the most recent date of that review and the state UCP that conducted the review.

NOTE: If your firm is currently certified under the SBA's 8(a) and/or SDB programs, you may not have to complete this application. You should contact your state UCP to find out about a streamlined application process for firms that are already certified under the 8(a) and SDB programs.

B. Prior/Other Applications and Privileges

Indicate whether your firm or any of the persons listed has ever withdrawn an application for a DBE program or an SBA 8(a) or SDB program, or whether any have ever been denied certification, decertified, debarred, suspended, or had bidding privileges denied or restricted by any state or local agency or Federal entity. If your answer is yes, indicate the date of such action, identify the name of the agency, and explain fully the nature of the action in the space provided.

Section 2: GENERAL INFORMATION

A. Contact Information

(1) State the name and title of the person who will serve as your firm's primary contact under this application.
(2) State the legal name of your firm, as indicated in your firm's Articles of Incorporation or charter.
(3) State the primary phone number of your firm.
(4) State a secondary phone number, if any.
(5) State your firm's fax number, if any.
(6) State your firm's or your contact person's email address.
(7) State your firm's website address, if any.
(8) State the street address of your firm (i.e., the physical location of its offices – not a post office box address).
(9) State the mailing address of your firm, if it is different from your firm's street address.

B. Business Profile

(1) In the box provided, briefly describe the primary business and professional activities in which your firm engages.
(2) State the Federal Tax ID number of your firm as provided on your firm's filed tax returns, if you have one. This could also be the Social Security number of the owner of your firm.
(3) State the date on which your firm was officially established, as stated in your firm's Articles of Incorporation or charter.
(4) State the date on which you and/or each other owner took ownership of the firm.
(5) Check the appropriate box that describes the manner in which you and each other owner acquired ownership of your firm. If you checked "Other," explain in the space provided.
(6) Check the appropriate box that indicates whether your firm is "for profit."

NOTE: If you checked "No," then you do NOT qualify for the DBE program and therefore do not need to complete the rest of this application. The DBE program requires all participating firms be for-profit enterprises.

(7) Check the appropriate box that describes the legal form of ownership of your firm, as indicated in your firm's Articles of Incorporation or charter. If you checked "Other," briefly explain in the space provided.
(8) Check the appropriate box that indicates whether your firm has ever existed under different ownership, a different type of ownership, or a different name. If you checked "Yes," specify which and briefly explain the circumstances in the space provided.
(9) Indicate in the spaces provided how many employees your firm has, specifying the number of employees who work on a full-time and part-time basis.
(10) Specify the total gross receipts of your firm for each of the past three years, as declared in your firm's filed tax returns.

C. Relationships with Other Businesses

(1) Check the appropriate box that indicates whether your firm is co-located at any of its business locations, or whether your firm shares a telephone number(s), a post office box, any office space, a yard, warehouse, other facilities, any equipment, or any office staff with any other business, organization, or entity of any kind. If you answered "Yes," then specify the name of the other firm(s) and briefly explain the nature of the shared facilities or other items in the space provided.
(2) Check the appropriate box that indicates whether at present, or at any time in the past.
  (a) Your firm has been a subsidiary of any other firm;
  (b) Your firm consisted of a partnership in which one or more of the partners are other firms;
  (c) Your firm has owned any percentage of any other firm; and
  (d) Your firm has had any subsidiaries of its own.
(3) Check the appropriate box that indicates whether any other firm has ever had an ownership interest in your firm.

(4) If you answered "Yes" to any of the questions in (2)(a)-(d) or (3), identify the name, address and type of business for each.

D. **Immediate Family Member Businesses**

Check the appropriate box that indicates whether any of your immediate family members own or manage another company. An "immediate family member" is any person who is your father, mother, husband, wife, son, daughter, brother, sister, grandmother, grandfather, grandson, granddaughter, mother-in-law, or father-in-law. If you answered "Yes," provide the name of each relative, your relationship to them, the name of the company they own or manage, the type of business, and whether they own or manage the company.

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below (if your firm has more than one owner, provide completed copies of this section for each additional owner):

A. **Background Information**

(1) Give the name of the owner.
(2) State his/her title or position within your firm.
(3) Give his/her home phone number.
(4) State his/her home (street) address.
(5) Check the appropriate box that indicates this owner's gender.
(6) Check the appropriate box that indicates this owner's ethnicity (check all that apply). If you checked "Other," specify this owner's ethnic group/identity not otherwise listed.
(7) Check the appropriate box to indicate whether this owner is a U.S. citizen.
(8) If this owner is not a U.S. citizen, check the appropriate box that indicates whether this owner is a lawfully admitted permanent resident. If this owner is neither a U.S. citizen nor a lawfully admitted permanent resident of the U.S., then this owner is NOT eligible for certification as a DBE owner. This, however, does not necessarily disqualify your firm altogether from the DBE program if another owner is a U.S. citizen or lawfully admitted permanent resident and meets the program's other qualifying requirements.

B. **Ownership Interest**

(1) State the number of years during which this owner has been an owner of your firm.
(2) Indicate the dollar value of this owner's initial investment to acquire an ownership interest in your firm, broken down by cash, real estate, equipment, and/or other investment.
(3) State the percentage of total ownership control of your firm that this owner possesses.
(4) State the familial relationship of this owner to each other owner of your firm.
(5) Indicate the number, percentage of the total, class, date acquired, and method by which this owner acquired his/her shares of stock in your firm.
(6) Check the appropriate box that indicates whether this owner performs a management or supervisory function for any other business. If you checked "Yes," state the name of the other business and this owner's function or title held in that business.
(7) Check the appropriate box that indicates whether this owner owns or works for any other firm(s) that has any relationship with your firm. If you checked "Yes," identify the name of the other business and this owner's function or title held in that business. Briefly describe the nature of the business relationship in the space provided.

C. **Disadvantaged Status**

NOTE: You only need to complete this section for each owner that is applying for DBE qualification (i.e., for each owner who is claiming to be "socially and economically disadvantaged" and whose ownership interest is to be counted toward the control and 51% ownership requirements of the DBE program)

(1) Indicate in the space provided the total Personal Net Worth (PNW) of each owner who is applying for DBE qualification. Use the PNW calculator form at the end of this application to compute each owner's PNW.
(2) Check the appropriate box that indicates whether any trust has ever been created for the benefit of this disadvantaged owner. If you answered "Yes," briefly explain the nature, history, purpose, and current value of the trust(s).

Section 4: CONTROL

A. **Identify your firm's Officers and Board of Directors:**

(1) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each officer of your firm.
(2) In the space provided, state the name, title, date of appointment, ethnicity, and gender of each individual serving on your firm's Board of Directors.
(3) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
(4) Check the appropriate box that indicates whether any of your firm's officers and/or directors listed above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the officer or director, and the nature of his/her business relationship with that other firm.

B. **Identify your firm's management personnel (by name, title, ethnicity, and gender) who control your firm in the following areas:**

(1) Making financial decisions on your firm's behalf, including the acquisition of lines of credit, surety bonds, supplies, etc.;
(2) Estimating and bidding, including calculation of cost estimates, bid preparation and submission;
(3) Negotiating and contract execution, including participation in any of your firm's negotiations and executing contracts on your firm's behalf;
(4) Hiring and/or firing of management personnel, including interviewing and conducting performance evaluations;
(5) Field/Production operations supervision, including site supervision, scheduling, project management services, etc.;
(6) Office management;
(7) Marketing and sales;
(8) Purchasing of major equipment;
(9) Signing company checks (for any purpose); and
(10) Conducting any other financial transactions on your firm's behalf not otherwise listed.
(11) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business. If you answered "Yes," identify each person by name, his/her title, the name of the other business in which s/he is involved, and his/her function performed in that other business.
(12) Check the appropriate box that indicates whether any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with your firm. If you answered "Yes," identify the name of the firm, the name of the person, and the nature of his/her business relationship with that other firm.

C. Indicate your firm's inventory in the following categories:

(1) Equipment
State the type, make and model, and current dollar value of each piece of equipment held and/or used by your firm. Indicate whether each piece is either owned or leased by your firm.

(2) Vehicles
State the type, make and model, and current dollar value of each motor vehicle held and/or used by your firm. Indicate whether each vehicle is either owned or leased by your firm.

(3) Office Space
State the street address of each office space held and/or used by your firm. Indicate whether your firm owns or leases the office space and the current dollar value of that property or its lease.

(4) Storage Space
State the street address of each storage space held and/or used by your firm. Indicate whether your firm owns or leases the storage space and the current dollar value of that property or its lease.

D. Does your firm rely on any other firm for management functions or employee payroll?
Check the appropriate box that indicates whether your firm relies on any other firm for management functions or for employee payroll. If you answered "Yes," briefly explain the nature of that reliance and the extent to which the other firm carries out such functions.

E. Financial Information
(1) Banking Information
(a) State the name of your firm's bank.
(b) State the main phone number of your firm's bank branch.
(c) State the address of your firm's bank branch.
(2) Bonding Information
(a) State your firm's Binder Number.
(b) State the name of your firm's bond agent and/or broker.
(c) State your agent's/broker's phone number.
(d) State your agent's/broker's address.
(e) State your firm's bonding limits (in dollars), specifying both the Aggregate and Project Limits.

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of persons or firms securing the loan, if other than the listed owner:
State the name and address of each source, the name of the person securing the loan, the original dollar amount and the current balance of each loan, and the purpose for which each loan was made to your firm.

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years:
Indicate in the spaces provided, the type of contribution or asset that was transferred, its current dollar value, the person or firm from whom it was transferred, the person or firm to whom it was transferred, the relationship between the two persons and/or firms, and the date of the transfer.

H. List current licenses/permits held by any owner or employee of your firm.
List the name of each person in your firm who holds a professional license or permit, the type of license or permit, the expiration date of the permit or license, and the license/permit number and issuing State of the license or permit.

I. List the three largest contracts completed by your firm in the past three years, if any.
List the name of each owner or contractor for each contract, the name and location of the projects under each contract, the type of work performed on each contract, and the dollar value of each contract.

J. List the three largest active jobs on which your firm is currently working.
For each active job listed, state the name of the prime contractor and the project number, the location, the type of work performed, the project start date, the anticipated completion date, and the dollar value of the contract.

AFFIDAVIT & SIGNATURE
Carefully read the attached affidavit in its entirety. Fill in the required information for each blank space, and sign and date the affidavit in the presence of a Notary Public, who must then notarize the form.

DISADVANTAGED BUSINESS ENTERPRISE PROGRAM
49 C.F.R. PART 26

UNIFORM CERTIFICATION APPLICATION

ROADMAP FOR APPLICANTS

① **Should I apply?**

- Is your firm at least 51%-owned by a socially and economically disadvantaged individual(s) who also controls the firm?
- Is the disadvantaged owner a U.S. citizen or lawfully admitted permanent resident of the U.S.?
- Is your firm a small business that meets the Small Business Administration's (SBA's) size standard and does not exceed $17.42 million in gross annual receipts?
- Is your firm organized as a for-profit business?

⇒ If you answered "Yes" to all of the questions above, you may be eligible to participate in the U.S. DOT DBE program.

② **Is there an easier way to apply?**
If you are currently certified by the SBA as an 8(a) and/or SDB firm, you may be eligible for a streamlined certification application process. Under this process, the certifying agency to which you are applying will accept your current SBA application package in lieu of requiring you to fill out and submit this form. **NOTE: You must still meet the requirements for the DBE program, including undergoing an on-site review.**

③ **Be sure to attach all of the required documents listed in the Documents Check List at the end of this form with your completed application.**

④ **Where can I find more information?**

- U.S. DOT – http://osdbuweb.dot.gov/business/dbe/index.html (this site provides useful links to the rules and regulations governing the DBE program, questions and answers, and other pertinent information)
- SBA – http://www.ntis.gov/naics (provides a listing of NAICS codes) and http://www.sba.gov/size/indextableofsize.html (provides a listing of NAICS codes)
- 49 CFR Part 26 (the rules and regulations governing the DBE program)

Under Sec. 26.107 of 49 CFR Part 26, dated February 2, 1999, if at any time, the Department or a recipient has reason to believe that any person or firm has willfully and knowingly provided incorrect information or made false statements, the Department may initiate suspension or debarment proceedings against the person or firm under 49 CFR Part 29, Governmentwide Debarment and Suspension (nonprocurement) and Governmentwide Requirements for Drug-free Workplace (grants), take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, and/or refer the matter to the Department of Justice for criminal prosecution under 18 U.S.C. 1001, which prohibits false statements in Federal programs.

Section 1: CERTIFICATION INFORMATION

A. Prior/Other Certifications

Is your firm currently certified for any of the following programs? *(If Yes, check appropriate box(es))*	☐ DBE	Name of certifying agency: Has your firm's state UCP conducted an on-site visit? ☐ Yes, on / / State: ☐ No
	☐ 8(a) ☐ SDB	⊗ **STOP!** If you checked either the 8(a) or SDB box, you may not have to complete this application. Ask your state UCP about the streamlined application process under the SBA-DOT MOU.

B. Prior/Other Applications and Privileges

Has your firm (under any name) or any of its owners, Board of Directors, officers or management personnel, ever withdrawn an application for any of the programs listed above, or ever been denied certification, decertified, or debarred or suspended or otherwise had bidding privileges denied or restricted by any state or local agency, or Federal entity?

☐ Yes, on __/__/___ ☐ No

If Yes, identify State and name of state, local, or Federal agency and explain the nature of the action:

Section 2: GENERAL INFORMATION

A. Contact Information

(1) Contact person and Title:		(2) Legal name of firm:		
(3) Phone #:	(4) Other Phone #:		(5) Fax #:	
(6) E-mail:	(7) Website *(if have one)*:			
(8) Street address of firm *(No P.O. Box)*:	City:	County/Parish:	State:	Zip:
(9) Mailing address of firm *(if different)*:	City:	County/Parish:	State:	Zip:

B. Business Profile

(1) Describe the primary activities of your firm:	(2) Federal Tax ID *(if any)*:
(3) This firm was established on / /	(4) I/We have owned this firm since: / /
(5) Method of acquisition *(check all that apply)*: ☐ Started new business ☐ Bought existing business ☐ Inherited business ☐ Secured concession ☐ Merger or consolidation ☐ Other *(explain)*	
(6) Is your firm "for profit"? ☐ Yes ☐ No	⊗ **STOP!** If your firm is NOT for-profit, then you do NOT qualify for this program and do NOT need to fill out this application.

(7) Type of firm *(check all that apply)*:
- ☐ Sole Proprietorship
- ☐ Partnership
- ☐ Corporation
- ☐ Limited Liability Partnership
- ☐ Limited Liability Corporation
- ☐ Joint Venture
- ☐ Other, Describe:

(8) Has your firm ever existed under different ownership, a different type of ownership, or a different name?
☐ Yes ☐ No
If Yes, explain:

(9) Number of employees: Full-time _____ Part-time _____ Total _____

(10) Specify the gross receipts of the firm for the last 3 years: Year _____ Total receipts $ _____
Year _____ Total receipts $ _____
Year _____ Total receipts $ _____

C. Relationships with Other Businesses

(1) Is your firm co-located at any of its business locations, or does it share a telephone number, P.O. Box, office space, yard, warehouse, facilities, equipment, or office staff, with any other business, organization, or entity?
☐ Yes ☐ No

If Yes, identify: Other Firm's name: _____
Explain nature of shared facilities:

(2) At present, or at any time in the past, has your firm:	(a) been a subsidiary of any other firm?	☐ Yes ☐ No
	(b) consisted of a partnership in which one or more of the partners are other firms?	☐ Yes ☐ No
	(c) owned any percentage of any other firm?	☐ Yes ☐ No
	(d) had any subsidiaries?	☐ Yes ☐ No

(3) Has any other firm had an ownership interest in your firm at present or at any time in the past? ☐ Yes ☐ No

(4) If you answered "Yes" to any of the questions in (2)(a)-(d) and/or (3), identify the following for each *(attach extra sheets, if needed)*:

	Name	Address	Type of Business
1.			
2.			
3.			

D. Immediate Family Member Businesses

Do any of your immediate family members own or manage another company? ☐ Yes ☐ No
If Yes, then list *(attach extra sheets, if needed)*:

	Name	Relationship	Company	Type of Business	Own or Manage?
1.					
2.					

Section 3: OWNERSHIP

Identify all individuals or holding companies with any ownership interest in your firm, providing the information requested below *(If more than one owner, attach separate sheets for each additional owner)*:

A. Background Information

(1) Name:	(2) Title:	(3) Home Phone #:
(4) Home Address (*street and number*):	City:	State: Zip:
(5) Gender: ❑ Male ❑ Female	(6) Ethnic group membership *(Check all that apply)*: ❑ Black ❑ Hispanic ❑ Native American ❑ Asian Pacific ❑ Subcontinent Asian ❑ Other (*specify*) _____	
(7) U.S. Citizen: ❑ Yes ❑ No		
(8) Lawfully Admitted Permanent Resident: ❑ Yes ❑ No		

B. Ownership Interest

(1) Number of years as owner:	(2) Initial investment to acquire ownership interest in firm:	Type	Dollar Value
(3) Percentage owned:		Cash	$
(4) Familial relationship to other owners:		Real Estate	$
		Equipment	$
		Other	$

(5) Shares of Stock: Number Percentage Class Date acquired Method Acquired

(6) Does this owner perform a management or supervisory function for any other business? ❑ Yes ❑ No
If Yes, identify: Name of Business: _____ Function/Title: _____

(7) Does this owner own or work for any other firm(s) that has a relationship with this firm (*e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.*)? ❑ Yes ❑ No

If Yes, identify: Name of Business: _____ Function/Title: _____
Nature of Business Relationship:

C. Disadvantaged Status – NOTE: Complete this section only for each owner applying for DBE qualification (i.e., for each owner claiming to be socially and economically disadvantaged)

(1) What is the Personal Net Worth (PNW) of the owner(s) applying for DBE qualification? *(Use and attach the Personal Net Worth calculator form at the end of this application; attach additional sheets if more than one owner is applying)*

(2) Has any trust been created for the benefit of this disadvantaged owner(s)? ❑ Yes ❑ No
If Yes, explain (*attach additional sheets if needed*):

Section 4: CONTROL

A. **Identify your firm's Officers & Board of Directors** *(If additional space is required, attach a separate sheet)*:

		Name	Title	Date Appointed	Ethnicity	Gender
(1) Officers of the Company	(a)					
	(b)					
	(c)					
	(d)					
	(e)					
(2) Board of Directors	(a)					
	(b)					
	(c)					
	(d)					
	(e)					

(3) Do any of the persons listed in (1) and/or (2) above perform a management or supervisory function for any other business? ☐ Yes ☐ No
If Yes, identify for each: Person: _____ Title: _____
Business: _____ Function: _____

(4) Do any of the persons listed (1) and/or (2) above own or work for any other firm(s) that has a relationship with this firm *(e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.)*? ☐ Yes ☐ No

If Yes, identify for each: Firm Name: _____ Person: _____
Nature of Business Relationship:

B. **Identify your firm's management personnel who control your firm in the following areas** *(If more than two persons, attach a separate sheet)*:

		Name	Title	Ethnicity	Gender
(1) Financial Decisions *(responsibility for acquisition of lines of credit, surety bonding, supplies, etc.)*	a.				
	b.				
(2) Estimating and bidding	a.				
	b.				
(3) Negotiating and Contract Execution	a.				
	b.				
(4) Hiring/firing of management personnel	a.				
	b.				
(5) Field/Production Operations Supervisor	a.				
	b.				
(6) Office management	a.				
	b.				
(7) Marketing/Sales	a.				
	b.				
(8) Purchasing of major equipment	a.				
	b.				
(9) Authorized to Sign Company Checks (for any purpose)	a.				
	b.				
(10) Authorized to make Financial Transactions	a.				
	b.				

(11) Do any of the persons listed in (1) through (10) above perform a management or supervisory function for any other business? ❑ Yes ❑ No
If Yes, identify for each: Person: _____ Title: _____
Business: _____ Function: _____

(12) Do any of the persons listed in (1) through (10) above own or work for any other firm(s) that has a relationship with this firm (*e.g., ownership interest, shared office space, financial investments, equipment, leases, personnel sharing, etc.*)?
❑ Yes ❑ No

If Yes, identify for each: Firm Name: _____ Person: _____
Nature of Business Relationship:

C. Indicate your firm's inventory in the following categories (*attach additional sheets if needed*):

(1) Equipment

Type of Equipment	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(2) Vehicles

Type of Vehicle	Make/Model	Current Value	Owned or Leased?
(a)			
(b)			
(c)			

(3) Office Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

(4) Storage Space

Street Address	Owned or Leased?	Current Value of Property or Lease
(a)		
(b)		

D. Does your firm rely on any other firm for management functions or employee payroll? ❑ Yes ❑ No

If Yes, explain:

E. Financial Information

(1) **Banking Information:**
(a) Name of bank: _____ (b) Phone No: ( ) _____
(c) Address of bank: _____ City: _____ State: _____ Zip: _____

(2) **Bonding Information**: If you have bonding capacity, identify: (a) Binder No: _____
(b) Name of agent/broker _____ (c) Phone No: ( ) _____
(d) Address of agent/broker: _____ City: _____ State: _____ Zip: _____
(e) Bonding limit: Aggregate limit $ _____ Project limit $ _____

F. Identify all sources, amounts, and purposes of money loaned to your firm, including the names of any persons or firms securing the loan, if other than the listed owner:

Name of Source	Address of Source	Name of Person Securing the Loan	Original Amount	Current Balance	Purpose of Loan
1.					
2.					
3.					

G. List all contributions or transfers of assets to/from your firm and to/from any of its owners over the past two years (*attach additional sheets if needed*)**:**

Contribution/Asset	Dollar Value	From Whom Transferred	To Whom Transferred	Relationship	Date of Transfer
1.					
2.					
3.					

H. List current licenses/permits held by any owner and/or employee of your firm (*e.g., contractor, engineer, architect, etc.)(attach additional sheets if needed*)**:**

Name of License/Permit Holder	Type of License/Permit	Expiration Date	License Number and State
1.			
2.			
3.			

I. List the three largest contracts completed by your firm in the past three years, if any:

Name of Owner/Contractor	Name/Location of Project	Type of Work Performed	Dollar Value of Contract
1.			
2.			
3.			

J. List the three largest active jobs on which your firm is currently working:

Name of Prime Contractor and Project Number	Location of Project	Type of Work	Project Start Date	Anticipated Completion Date	Dollar Value of Contract
1.					
2.					
3.					

DBE UNIFORM CERTIFICATION APPLICATION SUPPORTING DOCUMENTS CHECKLIST
In order to complete your application for DBE certification, you must attach copies of all of the following documents as they apply to you and your firm.

All Applicants

- ❑ Work experience resumes (include places of ownership/employment with corresponding dates), for all owners and officers of your firm
- ❑ Personal Financial Statement (form available with this application)
- ❑ Personal tax returns for the past three years, if applicable, for each owner claiming disadvantaged status
- ❑ Your firm's tax returns (gross receipts) and all related schedules for the past three years
- ❑ Documented proof of contributions used to acquire ownership for each owner (*e.g., both sides of cancelled checks*)
- ❑ Your firm's signed loan agreements, security agreements, and bonding forms
- ❑ Descriptions of all real estate (including office/storage space, etc.) owned/leased by your firm and documented proof of ownership/signed leases
- ❑ List of equipment leased and signed lease agreements
- ❑ List of construction equipment and/or vehicles owned and titles/proof of ownership
- ❑ Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past two years
- ❑ Year-end balance sheets and income statements for the past three years (*or life of firm, if less than three years*); a new business must provide a current balance sheet
- ❑ All relevant licenses, license renewal forms, permits, and haul authority forms
- ❑ DBE and SBA 8(a) or SDB certifications, denials, and/or decertifications, if applicable
- ❑ Bank authorization and signatory cards
- ❑ Schedule of salaries (or other compensation or remuneration) paid to all officers, managers, owners, and/or directors of the firm
- ❑ Trust agreements held by any owner claiming disadvantaged status, if any

Partnership or Joint Venture

- ❑ Original and any amended Partnership or Joint Venture Agreements

Corporation or LLC

- ❑ Official Articles of Incorporation (*signed by the state official*)
- ❑ Both sides of all corporate stock certificates and your firm's stock transfer ledger
- ❑ Shareholders' Agreement
- ❑ Minutes of all stockholders and board of directors meetings
- ❑ Corporate by-laws and any amendments
- ❑ Corporate bank resolution and bank signature cards
- ❑ Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)

Trucking Company

- ❑ Documented proof of ownership of the company
- ❑ Insurance agreements for each truck owned or operated by your firm
- ❑ Title(s) and registration certificate(s) for each truck owned or operated by your firm
- ❑ List of U.S. DOT numbers for each truck owned or operated by your firm

Regular Dealer

- ❑ Proof of warehouse ownership or lease
- ❑ List of product lines carried
- ❑ List of distribution equipment owned and/or leased

NOTE: The specific state UCP to which you are applying may have additional required documents that you must also supply with your application. Contact the appropriate certifying agency to which you are applying to find out if more is required.

AFFIDAVIT OF CERTIFICATION

This form must be signed and notarized for each owner upon which disadvantaged status is relied.

A MATERIAL OR FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS APPLICATION IS SUFFICIENT CAUSE FOR DENIAL OF CERTIFICATION, REVOCATION OF A PRIOR APPROVAL, INITIATION OF SUSPENSION OR DEBARMENT PROCEEDINGS, AND MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO ANY AND ALL CIVIL AND CRIMINAL PENALTIES AVAILABLE PURSUANT TO APPLICABLE FEDERAL AND STATE LAW.

I _____ (full name printed), swear or affirm under penalty of law that I am _____ (title) of applicant firm _____ (firm name) and that I have read and understood all of the questions in this application and that all of the foregoing information and statements submitted in this application and its attachments and supporting documents are true and correct to the best of my knowledge, and that all responses to the questions are full and complete, omitting no material information. The responses include all material information necessary to fully and accurately identify and explain the operations, capabilities and pertinent history of the named firm as well as the ownership, control, and affiliations thereof.

I recognize that the information submitted in this application is for the purpose of inducing certification approval by a government agency. I understand that a government agency may, by means it deems appropriate, determine the accuracy and truth of the statements in the application, and I authorize such agency to contact any entity named in the application, and the named firm's bonding companies, banking institutions, credit agencies, contractors, clients, and other certifying agencies for the purpose of verifying the information supplied and determining the named firm's eligibility.

I agree to submit to government audit, examination and review of books, records, documents and files, in whatever form they exist, of the named firm and its affiliates, inspection of its place(s) of business and equipment, and to permit interviews of its principals, agents, and employees. I understand that refusal to permit such inquiries shall be grounds for denial of certification.

If awarded a contract or subcontract, I agree to promptly and directly provide the prime contractor, if any, and the Department, recipient agency, or federal funding agency on an ongoing basis, current, complete and accurate information regarding (1) work performed on the project; (2) payments; and (3) proposed changes, if any, to the foregoing arrangements.

I agree to provide written notice to the recipient agency or Unified Certification Program (UCP) of any material change in the information contained in the original application within 30 calendar days of such change (e.g., ownership, address, telephone number, etc.).

I acknowledge and agree that any misrepresentations in this application or in records pertaining to a contract or subcontract will be grounds for terminating any contract or subcontract which may be awarded; denial or revocation of certification; suspension and debarment; and for initiating action under federal and/or state law concerning false statement, fraud or other applicable offenses.

I certify that I am a socially and economically disadvantaged individual who is an owner of the above-referenced firm seeking certification as a Disadvantaged Business Enterprise (DBE). In support of my application. I certify that I am a member of one or more of the following groups, and that I have held myself out as a member of the group(s) (circle all that apply):

Female Black American Hispanic American
Native American Asian- Pacific American
Subcontinent Asian American
Other (specify) _____

I certify that I am socially disadvantaged because I have been subjected to racial or ethnic prejudice or cultural bias, or have suffered the effects of discrimination, because of my identity as a member of one or more of the groups identified above, without regard to my individual qualities.

I further certify that my personal net worth does not exceed $750,000, and that I am economically disadvantaged because my ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially and economically disadvantaged.

I declare under penalty of perjury that the information provided in this application and supporting documents is true and correct.

Executed on _____ (Date)

Signature _____
(DBE Applicant)

NOTARY CERTIFICATE

[68 FR 35559, June 16, 2003]

Attachment 7
Section 26.37 Monitoring and Enforcement Mechanisms

Monitoring and Enforcement Mechanisms/Legal Remedies

The City of Birmingham has available several remedies to enforce the DBE requirements contained in its contracts, including, but not limited to, the following:

4. Breach of contract action, pursuant to the terms of the contract;
5. Breach of contract action, pursuant to Alabama Law;
6. Breach of contract based on City ordinances.

In addition, the federal government has available several enforcement mechanisms that it may apply to firms participating in the DBE problem, including, but not limited to, the following:

4. Suspension or debarment proceedings pursuant to 49 CFR part 26
5. Enforcement action pursuant to 49 CFR part 31
6. Prosecution pursuant to 18 USC 1001.

Attachment 8

Historical DBE participation on FTA projects 2016

MBE/DBE Participation

INTERMODAL - Packages 2A - 2F
City of Birmingham

As of: January 26, 2017

Work Package	Firm	Total Contract Amount	Value of MBE/DBE Contracts	% (without contingency)	MBE/DBE Firm Data	
Design	GA Studio	$ 2,550,000	$ 918,000	36.00%	No. of MBE/DBE Firms =	7
					MBA Structural	*Structural Engineer*
					Sajjadieh Electrical	*Electrical Engineer*
					Macknally Landscape Architecture	*Landscape Architect*
					Margaret Jones Interiors	*Interior Desing Consultant*
					Jones Worley Wayfinding	*Wayfinding Consultant*
					Niles Bolton Assoc Architect	*Design Consultant*
					Hoskins Assoc Architect	*Design Consultant*
Pkg 1 - Project Management	Steel City Services (SCS)	$ 24,493	$ 24,493	100.00%	No. of MBE/DBE Firms =	1
Pkg 1 - Demo & Deep Foundations	Taylor Miree	$ 3,023,219	$ 518,100	17.14%	No. of MBE/DBE Firms =	10
					R.A. Smith Asphalt	*Paving*
					Nixon's Electric	*Electrical*
					O.Jay Fence	*Fencing*
					Magic Glass	*Glass & Glazing*
					Davidson Trucking	*Trucking*
					E.L. Davis Trucking	*Trucking*
					Ram Tool & Supply	*Const Supplies*
					BECC	*Testing*
					Wise Environmental	*Portable Toilets*
					Alabama Guardrail	*Guardrail*
Pkg 2 - Construction Management	Hoar Program Management (HPM)	$ 1,994,587	$ 504,400	25.29%	No. of MBE/DBE Firms =	3
					A.G. Gaston	*Project Engineer*
					BCIA	*HUB Coordinator*
					BKI/SCS	*Quality & Safety Engineer*
Pkg 2 - Special Inspections	MBA Engineers, Inc.	$ 81,063	$ 81,063	100.00%	No. of MBE/DBE Firms =	1
Pkg 2A - General Trades	Rabren General Contractors	$ 20,164,344	$ 103,560	0.51%	No. of MBE/DBE Firms =	3
					Hoyt Reinforcing	*Reinf Steel Installation*
					Professional Choice	*Cleaning*
					Continetal Terrazzo Supply	*Terrazzo Material Supplier*
Pkg 2B+2C - Plumbing & HVAC	P&M Mechanical, Inc.	$ 3,240,941	$ 3,240,941	100.00%	No. of MBE/DBE Firms =	2
					P&M Mech	*Plumbing & HVAC*
					Harris Insulation	*Pipe Insulation*
Pkg 2D - Fire Suppression	Joiner Fire Sprinkler	$ 355,150	$ 355,150	100.00%	No. of MBE/DBE Firms =	1
					Joiner Fire Sprinkler	*Fire Suppression*
Pkg 2E - Electrical	Wayne J. Griffin Electric	$ 3,175,000	$ 621,727	19.58%	No. of MBE/DBE Firms =	4
					Mayer Electric	*electrical supplies*
					Interweave Technologies	*Structured Cabling; Telecom*
					Lee Electric	*Temporary Power*

					Dynamic Civil Solutions	*Engineering of Road Crossing*
Pkg 2G - Paving	Veterans Landscaping	$ 1,830,133	$ 924,146	53.08%	No. of MBE/DBE Firms =	2
					Zaragoza & Harris	*Concrete Paving*
					Sar Cor	*Engineering Services*
Pkg 2F - Landscaping & Irrigation	Landscape Workshop	$ 168,170	$ 50,131	35.02%	No. of MBE/DBE Firms =	1
					Suburban Irrigation	*Irrigation Systems*
Pkg 3 - Seating	Pennington Group	$ 416,496	$ -	0.00%	No. of MBE/DBE Firms =	*0*
Pkg 3 - Signage	Pennington Group	$ 520,470	$ -	0.00%	No. of MBE/DBE Firms =	*0*
Grand Totals		$ 37,544,065	$ 7,341,711	19.67%		*35*