

THE CITY OF BIRMINGHAM, ALABAMA



DEPARTMENT OF COMMUNITY DEVELOPMENT

**CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT (CAPER)**

**REPORT PERIOD:
PROGRAM YEAR 2013
(July 1, 2013 – June 30, 2014)**

**REPORT DATE:
SEPTEMBER 29, 2014**

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Executive Summary & Summary of Program Accomplishments Program Year 2013

SECTION A: WHAT IS A CAPER?

The Consolidated Annual Performance and Evaluation Report (CAPER) is a locally created document that provides the jurisdiction an opportunity to evaluate its overall progress in carrying out priorities and specific objectives identified in its strategic plan and action plan, and to describe actions or changes contemplated as a result of its annual performance. The report is submitted annually for the period July 1 through June 30 which is referred to as a Program Year.

The City of Birmingham, Alabama (the City) is presently following a HUD approved five year consolidated plan which consolidates the planning and submission process for the following HUD-CPD formula programs:

- Community Development Block Grant Program (CDBG)
- HOME Investment Partnership Program (HOME)
- Housing Opportunities For Persons With AIDS Program (HOPWA) and the Emergency Solutions Grant Program (ESG). The consolidated plan contains a single five year strategic plan that brings needs and resources together in a coordinated housing and community development strategy.

The CAPER must include both a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's Consolidated Plan during the action plan program year identified above. To do so, the report is essentially composed of an executive summary, a series of general questions relating to overall program performance; narrative statements which provide the status of actions taken during the year to implement the City's overall strategy; and a self-evaluation which assesses progress made during the past year in addressing identified priority needs and objectives.

The report also consists of a series of reports that are produced through HUD's Integrated Disbursement and Information System (IDIS). These reports are as follows:

- Summary of Accomplishments Report (CO4PR23): Presents data on the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) program activity counts and disbursements by priority need categories. It also contains data on CDBG accomplishments by various units of measure and housing units by racial/ethnic categories and HOME housing units by various income groups.
- Summary of Consolidated Plan Projects (C04PR06): Tracks progress in implementing projects identified in the City's Action Plan. This report lists all projects for a plan year in sequence by project number. Disbursements are

summarized by program for each project's activities. Accomplishments reported for the program year are summarized for each program area.

- Summary of Activities (CO4PR03): Lists each CDBG activity which was open during the program year. For each activity the report shows the status, accomplishments, and program year narrative and program year expenditures. For each activity the report also shows the activity code, regulation cite and characteristics of the beneficiaries.
- CDBG Financial Summary Report (CO4PR26): This report has been prepared using HUD Form 4949-3 and by following the procedures described in Appendix 3 of the HUD Grantee Performance Report Handbook (Handbook 6510.2 Rev-2). This report shows the obligations, expenditures which the City has made during the program year. The expenditures are summarized to determine the relevant indicators for low-and moderate-income, planning/administration, public service activities and economic development. This report contains program year information on statutory requirements regarding overall percentage for low-and moderate income benefit.

SECTION B: FEDERAL RESOURCES MADE AVAILABLE TO THE CITY OF BIRMINGHAM

In PY 2013, the City of Birmingham, Alabama received the following funding from the U.S. Department of Housing and Urban Development (HUD). The funding sources include both grant funding and program income received under the Community Development Block Grant (CDBG) Program, HOME Program, Emergency Solutions Grant (ESG) Program, and Housing Opportunities for Persons with AIDS (HOPWA) Program. The table below summarizes the amount of funding received from each funding source.

Funding Summary

Funding Source	Amount
CDBG	\$ 5,587,695.00
HOME	\$ 1,062,436.00
ESG - 2012	\$ 404,288.00
HOPWA	\$ 555,158.00
Sub-Total	\$ 8,009,577.00
CDBG Program Income	\$ 300,000.00
HOME Program Income	\$ 100,000.00
PI Sub-Total	\$ 400,000.00
TOTAL	\$ 8,409,577.00

SECTION C: PY 2013 ACTION PLAN PRIORITIES AND OBJECTIVES

The City's PY 2010-2015 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need.

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs
4. Provide housing and supportive services for homeless populations.
5. Promote city wide economic development.
6. Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City has made considerable progress on the dual programmatic goals of:

- 1) Revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while
- 2) Promoting citywide economic development
- 3) Providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2013 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.

- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

Program Benefit

These priorities and objectives provided the framework for the development of the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who meet low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as:

< 50% and < 80% of area median income, respectively.

In PY 2013, 100% of all listed funding was used to fund services which provided a low and moderate-income benefit.

SECTION D: HUD FORMULA ALLOCATION PROGRAM

OVERVIEW:

Each year, the City of Birmingham receives federal assistance, in the form of grant funding, from the U.S. Department of Housing and Urban Development (HUD) under five primary formula allocation programs. These programs are:

- The Community Development Block Grant Program (CDBG),
- The HOME Investment Partnership Program (HOME)
- The Emergency Solutions Grant Program (ESG); and
- The Housing Opportunities For Persons With AIDS Program (HOPWA)

These entitlement program funds are received by the City based upon formula allocation and conditioned upon the submission and approval of a Five Year Consolidated Plan and an annual submission and approval of a HUD Action Plan. Upon receipt, the funds are administered by the City's Department of Community Development and are utilized for a variety of purposes that meet the intent of the funding for each specific program. Services include, but are not limited to, public services, housing rehabilitation, new affordable housing construction, services to special needs populations, economic development activities, and program administration. The following is a summary of these programs.

Executive Summary
Community Development Block Grant (CDBG)

The Community Development Block Grant (CDBG) is an annual source of funding that supports a wide range of activities that preserve and develop urban communities. The program's principal beneficiaries are low and moderate-income households. The goals of the program are to provide decent, safe and sanitary housing, to provide a suitable living environment, and to expand economic opportunities.

CDBG funded activities must be eligible within the program statutes and meet one of the program's three National Objectives. The National Objectives are:

- 1.) To provide a benefit to low and moderate income persons;
- 2.) To prevent or eliminate slum or blight; and
- 3.) To meet an urgent community need that threatens the health or welfare of residents.

The statute further states that each grant recipient must ensure that at least 70 percent of its expenditures benefit low- and moderate-income persons. For PY 2013, the City of Birmingham's program exceeded this threshold with 94.64% of CDBG funding benefiting low- and moderate-income persons.

Listed in the accompanying sections is a summary of programs funded and their accomplishments. The programs are grouped within three categories – Affordable Housing Programs, Community and Economic Development, and Public Service Activities.

CDBG Affordable Housing Activity Summary

The City of Birmingham uses its CDBG Housing dollars primarily to assist low to very low income homeowners for housing rehabilitation. The principal program undertaken for this purpose was the provision of critical housing rehabilitation assistance through the City's Critical Repair Grant Program. This program provides grants of up to \$15,000 to assist low to moderate income qualifying homeowners to enable repairs to critical building systems, including roofs, HVAC, foundation and environmental issues such as sewer/water.

In addition, the City has continued its Deferred Payment Loan and Housing Rehabilitation Loan programs. These programs provide loans to elderly (62 years or older) and disabled low to moderate income homeowners for more comprehensive repairs to houses. The Deferred Payment Loan Program provides up to \$15,000 in the form of a non-amortizing loan to qualified elderly and handicapped homeowners, while the Rehabilitation Loan Program provides repayable low interest loans of up to \$40,000 to households making up to 80% of median family income. Additional rehabilitation was carried out through sub-recipients, including the Independent Living Center (d/b/a

Disability Rights and Resources), World Changers Volunteer rehabilitation program, and others.

Specific program accomplishments are detailed in Part I of this report.

CDBG Community and Economic Development Activity Summary

CDBG regulations permit the expenditure of CDBG funds for economic development activities. Economic development activities support our over-all CDBG strategy by creating jobs, especially for persons of low-moderate income and by creating businesses and renovating buildings in declining neighborhood business districts. The following is a summary of ongoing activities the City has undertaken. Details are provided in Part I of this report.

REV Birmingham:

Through Main Street Birmingham (d/b/a REV Birmingham)'s SocialVenture program provides staff support to merchant groups in ninety-nine (99) different neighborhood business districts across the City. In addition to creation of jobs, REV complements neighborhood revitalization by facilitating catalytic development in neighborhoods cores in order to spur revitalization in once thriving communities like West End, Ensley, North Birmingham, Titusville, Woodlawn, and East Lake. It also provides spaces that act as incubators, work spaces, and meeting spaces for entrepreneurs and startup companies across the City.

Urban Impact, Inc.:

Urban Impact, a non-profit organization, is continuing its efforts to provide ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Urban Impact also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions.

CDBG Float Loan Program:

The City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the "float" for purposes of this section and the action plan.

The City utilizes its "float" to provide support for Economic Development and Historic Preservation activities in the promotion of the stability of the entire community economic base and the business climate through the creation and retention of jobs for low and moderate income persons. The City does not decide on the specific location of the float funded activities to be undertaken at the beginning of each program year. For this reason and in accordance with 570.301(a) the City provides a description of its float loan

program in its HUD Action Plan and identifies who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided.

The City currently has an open float loan activity, which is detailed in attachment I.

CDBG Public Service Activity Summary

The City's CDBG program allocates the full amount of our permitted cap of 15% of budgeted funds for public service activities. Public service activities are those concerned with employment, crime prevention, child care, health drug abuse treatment, education, fair housing counseling, energy conservation, and others. The CDBG regulations limit the funding of public service activities to no more than 15% of the CDBG Grant Amount plus 15% of program income received during the prior program year.

The City committed 13.02% of its CDBG funding to Public Service activities during this program year for the following types of activities: Citizen Participation and the support of programs administered by a variety of non-profit organizations. These activities included support of programs for the homeless, employment and housing assistance, children/youth development programs, senior citizen programs, and programs for those with special needs. Specific program accomplishments are detailed in Part I of this report.

**CDBG FINANCIAL SUMMARY INFORMATION AND SUMMARY OF
ACCOMPLISHMENTS**

The City's overall CDBG expenditures by category and a summary of program accomplishments by project and activity are detailed in Addendum D-CDBG Financial Summary and Attachment and in Addendum A-PY 2013 CDBG Summary of Accomplishments of this report. This information is tracked quarterly and is generated through the HUD Integrated Disbursement and Information System (IDIS).

Executive Summary
Home Investment Partnership Program (HOME)

The purpose of the Home Investment Partnership Program (HOME) is to develop affordable housing. In PY 2013, the City had available for these purposes the current year's HOME grant award, in addition to program income. Listed below is a summary of the funds available:

HOME Program Funding Summary

PY 2013 HOME Award	\$1,062,436.00
PY 2013 Program Income	<u>\$ 100,000.00</u>
Total	\$1,162,436.00

In PY 2013, the City utilized these funds to support two (2) contracts which were initiated during this period for the purpose of building and rehabilitating affordable housing. These funds also supported three (3) ongoing contracts which had been executed in prior years and are still in the process of completion.

The table below summarizes the contracts which were active during PY 2013 and the amount of HOME funds invested in each project.

HOME Contracts

Development Type	Agreement Amount	# Units Financed
Multi-Family:		
Pratt City Senior Housing <i>(Development of up to 42 units in the Pratt City Neighborhood)</i>	\$ 2,520,000.00	42
Cherry Ridge Village Senior Housing <i>(Development of up to 56 units in the Pratt City Neighborhood)</i>	\$ 560,000.00	56
Single Family:		
<i>(Development of Single Family Housing in the Belview Heights Neighborhood)</i>		
BEAT <i>(Development of a 2 Duplex and 2 Single Family Units in the Ensley Neighborhood)</i>	\$ 490,000.00	6
Habitat for Humanity <i>(Development of Single Family Houses Throughout the City of Birmingham)</i>	\$ 1,200,000.00	25
Items in bold represent HOME projects initiated in PY 2013.		

HOME Investment Partnership Program Accomplishments

While CDBG housing dollars primarily target housing rehabilitation, the primary use of HOME dollars in Birmingham is to stimulate new construction and/or substantial renovation projects which result in the revitalization of neighborhoods and communities.

**PY 2013 HOME PROGRAM
LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT**

Funding Summary

Funded Activity	Amount
Administration	\$ 116,243.00
CHDO Activities	\$ 174,366.00
Rental Activities	\$ 871,827.00
TOTAL	\$ 1,162,436.00

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 List of Activities By Program Year And Project
 BIRMINGHAM,AL

REPORT FOR CPD PROGRAM HOME
 PGM YR 2013

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance
2013	11	HOME Program Administration	12845	HOME Program Administration	Open	HOME	\$131,913.98	\$111,224.75	\$20,689.23
		Project Total					\$131,913.98	\$111,224.75	\$20,689.23
	12	HOME Program CHDO Activities	13005	Tuxedo Park	Open	HOME	\$420,042.00	\$0.00	\$420,042.00
		Project Total					\$420,042.00	\$0.00	\$420,042.00
	13	HOME Program Rental Activities	13006	Wood Station	Open	HOME	\$670,000.00	\$0.00	\$670,000.00
		Project Total					\$670,000.00	\$0.00	\$670,000.00
		Program Total				HOME	\$1,221,955.98	\$111,224.75	\$1,110,731.23
		2013 Total				HOME	\$1,221,955.98	\$111,224.75	\$1,110,731.23
		Program Grand Total					\$1,221,955.98	\$111,224.75	\$1,110,731.23
		Grand Total					\$1,221,955.98	\$111,224.75	\$1,110,731.23

Executive Summary
Emergency Solutions Grant (ESG)

ESG funding is allocated for the purposes of assisting the homeless and those at high risk of becoming homeless. Under this year's allocation awarded by HUD (\$404,288.00), five categories of activities were funded, including:

- 1) Emergency Shelter/Street Outreach
- 2) Homeless Prevention Services
- 3) Rapid Re-Housing Assistance
- 4) HMIS
- 5) Administration

ESG Program Funding Summary

PY 2013 ESG Award	\$404,288.00
Total	\$404,288.00

Essential Services:

Essential Services are services concerned with employment, health, drug abuse, and education. Essential services are those which assist primarily chronically homeless persons in transitioning out of homeless situations.

Operations/Maintenance Expense:

Operations and Maintenance Expenses are those costs associated with the operation and administration of agencies that serve homeless individuals. These agencies provide facilities for people in need of temporary or transitional shelter.

Homeless Prevention:

Homeless Prevention programs are designed to prevent the incidence of homelessness. They are set up to assist families that have received eviction notices or notices of termination of utility services.

Rapid Re-Housing Assistance:

Financial assistance and services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized.

HMIS:

Maintenance and management of the Homeless Management Information System (HMIS).

The following List of Activities by Program Year and Project Report (IDIS-C04PR02) itemizes all ESG Program Projects and Activities undertaken during the program year. As indicated, a total of \$404,288.00 in ESG funds were obligated during the program year of which \$404,288.00 were expended for ESG activities.

**PY 2013 ESG PROGRAM
LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT**

Funding Summary

Funded Activity	Amount
Administration	\$ 30,321.00
Homeless Prevention Services	\$ 41,045.00
Rapid Re-Housing Assistance	\$ 86,455.00
HMIS	\$ 3,900.00
Emergency Shelter/Street Outreach	\$ 242,567.00
TOTAL	\$ 404,288.00

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 List of Activities By Program Year And Project
 BIRMINGHAM,AL

REPORT FOR CPD PROGRAM HESG
 PGM YR 2013

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance
2013	14	ESG13 Birmingham	12860	ESG Administration	Open	HESG	\$30,321.00	\$30,321.00	\$0.00
			12861	HMIS	Open	HESG	\$3,900.00	\$1,000.00	\$2,900.00
			12862	Rapid Re-Housing	Open	HESG	\$78,455.00	\$3,859.91	\$72,596.09
			12863	Homeless Prevention	Open	HESG	\$51,045.00	\$31,316.87	\$19,728.13
			12864	Emergency Shelter	Open	HESG	\$207,923.00	\$207,378.79	\$544.21
			12865	Street Outreach	Open	HESG	\$34,644.00	\$32,377.26	\$2,266.74
		Project Total				HESG	\$404,288.00	\$306,252.83	\$98,035.17
		Program Total				HESG	\$404,288.00	\$306,252.83	\$98,035.17
		2013 Total				HESG	\$404,288.00	\$306,252.83	\$98,035.17
		Program Grand Total				HESG	\$404,288.00	\$306,252.83	\$98,035.17
		Grand Total				HESG	\$404,288.00	\$306,252.83	\$98,035.17

Executive Summary
Housing Opportunities for Persons with AIDS (HOPWA)

The HOPWA program provides funding for supportive services, tenant-based rental assistance, operating costs, rehabilitation/conversion, and resource identification services which benefit individuals who have been diagnosed with HIV/AIDS. The HOPWA program is administered through AIDS Alabama, Inc. in accordance with the City's HUD Approved Action Plan.

PY 2013 HOPWA PROGRAM
LIST OF ACTIVITIES BY PROGRAM YEAR AND PROJECT REPORT

Funding Summary

Funded Activity	Amount
Rental Assistance	\$ 224,965.00
Supportive Services	\$ 112,572.00
Operating Costs	\$ 173,760.00
Resource Identification	\$ 5,000.00
Project Sponsor Administration	\$ 38,861.00
TOTAL	\$ 555,158.00

Executive Summary **Other Leveraged Funds**

In addition to the federal funds summarized, the City also utilized other resources to leverage its available funding to accomplish a number of Goals and Objectives. The other leveraged funds utilized to assist in this effort and the programs that were assisted are summarized as follows:

Birmingham Center for Affordable Housing (Now SeedCo)

The Birmingham Center for Affordable Housing's primary mission is to encourage homeownership in the City of Birmingham. By consolidating marketing, information, referral and intake services, this agency serves as a one-stop clearinghouse for information on buyers, sellers, products, financing, and City programs. This activity is funded through the City's UDAG Repayment Fund in an effort to continue the City's commitment to establish and maintain a one stop center to assist all individuals who are interested in buying a home.

Birmingham's Plan to Prevent and End Chronic Homelessness 2008-2017 & Project Homeless Connect:

The City has a 10-Year Plan to End Chronic Homelessness in place and implements efforts through Project Homeless Connect. On any given night, there are over 2,500 homeless individuals in Central Alabama. Project Homeless Connect is the first step in a coordinated campaign to reduce homelessness. The 6th Annual Project Homeless Connect Event was held on Saturday, March 9, 2013 from 7:00 a.m. until 4:00 p.m. at the City's Boutwell Auditorium. Approximately 1,000 individuals received assistance during this event. "Homeless Connect" is a national best practice model of a one-day, one-stop event where community service providers and volunteers are mobilized in one setting to assist homeless individuals with real-time access to direct services and on-the-spot results. Approximately 60 government, business, health and human services and faith-based organizations provided a range of services to Birmingham's homeless population. Activities include: medical, dental, substance abuse & mental health services, housing assistance, legal services, employment services, benefit enrollment and personal care services such as haircuts, massages, spiritual counseling and a hot meal. For a third straight year, the Alabama Department of Public Safety was present and over 150 persons were able to obtain or renew their Driver's License or State ID. For more information about Project Homeless Connect visit www.uwca.org.

Resources made available through the Housing Authority of the Birmingham District (HABD):

The HABD made the investment of the following Federal, State, Local, and Private funds as indicated on the following page within the City of Birmingham to provide and promote the availability of affordable housing. These funds are received and administered by the HABD in accordance with its stated Comprehensive Grant Plan/Annual Statement which

is consistent with the goals and objectives contained in the City's HUD approved 2010-2015 Consolidated Plan.

Additional information regarding the HABD and its programs may be obtained through the administrative offices of the HABD; 1826 3rd Avenue, South; Birmingham, Alabama 35255-5906; phone 205-324-0641.

HABD RESOURCES:

To date, the Housing Authority of the Birmingham District has not responded to our requests for information to be included the PY 2013 CAPER.

Part I – General Questions

ASSESSMENT OF THE ONE-YEAR GOALS AND OBJECTIVES

1(a). Describe the accomplishments in attaining the goals and objectives for the reporting period.

As noted in Section C of the Executive Summary, the City's PY 2010-2015 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need. In summary they are:

- 1) Provide decent and affordable housing for low and very low-income households
- 2) Provide housing and services for populations with special needs
- 3) Provide housing and supportive services for homeless populations
- 4) Promote city wide economic development; and
- 5) Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs as well as increased efforts towards neighborhood stabilization and facilitation of community oriented economic growth endeavors.

As noted on lines 21 and 22 of the attached CDBG Financial Summary Report, \$5,887,695.00 in CDBG funding was expended for activities and priorities benefiting low and moderate income persons representing 100 % to assist persons in all categories of identified priority need.

Due to the devastating tornadoes that impacted the greater Birmingham area on April 27, 2011, the City of Birmingham continues to utilize a great percentage of its funding to rehabilitate homes in the Pratt City area. Housing rehabilitation through the CDBG program allowed for a more immediate redevelopment within the most impacted areas.

1(b). Provide a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The following priorities were identified in the City's PY 2010-2015 Strategic Five Year Plan and were addressed through the expenditure of the following funds for each priority need identified. Additionally, Appendix G contains a Summary of Accomplishment Report by Activity (IDIS CO4PR23) detailing disbursements by activity group, beneficiaries by income category, and other participant demographic data.

PRIORITIES UNDERTAKEN DURING THE REPORT PERIOD:

**PRIORITY TO PROVIDE DECENT AND AFFORDABLE HOUSING
FOR LOW AND VERY LOW-INCOME HOUSEHOLDS**

Affordable Housing Activities

Program	Funds Allocated	Funds Expended	Accomplishments
Housing Rehabilitation Programs			
CDBG Critical Repair Grant Program	\$ 1,697,508.00	\$ 1,025,383.25	94 Units Assisted
Non-Profit Neighborhood Housing Activities			
Independent Living Center (d/b/a Disability Rights and Resources)	\$ 225,000.00	\$ 192,599.14	66 Units Assisted
Rising West Princeton*	\$ 25,000.00	\$ 22,201.07	1 Units Assisted
Metro Changers	\$ 1,049,690.00	\$ 556,594.24	143 Units Assisted
TOTAL HOUSING PROGRAMS	\$ 2,997,198.00	\$ 1,796,777.70	

* Funds expended beyond the budget amount reflect the expenditure of current & prior year's allocations.

**PRIORITY TO PROVIDE HOUSING AND SERVICES FOR
POPULATIONS WITH SPECIAL NEEDS**

PY 2013 CDBG Housing for Special Needs Populations

Program	Funds Allocated	Funds Expended	Accomplishments
CDBG Critical Repair Grant Program	\$ 1,697,508.00	\$ 1,025,383.25	94 Units Assisted
Independent Living Center (d/b/a Disability Rights and Resources)*	\$ 225,000.00	\$ 105,205.28	66 Units Assisted

PY 2013 CDBG Public Service Special Needs Activities

PUBLIC SERVICE ACTIVITIES			
Children/Youth Dev./Sr. Citizens			
Girls Scout of N. Central Alabama	\$ 8,956.00	\$ 6,771.74	100 People
J.J.'s Freedom Center	\$ 19,674.00	\$ -	120 People
Positive Maturity, Inc. - East Lake	\$ 19,674.00	\$ 7,194.49	480 People
Rose Garden Adult Day Services, Inc.	\$ 15,203.00	\$ 15,203.00	4 People
Titusville Development Corporation	\$ 19,677.00	\$ 3,468.00	54 People
Other Public Services			
Birmingham Urban League, Inc.	\$ 40,660.00	\$ 27,683.77	62 People
Childcare Resources, Inc.	\$ 47,194.00	\$ 39,037.45	62 People
Birmingham Regional Empowerment Center	\$ 19,674.00	\$ 17,345.52	15 People
Fair Housing Center of Northern Alabama	\$ 20,370.00	\$ 13,048.08	245 People
Jefferson State Community College	\$ 21,285.00	\$ 6,152.46	25 People
Special Needs			
Children's Village, Inc.	\$ 14,596.00	\$ 13,221.00	23 People
Mental Health Assoc. of Central Alabama, Inc.	\$ 18,598.00	\$ 13,947.93	11 People
No. Bham Community Asst. Program, Inc.	\$ 18,244.00	\$ 14,557.99	525 People
Prescott House	\$ 18,530.00	\$ 18,530.00	385 People
United Cerebral Palsy of Greater Birmingham	\$ 15,527.00	\$ 9,691.93	72 People
TOTALS	\$ 317,862.00	\$ 205,853.36	

**PRIORITY TO PROVIDE HOUSING AND SUPPORTIVE
SERVICES FOR HOMELESS POPULATIONS**

PY 2013 CDBG HOMELESS SERVICE PROVIDERS

Program	Funds Allocated	Funds Expended	Accomplishments
Aletheia House, Inc.	\$ 37,320.00	\$ 37,320.00	139 People
Changed Lives Christian Center,	\$ 41,586.00	\$ 41,586.00	205 People
Cooperative Downtown Ministries	\$ 39,499.00	\$ 39,499.00	1,322 People
First Light, Inc.	\$ 29,861.58	\$ 23,015.10	966 People
Pathways, Bread & Roses (Transitional)	\$ 28,803.00	\$ 28,802.99	176 People
Pathways/Downtown Path Center	\$ 60,033.00	\$ 60,033.00	1,244 People
YWCA Homeless Daycare	\$ 39,187.00	\$ 38,771.61	65 People
YWCA Homeless Daycare Transportation	\$ 11,540.00	\$ 7,837.50	45 People
YWCA-Interfaith Hospitality House	\$ 34,753.00	\$ 33,651.58	112 People
Bridge Ministries, Inc.	\$ 28,180.00	\$ 8,971.55	121 People
New Pilgrim Bread of Life Ministries	\$ 18,866.00	\$ 16,676.76	713 People
Urban Ministry, Inc.	\$ 18,866.00	\$ 18,866.00	1,999 People
One Roof	\$ 6,484.00	\$ 6,484.00	Administrative Support for the Continuum of Care System
Totals	\$ 394,978.58	\$ 361,515.09	

PY 2013 ESG HOMELESS SERVICE PROVIDERS

Activities/Agencies	ESG Funding	# Persons Served
Administration	\$ 30,321.00	
Street Outreach		
Family Connection	\$ 24,416.00	102
Urban Ministry	\$ 10,228.00	268
Emergency Shelter		
Pathways Downtown	\$ 25,158.00	1,244
Pathways Transitional	\$ 32,233.00	176
Cooperative Downtown Ministries	\$ 32,569.00	9,877
Family Connection	\$ 42,396.00	102
First Light	\$ 19,799.00	966
YWCA Family Violence	\$ 29,553.00	55
YWCA Interfaith	\$ 26,215.00	112
Homeless Prevention		
Bridge Ministry	\$ 35,932.00	83
Urban Ministry	\$ 5,113.00	70
JCCEO	\$ 10,000.00	85
Rapid Re-Housing		
Urban Ministry	\$ 3,946.00	39
JCCEO	\$ 82,509.00	49
HMIS		
Urban Ministry	\$ 1,000.00	
Bridge Ministry	\$ 650.00	
JCCEO	\$ 2,250.00	

PRIORITY TO PROMOTE CITY WIDE ECONOMIC DEVELOPMENT

PY 2013 Community and Economic Development Activities

Program	Funds Allocated	Funds Expended	Accomplishments
Main Street Birmingham (d/b/a REV Birmingham)	\$ 131,325.00	\$ 81,203.66	Provides technical assistance to revitalize community-based commercial areas in nine target districts. 184 People
Urban Impact-4 th Avenue Business District	\$ 164,787.00	\$ 129,741.95	Provides promotional activities for the economic revitalization of the Fourth Avenue Business District.
Totals	\$ 164,787.00	\$ 210,945.61	

* LOC: Stands for Irrevocable Letter of Credit issued by a commercial lender

1.(c). If applicable, explain why progress was not made toward meeting the goals and objectives.

As stated, significant progress was made in addressing each of the listed priorities identified for the reporting period. The City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.
- 5) These priorities and objectives provided the framework for the development of

the programs and activities undertaken during the reporting period that are summarized in the following pages. Funding was specifically targeted to individuals and families who met low to moderate income guidelines and who reside within the City of Birmingham. Low and moderate income is defined as < 50% and < 80% of area median income, respectively. In PY 2013, 94.64% of all listed funding was used to fund services which provided a low and moderate-income benefit.

Additionally, the following elements were evaluated as benchmarks toward meeting the stated goals and objectives:

I. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's actual performance regarding distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2013 One-Year Action Plan.

II. Leveraging of Non-Federal Resources:

The City, through its adopted housing programs, encouraged the leveraging of private and non-federal funds through the use of Multi-Family Program (CDBG funds), and Rental Rehabilitation funds. The City's actual performance was generally consistent with planned performance as described in the PY 2013 One-Year Action Plan.

III. Matching Contributions:

All matching requirements of the ESG program were met. There were no other matching contribution requirements associated with the funds invested.

IV. Pattern of Actual Investment Compared to Planned Investment Pattern:

The overall pattern of investments compared to planned investments was generally consistent with planned performance as described in the PY 2013 One - Year Action Plan. There were no significant programmatic differences; however, one amendment of the City's PY 2013 Action Plan was required due to additional funds being allocated under ESG.

DESCRIBE THE MANNER IN WHICH THE CITY OF BIRMINGHAM WOULD CHANGE ITS PROGRAM AS A RESULT OF ITS EXPERIENCES

The City plans no changes or adjustments to its programs and strategies outlined herein and in its PY 2010 -2015 Consolidated Plan Five Year Strategic Plan as a result of its program year experiences. Although actual investment of funds compared to planned

investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

AFFIRMATIVELY FURTHERING FAIR HOUSING

Summary of Impediments to fair housing choice.

The Fair Housing Center of Northern Alabama continues to assist the City of Birmingham in its obligations to affirmatively further fair housing. The center contributes to the analysis of impediments to fair housing choice study and has participated for more than ten years. This study is conducted in order to comply with HUD Regulations 24 CFR. With the input of several city departments and representatives from local organizations, the Fair Housing Center reports that the following impediments to fair housing continue to exist in Birmingham.

1. Birmingham's Fair Housing Laws are not equivalent to the Federal Fair Housing Act.
2. Discrimination continues in the homebuyer lending market.
3. Public policy implementation and lack of Fair Housing training often lead to misunderstanding in local initiatives.
4. The Continuum of care program needs to continue to address the need for permanent housing for the homeless.
5. An increased need for family shelters
6. Lack of accessible units for persons with disabilities
7. Need for improved transportation
8. Rental and sales issues continue for Hispanics, Latinos and other protected class housing seekers.
9. Declining property values

Identify actions taken to overcome effects of impediments identified:

In response to those impediments, the Fair Housing Center undertook the following actions:

Impediment #1 Lack of equivalent Fair Housing Law in Birmingham

Fair Housing Center's Actions:

1. The Fair Housing Center (FHC) and the local HUD are office investigate most of the fair housing complaints in the city. The FHC investigates several hundred complaints yearly.
2. The FHC has assisted the local HUD office and HUD in Washington, D.C. in working with Alabama legislators to attempt to pass an equivalent fair housing law. To date, those efforts have been unsuccessful.
3. FHCNA proposes to assist the city in strengthening current fair housing laws.

Impediment #2 Potential protected class discrimination in homebuyer lending market

Fair Housing Center's Actions:

1. The FHC annually reviews HMDA data of local mortgage lenders
2. Training for lenders is provided either by contract or as part of the center's annual fair housing training.
3. Conducted training for potential home buyers.

Impediment #3 Public policy implementation with a lack of fair housing training often leads to misunderstanding in local housing initiatives.

Fair Housing Center Actions:

1. The FHC continues to make available training to all housing providers and the general public through presentations at community and neighborhood meetings, specific training for providers and printed materials for public distribution
2. The FHC provides training for city officials and employees. Trainings are provided for city officials each year for the purpose of training new staff. City Council members and or their staff representatives attend the sessions.

Impediments #4 Continuum of care needs to continue to consider the needs of permanent housing for the homeless.

Fair Housing Center Actions:

The FHC works with agencies that represent persons with HIV/AIDS such as AIDS Alabama Outreach and with groups that work with homeless and persons in fear of becoming homeless such as JCCEO, YWCA and others. Additionally, the City of Birmingham, in an effort to comply with HUD Regulation 24 CFR 91.52(a), has undertaken several activities to promote fair and equal housing opportunities for its citizens. The City has also continued its efforts to affirmatively further fair housing during PY 2013 as required at 24 CFR 93.52 (a). Activities below will address many of the steps taken to provide access to equal housing choices. In the last Analysis of Impediments to Fair Housing Choice study conducted by an independent agency and the FHC, several impediments were identified that the City continues to address. Below is a brief summary of the activities undertaken to address these impediments in an effort to improve the quality of living for its citizens. During PY 2013, the City undertook the following actions to promote affirmatively furthering fair housing as required under 24 CFR 91.52 (a) and 24 CFR 570.048.

- The City continues to support the fair housing ordinance that they adopted on August 26, 1980.
- Through an agreement with the City, the fair housing center continues to provide education and outreach activities to the citizens of this area.

DESCRIBE OTHER ACTIONS IN THE CITY’S STRATEGIC PLAN OR ACTION PLAN TAKEN TO ADDRESS OBSTACLES TO MEETING UNDERSERVED NEEDS

The City continued its efforts to meet the needs of the underserved through all of its Action Plan programs as described in the Executive Summary and Part I of this report. The City continued its efforts to encourage the reduction of cumbersome and unnecessary federal regulations, particularly in the HOME and CDBG programs, which often slow the delivery of needed services to the underserved.

LEVERAGING RESOURCES

a. Identify progress in obtaining “other” public and private resources to address needs.

The City has described its progress in obtaining "other" public and private resources that address needs identified in the Executive Summary-Other Leveraged Funds section of this report. In that section, the City identified the Federal, State, local, and private (for profit and nonprofit) resources or funds made available during the program year and identified each funding source.

b. Identify how Federal resources from HUD leveraged other public and private resources.

Federal resources were leveraged through the City’s affordable housing programs and economic development activity programs identified in the Executive Summary and Part I of this report to maximize the impact of federal resources. Leveraging varies from activity to activity depending on the project scope. Generally, to fully leverage federal resources, the City sought to undertake affordable housing and economic development activities that could not be fully funded in the private sector. While not a HUD regulation, it is the City’s position that this is a prudent underwriting practice that allows a public sector lender to direct funds where they are most needed.

c. Identify how matching requirements were satisfied.

There are no matching requirements associated with the CDBG, HOME, or HOPWA programs. There are matching requirements associated with the ESG program. They were satisfied as follows:

Funding under the ESG programs requires that each grant recipient supplement its grant with an equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;
2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;

4. Volunteer hours for services at \$5.00 per hour.

ESG match requirements were monitored as expenses were incurred by each ESG service provider identified in the Executive Summary of this report. All service providers met their match obligations under the ESG program.

MANAGING THE PROCESS

The following is a description of actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Planning Process

The U.S. Department of Housing and Urban Development (HUD) regulations require that a consolidated strategy and plan must result from an effective citizen participation process. The City followed its adopted citizen participation plan in the development of its PY 2013 Action Plan-One Year Use of Funds submission. The City has undertaken throughout the development of its PY 2013 Action Plan, an open, credible, and broad-based effort to involve and solicit the participation of the community and neighborhood leaders, and residents of their needs and the types of services that they would like to see established or improved throughout the City. The following is a summary of the City's Citizen Participation process.

In an effort to broaden public participation in the development of the PY 2013 Action Plan, the City began its Action Plan development process with the publication of a notice of public hearings on December 14, 2012 in the Birmingham News and December 20, 2012 in the Birmingham Times. The hearings were scheduled for Thursday, January 10 and 24, 2013 at 9:30 a.m. and 5:30 p.m. in the City Council Chamber. The purpose of the hearings was to obtain comments and proposals for the use of the City's PY 2013 consolidated formula allocation and to obtain views of citizens, public agencies, and other interested parties on the housing and community development needs of the City. Citizens were also afforded the opportunity to:

- 1) Identify housing and community development needs and priorities
- 2) Review proposed uses of funds; and
- 3) Comment on and review the City's program performance. All comments, priorities, and proposals received at the hearings were considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

All comments, priorities, and proposals received at the hearings were considered in the development of the City's PY 2013 Action Plan-One Year Use of Funds.

Copies of the notices were mailed on December 14, 2012 to the President of the Citizens Advisory Board for the purpose of notifying the City's 99 Neighborhoods and 23 Communities. Copies were also mailed to the Birmingham City Council, City Departments, approximately 40 social service agencies representing a variety of interests,

including the housing needs of children, elderly persons, persons with disabilities, homeless persons, and other categories of residents. Copies were also made available to the HABD, the Alabama State HUD office, and other entities. Hearing notices and agendas were also posted on the 2nd Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham News and The Birmingham Times, a minority owned publication. Copies of the notices were also posted on the City's website at www.birminghamal.gov.

To broaden outreach to minorities, copies of the hearing notices and application schedule were posted on the City's website at www.birminghamal.gov . Hearing notices and agendas were also posted on the 2nd Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham Times, a minority owned publication.

In order to broaden outreach to persons with disabilities the hearing location was the Birmingham City Council Chamber which is accessible to the disabled. However, anyone who required further information or had a disability which might require special materials, services, or assistance was asked to notify the City's Community Development Department within 48 hours of the hearings. This assistance included any requests for translators, or related services for non-English speaking persons.

All interested parties who were unable to attend the public hearings but desired to submit written views, comments, or proposals regarding the City's development of its Proposed PY 2013 Action Plan-One Year Use of Funds were asked to submit them in writing to the City's Community Development Department on or before Friday January 27, 2013 by 4:00 p.m. All written proposals received in a timely manner were considered in the development of the City's Proposed PY 2013 Action Plan.

Agency Consultations

The Department of Community Development worked with other public and private agencies to identify and prioritize community needs, to develop strategies and action plans, to identify community resources, and to promote the coordination of resources. The following agencies were consulted as part of this process:

- Housing Authority of the Birmingham District
- Department of Planning, Engineering and Permits
- Police Department
- Birmingham Parks and Recreation Board
- University of Alabama at Birmingham
- Jefferson State Community College
- Fair Housing Center for the City of Birmingham
- Office of Economic Development for the City of Birmingham
- Division of Youth Services for the City of Birmingham
- Jefferson County Department of Health
- Alabama Housing Finance Authority

The following recently prepared and printed studies, plans, reports and resources, consulted in this process, provided information that was used in the development of the consolidated plan:

- The City of Birmingham's 2010-2015 Consolidated Plan
- The City of Birmingham's *10-Year Plan to End Chronic Homelessness (2007-2017)*, *Analysis of Impediments to Fair Housing*, and *Policy Statement on Community Development and Neighborhood Revitalization*
- National Low-Income Housing Coalition's report *Out of Reach: The Gap Between Housing Costs and Income of Poor People in the United States*
- The U.S. Department of Commerce, Bureau of the Census
- The U.S. Department of Labor, Bureau of Labor Statistics

CITIZEN PARTICIPATION

7-1. Summary of Citizen Comments:

The following is a summary of citizen comments received during the development of the City's PY 2013 Action Plan:

CITY OF BIRMINGHAM DEPARTMENT OF COMMUNITY DEVELOPMENT PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS 1/10/13 PUBLIC HEARING MINUTES – 5:30 p.m.

On Thursday, January 10, 2013, a public hearing was conducted in the City Council Chamber at 5:30 p.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities
- 2.) Review proposed uses of funds; and
- 3.) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

CITY OF BIRMINGHAM DEPARTMENT OF COMMUNITY DEVELOPMENT PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS 1/24/13 PUBLIC HEARING MINUTES - 9:30 A.M.

On Thursday, January 24, 2013, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1) Identify housing and community development needs and priorities
- 2) Review proposed uses of funds; and
- 3) Comment on and review the City's program performance.

It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS
1/19/13 PUBLIC HEARING MINUTES – 5:30 p.m.**

On Thursday, January 24, 2013, a public hearing was conducted in the City Council Chamber at 5:30 p.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities
- 2.) review proposed uses of funds; and
- 3.) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS
4/18/13 PUBLIC HEARING MINUTES – 9:30 a.m.**

On Thursday, April 18, 2013, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013

consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to:

- 1.) Identify housing and community development needs and priorities;
- 2.) 2) Review proposed uses of funds; and
- 3.) 3) Comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

7-2. Federal Funds and Geographic Distribution of Funds:

Contained in the Executive Summary, the City has identified by formula grant program the Federal funds made available for furthering the objectives of the Consolidated Plan. Also identified the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period.

Regarding the geographic distribution and location of expenditures, as noted in the Executive Summary, the investment Federal resources was made on a city-wide basis. The majority of programs are self-targeting designed to meet the needs of low and moderate income persons on a limited clientele basis. The distribution of funds was consistent with the planned city-wide distribution of funds as described in the PY 2013 One-Year Action Plan. See attached maps in the addendum.

INSTITUTIONAL STRUCTURE

Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

The City during PY 2013 focused its efforts to strengthen identified weaknesses in the institutional structure in continuing its efforts to provide as much support as possible for nonprofit organizations. The City, through its CDBG and HOME programs, continued its policy to assist in the development of nonprofit organization's capacity to produce and participate in the delivery of affordable housing services to the citizens of Birmingham. The City also supported the efforts of many nonprofit organizations, as well as other local federal entities such as the Housing Authority of the Greater Birmingham District (HABD), in their applications to HUD for direct federal assistance under a variety of federal programs including, but not limited to:

- CHOICE Neighborhood Planning and Implementation Grants
- ESG Transitional Housing; and
- HOPWA

The City's continued support for nonprofit organizations was consistent with those

actions proposed in its PY 2013 One-Year Action Plan.

MONITORING

Describe how and the frequency with which you monitored your activities.

The City of Birmingham through its Department of Community Development is committed to a comprehensive program of monitoring and evaluating the progress of housing and community development activities. The goal of the jurisdiction is to ensure long-term compliance with the applicable regulations and standards, particularly the National Affordable Housing Act. The Department of Community Development administers and monitors activities funded in whole or in part under programs covered by the consolidated plan. The Department of Community Development is responsible for overseeing funds distributed to sub-recipients and other entities, and for reporting performance and accomplishments in the Consolidated Annual Performance and Evaluation Report.

Because the CPD formula block grant program promotes maximum flexibility in program design and since the use of these funds is driven by local choice, HUD believes that performance measurement systems should be developed at the state and local level.

In accordance with the HUD-CPD Notice 03-09, issued in September 2003, the City is continuing its efforts to develop local performance measures. The City's Community Development Department is presently requiring monthly and quarterly reports from each of its CDBG, ESG, HOME and HOPWA grantees measuring performance and output measures. These reports are to be compiled and included as a part of the City's annual CAPER submission.

The City of Birmingham, through its Department of Community Development, is structuring its monitoring process to ensure that a system of continuous communication and evaluation is in place. The monitoring process facilitates the evaluation of accomplishments in relation to established goals and objectives. Information gained from the review will give the City of Birmingham an opportunity to determine which programs and /or strategies are working, which benefits are being achieved, which needs are being met and which objectives are being accomplished. Both qualitative and quantitative methods of evaluation will be used including the following:

- Surveys
- Telephone conversations
- Quarterly reports
- Periodic meetings
- Workshops
- Evaluation sessions
- Other forms of data collection

The Department of Community Development requires that all sub recipients, CHDOs, or

other entities receiving funding execute written agreements before any CDBG, HOME, ESG, or HOPWA funds will be disbursed. These agreements provide a description of the activity or project to be undertaken, its objectives, budget, and expected duration. The roles and responsibilities of each partner and the expected public benefit will be specified, measured, and recorded for tracking performance.

Service and/or housing providers will be required to submit monthly and quarterly reports on progress and accomplishments. The Department of Community Development also conducts mid-year evaluations and site visits of funding recipients. These strategies are used, as necessary, to redirect or refocus programs in order to meet annual and five-year objectives.

As part of the monitoring process, the City of Birmingham through its Department of Community Development prepares and distributes a schedule of visits for each program, housing provider, and/or service provider. The schedule is sent to each agency, organization or appropriate metropolitan government department as part of the initial CDBG, HOME, HOPWA, or ESG orientation. Notification letters, with schedules included, will address specific monitoring and technical aspects to be covered along with agency staff that should be involved. The Department of Community Development also employs spot reviews and site visits as part of its monitoring activities.

Timeliness of Expenditures: To ensure the timeliness of expenditures, the focus of the monitoring plan centers on key indicators, which demonstrate if programs are operating effectively and efficiently. The plan will help the City of Birmingham, Alabama to ensure that housing, homeless, and non-housing issues and the internal policies are consistent. Where projects and/or programs have experienced delays, assessments of the following will be conducted:

- Reasons for the delay
- Extent to which the delay is beyond the control of the housing and/or service provider
- Extent to which original priorities, objectives and schedules were unrealistic

Monitoring activities for the consolidated plan incorporates aspects that have been included in the CDBG, HOME, HOPWA and ESG programs. This includes reviewing and documenting projects for eligibility, maintaining record-keeping requirements, and reviewing financial transactions, including budgets and funding. Since the consolidated plan is an integrated, comprehensive document, expansions and modifications of other monitoring procedures that have been used in the past are considered.

Sub-Recipient Monitoring:

The City has complied with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Sub-recipient agreements must address:

Statement of Work/Scope of Services:

A detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Provision of detailed information regarding the planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating city departments such as engineering, parks and recreation and private sub-contractors, where applicable.

Project Budget

A detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

Record-keeping Requirements

Identification of the Sub-recipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the City and Federal Government, and documentation of CDBG participant and/or area eligibility.

Program Income

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded reported and expended.

Program Financial Audit

A detailing description of regulatory guidelines by which the City and Sub-recipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

Other Program Requirements

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

Suspension and Termination Clause

Sets forth provisions for the City to terminate or suspend agreements for reasons of non-compliance or convenience. These actions which provide Sub recipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the Sub recipient's and the City's performance under the Consolidated Plan.

Schedule

The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: Programmatic, Financial and On-Site Monitoring. The first two functions are conducted from an in-house perspective based on

the desk-top review of required monthly progress reports, periodic inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities. The City's Finance Department provides weekly and monthly auditing of CDBG Program expenditures through its computerized Fiscal Management and Information system. There is also an annual internal audit conducted by the City's Internal Audit Division. On-site performance review monitoring will be conducted through actual visits to project locations and/or sub-recipient agencies, to verify and inspect planned versus actual progress for public service, housing, public works and facilities, and economic development activities. An assessment of fund management, grant administration, compliance with contractual obligations, and the Consolidated Plan will also be made.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide a opportunity to share concerns, and offer immediate technical assistance. In instances where on-site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the City will conduct at least one formal on-site monitoring of Sub recipients per contract year. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U. S. Department of Housing and Urban Development provides further oversight of the City's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The City anticipates that this policy will continue.

Home Program Monitoring:

1. The Community Development Department prepares a written HOME Program request for proposals that is published in local newspapers of general circulation.
2. Community Development Department staff reviews all HOME Program proposals according to how each can best address the City's affordable housing priorities identified in the City's Consolidated Plan and Action Plan.
3. After proposals are reviewed, the Community Development Department makes its recommendations to the Mayor's Housing Review Committee, based on program objectives.
4. Upon approval, HOME sub recipients are informed as to the disposition of their proposal. Contracts are prepared for execution by the City and HOME sub-recipients approved to provide affordable housing.

5. Community Development staff monitor the contractual agreement through project completion and throughout the duration of applicable affordable housing limits.

Program Timeliness: The Community Development Department Staff monitor overall program timeliness by reviewing expenditure ratio's for CDBG, ESG, HOME, and HOPWA on at least a monthly basis. Copies of the reports are maintained on file in the Community Development Department.

Describe the results of your monitoring including any improvements:

Monitoring results were favorable during the year. All activities monitored received a letter outlining any findings or concerns with a recommended course of action for correction. The monitoring results for each activity reviewed are maintained on file in the Community Development Department. A summary of the results of the City's monitoring of its Sub-recipients follows:

<u>Agency</u>	<u>Monitoring Date</u>	<u>Results</u>
AIDS Alabama	6/9/11	No Findings
Aletheia House	2/1/13	1 Finding
Birmingham Regional Empowerment and Development	3/15/13	1 Finding
Birmingham Urban League	3/28/14	1 Finding/1 Concern
Bridge Ministries	2/26/13	No Findings
Changed Lives Christian Center	3/19/14	No Findings
Childcare Resources	3/27/13	No Findings
Children's Village, Inc.	4/10/14	No Findings
Cooperative Downtown Ministries	5/23/13	No Findings
Fair Housing Center of Northern AL	3/1/12	No Findings
Family Connection	3/18/14	No Findings
First Light	3/14/13	No Findings
Girl Scouts of North Central Alabama	4/10/14	3 Findings
Greater Birmingham Habitat for Humanity	3/5/10	No Findings
JJ's Freedom Center	5/30/13	4 Findings
Jefferson County Committee for Economic Opportunity	4/18/13	2 Findings
Jefferson State Community College	4/10/13	No Findings
Mental Health Association of Ctrl. AL	3/27/13	1 Finding
New Pilgrim Bread of Life Ministry	5/1/14	No Findings
North Birmingham Community Asst. Prg.	4/18/13	1 Finding/1Concern
One Roof (MBSH) Concerns	5/17/12	1 Finding/2
Pathways, Inc.	1/21/14 & 2/10/14	No Findings
Positive Maturity	4/3/13	No Findings
Prescott House	2/26/14	No Findings
REV Birmingham	4/24/13	1 Finding
Rising West Princeton Corporation	6/6/14	1 Finding
Rose Garden Adult Day Care	4/3/14	No Findings

Titusville Development Corporation Concerns	2/21/12	No Findings/2
United Cerebral Palsy	5/22/12	No Findings
Urban Impact, Inc.	5/7/14	No Findings
Urban Ministry Concerns	4/25/13	2 Findings/3
YWCA	5/16/13	1 Finding

SELF EVALUATION

a. Describe the effect programs have in solving neighborhood and community problems.

As noted herein, all priorities identified in the City’s 2010-2015 Consolidated Plan were addressed. The programs effects have been wide ranging including improved affordable housing, services to the City’s homeless and special needs populations, economic development and improvement of public facilities. The City’s Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

b. Describe progress in meeting priority needs and specific objectives and help make the community’s vision of the future a reality.

The Consolidated Plan is a concept that is designed to enable officials and citizens to become more aware of the larger picture and the extent to which all related programs are effective in collectively solving neighborhood and community problems. Moving beyond the compilation of program outputs, there must be a focus on results that will allow a community to assess progress in meeting the priority needs and specific objectives identified in the strategic plan and action plan in order to help make the community's vision of the future a reality.

In its 2010-2015 Consolidated Plan five-year strategic plan, the City identified 6 priorities for the five-year planning period. These priorities were developed based on need identified in the City's 2010-2015 Consolidated Strategy and Plan and the anticipated programs/resources to meet those identified priorities.

The following represents the City's assessment of its effectiveness of its performance during the PY 2013 Action Plan in the achievement of its overall five-year strategy objectives and priorities. The categories of priorities identified in the City's five-year plan are as follows:

1. Affordable Housing Priorities (Priorities 1, 2 and 4 outlined in the Executive Summary)

The City, during PY 2013, undertook a number of programs and activities as described in the Part I of this report, to address the five year priorities of

promoting affordable housing for low income renters, low income homeowners, and to provide affordable housing opportunities for first time home buyers. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment all described in Part I (1) Assessment of the one-year goals and objectives, is generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

2. Homeless/Continuum of Care Priorities (Priorities 3 and 4 as outlined in the Executive Summary)

The City during PY 2013 undertook a number of programs and activities as described in the Part I of this report to address the five year priorities of providing emergency shelter, support, and services for the homeless, to increase support facilities and services for the homeless persons in Birmingham who are handicapped, and to increase the number of transitional housing facilities available to the homeless population of Birmingham. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment, all described in Part I(1) Assessment of the one-year goals and objectives are generally consistent with the planned performance as set out in the City's five year homeless priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for emergency shelter, support, and services to homeless persons in Birmingham reflects significant effectiveness of performance in the activities undertaken.

3. Non-Housing Community Development Needs Priorities (Priorities 5 and 6 as outlined in the Executive Summary)

The City during PY 2013 undertook a number of programs and activities as described in Part I of this report to address the five-year priorities of meeting community development needs including public improvements, public facilities, public services, and economic development needs. The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment and the pattern of actual investment compared to planned investment, all described in Part I (1) Assessment of the one-year goals and objectives, are generally consistent with the planned performance as set out in the City's five year non-homeless persons with special needs priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided for non-homeless persons with special needs in Birmingham reflects significant effectiveness of performance in the activities undertaken.

The City plans no adjustments to strategies and activities outlined herein and in its PY 2010-2015 Consolidated Plan Five Year Strategic Plan. Although actual investment of funds compared to planned investment varied from program to program, overall the assistance provided reflects significant effectiveness of performance in the activities undertaken.

c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

As noted throughout Part 1 of this report, the City continued its emphasis of providing decent and affordable housing through the CDBG, and HOME programs. These programs and their results are detailed in Part I of this report.

Further, the City continued its efforts to expand economic opportunity for low and moderate-income persons through the creation of jobs under the CDBG Economic Development Activities as outlined in Part I of this report including its Section 3 Compliance efforts as outlined in the following section.

SECTION 3 COMPLIANCE SUMMARY

During PY 2013, the City undertook a number of efforts to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs to the greatest extent feasible, toward low-and very low-income persons. These efforts intended to benefit Section 3 eligible persons have been summarized as follows:

SECTION 3 TRAINEES:

- The City contracted with Jefferson State Community College in the amount of \$21,285 in CDBG funds (IDIS Activity Number 12823) to provide a New Options Program to assist low and very low income adults, primarily single parents, obtain educational and job skills training. **A total of 25 persons were assisted.**
- The City contracted with United Cerebral Palsy of Greater Birmingham, Inc., in the amount of \$15,527 in CDBG funds (IDIS Activity Number 12836) to provide a comprehensive training, including employability training, for low and very low income persons afflicted with Cerebral Palsy. **A total of 72 persons were assisted.**
- The City contracted with The Birmingham Regional Empowerment and Development Center in the amount of \$19,674 in CDBG (IDIS Activity Number 12826) funds to provide GED assistance and job readiness assistance to youth. **A total of 15 persons were assisted.**

As a result of these efforts, **a total of 112** Section 3 eligible residents, as defined at 24 CFR 135.5, were provided employment training assistance. The referenced programs are ongoing.

SECTION 3 RESIDENTIAL REHABILITATION:

CDBG Critical Repair Grant Program: In PY 2013, the City budgeted \$1,697,508.00 in CDBG funds (IDIS Project Number 6) to provide grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Contractors for this program are selected by the program participants and most contractors selected represent small rehabilitation minority business owners many of whom may well be Section 3 eligible. Alabama State Law prohibits preferential treatment under State Bid Law and no contractors for PY 2013 were certified as Section 3. While there were some that were potentially eligible, they were unwilling to disclose financial information.

PROGRAMS UNDERTAKEN TO DEVELOP / STRENGTHEN SECTION 3 BUSINESS CONCERNS:

The City undertook the following activities in an effort to develop and strengthen small business and section 3 eligible business concerns:

- I. Urban Impact, Inc.
319 17 Street, North
Birmingham, Al 35203
Mr. Nathan Hicks, Executive Director

The City of Birmingham annually renews a contract with Urban Impact, Inc., to provide technical assistance to minority and other economically disadvantaged business enterprises who wish to establish businesses in the City's Historic Fourth Avenue Business District. The City of Birmingham supports this program in an effort to assist and strengthen Section 3 business concerns or to assist those businesses who desire to be qualified as a Section 3 business.

Urban Impact Inc. works directly with the City of Birmingham's Community Development Department to identify and certify Section 3 business concerns.

- II. CDBG Float Loan Program:

Under the CDBG, the regulations do not require that funded activities be completed within a particular time period. Planned activities are often scheduled by the grantee (the City) to be carried out over a period of time. There is some constraint on the City's ability to schedule activities over long periods of time since the regulations provide a maximum level of unexpended funds a grantee is generally authorized to have when it is about to receive its next annual grant. However, the allowable level of funds at that point can be as much as one- and one-half times the community's annual grant amount. This level of undisbursed funds is called the "float" which is identified in the Action Plan.

In accordance with 24 CFR 570.301(a) the City's Float Loan Program is as an activity for

which the City has not yet decided on specific locations for its Float Loan activities. The City's Action Plan does describe the City Center and Neighborhood Commercial Revitalization Float Loan Programs as areas in which float funded loans may be undertaken and further describes who may apply, where applications are accepted, and the approval process. Applications for assistance from private for profit entities, individuals, or other eligible organizations are accepted Monday thru Friday at REV Birmingham, 505 20th Street, North, Suite 150; Birmingham, Al 35203 and at Main Street Birmingham, 5601 1st Avenue, North-Suite 102; P.O. Box 320637; Birmingham, AL 35212. To qualify for assistance, borrowers must meet all CDBG eligibility and national objective requirements and must further obtain a direct pay irrevocable letter of credit from a commercial lender that is unconditionally available to the City for the full amount of the loan including principal and interest. The approval process for each individual Float Loan requires the approval of the Mayor, City Council, and concurrence from the U.S. Department of Housing and Urban Development.

As outlined in the Action Plan, the City utilizes its "float" for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for Section 3 and other low- and moderate income eligible participants.

III. REV Birmingham
One 55th Place South, Suite 100
P.O. Box 320637
Birmingham, AL 35212
David Fleming, Executive Director
Phone: 205-595-0562

REV Birmingham works directly with the City of Birmingham's Community Development Department to identify, assist, and certify Section 3 business concerns.

REV Birmingham's SocialVenture Program is a public-private partnership focusing on creating new business and job opportunities by focusing resources on redevelopment and enhancement of historic neighborhood commercial districts. There has never been a more challenging environment for small business and Section 3 eligible business owners. And yet, as the markets for sales and lending shrink, REV Birmingham is identifying new strategies for reaching out to customers. Through resources like the City of Birmingham's Economic Stimulus Loan Program, REV Birmingham is helping small business owners and entrepreneurs access capital for expansion and new enterprise. REV Birmingham works directly with the City of Birmingham's Community Development Department to identify and certify Section 3 business concerns.

IV. City of Birmingham
Department of Community Development
710 North 20th Street, Room 1000
Birmingham, AL 35203
John Colón, Director

Phone: 205-254-2475

The City of Birmingham recognizes that the Department of Community Development and any other department of the City that receives over \$100,000 in Community Development Block Grant (CDBG) funds (hereafter referred to as the department), must comply with Section 3 of the Housing and Urban Development Act of 1968, as amended. To that end, the Community Development Department has developed Section 3 Policies, Procedures, and Guidelines to provide Section 3 residents (low and very low income persons), as well as businesses that have an ownership of 51% or more Section 3 residents, the type of economic opportunity that will allow them to become self-sufficient. A copy of the Community Development Department Section 3 Compliance Strategy is posted on the Community Development Webpage at www.birminghamal.gov.

Section 3 focuses on three construction project areas assisted in whole or in part with CDBG, HOME, NSP1, or other HUD funds:

1. Housing rehabilitation (including reduction and abatement of lead-based paint hazards).
2. Housing construction.
3. Other public construction projects assisted with CDBG, HOME or other Federal funds.

Jobs arising in connection with these projects are not only the construction jobs, but also management, maintenance, clerical, and administrative jobs that come into existence because of a construction project.

The City's Community Development Department is in the process of assigning a Grants Management Coordinator as single point of contact staff person relative to all Section 3 matters.

Section 3 Business Development Activities supported with CDBG Funds

Program	Funds Allocated	Funds Expended	Accomplishments
-REV Birmingham	\$ 131,325.00	\$ 131,325.00	Provides technical assistance and office space to revitalize community-based commercial areas in nine target districts as well as increase opportunities for entrepreneurship
Urban Impact	\$ 164,787.00	\$ 164,787.00	Provides promotional activities for the economic revitalization of the Fourth Avenue Business District.

** LOC: Stands for Irrevocable Letter of Credit issued by a commercial lender unconditionally available to the City for the full amount of principal and interest of the float loan.*

EFFORTS TAKEN TO COLLABORATE IN ORDER TO STRENGTHEN SECTION 3 CERTIFICATIONS

The City continues to work to establish a consistent method for Birmingham citizens to be Section 3 Certified. In order to draw more citizen and business participation into the Section 3 Certification process the City created self-certification forms for resident and business concerns. Additionally, the City has reached out to businesses that have previously been affiliated with the Department of Community Development in order to certify those interested qualifying as Section 3 Business Concerns. The City will continue to look for ways to offer Section 3 certifications.

SECTION 3 SUMMARY
FORM HUD-60002



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
WASHINGTON, DC 20410-2000

ASSISTANT SECRETARY FOR
FAIR HOUSING AND EQUAL OPPORTUNITY

July 25, 2014

Dear Recipients of HUD Financial Assistance Covered by Section 3 of the HUD Act of 1968:

Re: Status of the Section 3 60002 Summary Reporting System

On December 20, 2013, HUD launched an enhanced Section 3 Summary Reporting System to replace the previous online system for submitting 60002 reports. After the release of the new system, some recipients encountered unanticipated technical problems that resulted in a fatal error which prevented report submissions.

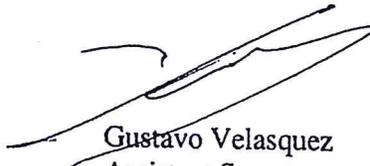
On January 9, 2014, HUD issued a letter to recipients of covered HUD assistance regarding the temporary shutdown of the Section 3 60002 Summary Reporting System to address the unanticipated technical problems.

At this time, the Section 3 Summary Reporting System remains unavailable for the submission of Form HUD 60002 by covered agencies. The Department is aware of the challenges that this inconvenience presents. Please be assured that no recipients will be held in noncompliance for failing to submit 2013 or 2014 Section 3 reports on time, and the unavailability of the system should not result in negative findings during annual audits such as those conducted pursuant to the Single Audit Act (i.e., OMB Circular: A-133).

The Department expects to resolve the technical problems soon. HUD will notify recipients by email when the Section 3 Summary Reporting System is re-launched and will communicate new due dates at that time. I also encourage you to sign-up for the Section 3 listserv at www.hud.gov/section3 to receive updates about the status of the system and other relevant information.

Thank you for your continued patience.

Sincerely,



Gustavo Velasquez
Assistant Secretary

cc: Taffet, D
Bryon, P
Galante, H

d. Indicate any activities falling behind schedule.

The City's program progress is satisfactory at this time. As of September 12, 2014 the City's CDBG timeliness ratio was 1.07 which is within the regulatory defined satisfactory range. While some activities do take longer than others to accomplish, the City' overall program has no activities that are behind schedule to the point to require cancellation or re-programming.

e. Describe how activities and strategies made an impact on identified needs.

As noted herein, all priorities identified in the City's 2010-2015 Consolidated Plan were addressed. The activities and strategies identified in Part I of this report have impacted the needs identified therein in a wide ranging manner including improved affordable housing, services to the City's homeless and special needs populations, economic development and improvement of public facilities. The City's Citizen Participation Program also continues to provide a vital communication link between the City and its 99 neighborhoods.

f. Identify the indicators that would best describe the results.

The factors that best describe the results are the program accomplishments for each of the formula entitlement program and the programs of the Housing Authority of the Birmingham District. These accomplishments are detailed in Part I(1) of this report entitled "assessment of the one-year goals and objectives." In summary, progress was made in all priority areas including the provision of affordable housing, provision of services to special needs populations, provision of housing and supportive services for the homeless populations, the creation of jobs for low and moderate income persons, and in providing public facility/infrastructure upgrades to areas of the City where at least 51 percent of the residents are low-and moderate income persons.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

The City of Birmingham in its HUD approved PY 2010-2015 Five-Year Strategic Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety. It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing.

In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2013 One-Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing programs in an effort to streamline existing requirements.
- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during PY 2013 to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2013 Action Plan. As cited in the PY 2013 Action Plan, many factors influence the cost of affordable housing (interest rates, land costs, market pressures, etc.) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

h. Identify whether major goals are on target and discuss reasons for those that are not on target.

The major priorities goals and objectives outlined in the City's 2010-2015 Consolidated Plan that were addressed during PY 2013 are generally on target as outlined in the Executive Summary, Section D, Program Highlights and Initiatives. Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while; 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2013 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to

adjacent low-moderate income neighborhoods.

4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.

5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

i. Identify any adjustments or improvements to strategies and activities that might met your needs more effectively.

The City does not at this time anticipate future actions or changes to its PY 2010-2015 Consolidated Strategy and Five-Year Strategic Plan proposed to be made as a result of assessing its PY 2013 One-Year Action Plan Performance or experiences. The City will of course continue to seek improvements to existing strategies and activities and make adjustments as needed.

LEAD BASED PAINT

Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

The City undertook, through its Community Development Block Grant Housing Rehabilitation Programs, efforts to support lead based paint abatement for all eligible households, regardless of the presence or age of children, whose homes meet or exceed HUD action levels for abating lead-based paint.

Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by appropriate Federal agencies. Such term includes:

- * the removal of lead-based paint and lead-contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead-painted surfaces or fixtures, and the removal or covering of lead-contaminated soil; and
- * All preparation, cleanup, worker protection, disposal, and post-abatement clearance testing activities associated with such measures.

The City also undertook the following strategies for lead hazard reduction:

- a. Support of the Jefferson County Health Department (JCDH) Lead Hazard Reduction Committee by participating in this committee to provide solutions for the health and housing problems which result from lead hazards.
- b. The City supported efforts of alliance to end childhood lead poisoning at the National and State level.

- c. The City assisted the JCDH Committee in educating residents about the epidemic of childhood lead poisoning.
- d. The City supported efforts for state legislation required under Title X for approved state programs for contractor's license and worker training. The City's position continues to be that model state law should emphasize prevention through source control and elimination.
- e. The City encouraged local contractors to become certified in testing and abating lead-based paint particularly through training provided by Safe-State at the University of Alabama in Tuscaloosa. Once lead is identified in a residence, the property owner must remove the lead source to eliminate the exposure.
- f. The City continued its support for the inclusion of lead hazard reduction as part of the minimum housing code; therefore, allowing for the inspection of lead when the house is otherwise being inspected or evaluated.
- g. The City requires lead hazard reduction to be part of City sponsored rehabilitation contracts, thereby abating by removal or encapsulation.
- h. The City continues to support the efforts of the Public Housing Authority to provide a lead-safe living environment.
- i. The City is considering the design of a housing program with City monetary and non-monetary incentives in order to provide lead-safe housing environments for owners and renters.
- j. The City encourages education efforts with other public agencies on the Federal, State, and Local levels.
- k. In an effort to comply with the requirements of HUD's regulation to protect young children from lead-based paint "Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance", which went into effect September 15, 2000, the City provided the opportunity for a number of its housing inspectors to become certified in testing and abating lead-based paint particularly through training provided by Safe State at the University of Alabama in Tuscaloosa.

The City included in its PY 2013 Action Plan, a deferred loan option for residential rehabilitation. All residential rehabilitation loan programs funded in whole or in part with CDBG or HOME funds have a deferred loan option for funds which go to correct lead based paint problems and minimum housing code items which directly impact health and safety. Deferred loan terms require that the borrower live in the residential structure assisted through the term of the deferred loan. If a borrower remains in the structure

assisted through the term of the deferred loan the loan will be forgiven. If a borrower should move from the structure assisted, rent or convey the property in any way prior the end of the term of the deferred loan then the loan becomes immediately due and payable. Periods of deferment may be for up to 15 years.

Resources/Programs:

The City undertook its lead based paint abatement efforts and strategies through its established CDBG Housing Rehabilitation Programs. All lead based paint abatement requirements are undertaken by housing developers under contract with the City to provide affordable housing in accordance with lead based paint abatement contract requirements.

Amount of Funds Invested:

In Past years, the City included \$100,000 in its Action Plan for Lead Based Paint Hazard Compliance. However, no activities were funded in 2013. These funds include equipment, inspection, risk assessment, project design interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance.

Part II – Housing

ACTIONS TAKEN TO MAINTAIN AFFORDABLE HOUSING

In this narrative, the City will discuss its accomplishments and those of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved PY 2010-2015 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2010-2015 Five Year Consolidated Strategy Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City's PY 2010-2015 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need.

- Provide decent and affordable housing for low and very low-income households.
- Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
- Provide housing and services for populations with special needs.
- Provide housing and supportive services for homeless populations.
- Promote city wide economic development.
- Provide public facility/infrastructure activities.

Within these broad areas of priority need, during this reporting period the City made considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic

plan, during PY 2013 the City gave priority consideration to projects/activities in the following areas:

Activities in Support of Revitalization of Neighborhoods and Communities

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners with a particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part I(1), Assessment of one-year goals and objectives. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Five Year Consolidated Strategy and Plan.

Also provided in Addendum A is the PY 2013 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

SPECIFIC HOUSING OBJECTIVES

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

In this narrative, the City will discuss its accomplishments and the accomplishments of other entities in providing affordable housing assistance to very low-income households (0-30% of area median income), low-income households (31%-50% of area median income), moderate income households (does not exceed 80% of area median income), middle-income households (between 80% and 95% of area median income), homeless persons, and persons with other special needs during the reporting period.

In its HUD approved 200-2015 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2010-2015 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2010-2015 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2013 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in item 5 of CHAS Annual Performance Report Table 1 (see Addendum), that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2010-2015 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

In its HUD approved 2010-2015 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. These priorities are consistent with the provision of affordable housing that meets the Section 215 definition of affordable housing for low income rental and owner households.

As can be seen from the attached PY 2010-2015 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2010-2015 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to

planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2013 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of housing related households and persons assisted by racial and ethnic group as reflected in Addendum A, that those numbers are generally consistent in the context of providing affordable housing consistent with the Section 215 definition to very-low and low income households as outlined in the City's PY 2010-2015 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories identified in the priority needs table.

3. Describe efforts to address “worst case” housing needs of persons with disabilities.

The city is committed to addressing the “worst case” housing needs of persons with disabilities. These efforts were undertaken during the program year through two primary programs.

- The Critical Repair Grant Program was funded under the CDBG program in the amount of \$1,697,508.00 to provide rebate grants of eligible rehabilitation expenses not to exceed \$15,000 per low to very low income homeowner. These critical repair grants provided rehabilitation to elderly homeowners who otherwise were in danger losing their home due to necessary repairs that otherwise could not be made.
- Birmingham Independent Living Center (d/b/a Disability Rights and Resources) was funded under the CDBG program in the amount of \$225,000 to provide assistance to qualified disabled residential tenants and disabled homeowners to enable modifications to be made to their residences. The maximum allowable grant was \$3,000 per household.

PUBLIC HOUSING STRATEGY

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Public Housing Improvements:

In this section, the City must describe the Housing Authority of the Birmingham District's (HABD) performance in carrying out actions during the last year that served to:

- a. Improve the management and operation of public housing; and,
- b. Improve the living environment of very low-and other low-income families residing in public housing.

The City, the HABD, and the area HUD office have strived to establish strategies, targets, incentives and sanctions for improving the performance and management of the HABD.

The HABD, during the past year, continued its efforts to meet the management standards established by HUD and continued training and certification workshops for executive directors and other PHA officers and members. Also, the HABD has indicated that fiscal policies and analyses were established on a project based accounting for income and cost. The HABD is presently not designated as "troubled" by HUD or otherwise performing poorly. The HABD has been designated as a "High Performer" under the Public Housing Management Assessment Program. Also, in an effort to improve the living environment of very low-and other low-income families residing in public housing, during the past year, the HABD continued to work to secure additional grant funds from the U.S. Department of Housing and Urban Development.

The City's actions undertaken during the past year compared with those proposed in its PY 2013 One-Year Action Plan are generally consistent. The City plans to continue its efforts to work with the HABD to improve the management and operation of public housing.

Public Housing Resident Initiatives:

In this section, the City must describe its performance in cooperation and coordination with the Housing Authority of the Birmingham District (HABD), to increase the involvement of public housing residents in the management of their public housing, and to provide expanded home ownership opportunities to public housing residents.

The Housing Authority, Birmingham District is a quasi-government agency which operates independently of the City. Its board members are appointed by the City Council. One board position is reserved to be filled by a resident of public housing.

The City is not directly involved in determining management techniques used at the Housing Authority, Birmingham District. However, through its avenue of quarterly

meetings with the HABD, issues of public housing were reviewed in an effort to increase the involvement of public housing residents in management.

In an effort to expand home ownership opportunities for residents of public housing during the past year the City continued to support the HABD in its efforts to participate in available Federal programs. The City's actions during the past year are consistent with those proposed in its PY 2013 One-Year Action Plan.

BARRIERS TO AFFORDABLE HOUSING

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

The City of Birmingham in its HUD approved PY 2010-2015 Five-Year Strategic Plan, assessed the negative effects of public policies, rules, and regulations impacting upon the availability of affordable housing and set forth a plan to remove or ameliorate their negative effects. It was also noted that such regulations have been enacted for good reason - to protect the Community's health and safety.

It was noted, zoning ordinances, subdivision regulations, building codes, environmental regulations, design and sign ordinances, historic preservation requirements, state/federal health cords, and paperwork procedures all impact upon the availability of affordable housing. In attempting to remove or ameliorate the negative effects of public policies, the City, as described in its PY 2013 One -Year Action Plan included, but was not limited to, the following actions:

- Reviewed paperwork procedures impacting upon the City's adopted housing programs in an effort to streamline existing requirements.
- Continued its review of various City ordinances, codes, and regulations in an effort to reform regulations without the possible sacrifice to health and safety.
- Continued its review of design and sign ordinances which affect the aesthetics of building exteriors.

The actions undertaken by the City during the past year to address negative effects of public policies are generally consistent with those actions proposed in the City's PY 2013 Action Plan. Many factors influence the cost of affordable housing (interest rates, land costs, market pressures, building material costs, weather related disasters and events, etc.) over which local jurisdictions have little influence. However, the City as planned addressed those areas in which some impact could be made.

HOME

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

In its HUD approved PY 2010-2015 Consolidated Strategy and Plan submission, the City identified the provision of affordable housing services as a high priority need to be addressed as a part of the City's five year strategic plan. As can be seen from the attached PY 2010-2015 Consolidated Strategy Five Year Priority Needs Summary Table, the City identified the provision of affordable housing as a high priority for its very low income, low income, middle-income, and homeless residents. The City further identified the type of assistance anticipated to be provided to renters and owners by family size and estimated the number of needed units as well as the estimated dollars to address the identified needs.

In an effort to address the affordable housing needs identified, the City developed affordable housing priorities and objectives, outlined in Executive Summary Section C, that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified the HOME Program activities undertaken pursuant to each of these affordable housing priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to these affordable housing priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of households/persons actually assisted during the program year and the goals established for households/persons to be assisted in the City's PY 2010-2015 Consolidated Plan five year strategic plan, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

Also provided in Addendum A is the PY 2013 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

It appears from an examination of the numbers of households and homeless persons assisted by racial and ethnic group as reflected in Addendum A, that those numbers are generally consistent in the context of providing affordable housing to very-low and low income households as outlined in the City's PY 2010-2015 Five Year Priority Needs Summary Table. The City committed significant resources to the development of affordable housing during the reporting period and demonstrated progress in meeting its affordable housing priorities for those high priority and medium priority categories

identified in the priority needs table.

2. HOME Match Report:

The City of Birmingham is exempt from HOME Program matching requirements.

3. HOME MBE and WBE Report:

Part III of HUD Form-4107 to report contracts and subcontracts with Minority Business Enterprises (MBE's) and Women's Business Enterprises (WBE's) is attached.

4. Assessments:

a. Results of on-site inspections of rental housing:

The results of on-site inspections of affordable rental housing assisted under HOME and as assessment of the HOME jurisdictions affirmative marketing actions and outreach to minority and women owned businesses. The results are as follows:

On or about June 1 of each year, the City notifies the multi-family recipients (owner) of the HOME requirement that all tenant income must be verified during the period of affordability. In addition, the owners are informed of the annual inspection of each unit assisted with HOME funds for compliance with the Minimum Housing Standards.

The City assigns a Sr. Housing Rehabilitation Specialist to inspect each multi-family complex noting any deficiencies. The Sr. Housing Rehabilitation Specialist then notifies the owner of any deficiencies and allows a reasonable time period in which to correct the deficiencies. A re-inspection is established to ensure compliance.

b. HOME Jurisdiction's Affirmative Marketing Action:

The City requires all participants and CHDO's participating in the HOME Program to provide the City with an affirmative marketing strategy. The strategy must be in compliance with HOME regulations and of this date, all units receiving HOME funds are occupied by low/mod minority persons and/or families.

The City does not see Affirmative Fair Marketing requirements posing a problem with HOME-funded projects.

c. Outreach to Minority and Women Owned Business:

In the PY 2013 reporting period, two contracts were awarded under the HOME program with a total value of \$3,082,000.00. One minority-owned business was a recipient of funding in the amount of \$560,000.00. No contracts were executed with women-owned businesses. Outreach efforts to Minority and Women Owned businesses under the City's

HOME Program are ongoing in accordance with Subpart III-11(F) of the City's PY 2013 HUD Approved Action Plan.

ANNUAL PERFORMANCE REPORT – HOME PROGRAM
HUD FORM-40107

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy) 09/29/2014
	Starting 07/01/2013	Ending 06/30/2014	

Part I Participant Identification

1. Participant Number M13MC010200	2. Participant Name City of Birmingham, AL		
3. Name of Person completing this report Adrienne Stitt		4. Phone Number (Include Area Code) 205-254-2434	
5. Address 710 - 20th Street North, Room 1000	6. City Birmingham	7. State AL	8. Zip Code 35203

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$45,801.79	2. Amount received during Reporting Period \$238,647.99	3. Total amount expended during Reporting Period \$195,535.26	4. Amount expended for Tenant-Based Rental Assistance \$0	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$43,112.43
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	2	0	0	0	0
2. Dollar Amount	\$1,090,042.00	0	0	0	0
B. Sub-Contracts					
1. Number	2	0	0	0	0
2. Dollar Amount	\$1,090,042.00	0	0	0	0
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	1	1	0		
2. Dollar Amount	\$670,000.00	\$670,000.00	0		
D. Sub-Contracts					
1. Number	0	0	0		
2. Dollar Amounts	0	0	0		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0	0	0	0	0	2
2. Dollar Amount	0	0	0	0	0	\$1,090,042.00

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	0
2. Businesses Displaced	0	0
3. Nonprofit Organizations Displaced	0	0
4. Households Temporarily Relocated, not Displaced	0	0

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0	0	0	0	0	0
6. Households Displaced - Cost	0	0	0	0	0	0

Part III – Homelessness

HOMELESS NEEDS:

ACTIONS TAKEN TO ADDRESS HOMELESS NEEDS

In its HUD approved PY 2010-2015 Consolidated Strategy and Plan submission, the City identified the provision of services to the homeless as a high priority need to be addressed as a part of the City's five year strategic plan. In an effort to address the needs of the homeless, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City committed significant resources to the provision of services to the homeless during the reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs. Additionally, the City undertook the following during the past year:

Continuum of Care

To comprehensively address the needs of the homeless, HUD-CPD developed the "Continuum of Care" concept. The Continuum of Care is a holistic approach that integrates the programs and services for the homeless. The Continuum of Care process ensures the creation of linkages with other housing and community development programs available to participating communities as well as the mainstream social services programs critical to the success of homeless assistance efforts.

The Continuum of Care process is established for all Consolidated Plan jurisdictions and other localities that receive funding under numerous HUD-CPD programs that provide resources to reduce homelessness. The Continuum of Care seeks to provide an overall umbrella for each local plan to reduce homelessness. The City under the CDBG, HOME, and ESG programs direct funds toward the goal of reducing homelessness under its Consolidated Plan and HUD's Continuum of Care strategy.

As outlined in the City's current HUD approved PY 2010-2015 Consolidated Plan and Action Plan, the City has assisted in the development of a Continuum of Care system in Birmingham. This effort is coordinated by One Roof (formerly the Metropolitan Birmingham Services for the Homeless - MBSH) an agency that the City has and presently supports through its Community Development Block Grant Program.

One Roof is a coalition of approximately 30 service providers working to meet the needs of the homeless population in the Birmingham area and surrounding areas. The geographic area covered includes Jefferson, Shelby, St. Clair, and Blount Counties. Membership includes the following:

Shelters: Urban Ministries, Pathways, Cooperative Downtown Ministries, Family Connections, First Light, YWCA, Alethia House, Changed Lives Christian Center.

Service Agencies: Community Kitchens, JBS MH/MR Authority, AIDS Alabama, Pathways, Christian Service Mission, Birmingham Health Care, Independent Living Center, Shelby Emergency Assistance, Aletheia House, Jefferson County Housing Authority, Jolene James for NAMI, urban Ministries.

Municipal Affiliations: City of Birmingham, Operation New Birmingham, Reggie Holloway for Shelby County Commission, Chad Richmond for State of Alabama Veterans Employment.

Faith Based: Ministerial Brotherhood, Highlands United Methodist Church

The primary goal of One Roof is to coordinate Birmingham's Continuum of Care system in the homeless service arena, to identify and eliminate gaps in service, develop a community wide service plan, and to assist with the application for funds under the HUD Super-NOFA published annually.

To date, One Roof has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of the One Roof Organizations, grant awards for renewals and one new planning grant totaled \$8,538,807 for 2012. All funding will directly benefit the homeless population of Birmingham.

Summary of Actions Taken To Develop and Implement a Continuum of Care Strategy

The City continued its efforts during the past year to develop and implement a Continuum of Care strategy for the homeless, i.e., actions taken to prevent homelessness, to address the emergency shelter and transitional housing needs of homeless individuals and families (including significant Sub-populations such as those living on the streets), to help homeless persons make the transition to permanent housing and independent living.

The City's primary emphasis during the past year in continuing its efforts to develop and implement a Continuum of Care strategy that addresses each of the above referenced areas, focused upon ongoing support for One Roof. One Roof is a non-profit organization which the City feels has the ability to represent a broad based coalition of homeless providers in the Birmingham metropolitan area. It is the City's position that to make services to the homeless effective, it is essential to identify and promote a coalition organization that can lead the effort toward developing a comprehensive continuum of care.

The City during the past year continued its efforts to promote One Roof as follows:

- The City continued its designation of One Roof as its coordinating agency regarding the implementation of the City's continuum of care.
- The City provided funding for One Roof to support its staff needs and plan development costs.
- The City supported One Roof in its efforts to designate a community based board to specify the services and facilities needed by the City's homeless population. The board has been designed to represent a broad spectrum of the care continuum, along with a representative from the City, Jefferson County, the United Way, and at large members for the business and religious community.
- The City supported One Roof in its efforts to develop a needs and resource assessment so as to identify available resources to address the existing needs of the homeless population.

Chronic Homelessness

The City has completed its 10-Year Plan to End Chronic Homelessness and has begun its implementation efforts through Project Homeless Connect. A copy of the City's 10-Year Plan is available on the City's Website at www.birminghamal.gov under the Community Development Department. An Implementation Report summarizing the use of CDBG and ESG funds in addressing the challenges of homelessness and summarizing progress made in implementing the City's 10-Year Plan to End Chronic Homelessness is including as Addendum G of this report.

On any given night, there are over 2,500 homeless individuals in Central Alabama. Project Homeless Connect is the first step in a coordinated campaign to reduce homelessness. The sixth annual Project Homeless Connect Event was held on Saturday, March 9, 2013 from 7:30 a.m. until 3:00 p.m. at the City's Boutwell Auditorium. Over 60 government, business, health and human services and faith-based organizations

provided a range of services to Birmingham’s homeless population including Medical Care, Legal Assistance, Dental Care, Mental Health Counseling, Benefit and Housing Counseling, Childcare Assistance, Haircuts, and a warm lunch. For more information about Project Homeless Connect visit www.uwca.org.

Actions to Help Homeless Persons Make the Transition to Permanent Housing and Independent Living.

To help homeless persons make the transition to permanent housing, the City undertook the following actions.

i. Activities Undertaken:

The City assisted three nonprofit and/or public providers of transitional housing to expand their capacity to more fully accommodate homeless persons (Pathways/Transitional Shelters, YWCA/Interfaith Hospitality House, Pathways/Downtown PATH Center).

ii. Programs/Resources:

The City provided support for the above described activities through the Community Development Block Grant Program and the Emergency Shelter Grant Program, as stated in the PY 2013 One-Year Action Plan as follows:

	<u>Actual</u>	<u>Planned</u>
Pathways Transitional Shelters (CDBG)	\$32,900	\$32,900
Pathways/Downtown PATH Center (ESG)	\$32,449	\$32,449
YWCA-Interfaith Hospitality House (CDBG)	\$39,695	\$39,695
Pathways Transitional Shelters (ESG)	\$41,575	\$41,575
TOTAL:		\$146,619.00

iii. Geographic Distribution of Investment:

The investment of the above referenced funds was made on a city-wide basis. The City's actual performance regarding distribution was consistent with the planned city-wide distribution of funds as described in the PY 2013 One-Year Action Plan.

Identify new Federal resources obtained from Homeless discretionary grants

To date, One Roof has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of One Roof organizations, a total of \$8,538,807.00 in grant renewals and a new planning grant were awarded in 2013. All funding will directly benefit the homeless population of Birmingham.

SPECIFIC HOMELESS PREVENTION ELEMENTS

1. Identify actions taken to prevent homelessness.

The City included a number of activities in the 2013 action plan to address individuals and families with children at imminent risk of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$687,100.00 as outlined under ESG Homeless Service Providers in Part I of this report. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless. Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,668,402.00 as outlined under CDBG Housing for Special Needs Populations in Part I of this report. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

EMERGENCY SOLUTIONS GRANTS (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

In its HUD approved PY 2010-2015 Consolidated Strategy and Plan submission, the City identified the provision of emergency shelter and transitional housing services to the homeless as a high priority needs to be addressed as a part of the City's five year strategic plan. In an effort to address these needs, the City identified the provision of housing and supportive services for homeless populations and populations with special needs, as outlined in Executive Summary Section C, as priorities that it would address over a five year period in an effort to provide maximum affordable housing for its most needy citizens with resources anticipated to be available.

The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period as outlined in Part I of this report under the CDBG and ESG Homeless Service Providers. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

It appears from an examination of the numbers of persons assisted, that those numbers are generally consistent in the context of providing services to the homeless. The City

committed significant resources to the provision of services to the homeless during the reporting period and demonstrated progress in meeting its homeless and special needs population priorities for those high priority needs.

2. Assessment of Relationship of ESG Funds to Goals and Objectives.

a. Evaluation of progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

The City undertook a number of actions during PY 2013 with ESG funds to address homeless and homeless prevention goals, objectives, and priorities established in the Consolidated Plan.

The City has addressed the Emergency Shelter Grant section of the Executive Summary of this report the activities undertaken; resources/programs provided for each of the following priorities identified in the City's HUD approved PY 2010-2015 Consolidated Plan. They are as follows:

- Priority Number 3: Provide housing and services for populations with special needs.
- Priority Number 4: Provide housing and supportive services for homeless populations.

Please refer to part I (1(b)), breakdown of the HUD-CPD formula grant funds spent on grant activities, of this report for the detailed description of activities undertaken for each of the above referenced priorities relating to addressing the needs of homeless persons.

b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The ESG projects listed in the ESG portion of the Executive Summary relate directly to the priorities outlined in the City's PY 2010-2015 Consolidated Plan and its homeless planning strategy. The City has identified Homeless Shelter Support Programs under its CDBG Program and ESG Program activities undertaken pursuant to each of these homeless priorities during the reporting period in Part 1(b)-Breakdown of the HUD-CPD formula grant funds spent on grant activities. The City has generally allocated resources to the needs of homeless person priorities in accordance with the priorities and specific objectives identified in the City's PY 2010-2015 Consolidated Strategy and Plan. Although some variations do exist between the number of persons actually assisted during the program year and the goals established for these programs, those differences relate to differences in actual available Federal funding as compared to planned Federal funding levels that were anticipated to be available during the program year and are not considered to be significant variations.

The City has provided in Part I, 1(b) Breakdown of the HUD-CPD, Breakdown of the HUD-CPD formula grant funds, under Priorities 3 and 4 the numbers of persons provided with provided with homeless related services through the CDBG and ESG programs.

3. Matching Resources:

a. A Description Of The Sources And Amounts of Funds Used To Meet The Match Requirements Of The ESG Program:

Funding under the ESG programs requires that each grant recipient supplement its grant with equal amount of matching funds from other sources. In calculating the match, the following may be used:

1. Value of donated material or building;
2. Value of any lease on a building;
3. Salary paid to staff in carrying-out ESG activities;
4. Volunteer hours for services at \$5.00 per hour.

PY 2013 ESG Grant Amount: \$521,640.00

Match-Volunteer Hours: \$521,640.00

Match documentation for each ESG Sub-grantee is maintained in the Contract file of the Community Development Department.

b. ESG Supplemental Match Provided by the CDBG Program:

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**SUPPLEMENTAL MATCH IN SUPPORT OF MCKINNEY-VENTO
PROGRAMS (ESG)**

July 1, 2013

In accordance with the guidance received on January 6, 2009 from June Franklin, HUD-CPD Program Manager, regarding a final rule published in the Federal Register on December 11, 2008, in regard to Matching Requirements in the McKinney-Vento Act Programs, the following supplemental match information is provided. These federal funds have been provided by the City of Birmingham, Alabama in support of homeless housing programs and accordingly meet the matching funds definition as outlined at 576.51 (attached).

<u>PY 2007</u>	<u>TOTAL ESG</u>	<u>CDBG MATCH</u>
Family Connection	\$74,672.00	\$0
Urban Ministry	\$13,890.00	\$20,622.00
Pathways/Downtown Path Center	\$26,612.00	\$65,619.00
Pathways/Transitional Shelters	\$37,318.00	\$31,484.00
Cooperative Downtown Ministries	\$36,250.00	\$43,172.00
First Light	\$19,648.00	\$33,600.00
Interfaith Hospitality House	\$27,990.00	\$37,985.00
YWCA	\$32,330.00	\$55,447.00
<u>Bridge Ministries</u>	<u>\$26,874.00</u>	<u>\$30,802.00</u>
Total	\$295,584.00	\$318,731.00

<u>PY 2008</u>	<u>TOTAL ESG</u>	<u>CDBG MATCH</u>
Family Connection	\$74,672.00	\$0
Urban Ministry	\$13,890.00	\$20,622.00
Pathways/Downtown Path Center	\$26,612.00	\$65,619.00
Pathways/Transitional Shelters	\$37,318.00	\$31,484.00
Cooperative Downtown Ministries	\$36,250.00	\$43,172.00
First Light	\$19,648.00	\$33,600.00
YWCA/Interfaith Hospitality House	\$27,990.00	\$37,985.00
YWCA	\$32,330.00	\$55,447.00
<u>Bridge Ministries</u>	<u>\$26,874.00</u>	<u>\$30,802.00</u>
Total	\$295,584.00	\$318,731.00

<u>PY 2009</u>	<u>TOTAL ESG</u>	<u>CDBG MATCH</u>
Family Connection	\$74,090.00	\$0
Urban Ministry	\$13,790.00	\$20,622.00
Pathways/Downtown Path Center	\$26,406.00	\$65,619.00
Pathways/Transitional Shelters	\$37,025.00	\$31,484.00
Cooperative Downtown Ministries	\$35,965.00	\$43,172.00

First Light	\$19,495.00	\$33,600.00
YWCA/Interfaith Hospitality House	\$27,770.00	\$37,985.00
YWCA	\$32,075.00	\$55,447.00
Bridge Ministries	\$26,665.00	\$30,802.00
Total	\$293,281.00	\$318,731.00

<u>PY 2010</u>	<u>TOTAL ESG</u>	<u>CDBG MATCH</u>
Family Connection	\$74,090.00	\$0
Urban Ministry	\$13,790.00	\$22,684.00
Pathways/Downtown Path Center	\$26,406.00	\$65,688.00
Pathways/Transitional Shelters	\$35,532.00	\$34,632.00
Cooperative Downtown Ministries	\$35,965.00	\$47,490.00
First Light	\$19,495.00	\$36,960.00
YWCA/Interfaith Hospitality House	\$27,770.00	\$41,784.00
YWCA	\$32,075.00	\$60,991.00
Bridge Ministries	\$26,665.00	\$33,882.00
Total	\$291,788.00	\$344,111.00

PY2011 TOTAL ESG CDBG MATCH

Family Connection	\$74,090.00	\$0
Urban Ministry	\$13,790.00	\$22,684.00
Pathways/Downtown Path Center	\$26,406.00	\$65,688.00
Pathways/Transitional Shelters	\$35,532.00	\$34,632.00
Cooperative Downtown Ministries	\$35,965.00	\$47,490.00
First Light	\$19,495.00	\$36,960.00
YWCA/Interfaith Hospitality House	\$27,770.00	\$41,784.00
YWCA	\$32,075.00	\$60,991.00
Bridge Ministries	\$26,665.00	\$33,882.00
Total	\$291,788.00	\$344,111.00

PY2012 TOTAL ESG CDBG MATCH

JCCEO	107,151.00	\$
Family Connection	\$86,176.00	\$0

Urban Ministry	\$19,833.00	\$22,684.00
Pathways/Downtown Path Center	\$32,449.00	\$65,688.00
Pathways/Transitional Shelters	\$41,575.00	\$34,632.00
Cooperative Downtown Ministries	\$42,008.00	\$47,490.00
First Light	\$25,538.00	\$36,960.00
YWCA/Interfaith Hospitality House	\$33,813.00	\$41,784.00
YWCA	\$38,118.00	\$60,991.00
Bridge Ministries	<u>\$46,665.00</u>	<u>\$33,882.00</u>
Total	\$473,326.00	\$344,111.00

PY2012 TOTAL ESG CDBG MATCH

JCCEO	\$84,759.00	\$
Family Connection	\$24,416.00	\$0
Urban Ministry	\$15,234.00	\$22,684.00
Pathways/Downtown Path Center	\$25,158.00	\$65,688.00
Pathways/Transitional Shelters	\$32,233.00	\$34,632.00
Cooperative Downtown Ministries	\$32,569.00	\$47,490.00
First Light	\$19,799.00	\$36,960.00
YWCA/Interfaith Hospitality House	\$26,215.00	\$41,784.00
YWCA	\$29,553.00	\$60,991.00
Bridge Ministries	<u>\$35,932.00</u>	<u>\$33,882.00</u>
Total	\$325,868.00	\$344,111.00

4. Method of Distribution:

All Emergency Shelter Grant funding is awarded through a request for proposal process

conducted annually as a part of the City’s Action Plan Development process. All awards are made by the Mayor as authorized by the Birmingham City Council .

5. Activity and Beneficiary Data:

a. ESG Beneficiary and ESGP Expenditures:

Activity data is collected monthly in the form of monthly progress reports in accordance with ESG Contractual requirements. Beneficiary data is also collected annually as a part of the preparation of the CAPER report and is entered into the Integrated Disbursement and Information System (IDIS) as required by HUD. This information is also contained in the Emergency Shelter Grant section of the Executive Summary. There are no problems to report relative to collecting, reporting, or evaluating the reliability of this information.

The 2013 ESG obligations by type of activity are as follows:

Administration:	\$ 30,321.00
Prevention:	\$ 41,045.00
Essential Services:	\$242,567.00
Rapid Re-Housing:	\$ 86,455.00
HMIS:	\$ 3,900.00

Total: \$ 404,288.00

b. Homeless Discharge Coordination:

As a part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster, care or other youth facilities, or corrections institutions or programs. The City is instituting a homeless discharge coordination policy, and assessing how ESG homeless prevention funds are being used in this effort. The City, as a part of its development of a 10-Year Plan to End Chronic Homelessness, to the maximum extent practicable and where appropriate, plans to begin the process of developing policies and protocols for the discharge of persons from publicly funded institutions or systems of care such as health care facilities, foster care or other youth facilities, or correction programs and institutions in order to prevent such discharge from immediately resulting in homelessness for such persons. In so doing, the City recognizes that there are limits to its authority to direct or influence discharge policies of State and Federal Institutions or other such facilities over which it has no authority or control. It is also recognized that ESG funding may not be used to assist such persons in place of state and local resources. Given these restrictions, the City plans to proceed as described.

Part IV – Community Development

1. Assessment of Relationship of CDBG Funds to Goals and Objectives.

ASSESSMENT OF RELATIONSHIP OF CDBG FUNDS TO GOALS AND OBJECTIVES

In its 2010-2015 Consolidated Plan five-year strategic plan, the City identified six priorities for the five-year planning period. These priorities were developed based on need identified in the City's PY 2010-2015 Consolidated Strategy and Plan and the anticipated programs/resources to meet those identified priorities. CDBG funding was allocated to all six priorities during PY 2013 as outlined in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective.

The activities undertaken, the programs provided, the funds invested, the geographic distribution of that investment, and the pattern of actual investment compared to planned investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

The City identified the following Affordable Housing Priorities in its PY 2010-2015 Five Year Strategic Plan.

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs; Provide housing and supportive services for homeless populations.

The City during PY 2013 undertook a number of programs and activities as described in Part I (1) (b) which provides a breakdown of the HUD-CPD formula grant funds spent on grant activities for each goal and objective to address the five year priorities of promoting affordable housing for low income renters and homeowners, and to provide affordable housing opportunities for first time home buyers. The numbers of persons served are also contained in the PY 2013 CDBG Summary of Accomplishments Report in Addendum A.

The activities undertaken, the programs provided, the funds invested, the geographic

distribution of that investment, and the pattern of actual investment compared to planned investment described are generally consistent with planned performance as set out in the City's five year affordable housing priorities. Although actual investment of funds compared to planned investment varied from program to program, overall the units of housing rehabilitated to promote the availability of affordable housing reflected significant effectiveness of performance in the activities undertaken.

c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

The Financial Status Report listed in the addendum of this report reflects that \$5,881,388.53 of CDBG funding spent for program activities benefited low-and moderate income persons representing 94.64% of all CDBG funds expended. This is well in excess of the regulatory requirement of 70% that must be expended to benefit extremely low-income, low-income, and moderate-income persons.

2. Changes in Program Objectives.

a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

The City does not at this time anticipate future actions or changes to its PY 2010-2015 Consolidated Strategy and Five-Year Strategic Plan proposed to be made as a result of assessing its PY 2013 One-Year Action Plan Performance or experiences.

3. Assessment of Efforts in Carrying Out Planned Actions.

a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.

The City pursued all resources that it indicated it would pursue during PY2013:

The City identified in Part I of this report the resources made available during PY 2013 within the jurisdiction versus the resources planned to be available during PY 2013. The resources made available during PY 2013 are generally consistent with the resources identified in the City's PY 2013 Action Plan.

b. Indicate how the grantee provided certifications of consistency in a fair and impartial manner.

During PY 2013, the City supported all requested certifications of consistency for HUD programs that it received from non-profit organizations and other entities relating to the City's identified priorities as listed in its Consolidated Plan. Copies of the certifications are on file in the Department of Community Development.

c. The City did not hinder in any way its Consolidated Plan implementation by action or willful inaction.

During PY 2013, the City undertook every reasonable and prudent action to promote the implementation of its PY 2013 Action Plan.

4. For Funds Not Used for National Objectives:

a. Indicate how use of CDBG funds did not meet national objectives.

During the reporting period, all CDBG funds were used exclusively for activities that met the criteria for national objectives as outlined at 24 CFR 570.208 by either benefiting low-and moderate income persons or by aiding in the prevention or elimination of slums or blight.

b. Overall Benefit Certification:

The aggregate use of CDBG funds including Section 108 guaranteed loans during the reporting period principally benefited persons of low and moderate income. The City ensured that at least 70% of the CDBG amounts expended were for activities that benefited such persons. As previously stated, the Financial Status Report, contained in the Addendum, reflects that 94.64% of CDBG funds expended were for activities that benefited low-and moderate income persons.

5. Anti-displacement and Relocation-for activities that involve acquisition, rehabilitation, or demolition of occupied real property.

a. Describe steps actually taken to minimize the amount of displacement resulting for the CDBG assisted activities.

The City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property.

b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

As noted above, the City did not undertake any specific activities during the reporting period that involved the acquisition, rehabilitation, or demolition of occupied real property. Accordingly, it was not necessary to identify any households, businesses, farms or non profit organizations who occupied properties subject to the Uniform Relocation Act.

c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

Should displacement occur as a result of any CDBG funded activity, the City would provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan, copies of which are available for inspection in the City's Community Development Department, Housing Division; 710 North 20th Street Room 700; Birmingham, Al 35203. The timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations would be undertaken in accordance with the Uniform Relocation Act and in accordance with the City's Anti-Displacement and Relocation Assistance Plan.

6. Low/Mod Job Activities. For economic development activities undertaken where jobs were made available but not taken by low-or moderate – income persons.

a. Describe actions taken by the grantee and businesses to ensure first consideration was or will be given to low-mod persons.

The City did not undertake any Economic Development Activities via its Float Loan Program in PY 2013. As a result, there were no activities that involved the creation of new full time equivalent jobs for low-and moderate income persons. When funded, program requirements stipulate that low-and moderate income persons must be give first consideration for new jobs created as a result of these activities and failure to do so constitutes grounds for loan default and immediate repayment of CDBG assistance.

b. List by job title all the permanent jobs created/retained and those that were made available to low/mod persons.

New Jobs Created:

Officials and Managers:	0
Professional:	0
Technicians:	0
Sales:	0
Office and Clerical:	0
Craft Workers (skilled):	0
Operatives (semi-skilled):	0
Laborers (unskilled):	0
Service Workers:	0
Total:	0

Jobs Retained:

Officials and Managers:	0
Professional:	0
Technicians:	0

Sales:	0
Office and Clerical:	0
Craft Workers (skilled):	0
Operatives (semi-skilled):	0
Laborers (unskilled):	0
Service Workers:	0
Total:	0

- c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.**

Borrowers are required as a condition of the financial assistance to provide necessary training, education, etc., necessary to recruit low/mod persons. Failure to do so shall be grounds for loan default and immediate repayment of CDBG assistance.

7. Low/Mod Limited Clientele Activities-for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit.

- a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% for whom are low-and moderate-income.**

Limited Clientele activities are those activities which benefit a limited clientele, at least 51% of whom are low-or moderate-income persons. Activities that exclusively serve a group of persons in any one or a combination of the following categories may be presumed to benefit persons, 51% of whom are low-and moderate income: abused children, battered spouses, elderly persons, adults meeting the Bureau of the Census' Current Population Reports definition of "severely disabled," homeless persons, illiterate adults, persons living with AIDS, and migrant farm workers.

For those activities that the City undertook during the reporting period which served a limited clientele not falling within one of the categories of presumed limited clientele low and moderate income benefit, the City required information on family size and income so that it was evident that at least 51% of the clientele were persons whose family income did not exceed the low and moderate income limit.

8. Program Income Received:

Program income means gross income received by the City or a sub-recipient directly generated from the use of CDBG funds, except as provided at 24 CFR 570.500(a) (4). According to IDIS C04PR26 CDBG Financial Summary for PY2013, the City received a total of \$198,897.59 in CDBG Program income. This program income includes:

- a. The amount of program income reported that was returned to each individual revolving fund (e.g., housing rehabilitation and economic development)

9. Prior Year Adjustments

As reflected on the CDBG Financial Summary Report contained in the Addendum, there was a prior year adjustment in the amount of \$24,459.00 which was returned to the City's Line of credit.

10. Loans and Other Receivables

The City has reported on the CDBG Financial Summary Attachment contained in the Addendum the following loans and other receivables which are outstanding as of the end of the reporting period:

- a. There was no outstanding balance for float-funded activity as of the end of the reporting period.
- b. The total number of other loans outstanding and the principal balance owed as of the end of the reporting period (e.g. housing rehabilitation and Section 108).
- c. There were no outstanding loans that were deferred or forgivable, or had a principal balance owed as of the end of the reporting period, and had terms of the deferral or forgiveness.
- d. There were no loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
- e. List of the parcels of property owned by the grantee or its sub recipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period. There were none for the reporting period, so this information is not included on the referenced CDBG Financial Summary Attachment.

11. Lump Sum agreements

There were no lump sum agreements during the reporting period.

12. Housing Rehabilitation-for each type of rehabilitation program for which projects/units were reported as completed during the program year.

- a. Identify the type of program and number of projects/units completed for each program.**
- b. Provide the total CDBG funds involved in the program.**
- c. Detail other public and private funds involved in the project.**

This information is provided in the Part I, 1(b) Breakdown of the HUD-CPD formula grant funds spent on grant activities under Affordable Housing Priorities 1 and 2. The types of affordable housing programs provided, the numbers of units completed or

persons served, and the funds involved are listed. Also provided in Addendum A is the PY 2013 CDBG Summary of Accomplishments Report which includes the number and types of families served including the number of extremely low-income, low-income, moderate-income, and middle-income persons served during the program year.

13. Neighborhood Revitalization Strategies.

The City does not have a HUD-approved neighborhood revitalization strategy area. The City has received a Federally-designated Enterprise Community (EC) Designation for the West End and Smithfield communities (Census Tracts 29, 30.02, 39, and 40). EC funds are spent in accordance with the EC strategic plan and by ensuring that residents, stakeholders and the public participate in the decision making process regarding resource allocations within the EC. All reports that are required as a part of the EZ/EC process are on file in the City's Community Enterprise Division of the Mayor's Office, 710 North 20th Street-2nd Floor; Birmingham, Al 35203.

ANTI-POVERTY STRATEGY

Actions to Reduce the Number of Households with Incomes below the Poverty Line:

The City continued its efforts to provide affordable housing and other services to households with incomes below the poverty line, as defined by the Office of Management and Budget and revised annually. These households included the homeless, low and very low income families, and possibly low-moderate income families. During the reporting period, 94.64% of the City's CDBG allocation benefited low-, very-low, and low-moderate income households. A detailed listing of the priorities addressed during the reporting period to assist low, very low, and low-moderate income persons is contained in Part I of this report.

The City's efforts to reduce the number of households with incomes below the poverty line included, but was not be limited to, the provision of affordable housing and related services and the provision of human needs services. These activities and efforts included affordable housing for low and very-low income homeowners and renters, child care financial assistance for the working poor and homeless, training and educational assistance instruction, drug prevention training/drug recovery services, emergency food services, home ownership opportunity programs and counseling, fair housing services, legal services, and a continuum of care for the homeless including transitional and emergency shelter services leading to self-sufficiency.

The City continued to make every effort through the programs outlined in Part I of this report to reduce the number of families whose incomes are below the poverty line.

NON-HOMELESS SPECIAL NEEDS

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

The City undertook a number of actions during the reporting period as outlined in Part I of this report under Priority # 3 “Provide Housing and Services for Populations with Special Needs” to address the needs of persons that are not homeless but require supportive housing, including persons with HIV/AIDS and their families. These actions include the following:

The City supported applications submitted by local nonprofit organizations to increase the availability of housing for people with AIDS through the HOPWA program. The City's efforts to increase the availability of housing for people with AIDS was consistent with its planned goals to assist one local nonprofit organization as stated in the PY 2013 One-Year Action Plan. A detailed description of the HOPWA Program and the specific goals and objectives is contained in the HOPWA Program Section of this report.

Additionally, the City supported through ESG, programs to prevent homelessness particularly for those persons who are not homeless but in danger of becoming homeless. Chief among these are continued support for homeless prevention activities under the ESG program in the amount of \$521,640 as outlined in the Emergency Shelter Grant Program section of the Executive Summary. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless.

Also, the City has committed a significant portion of its housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,668,402.00 as outlined in Part I of this report under CDBG Rehabilitation Activities. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

HOPWA OBJECTIVES

This portion of the CAPER report has been prepared for the City of Birmingham by AIDS Alabama, Inc. The specific HOPWA objectives have been addressed in the HOPWA CAPER Report which is contained in Addendum E. Generally, HOPWA funds were utilized in the following areas: Rental Assistance, Supportive Services, Operating Costs, Rehabilitation/Acquisition, & Resource Identification as outlined in the HOPWA Executive Summary of this report.

Part V – Other Narrative

LOCAL PERFORMANCE MEASUREMENT SYSTEM

On June 10, 2005, HUD's Office of Community Planning and Development (CPD) published a notice in the Federal Register titled, "Notice of Proposed Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs; Requests for Comments. The notice described an outcome performance measurement system that was developed for grantees that receive funding from CDBG, HOME, ESG and HOPWA.

The system was developed by a joint working group made of members of the Council of State Community Development Agencies (COSCDA), the National Community Development Association (NCDA), the National Association for County Community Economic Development (NACEED), the National Association of Housing and Redevelopment Officials (NAHRO), the National Council of State Housing Agencies (NCSHA), CPD, HUD's Office of Policy Development and Research (PD&R), and the Office of Management and Budget (OMB). The June 10, 2005 notice described the proposed system and solicited comments from the public, particularly from formula program grantees. A final notice was developed and published on March 7, 2006.

As a result, beginning in April, 2006 the HUD Integrated Disbursement and Information System (IDIS) began requiring the following selections to be made for each activity that was entered into the system and listed as underway. Depending on the selections made additional screens were developed for the entry of program related information that was relevant to the specific selections made. This additional information was referred to as indicators to assist HUD in determining whether or not an outcome was occurring in a satisfactory manner. The mandatory selections to be made are as follows:

For each activity an Objective and an Outcome must be selected and entered. The selections among these categories are as follows:

OBJECTIVES:

- 1. Suitable Living Environment.**
- 2. Decent Housing.**
- 3. Economic Opportunity.**

OUTCOMES:

- 1. Availability/Accessibility: Makes basics available to LMI persons.**
- 2. Affordability: Makes an activity more affordable for LMI persons.**
- 3. Sustainability: Using resources in a targeted area to help make that area more viable.**

To comply with these requirements, the following Objectives and Outcomes have been entered into the IDIS system for each of the following activities with all key indicators and data field items completed.

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM:

ADMINISTRATION:

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

PLANNING & MANAGEMENT:

One Roof:

Assistance to One Roof, a non-profit organization, to provide for the administration of the City's HUD approved Continuum of Care for homeless persons.

PERFORMANCE MEASURE OBJECTIVE: #1- Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1 - Availability/Accessibility

REPAYMENTS OF SECTION 108 LOAN PRINCIPAL:

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

TECHNICAL ASSISTANCE (570.201(p)):

Main Street Birmingham (d/b/a REV Birmingham):

A non-profit corporation whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

PERFORMANCE MEASURE OBJECTIVE: #3-Economic Opportunity

PERFORMANCE MEASURE OUTCOME: #3-Sustainability.

HOUSING REHABILITATION ACTIVITIES

Program Costs: Costs associated with rehabilitation services.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Single Family Activities/Housing Rehabilitation:

Rehabilitation Loan Program:

Provides long term financing up to 30 years for low-moderate income homeowners for the rehabilitation of owner-occupied single family structures, re-construction of single family houses and long term financing of reconstruction.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Deferred Payment Loan Program:

Provides loans to elderly (62 years or older) and disabled low income homeowners up to a maximum of \$15,000.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Lead Based Paint Hazard Compliance and Matching Funds:

Funds for lead based paint regulatory compliance. Includes equipment, inspection, risk assessment, project design, interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Critical Repair Grant Program:

Provides rebate grants of eligible rehabilitation expenses not to exceed \$15,000 per low to very low income homeowner.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Property Acquisition/Demolition:

Provides for acquisition of real property and related demolition costs associated with housing rehabilitation activities. Includes the clearance, demolition, transfer of and removal of buildings and improvements.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #3-Sustainability

Homebuyer Assistance Program:

Provision of down payment assistance and related closing costs to qualified low and moderate income home buyers for the purchase of single family residential properties.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Relocation:

Funds to assist low to moderate income families and businesses with relocation expenses mandated by the Uniform Relocation Act.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #3-Sustainability

Warranty Program:

Provision of needed warranty rehabilitation to low and moderate income homeowners and renters.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Mortgage Redemption Program:

Redemption and/or purchase of first liens on rehabilitated property in which the City holds a mortgaged interest.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Multi-Family Activities:

Multi-Family Loan Program:

Provides low interest loans for multi-family structures to encourage the rehabilitation of sub-standard or aging housing.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Non-Profit Housing Activities:

Assistance to non-profit organizations to provide rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Birmingham Independent Living Center (d/b/a Disability Rights and Resources):

Provides assistance to qualified disabled residential tenants and disabled homeowners to enable modifications to be made to their residence at a maximum of \$3,000 per household.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Outreach, Inc.:

Provide housing rehabilitation and neighborhood revitalization activities city wide, including homeownership opportunities. Provide direct service grants to qualified homeowners and assist homeowners in identification, access, and management of rehabilitation/construction resources. Also provide construction/rehabilitation services and assistance to other agencies and non-profits in targeted areas for revitalization.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Rising West Princeton Corporation:

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Greater Birmingham Habitat for Humanity:

Provide housing rehabilitation services through the Habitat for Humanity Rehabilitation Program.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing;

PERFORMANCE MEASURE OUTCOME:#2-Affordability.

Birmingham Baptist Assoc. Fdn. – Worldchangers Rehabilitation Program:

Provide housing rehabilitation services through the Worldchangers Rehabilitation

Program.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing;

PERFORMANCE MEASURE OUTCOME: #2-Affordability.

PUBLIC SERVICE ACTIVITIES: {570.201(e)}

Community Resource Program Activities (40%):

To provide support for approximately 40% of the costs associated with implementation of the City's Citizen Participation Plan and the provision of services to approximately 100 neighborhood organizations.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Homeless Shelter Programs:

Men

Aletheia House, Inc.:

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Cooperative Downtown Ministries, Inc.:

Homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Women

First Light, Inc.:

To provide shelter and services to Birmingham's homeless women and children with an emphasis on serving the mentally ill.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Pathways/Transitional Shelters:

To provide transitional shelter and supportive services to homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Pathways/Downtown Path Center:

To provide shelter and supportive services to homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

YWCA Homeless Daycare:

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

YWCA Homeless Daycare Transportation:

To provide transportation for homeless children residing in local shelters to day care services at the YWCA.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Families/Other:

YWCA- Interfaith Hospitality House:

Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Supportive Services:

Bridge Ministries, Inc.:

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

New Pilgrim Bread of Life Ministries:

Agency currently provides of food to the homeless and low-income persons.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Urban Ministry, Inc.:

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Other Public Services:

Employment & Housing Assistance:

Birmingham Urban League, Inc.:

Provision of housing counseling services to assist individuals and families in obtaining, maintaining, and retaining, decent, safe and affordable housing.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Childcare Resources, Inc.:

Provision of supplemental child care financial assistance to low/moderate income working families.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #2- Affordability

Fair Housing Center of Northern Alabama:

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Jefferson State Community College:

Provision of New Options Program to assist adults, primarily single parents, obtain educational and job skills.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Neighborhood Housing Services of Birmingham:

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

Children/Youth Development/Senior Citizens:

Girl Scouts of the Cahaba Council

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HABD to serve low/moderate income girls.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Ministerial Brotherhood Ministries, Inc.:

Provision of educational assistance to low-mod income youth through the Community Training Institute outreach component.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Positive Maturity, Inc.-East Lake:

Provision of services to seniors at the Shepherd Center East facility, 118 84th Street North including meals on wheels, recreation, health screens, and lectures.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Positive Maturity, Inc-Ensley:

Provision of services to seniors at the Western Area Adult Day Care Center, 321 19th Street, Ensley.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Rose Garden Adult Day Services, Inc.:

Provision of adult day services for functionally impaired adults (elderly and disabled).

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Special Needs:

Children's Village, Inc.:

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Mental Health Association of Central Alabama, Inc.:

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

North Birmingham Community Assistance Program, Inc.:

Provision of an emergency food assistance program for eligible families.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Prescott House:

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

United Cerebral Palsy of Greater Birmingham, Inc.:

Provision of comprehensive training to assist clients to live independently in the

community.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

COMMERCIAL REVITALIZATION:

(570.204)

Urban Impact:

To continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods.

PERFORMANCE MEASURE OBJECTIVE: #3-Economic Opportunity

PERFORMANCE MEASURE OUTCOME: #3-Sustainability

HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):

HOME Program Administration:

PERFORMANCE MEASURE OBJECTIVE: N/A

PERFORMANCE MEASURE OUTCOME: N/A

HOME Program CHDO Activities:

Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low-and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

HOME Program Homebuyer Activities:

Provision of HOME funds for the acquisition, new construction, and acquisition/rehabilitation of homes for low and moderate income home buyers.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

HOME Program Rental Rehabilitation Activities:

Provision of HOME funds for new affordable housing construction and the acquisition and/or rehabilitation of substandard or aging multi-family housing units that are suitable for redevelopment.

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #2-Affordability

EMERGENCY SOLUTIONS GRANT PROGRAM (ESG):

**Essential Services Including Service Related To Health, Drug Abuse, Education
(30% Maximum)**

Family Connection:

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Urban Ministry, Inc.:

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

**Maintenance/Operation Expenses:
(No Salary Expenses are included.)**

Pathways/Downtown Path Center:

Provision of housing for homeless individuals as a part of their transitional shelter program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Pathways/Transitional Shelters:

Provision of expanded shelter and supportive services for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Cooperative Downtown Ministries, Inc.:

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue North.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Family Connection:

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

First Light, Inc.:

Provision of emergency shelter for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

YWCA-Interfaith Hospitality House:

Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

YWCA:

Provision of housing for homeless women and children.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

3. Homeless Prevention Activities: (30% Maximum)

Bridge Ministries: Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

Urban Ministries, Inc.:

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services.

PERFORMANCE MEASURE OBJECTIVE: #1-Suitable Living Environment

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA):

AIDS Alabama, Inc.:

Serves as the City's sponsor of HOPWA Entitlement Funds

PERFORMANCE MEASURE OBJECTIVE: #2-Decent Housing

PERFORMANCE MEASURE OUTCOME: #1-Availability/Accessibility

PUBLIC PARTICIPATION REQUIREMENTS

a. INTRODUCTION:

It is important that the reports provided to citizens, community groups, and local political leaders present a clear and complete statement of what has been accomplished not only to HUD, but also to citizens, community groups, and local political leaders. Before submitting the PY 2013 Consolidated Annual Performance and Evaluation Report (CAPER) to HUD, the City must make the report available to the public for examination and comment for a period of at least 15 days. A copy of the performance information data available to HUD, including the summary of public comments received as a result of the public participation process, must be available for examination by the public upon request.

b. PUBLIC NOTICE PUBLICATION MAKING PY 2013 CAPER AVAILABLE FOR PUBLIC INSPECTION FOR AT LEAST 15 DAYS:

The following publication was published in local newspapers and made available via the City of Birmingham's website. Copies were also made available for inspection in the City's Community Development Department.

CITY OF BIRMINGHAM, ALABAMA DEPARTMENT OF COMMUNITY DEVELOPMENT PUBLIC NOTICE

In accordance with 24 CFR 91.105(d) and 91.520 the City of Birmingham, Alabama (hereinafter referred to as "the City") has prepared its Program Year (PY) 2013 Proposed Consolidated Annual Performance and Evaluation Report (CAPER) for the period July 1, 2013 through June 30, 2014.

The Consolidated Annual Performance and Evaluation Report includes a summary of programmatic accomplishments and an assessment of progress toward the priorities identified in the City's U.S. Department of Housing & Urban Development (HUD) PY 2010-2015 approved five year strategic plan and PY 2013 Action Plan. To do so, the report is essentially composed of an executive summary, a series of general questions relating to overall program performance; narrative statements which provide the status of actions taken during the year to implement the City's overall strategy; and a self evaluation which evaluates progress made during the past year in addressing identified priority needs and objectives.

The HUD Integrated Disbursement & Information System (HUD-IDIS) provides the following reports which have been downloaded and are also available for review. They are as follows:

* Summary of Accomplishments Report (CO4PR23): Presents data on the Community Development Block Grant (CDBG) and Home Investment Partnership (HOME) program

activity counts and disbursements by priority need categories. It also contains data on CDBG accomplishments by various units of measure and housing units by racial/ethnic categories and HOME housing units by various income groups.

* Consolidated Annual Performance and Evaluation Report (C04PR06): Tracks progress in implementing projects identified in the City's PY 2013 Action Plan. This report lists all projects for a plan year in sequence by project number. Disbursements are summarized by program for each project's activities. Accomplishments reported for the program year are summarized for each program area.

* Summary of Activities (CO4PR03): Lists each CDBG activity which was open during the PY 2013 program year. For each activity the report shows the status, accomplishments, and program year narrative and program year expenditures. For each activity the report also shows the activity code, regulation cite and characteristics of the beneficiaries.

* Financial Summary Report: The report has been prepared using HUD Form 4949-3 and by following the procedures described in Appendix 3 of the HUD Grantee Performance Report Handbook (Handbook 6510.2 Rev-2). This report shows the obligations, expenditures which the City has made for PY 2013. The expenditures are summarized to determine the relevant indicators for low-and moderate-income, planning/administration, public service activities and economic development. This report contains program year information on statutory requirements regarding overall percentage for low-and moderate income benefit.

Copies of the report are available for inspection at the address listed below between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday, except legal holidays. The City encourages citizens to review and provide written comments on the report. All comments should be submitted in writing **on or before Friday, September 26, 2014 by 4:00 p.m. to the following address:**

**John G. Colón, Director
Community Development Department
City of Birmingham, Alabama
710 North 20th Street, Room 1000
Birmingham, AL 35203**

The City will consider all timely written views and comments received in developing its Final PY 2013 Consolidated Annual Performance and Evaluation Report.

Date of Publication

Birmingham News - September 7, 2014

Birmingham Times - September 11, 2014

Community Development Department Website Posting: www.birminghamal.gov

SUMMARY OF CITIZENS COMMENTS:

In accordance with 24 CFR 91.105(d) copies of the City's PY 2013 Consolidated Annual Performance and Evaluation Report were made available for citizen review and comment for a period of at least 15 days following the publication of the September 11, 2013 public notice contained in the preceding pages.

No timely written comments were received during the comment period.

Addendum

ADDENDUM - A
PY 2013 SUMMARY OF ACCOMPLISHMENTS REPORT

ADDENDUM - B
PY 2010-2015 CONSOLIDATED PLAN PRIORITY NEEDS
SUMMARY TABLES

The attached tables are from the Strategic Plan, Section 4, pages 5 thru 7 contained in the City' HUD approved PY 2010-2015 Consolidated Plan and are included herein for reference purposes.

Table 1. Priority Housing Needs in Birmingham, Alabama (2000)					
(HUD Table 2A)					
Description of Housing Needs			Priority Need Level	Estimated Households*	Estimated Dollars to Address**
Renter	Small Related	0 to 30%	M	6,160	\$92,400,000
		31 to 50%	M	3,307	33,070,000
		51 to 80%	M	3,687	27,652,500
	Large Related	0 to 30%	M	1,137	17,055,000
		31 to 50%	M	782	7,820,000
		51 to 80%	M	823	6,172,500
	Elderly	0 to 30%	H	2,907	43,605,000
		31 to 50%	H	1,375	13,750,000
		51 to 80%	H	578	4,335,000
	All Other	0 to 30%	H	5,511	82,665,000
		31 to 50%	H	2,299	22,990,000
		51 to 80%	H	4,075	30,562,500
Owner	0 to 30%	H	7,054	105,810,000	
	31 to 50%	H	6,542	65,420,000	
	51 to 80%	H	9,871	74,032,500	
Special Needs**		0-80%	H	1,678	16,780,000

*The estimated households is calculated by taking the total number of renter, owner and special needs households in the income categories and multiplying them by the percentage with any housing problems.¹

**The estimated dollars includes the public and private funds necessary to address the identified housing needs. The cost to address the needs varies by income level from \$15,000 per unit for households with incomes less than 30% of the area median to \$7,500 for higher income households.

***Households where one or more person has a long-lasting condition that substantially limits one or more physical activity (such as walking, climbing stairs, reaching, lifting or carrying) and/or a physical, mental or emotional condition lasting more than six months that creates difficulty with dressing, bathing or getting around inside the home.

The following tables summarize these priorities using the format provided in the required HUD Tables 2A and 2B.

Table 2: Priority Community Development Needs in Birmingham, Alabama (HUD Table 2B)		
Description of CD Needs	Priority Need Level	Estimated Dollars to Address**
PUBLIC FACILITY NEEDS (projects)		
Senior Centers	M	\$435,000
Handicapped Centers		
Homeless Facilities		
Youth Centers	M	628,000
Child Care Centers	L	679,000
Health Facilities	L	496,000
Neighborhood Facilities	H	354,000
Parks and/or Recreation Facilities	H	3,885,000
Parking Facilities	L	569,000
Non-Residential Historic Preservation	L	1,825,000
Other Public Facility Needs	L	1,593,000
INFRASTRUCTURE (projects)		
Water Improvements	L	411,000
Sewer Improvements	L	229,000
Street Improvements	H	5,300,000
Sidewalks	H	500,000
Solid Waste Disposal Improvements	M	1,532,000
Flood Drain Improvements	H	2,580,000
Other Infrastructure Needs	L	182,000
PUBLIC SERVICE NEEDS (people)		
Senior Services	H	275,000
Handicapped Services	H	275,000
Youth Services	H	500,000
Child Care Services	M	300,000
Transportation Services	H	100,000

¹ Source: U.S. Department of Housing and Urban Development

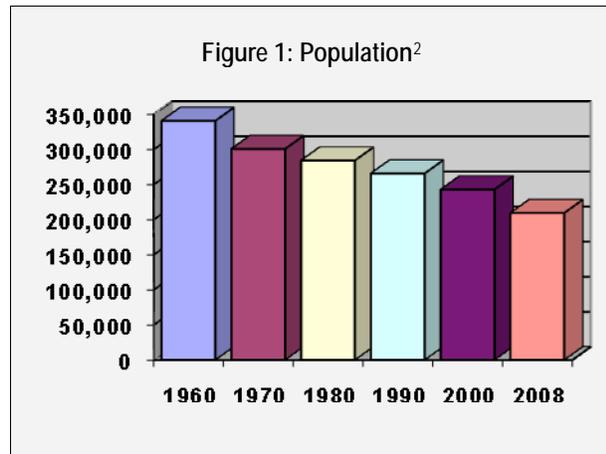
Substance Abuse Services	M	465,000
Employment Training	H	750,000
Health Services	L	200,000
Lead Hazard Screening		
Crime Awareness	H	200,000
Other Public Service Needs	L	2,500,000
ECONOMIC DEVELOPMENT		
ED Assistance to For-Profits(businesses)	L	730,000
ED Technical Assistance(businesses)	L	1,500,000
Micro-Enterprise Assistance(businesses)	H	1,500,000
Rehab; Publicly- or Privately-Owned Commercial/Industrial (projects)	M	2,431,000
C/I* Infrastructure Development (projects)	L	1,500,000
Other C/I* Improvements(projects)	M	1,500,000
OTHER COMMUNITY DEVELOPMENT NEEDS		
Energy Efficiency Improvements	L	500,000
Lead-based Paint Hazards	H	2,451,000
Code Enforcement	L	112,000
PLANNING		
Planning	H	375,000
OTHER NEEDS NOT LISTED ABOVE		
Asbestos Removal	L	10,000
Fair Housing Counseling	H	275,000
Tenant/Landlord Counseling	H	100,000
Accessibility Needs	H	1,002,000
Residential Historic Needs	L	746,000
Other Economic Development Needs	H	730,000
TOTAL ESTIMATED DOLLARS NEEDED ***:		\$686,345,000
*Commercial or industrial improvements by grantee or non-profit		
**Estimated Dollars includes the public and/or private funds necessary to address the identified community development needs. The cost figures are taken from the prior Birmingham ConPlan and adjusted downward for needs met by that plan and upward where necessary for the increased costs associated with improvements estimated in 2010 dollars. Those costs adjusted upward are increased by 11%, the rise in the Consumer Price Index from January 2005 to December 2009.		
***Total Estimated Dollars is a combined total of those estimates on Tables 1 and 2 (HUD Tables 2A and 2B).		

¹Source: U.S. Department of Housing and Urban Development

ADDENDUM - C MAPS/RELATED DATA

Population

Currently, the population of Birmingham is 209,639. The population of the city has been steadily declining since its peak of 340,887 in 1960. Since then, the population has dropped by 131,248 or 38.5%. The largest decreases occurred during the 1960's and since 2000 with population losses of 11.7% and 13.8%, respectively.



Households

Along with the population, the number of households in Birmingham has also been decreasing. According to the 2008 estimates, there were 88,795 households in Birmingham, which represents a 15.4% decrease in households since 1990 (compared to a 21.2% decrease in the population during the same period). The more rapid decrease in population over households equated to smaller household sizes. In 1990, the average household size was 2.53 persons. By 2000, the average household size decreased to 2.46 and was estimated to be 2.36 by 2008.³

Racial Composition

The racial composition of Birmingham is changing dramatically. Between 1990 and 2000, the white population declined in terms of numbers and its percentage of the total population, while all other races increased in number and as a percentage of the total population. Since 2000, the white, African-American and American Indian/Alaska Native populations have all declined, numerically and as a percent. From 2000 and 2008, the White population is estimated to have declined an additional 18.1% beyond its 1990 to 2000 loss of 38.1%, and now represents just under 23.0% of the total population of the city. The African-American population is estimated to have decreased by nearly 13.0% between 2000 and 2008, despite its 5.8% increase between 1990 and 2000, and now represents nearly 74.1% of the total population. From 1990 to 2000, the Asian population increased 4.2%, and is estimated to have increased by another 3.9% to 1,877 (0.9% percent of the total population).

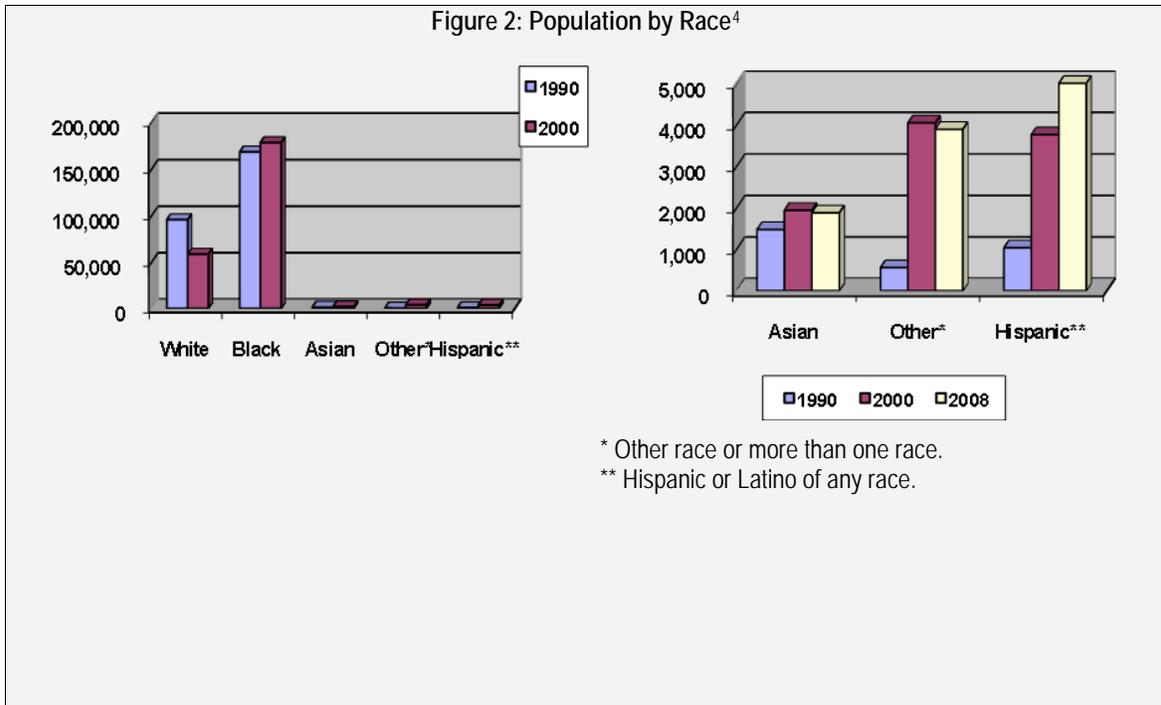
The most dramatic increase is in the Hispanic community. The Hispanic population grew from 1,175 in 1990 to 3,694 by 2000, representing a 214.4% increase and 1.5% of the

²U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

³U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

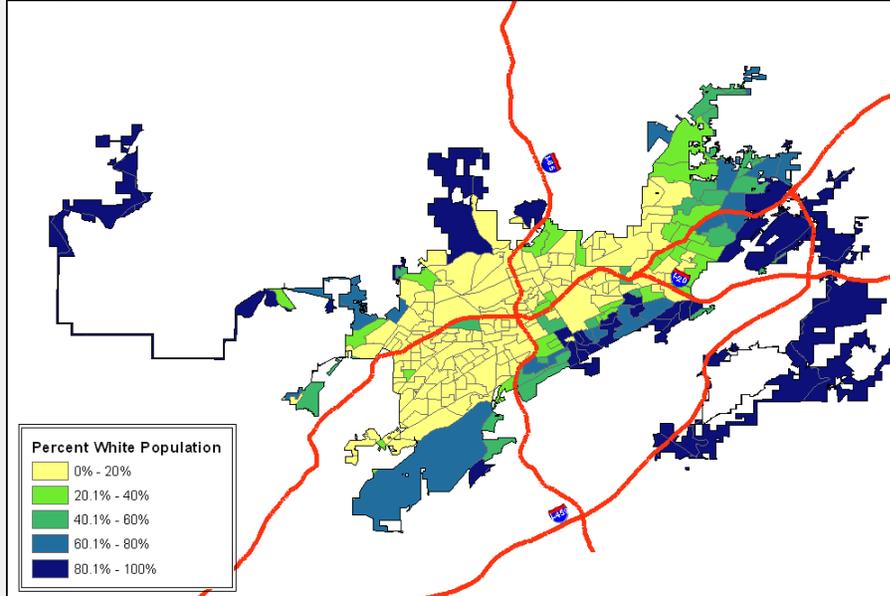
total population in Birmingham (up from 0.4% ten years earlier). By 2008, the Hispanic population was estimated to have increased to 6,069, representing an additional 64.3% increase over 2000, and now comprising 2.9% of the total population of Birmingham.

Although the data shows a dramatic increase from 1990 to 2000 among those reporting other race or two or more races, information on two or more races is not shown separately in the 1990 census data. Between 2000 and 2008, this population segment was estimated to have increased by an additional 4.9%, now estimated to comprise 1.9% of the total population.

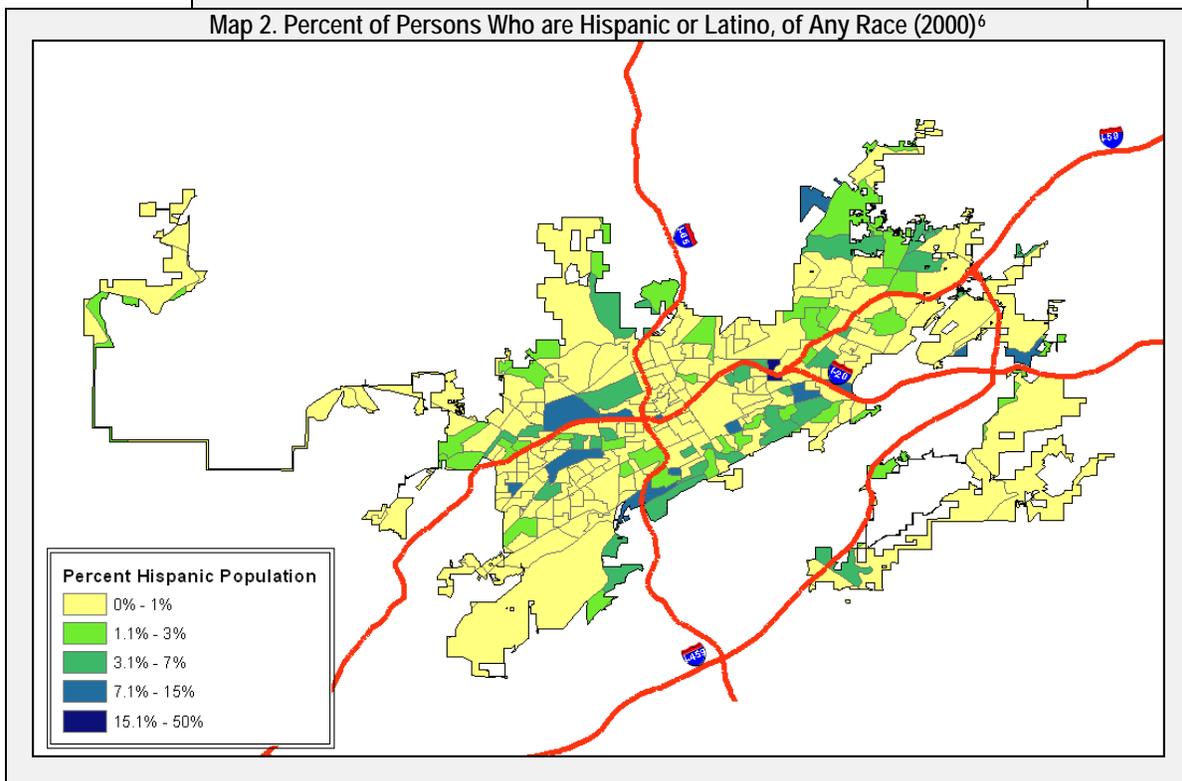


⁴U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

Map 1. Percent of Persons Who are White Alone, Not Hispanic or Latino (2000)⁵



Map 2. Percent of Persons Who are Hispanic or Latino, of Any Race (2000)⁶

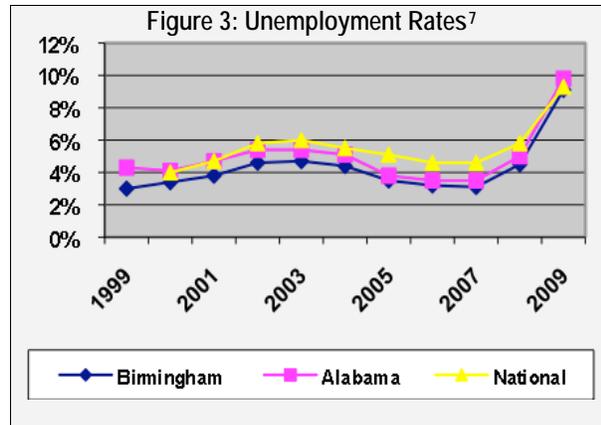


Unemployment Rates

⁵U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3).

⁶U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3).

The unemployment rates for the Birmingham MSA have been less than one point below the statewide unemployment rates, which have closely mirrored the national rates. In the Birmingham MSA, unemployment has ranged from a low of 3.0% in 1999 to a high of 4.7% in 2003, until the 2009 high of 9.1%. According to information from the Bureau of Labor Statistics, the unemployment rates in the Birmingham MSA for 2002 and 2003 were the highest during the 10-year period until 2009.



Median Family Income

In 2009, the median family income for the Birmingham MSA was \$60,900. This represents a 27.1% increase in median income over the past 10 years. For all years except 2001 and 2007, Birmingham experienced an increase in median income. In 2001, the median income remained steady and in 2007 it declined by 3.3% from its 2006 level. The strongest years of growth were in 2000 and 2008, where income grew by more than 6.5%. The 2008 increase, however, is largely attributable to the 3.3% decline in the previous year. As shown in **Error!**

Year	Income	Change	
		Amount	Percent
2009	60,900	1,800	3.0%
2008	59,100	3,600	6.5%
2007	55,500	-1,900	-3.3%
2006	57,400	1,150	2.0%
2005	56,250	1,050	1.9%
2004	55,200	1,000	1.8%
2003	54,200	1,500	2.8%
2002	52,700	1,600	3.1%
2001	51,100	—	—
2000	51,100	3,200	6.7%
1999	47,900	—	—

Reference source not found., households with lower median incomes are concentrated near the city center along the I-20 corridor, which is also the area of a concentration of non-White households (see **Error! Reference source not found.**).

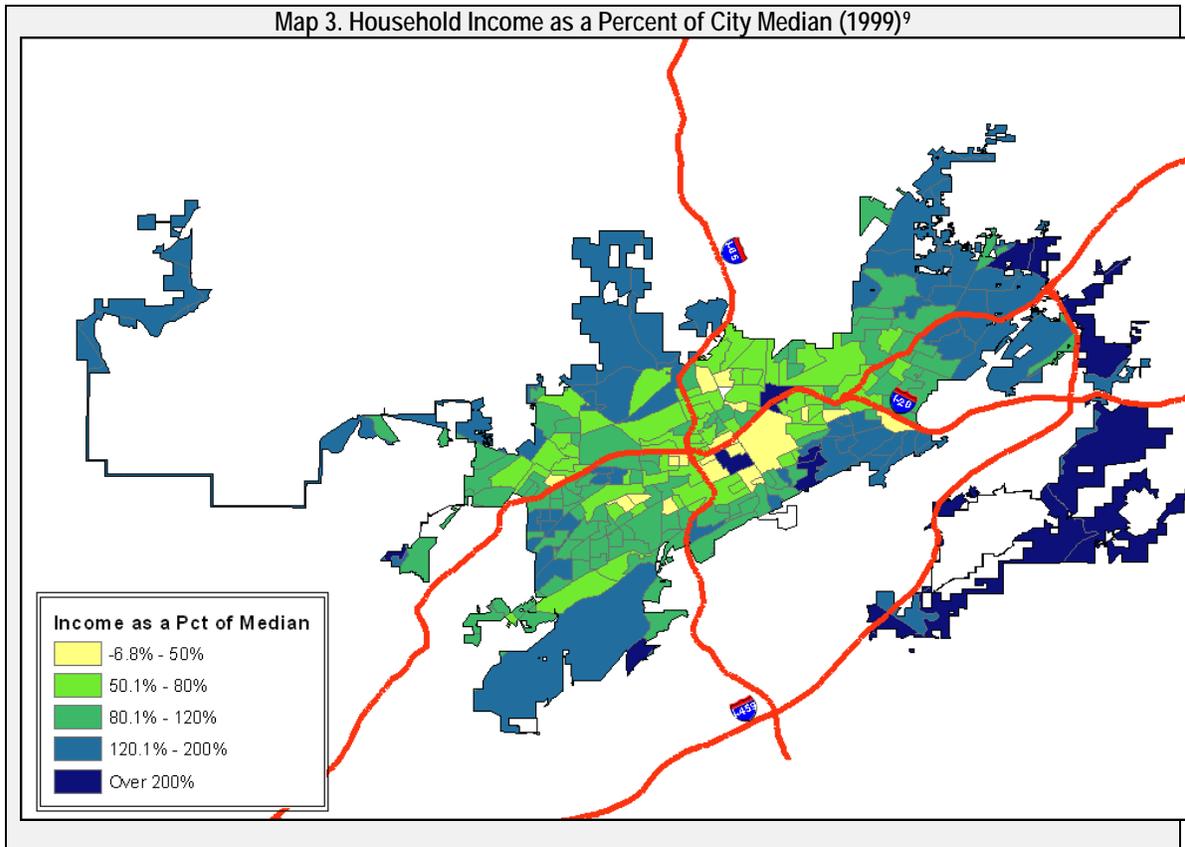
Median Household Income

In 1989 (the year for which 1990 Census income data were collected), the median household income in Birmingham was \$19,193. Ten years later, this had increased by 39.3% to \$26,735. According to American Community Survey estimates, median household income had risen to \$32,070 (an increase of nearly 20%) by 2007.

Supply and Demand—General

⁷Decennial Census (1990, 2000), 2006-8 ACS 3-year estimates, Bureau of Labor Statistics (Series LNS14000000, LASST45000003, LAUMT45439003).

⁸U.S. Department of Housing and Urban Development, Policy Development and Research (Data Sets: Median Income Limits)

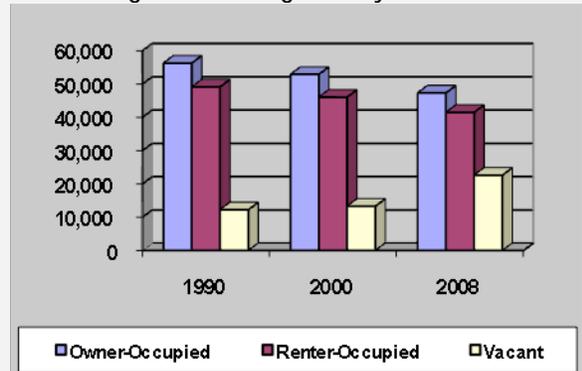


Housing Units

In 1990, the total number of housing units in Birmingham was 117,636. During the period 1990 to 2000, the total number of housing units decreased by 4.6% to 112,229 units—the number of owner-occupied units decreased by 3,388 and renter-occupied units decreased by 3,091. In the same timeframe, the number of vacant units increased by 8.8%. In 2000, owner-occupied housing represented 53.5% of all housing units and rental housing comprises 46.5%, and vacant units accounted for 11.8% of all housing units.

According to 2008 American Community Survey estimates, vacant units had increased to 22,603 (20.3%) of the remaining 88,795 housing units. Of occupied housing units, 53.3% were owner-occupied and 46.7% were renter occupied, comprising essentially the same composition as that in 2000.

Figure 4: Housing Units by Tenure¹⁰



⁹U.S. Department of Commerce, Bureau of the Census

¹⁰U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

Single-family detached housing units represented the majority of the owner-occupied housing stock in Birmingham in 2000, at 94.1%. This was estimated to have increased to 94.8% by 2008.

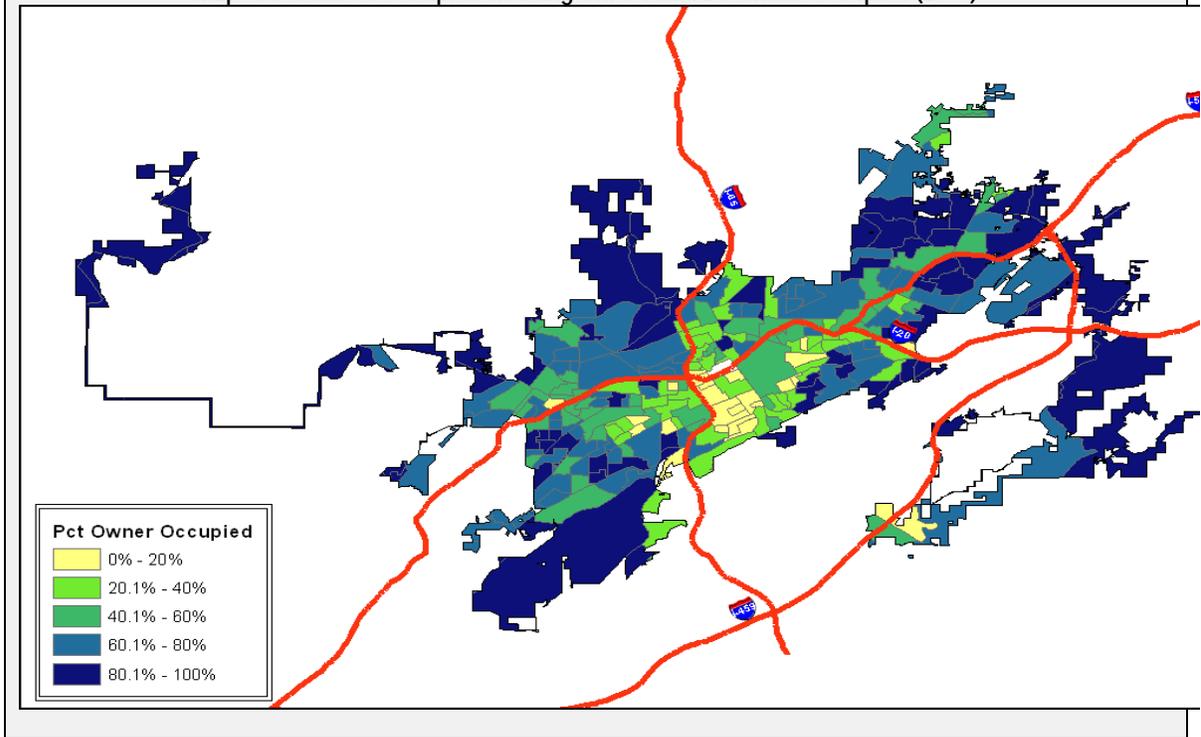
Although by 2000 the number of single-family detached units had decreased by 3,237 since 1990, it continued to represent about the same percentage of owner-occupied housing in that year. This number was estimated to have decreased by another 4,927 units by 2008, which in part accounts for the decline in owner occupancy. Overall, owner-occupied housing decreased 6.4% (3,388 units) between 1990 and 2000 and another 9.9% by 2008. Increases were only seen for owner-occupied housing in buildings of 20 to 49 units—4.2% increase in these high-occupancy buildings, with a net decline of 18.7% among all structures of two or more units between 2000 and 2008.

In terms of rental housing, buildings with five or more units continue to be the majority of the housing stock at 55.5% in 1990 and 54.6% in 2000, which represents a 7.8% decrease during this period. By 2008, this figure was 52.7%, representing an additional decline of 13.1%. The number of mobile homes used for rental housing increased by 34.0% from 100 units in 1990 to 134 units in 2000, but was estimated to have declined to 128 units (4.5%) by 2008. From 1990 to 2000, rental of single-family detached housing increased 3.9%, and increased by another 8.3% by 2008. All other types of buildings showed a decrease during this period with single-family attached housing decreasing the most—26.2% by 2000, and 50.4% by 2008.

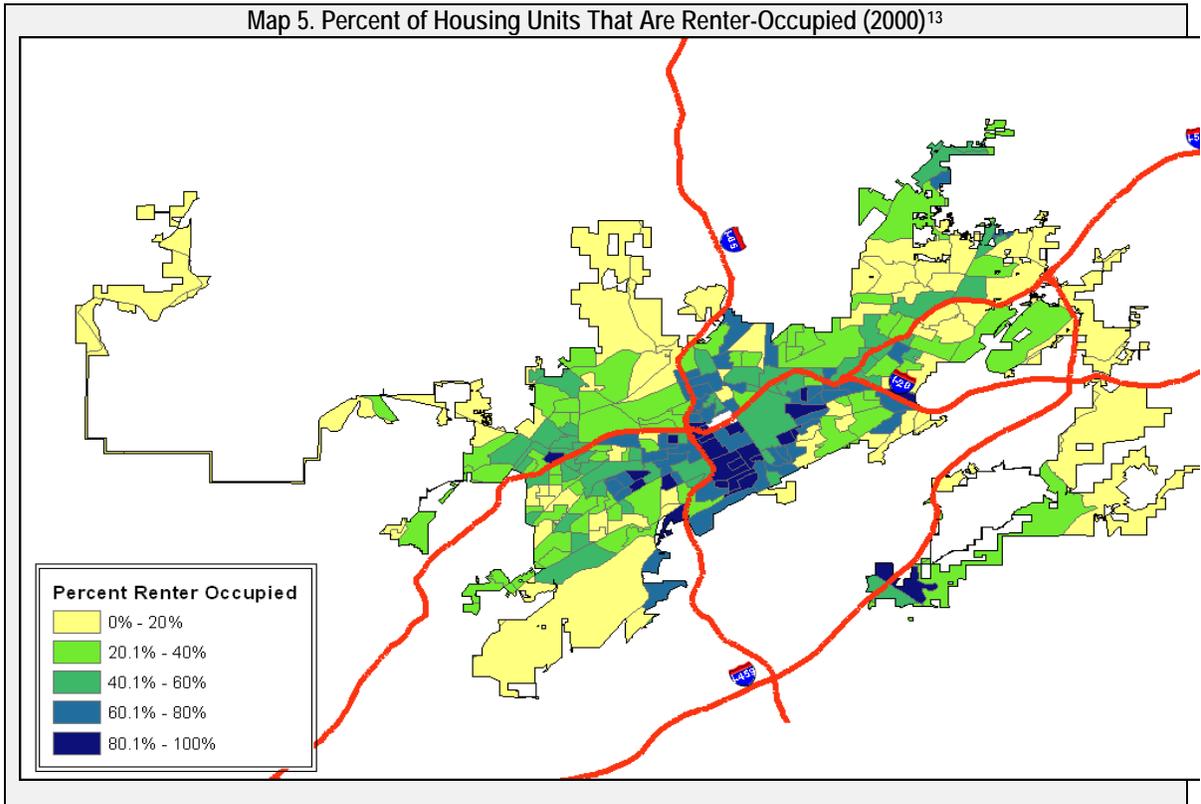
Tenure and Number of Units		2000		2008		Change	
		Number	Percent	Number	Percent	Number	Percent
Owner-Occupied	1 Unit (detached)	49,765	94.10%	44,838	94.78%	-4,927	-9.90%
	1 Unit (attached)	1,048	1.98%	958	2.03%	-90	-8.59%
	2 Units	126	0.24%	37	0.08%	-89	-70.63%
	3 or 4 Units	307	0.58%	189	0.40%	-118	-38.44%
	5 or more Units	1,344	2.54%	1,218	2.57%	-126	-9.38%
	Mobile Home	280	0.53%	67	0.14%	-213	-76.07%
	Other	16	0.03%	0	0.00%	-16	-100.00%
	Total	52,886	100.00%	47,307	100.00%	-5,579	-10.55%
Renter-Occupied	1 Unit (detached)	12,611	27.38%	13,661	32.93%	1,050	8.33%
	1 Unit (attached)	1,621	3.52%	804	1.94%	-817	-50.40%
	2 Units	2,160	4.69%	1,313	3.16%	-847	-39.21%
	3 or 4 Units	4,333	9.41%	3,710	8.94%	-623	-14.38%
	5 or more Units	25,161	54.64%	21,872	52.72%	-3,289	-13.07%
	Mobile Home	134	0.29%	128	0.31%	-6	-4.48%
	Other	31	0.07%	0	0.00%	-31	-100.00%
	Total	46,051	100.00%	41,488	100.00%	-4,563	-9.91%

10 U.S. Department of commerce, Bureau of the Census (Census 1990 and 2000 Summary file 3) and U.S. Census Bureau, 2006 – 2008 American Community survey.

Map 4. Percent of Occupied Housing Units That Are Owner-Occupied (2000)¹²



Map 5. Percent of Housing Units That Are Renter-Occupied (2000)¹³



¹²U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3).

¹³U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3).

ADDENDUM - D
CDBG FINANCIAL SUMMARY AND ATTACHMENT



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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	5,587,695.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	168,851.19
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	5,756,546.19

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	6,202,659.45
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	6,202,659.45
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	990,019.44
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	21,602.25
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	7,214,281.14
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(1,457,734.95)

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	3,728,498.52
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	3,728,498.52
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	60.11%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	731,808.76
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	731,808.76
32 ENTITLEMENT GRANT	5,587,695.00
33 PRIOR YEAR PROGRAM INCOME	198,897.59
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	5,786,592.59
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	12.65%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	990,019.44
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	990,019.44
42 ENTITLEMENT GRANT	5,587,695.00
43 CURRENT YEAR PROGRAM INCOME	168,851.19
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	5,756,546.19
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	17.20%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2009	10	11383	5666807	FRANKIE GULLEY	14A	LMH	\$2,800.00
2009	10	11434	5697055	3605 F L SHUTTLESWORTH DRIVE (CRG)	14A	LMH	\$2,000.00
2010	10	11916	5693569	1600 ALABAMA AVENUE SW	14A	LMH	\$5,750.00
2010	10	11916	5693830	1600 ALABAMA AVENUE SW	14A	LMH	\$2,150.00
2010	10	12216	5649036	234 48th Street North	14A	LMH	\$2,950.00
2010	40	12453	5632915	Fountain Heights Recreation Center	03F	LMA	\$127,938.03
2011	7	12451	5606483	945 TRILBY STREET(DRA)	14A	LMH	\$15,000.00
2011	7	12494	5633118	927 Catherine Street (CRG)	14A	LMH	\$4,800.00
2011	7	12512	5674134	26 WOODCREST DRIVE(DRA)	14C	LMH	\$1,000.00
2011	10	12416	5575113	Greater Birmingham Habitat for Humanity	14A	LMH	\$13,278.92
2011	10	12416	5606321	Greater Birmingham Habitat for Humanity	14A	LMH	\$15,519.53
2011	10	12416	5608894	Greater Birmingham Habitat for Humanity	14A	LMH	\$9,108.61
2011	10	12416	5638394	Greater Birmingham Habitat for Humanity	14A	LMH	\$15,795.04
2011	10	12416	5656527	Greater Birmingham Habitat for Humanity	14A	LMH	\$24,034.02
2011	10	12418	5619649	Bethel-Ensley Action Taskforce	14A	LMH	\$45,000.00
2011	10	12418	5641383	Bethel-Ensley Action Taskforce	14A	LMH	\$12,266.57
2011	11	12406	5666802	J.J.'s Freedom Center	05	LMC	\$5,015.89
2011	11	12406	5693772	J.J.'s Freedom Center	05	LMC	\$200.00
2011	11	12406	5697050	J.J.'s Freedom Center	05	LMC	\$385.52
2011	11	12408	5575118	Titusville Development Corporation	05A	LMC	\$4,901.90
2011	27	12482	5632915	Park Improvements and Renovations	03F	LMA	\$25,474.51
2011	27	12482	5638394	Park Improvements and Renovations	03F	LMA	\$55,038.57
2011	27	12482	5666802	Park Improvements and Renovations	03F	LMA	\$46,197.92
2011	27	12482	5682693	Park Improvements and Renovations	03F	LMA	\$140,960.59
2011	27	12482	5698820	Park Improvements and Renovations	03F	LMA	\$99,180.38
2012	5	12555	5575113	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$1,155.00
2012	5	12555	5606321	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$3,465.00
2012	5	12555	5619659	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$1,155.00
2012	5	12555	5632876	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$27,430.11
2012	5	12555	5632881	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$27,492.70
2012	5	12555	5632882	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$27,093.48
2012	5	12555	5632883	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$27,495.45
2012	5	12555	5632884	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$27,491.74
2012	5	12555	5632891	Housing Rehabilitation Program Costs: PY 2012	14H	LMH	\$24,539.93
2012	6	12608	5575118	308 26TH STREET SW	14A	LMH	\$13,550.00
2012	6	12619	5589298	1121 2ND COURT WEST	14A	LMH	\$12,400.00
2012	6	12630	5589298	1316 ALABAMA AVENUE SW (CRG)	14A	LMH	\$13,550.00
2012	6	12641	5666893	1129 15TH PLACE SW (CRG)	14A	LMH	\$1,000.00
2012	6	12680	5575118	2816 31ST STREET (CRG)	14A	LMH	\$8,450.00
2012	6	12684	5575118	2806 33RD AVENUE NORTH	14A	LMH	\$8,950.00
2012	6	12685	5606454	2905 AVENUE Z (CRG)	14A	LMH	\$11,750.00
2012	6	12687	5589298	3344 38TH PLACE NORTH	14A	LMH	\$13,700.00
2012	6	12688	5589298	714 NEW HILL PLACE	14A	LMH	\$9,745.00
2012	6	12688	5619661	714 NEW HILL PLACE	14A	LMH	\$300.00
2012	6	12693	5580006	1547 BAY AVENUE SW (CRG)	14A	LMH	\$8,990.25
2012	6	12695	5580006	2317 16TH STREET NORTH	14A	LMH	\$700.00
2012	6	12698	5606454	108 12TH AVENUE WEST	14A	LMH	\$2,350.00



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2012	6	12699	5575118	5103 TERRACE M	14A	LMH	\$500.00
2012	6	12700	5589298	2813 STEINER AVENUE	14A	LMH	\$14,890.00
2012	6	12701	5575118	4709 12TH AVENUE NORTH	14A	LMH	\$10,000.00
2012	6	12705	5666893	2608 AVENUE K	14A	LMH	\$10,100.00
2012	6	12708	5589298	716 GRAYMONT AVENUE WEST (CRG)	14A	LMH	\$11,100.00
2012	6	12709	5580006	212 REDSTONE WAY (CRG)	14A	LMH	\$8,900.00
2012	6	12710	5580006	4728 TERRACE R (CRG)	14A	LMH	\$11,750.00
2012	6	12711	5575118	505 AVENUE V (CRG)	14A	LMH	\$11,290.00
2012	6	12714	5580006	1837 FULTON AVENUE SW (CRG)	14A	LMH	\$14,800.00
2012	6	12717	5575118	325 23RD STREET SW (CRG)	14A	LMH	\$8,700.00
2012	6	12718	5606454	4313 PULASKI STREET (CRG)	14A	LMH	\$10,850.00
2012	6	12720	5619661	2132 47TH PLACE	14A	LMH	\$14,450.00
2012	6	12721	5575118	60 NORWOOD CIRCLE	14A	LMH	\$10,190.00
2012	6	12729	5619661	6820 65TH STREET SOUTH	14A	LMH	\$10,442.00
2012	6	12732	5575118	1140 45TH STREET NORTH	14A	LMH	\$9,500.00
2012	6	12733	5580006	1517 35TH STREET ENSLEY	14A	LMH	\$8,500.00
2012	6	12736	5580006	3108 AVENUE C	14A	LMH	\$11,100.00
2012	6	12737	5575118	420 9TH STREET WEST	14A	LMH	\$15,000.00
2012	6	12739	5606454	320 4TH TERRACE NORTH (CRG)	14A	LMH	\$9,537.00
2012	6	12740	5589298	708 11TH COURT WEST	14A	LMH	\$9,980.00
2012	6	12741	5608894	216 17TH AVENUE SOUTH	14A	LMH	\$12,280.00
2012	6	12742	5633118	2316 32ND PLACE SW (CRG)	14A	LMH	\$13,845.00
2012	6	12743	5575118	1811 34TH STREET	14A	LMH	\$14,400.00
2012	6	12744	5580006	3105 AVENUE C	14A	LMH	\$12,800.00
2012	6	12745	5575118	1248 15TH PLACE SW	14A	LMH	\$14,640.00
2012	6	12747	5575118	3001 NORWOOD BOULEVARD	14A	LMH	\$11,900.00
2012	6	12749	5575118	3634 LAUREL AVENUE SW	14A	LMH	\$14,740.00
2012	6	12750	5606454	2717 LAWN AVENUE SW	14A	LMH	\$14,739.00
2012	6	12751	5606454	1501 1ST AVENUE WEST	14A	LMH	\$10,000.00
2012	6	12752	5619661	1827 MARTIN LUTHER KING JR, DRIVE	14A	LMH	\$13,800.00
2012	6	12753	5589298	1534 33RD STREET NORTH	14A	LMH	\$12,700.00
2012	6	12756	5606454	1312 3RD STREET, PRATT CITY	14A	LMH	\$13,050.00
2012	6	12757	5589298	1224 MITCHELL STREET	14A	LMH	\$14,462.00
2012	6	12758	5589298	251 22ND STREET SW	14A	LMH	\$9,150.00
2012	6	12760	5606454	1713 2ND AVENUE WEST	14A	LMH	\$10,800.00
2012	6	12761	5619661	333 9TH AVENUE SW	14A	LMH	\$13,975.00
2012	6	12762	5608894	1773 48TH STREET WEST	14A	LMH	\$15,000.00
2012	6	12763	5606454	3001 FAYETTE AVENUE	14A	LMH	\$18,000.00
2012	6	12764	5589298	1319 COURT R	14A	LMH	\$13,500.00
2012	6	12765	5580006	4701 PARK AVENUE SW	14A	LMH	\$14,775.00
2012	6	12766	5606454	219 BAYBERRY ROAD	14A	LMH	\$11,975.00
2012	6	12767	5606454	3521 HEMLOCK AVENUE SW	14A	LMH	\$12,425.00
2012	6	12768	5606454	2817 20TH STREET ENSLEY	14A	LMH	\$14,900.00
2012	6	12769	5606454	1528 1ST COURT WEST	14A	LMH	\$14,800.00
2012	6	12770	5589298	2812 32ND PLACE SW	14A	LMH	\$13,975.00
2012	6	12771	5619661	3200 BIRCH AVENUE	14A	LMH	\$12,850.00
2012	6	12772	5619661	2140 47TH PLACE	14A	LMH	\$13,300.00
2012	6	12773	5606454	3148 BEECH AVE	14A	LMH	\$13,250.00
2012	6	12775	5606454	225 MCMILLAN AVENUE	14A	LMH	\$14,900.00
2012	6	12776	5606454	728 NEW HILL AVENUE	14A	LMH	\$14,600.00
2012	6	12777	5606482	3524 JEFFERSON AVENUE SW	14A	LMH	\$13,580.00
2012	6	12778	5606454	1325 15TH PLACE SW	14A	LMH	\$12,200.00
2012	6	12779	5606454	2904 AVENUE F	14A	LMH	\$6,678.00
2012	6	12780	5606454	1432 67TH STREET W	14A	LMH	\$7,725.00
2012	6	12780	5656530	1432 67TH STREET W	14A	LMH	\$7,200.00
2012	6	12781	5606454	2620 AVE D	14A	LMH	\$13,850.00
2012	6	12782	5633118	420 12TH STREET SW (CRG)	14A	LMH	\$14,700.00



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2012	6	12783	5606454	1328 MCMILLON	14A	LMH	\$14,900.00
2012	6	12784	5606454	217 MCMILLON AVE SW	14A	LMH	\$12,990.00
2012	6	12785	5606454	405 AVE U	14A	LMH	\$14,750.00
2012	6	12786	5606454	1212 4 TH TERRACE W	14A	LMH	\$13,565.00
2012	6	12789	5606454	8528 3RD AVENUE NORTH	14A	LMH	\$12,150.00
2012	6	12791	5619661	1305 FULTON AVENUE SW (CRG)	14A	LMH	\$14,800.00
2012	6	12792	5589298	2637 28TH STREET, ENSLEY (CRG)	14A	LMH	\$8,400.00
2012	6	12793	5606454	4100 38TH AVENUE NORTH	14A	LMH	\$13,075.00
2012	6	12794	5606454	532 LAMPLIGHTER LANE (CRG)	14A	LMH	\$12,085.00
2012	6	12798	5674134	2513 AVENUE Q	14A	LMH	\$14,900.00
2012	6	12857	5656530	329 9TH COURT WEST(CRG)	14A	LMH	\$8,000.00
2012	6	12857	5674134	329 9TH COURT WEST(CRG)	14A	LMH	\$1,800.00
2012	7	12556	5633118	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$70,048.07
2012	7	12556	5649045	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$36,811.77
2012	8	12557	5575118	RISING WEST PRINCETON CORPORATION	14A	LMH	\$1,362.78
2012	8	12558	5575113	Metro Changers, Inc.	14A	LMH	\$43,039.27
2012	8	12558	5589293	Metro Changers, Inc.	14A	LMH	\$39,815.84
2012	8	12558	5606321	Metro Changers, Inc.	14A	LMH	\$226,016.12
2012	8	12558	5619659	Metro Changers, Inc.	14A	LMH	\$176,904.27
2012	8	12558	5638394	Metro Changers, Inc.	14A	LMH	\$24,986.29
2012	8	12558	5639608	Metro Changers, Inc.	14A	LMH	\$3,558.59
2012	8	12558	5674134	Metro Changers, Inc.	14A	LMH	\$17,761.80
2012	8	12558	5693517	Metro Changers, Inc.	14A	LMH	\$24,512.11
2012	9	12559	5606454	Aletheia House, Inc.	05F	LMC	\$216.50
2012	9	12560	5589298	Changed Lives Christian Center, Inc.	05	LMA	\$199.22
2012	9	12561	5575118	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$4,170.34
2012	9	12561	5606454	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$1,824.63
2012	9	12562	5575118	First Light Inc.	05O	LMC	\$3,247.98
2012	9	12562	5606454	First Light Inc.	05O	LMC	\$2,492.06
2012	9	12564	5606454	Pathways/Downtown Path Center	05	LMC	\$4,206.49
2012	9	12565	5589298	YWCA HOMELESS DAY CARE	05	LMC	\$3,231.38
2012	9	12565	5606454	YWCA HOMELESS DAY CARE	05	LMC	\$3,244.74
2012	9	12566	5575118	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,307.24
2012	9	12566	5606454	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$209.22
2012	9	12567	5589298	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$1,373.79
2012	9	12568	5606454	Bridge Ministries, Inc.	05	LMC	\$6,476.17
2012	9	12569	5575118	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,756.29
2012	9	12569	5589298	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,189.10
2012	9	12569	5606454	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,078.33
2012	9	12569	5633118	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,000.00
2012	9	12570	5589298	Urban Ministry, Inc.	05	LMC	\$4,899.42
2012	9	12571	5589298	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$6,546.80
2012	9	12572	5575118	CHILDCARE RESOURCES	05L	LMC	\$4,013.02
2012	9	12572	5589298	CHILDCARE RESOURCES	05L	LMC	\$6,202.75
2012	9	12572	5606454	CHILDCARE RESOURCES	05L	LMC	\$4,631.88
2012	9	12572	5608894	CHILDCARE RESOURCES	05L	LMC	\$6,450.45
2012	9	12572	5619651	CHILDCARE RESOURCES	05L	LMC	\$4,136.87
2012	9	12573	5575118	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,818.16
2012	9	12573	5589298	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,818.16
2012	9	12573	5606454	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$5,636.32
2012	9	12573	5638481	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,339.08
2012	9	12574	5606454	Jefferson State Community College	05	LMC	\$11,176.86
2012	9	12575	5606454	Lawson State Community College	05	LMC	\$1,243.93



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2012	9	12575	5633118	Lawson State Community College	05	LMC	\$938.06
2012	9	12576	5589293	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$5,578.34
2012	9	12576	5606321	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$8,162.63
2012	9	12576	5619649	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$8,153.54
2012	9	12576	5638394	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$3,473.06
2012	9	12577	5575118	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,875.31
2012	9	12577	5589298	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,189.04
2012	9	12577	5606454	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,380.00
2012	9	12578	5580006	Girl Scouts of North Central Alabama	05	LMC	\$2,892.59
2012	9	12578	5589298	Girl Scouts of North Central Alabama	05	LMC	\$1,406.64
2012	9	12578	5606454	Girl Scouts of North Central Alabama	05	LMC	\$72.89
2012	9	12579	5575118	Positive Maturity, Inc	05A	LMC	\$4,040.85
2012	9	12580	5575118	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2012	9	12581	5575118	J.J.'s Freedom Center	05	LMC	\$2,595.13
2012	9	12581	5589298	J.J.'s Freedom Center	05	LMC	\$2,070.75
2012	9	12581	5693517	J.J.'s Freedom Center	05	LMC	\$879.14
2012	9	12583	5589298	Titusville Development Corporation	05A	LMC	\$7,754.84
2012	9	12583	5633118	Titusville Development Corporation	05A	LMC	\$4,046.77
2012	9	12583	5649045	Titusville Development Corporation	05A	LMC	\$3,061.89
2012	9	12583	5666807	Titusville Development Corporation	05A	LMC	\$1,394.25
2012	9	12583	5666893	Titusville Development Corporation	05A	LMC	\$3,495.00
2012	9	12583	5674134	Titusville Development Corporation	05A	LMC	\$2,722.25
2012	9	12584	5589298	Children's Village, Inc.	05D	LMC	\$750.00
2012	9	12584	5606454	Children's Village, Inc.	05D	LMC	\$499.98
2012	9	12585	5589298	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$3,473.18
2012	9	12585	5606454	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,736.51
2012	9	12587	5589298	United Cerebral Palsy	05	LMC	\$3,514.35
2012	9	12588	5575143	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$347.99
2013	4	12803	5693792	MAIN STREET BIRMINGHAM	18B	LMJ	\$81,203.66
2013	5	12804	5638394	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5639608	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5666802	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5674126	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5674147	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$28,145.99
2013	5	12804	5674149	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$28,261.96
2013	5	12804	5674151	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$28,608.51
2013	5	12804	5674157	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$28,395.03
2013	5	12804	5674164	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$237.27
2013	5	12804	5674170	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$27,943.49
2013	5	12804	5674177	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$27,765.21
2013	5	12804	5674182	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$27,609.99
2013	5	12804	5674184	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$28,305.46
2013	5	12804	5682673	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5693772	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5697050	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$1,155.00
2013	5	12804	5698824	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$18,862.94
2013	5	12804	5698825	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$19,061.31
2013	5	12804	5698826	Housing Rehabilitation Program Costs: PY 2013	14H	LMH	\$18,982.31
2013	6	12866	5666893	200 52ND PLACE NORTH	14A	LMH	\$4,500.00
2013	6	12867	5697055	1406 AVENUE G (CRG)	14A	LMH	\$7,400.00
2013	6	12869	5697055	2621 15TH STREET ENSLEY (CRG)	14A	LMH	\$5,900.00



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2013	6	12870	5693792	1715 26TH AVENUE NORTH (CRG)	14A	LMH	\$5,950.00
2013	6	12873	5693792	7027 1ST AVENUE SOUTH (CRG)	14A	LMH	\$6,140.00
2013	6	12874	5693792	942 CENTER STREET NOTRH (CRG)	14A	LMH	\$4,500.00
2013	6	12877	5693792	7836 8TH AVENUE SOUTH (CRG)	14A	LMH	\$4,890.00
2013	6	12881	5693792	3368 34TH STREET NORTH (CRG)	14A	LMH	\$5,975.00
2013	6	12883	5693792	6622 DIVISION AVENUE	14A	LMH	\$5,300.00
2013	6	12886	5697055	3669 43RD AVENUE NORTH (CRG)	14A	LMH	\$6,500.00
2013	6	12887	5693792	3609 44TH AVENUE NORTH (CRG)	14A	LMH	\$5,800.00
2013	6	12888	5693792	3609 F L SHUTTLESWORTH DRIVE (CRG)	14A	LMH	\$5,970.00
2013	6	12895	5699958	3412 28TH STREET NORTH (CRG)	14A	LMH	\$6,165.00
2013	6	12944	5693792	2515 35TH STREET NORTH	14A	LMH	\$4,000.00
2013	7	12805	5666893	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$5,972.47
2013	7	12805	5674134	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$14,052.58
2013	7	12805	5682688	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$5,522.17
2013	7	12805	5697055	INDEPENDENT LIVING RESOURCES OF GREATER BIRMINGHAM, INC.	14A	LMH	\$60,212.08
2013	8	12806	5656530	RISING WEST PRINCETON CORPORATION	14A	LMH	\$12,207.29
2013	8	12806	5666807	RISING WEST PRINCETON CORPORATION	14A	LMH	\$1,742.98
2013	8	12806	5666893	RISING WEST PRINCETON CORPORATION	14A	LMH	\$892.05
2013	8	12806	5674134	RISING WEST PRINCETON CORPORATION	14A	LMH	\$1,957.59
2013	8	12806	5682711	RISING WEST PRINCETON CORPORATION	14A	LMH	\$875.02
2013	8	12806	5693517	RISING WEST PRINCETON CORPORATION	14A	LMH	\$2,891.99
2013	8	12806	5693792	RISING WEST PRINCETON CORPORATION	14A	LMH	\$1,634.15
2013	9	12808	5674134	Aletheia House, Inc.	05F	LMC	\$6,189.39
2013	9	12808	5693792	Aletheia House, Inc.	05F	LMC	\$29,760.61
2013	9	12809	5666905	Changed Lives Christian Center, Inc.	05	LMC	\$36,853.02
2013	9	12809	5674134	Changed Lives Christian Center, Inc.	05	LMC	\$4,732.98
2013	9	12810	5674134	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$25,033.50
2013	9	12811	5666807	First Light Inc.	05O	LMC	\$15,847.76
2013	9	12811	5666893	First Light Inc.	05O	LMC	\$2,767.50
2013	9	12811	5674134	First Light Inc.	05O	LMC	\$2,639.13
2013	9	12811	5693517	First Light Inc.	05O	LMC	\$2,639.13
2013	9	12812	5649045	Pathways/Transitional Shelter	05	LMC	\$15,853.90
2013	9	12812	5666807	Pathways/Transitional Shelter	05	LMC	\$4,405.85
2013	9	12812	5666893	Pathways/Transitional Shelter	05	LMC	\$5,040.63
2013	9	12812	5682711	Pathways/Transitional Shelter	05	LMC	\$3,502.61
2013	9	12813	5649045	Pathways/Downtown Path Center	05	LMC	\$34,700.58
2013	9	12813	5666893	Pathways/Downtown Path Center	05	LMC	\$10,635.94
2013	9	12813	5682711	Pathways/Downtown Path Center	05	LMC	\$10,635.94
2013	9	12813	5693792	Pathways/Downtown Path Center	05	LMC	\$4,060.54
2013	9	12814	5666893	YWCA HOMELESS DAY CARE	05	LMC	\$30,418.32
2013	9	12814	5682688	YWCA HOMELESS DAY CARE	05	LMC	\$2,896.75
2013	9	12814	5693517	YWCA HOMELESS DAY CARE	05	LMC	\$2,724.30
2013	9	12814	5697055	YWCA HOMELESS DAY CARE	05	LMC	\$2,732.24
2013	9	12815	5666807	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$5,452.50
2013	9	12815	5674134	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,162.50
2013	9	12815	5693517	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,222.50
2013	9	12816	5666893	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$26,386.25
2013	9	12816	5682688	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$3,616.95
2013	9	12816	5693517	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$2,186.92
2013	9	12816	5697055	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$1,461.46
2013	9	12817	5693792	Bridge Ministries, Inc.	05	LMC	\$8,971.55
2013	9	12818	5649045	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$7,869.30



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2013	9	12818	5656530	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,894.52
2013	9	12818	5666807	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,954.09
2013	9	12818	5674134	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,986.49
2013	9	12818	5693517	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,004.04
2013	9	12818	5699958	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$968.32
2013	9	12819	5649045	Urban Ministry, Inc.	05	LMC	\$18,866.00
2013	9	12820	5649045	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$14,282.45
2013	9	12820	5666893	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,736.65
2013	9	12820	5682688	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12820	5682711	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,973.78
2013	9	12820	5693517	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12820	5697055	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12821	5656530	CHILDCARE RESOURCES	05L	LMC	\$9,351.17
2013	9	12821	5666807	CHILDCARE RESOURCES	05L	LMC	\$11,447.63
2013	9	12821	5666893	CHILDCARE RESOURCES	05L	LMC	\$5,735.01
2013	9	12821	5666905	CHILDCARE RESOURCES	05L	LMC	\$4,088.20
2013	9	12821	5682688	CHILDCARE RESOURCES	05L	LMC	\$3,502.84
2013	9	12821	5693792	CHILDCARE RESOURCES	05L	LMC	\$4,912.60
2013	9	12823	5682711	Jefferson State Community College	05	LMCSV	\$3,310.02
2013	9	12823	5693792	Jefferson State Community College	05	LMCSV	\$2,842.44
2013	9	12826	5666893	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,896.83
2013	9	12826	5666905	Birmingham Regional Empowerment and Development Center	05	LMC	\$10,486.21
2013	9	12826	5674134	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,590.30
2013	9	12826	5693517	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,771.16
2013	9	12826	5697055	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,601.02
2013	9	12827	5649045	Girl Scouts of North Central Alabama	05D	LMC	\$333.74
2013	9	12827	5682688	Girl Scouts of North Central Alabama	05D	LMC	\$4,488.00
2013	9	12827	5693792	Girl Scouts of North Central Alabama	05D	LMC	\$1,950.00
2013	9	12828	5666807	Positive Maturity, Inc	05A	LMC	\$7,194.49
2013	9	12829	5649045	Rose Garden Adult Day Services, Inc.	05A	LMC	\$9,900.00
2013	9	12829	5666807	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5666905	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5682711	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5693792	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,403.00
2013	9	12832	5666893	Titusville Development Corporation	05A	LMC	\$1,000.00
2013	9	12832	5674134	Titusville Development Corporation	05A	LMC	\$2,468.00
2013	9	12833	5649053	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5656530	Children's Village, Inc.	05D	LMC	\$10,136.00
2013	9	12833	5666893	Children's Village, Inc.	05D	LMC	\$1,085.00
2013	9	12833	5674134	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5693517	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5697055	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12834	5666893	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$10,848.39
2013	9	12834	5682711	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,549.77
2013	9	12834	5697055	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,549.77
2013	9	12835	5649045	PRESCOTT HOUSE	05N	LMC	\$18,530.00
2013	9	12836	5666893	United Cerebral Palsy	05	LMC	\$7,525.96
2013	9	12836	5674134	United Cerebral Palsy	05	LMC	\$1,120.47
2013	9	12836	5693792	United Cerebral Palsy	05	LMC	\$1,045.50
2013	9	12837	5649045	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$6,693.35
2013	9	12837	5666807	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$3,357.48
2013	9	12837	5674134	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,826.88



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2013	9	12837	5682711	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,053.16
2013	9	12837	5693792	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,627.12
2013	9	12856	5666905	One Roof	05F	LMC	\$3,450.00
2013	9	12856	5693792	One Roof	05F	LMC	\$3,034.00
Total							\$3,728,498.52

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2011	11	12406	5666802	J.J.'s Freedom Center	05	LMC	\$5,015.89
2011	11	12406	5693772	J.J.'s Freedom Center	05	LMC	\$200.00
2011	11	12406	5697050	J.J.'s Freedom Center	05	LMC	\$385.52
2011	11	12408	5575118	Titusville Development Corporation	05A	LMC	\$4,901.90
2012	9	12559	5606454	Aletheia House, Inc.	05F	LMC	\$216.50
2012	9	12560	5589298	Changed Lives Christian Center, Inc.	05	LMA	\$199.22
2012	9	12561	5575118	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$4,170.34
2012	9	12561	5606454	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$1,824.63
2012	9	12562	5575118	First Light Inc.	05O	LMC	\$3,247.98
2012	9	12562	5606454	First Light Inc.	05O	LMC	\$2,492.06
2012	9	12564	5606454	Pathways/Downtown Path Center	05	LMC	\$4,206.49
2012	9	12565	5589298	YWCA HOMELESS DAY CARE	05	LMC	\$3,231.38
2012	9	12565	5606454	YWCA HOMELESS DAY CARE	05	LMC	\$3,244.74
2012	9	12566	5575118	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,307.24
2012	9	12566	5606454	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$209.22
2012	9	12567	5589298	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$1,373.79
2012	9	12568	5606454	Bridge Ministries, Inc.	05	LMC	\$6,476.17
2012	9	12569	5575118	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,756.29
2012	9	12569	5589298	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,189.10
2012	9	12569	5606454	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,078.33
2012	9	12569	5633118	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,000.00
2012	9	12570	5589298	Urban Ministry, Inc.	05	LMC	\$4,899.42
2012	9	12571	5589298	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$6,546.80
2012	9	12572	5575118	CHILDCARE RESOURCES	05L	LMC	\$4,013.02
2012	9	12572	5589298	CHILDCARE RESOURCES	05L	LMC	\$6,202.75
2012	9	12572	5606454	CHILDCARE RESOURCES	05L	LMC	\$4,631.88
2012	9	12572	5608894	CHILDCARE RESOURCES	05L	LMC	\$6,450.45
2012	9	12572	5619651	CHILDCARE RESOURCES	05L	LMC	\$4,136.87
2012	9	12573	5575118	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,818.16
2012	9	12573	5589298	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,818.16
2012	9	12573	5606454	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$5,636.32
2012	9	12573	5638481	IMANI NEW LIFE RECOVERY PROGRAM	05F	LMC	\$2,339.08
2012	9	12574	5606454	Jefferson State Community College	05	LMC	\$11,176.86
2012	9	12575	5606454	Lawson State Community College	05	LMC	\$1,243.93
2012	9	12575	5633118	Lawson State Community College	05	LMC	\$938.06
2012	9	12576	5589293	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$5,578.34
2012	9	12576	5606321	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$8,162.63
2012	9	12576	5619649	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$8,153.54
2012	9	12576	5638394	NEIGHBORHOOD HOUSING SERVICES OF BIRMINGHAM, INC.	05	LMC	\$3,473.06
2012	9	12577	5575118	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,875.31



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2012	9	12577	5589298	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,189.04
2012	9	12577	5606454	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,380.00
2012	9	12578	5580006	Girl Scouts of North Central Alabama	05	LMC	\$2,892.59
2012	9	12578	5589298	Girl Scouts of North Central Alabama	05	LMC	\$1,406.64
2012	9	12578	5606454	Girl Scouts of North Central Alabama	05	LMC	\$72.89
2012	9	12579	5575118	Positive Maturity, Inc	05A	LMC	\$4,040.85
2012	9	12580	5575118	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2012	9	12581	5575118	J.J.'s Freedom Center	05	LMC	\$2,595.13
2012	9	12581	5589298	J.J.'s Freedom Center	05	LMC	\$2,070.75
2012	9	12581	5693517	J.J.'s Freedom Center	05	LMC	\$879.14
2012	9	12583	5589298	Titusville Development Corporation	05A	LMC	\$7,754.84
2012	9	12583	5633118	Titusville Development Corporation	05A	LMC	\$4,046.77
2012	9	12583	5649045	Titusville Development Corporation	05A	LMC	\$3,061.89
2012	9	12583	5666807	Titusville Development Corporation	05A	LMC	\$1,394.25
2012	9	12583	5666893	Titusville Development Corporation	05A	LMC	\$3,495.00
2012	9	12583	5674134	Titusville Development Corporation	05A	LMC	\$2,722.25
2012	9	12584	5589298	Children's Village, Inc.	05D	LMC	\$750.00
2012	9	12584	5606454	Children's Village, Inc.	05D	LMC	\$499.98
2012	9	12585	5589298	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$3,473.18
2012	9	12585	5606454	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,736.51
2012	9	12587	5589298	United Cerebral Palsy	05	LMC	\$3,514.35
2012	9	12588	5575143	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$347.99
2013	9	12808	5674134	Aletheia House, Inc.	05F	LMC	\$6,189.39
2013	9	12808	5693792	Aletheia House, Inc.	05F	LMC	\$29,760.61
2013	9	12809	5666905	Changed Lives Christian Center, Inc.	05	LMC	\$36,853.02
2013	9	12809	5674134	Changed Lives Christian Center, Inc.	05	LMC	\$4,732.98
2013	9	12810	5674134	COOPERATIVE DOWNTOWN MINISTRIES CDBG PUBLIC SERVICE HOMELESS SHELTER	05	LMC	\$25,033.50
2013	9	12811	5666807	First Light Inc.	05O	LMC	\$15,847.76
2013	9	12811	5666893	First Light Inc.	05O	LMC	\$2,767.50
2013	9	12811	5674134	First Light Inc.	05O	LMC	\$2,639.13
2013	9	12811	5693517	First Light Inc.	05O	LMC	\$2,639.13
2013	9	12812	5649045	Pathways/Transitional Shelter	05	LMC	\$15,853.90
2013	9	12812	5666807	Pathways/Transitional Shelter	05	LMC	\$4,405.85
2013	9	12812	5666893	Pathways/Transitional Shelter	05	LMC	\$5,040.63
2013	9	12812	5682711	Pathways/Transitional Shelter	05	LMC	\$3,502.61
2013	9	12813	5649045	Pathways/Downtown Path Center	05	LMC	\$34,700.58
2013	9	12813	5666893	Pathways/Downtown Path Center	05	LMC	\$10,635.94
2013	9	12813	5682711	Pathways/Downtown Path Center	05	LMC	\$10,635.94
2013	9	12813	5693792	Pathways/Downtown Path Center	05	LMC	\$4,060.54
2013	9	12814	5666893	YWCA HOMELESS DAY CARE	05	LMC	\$30,418.32
2013	9	12814	5682688	YWCA HOMELESS DAY CARE	05	LMC	\$2,896.75
2013	9	12814	5693517	YWCA HOMELESS DAY CARE	05	LMC	\$2,724.30
2013	9	12814	5697055	YWCA HOMELESS DAY CARE	05	LMC	\$2,732.24
2013	9	12815	5666807	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$5,452.50
2013	9	12815	5674134	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,162.50
2013	9	12815	5693517	YWCA HOMELESS DAY CARE TRANSPORTATION	05D	LMC	\$1,222.50
2013	9	12816	5666893	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$26,386.25
2013	9	12816	5682688	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$3,616.95
2013	9	12816	5693517	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$2,186.92
2013	9	12816	5697055	YWCA TRANSITIONAL HOUSING (FORMER INTERFAITH)	05	LMC	\$1,461.46
2013	9	12817	5693792	Bridge Ministries, Inc.	05	LMC	\$8,971.55
2013	9	12818	5649045	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$7,869.30
2013	9	12818	5656530	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,894.52
2013	9	12818	5666807	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,954.09



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2013	9	12818	5674134	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$1,986.49
2013	9	12818	5693517	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$2,004.04
2013	9	12818	5699958	NEW PILGRIM BREAD OF LIFE MINISTRIES	05W	LMC	\$968.32
2013	9	12819	5649045	Urban Ministry, Inc.	05	LMC	\$18,866.00
2013	9	12820	5649045	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$14,282.45
2013	9	12820	5666893	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,736.65
2013	9	12820	5682688	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12820	5682711	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,973.78
2013	9	12820	5693517	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12820	5697055	BIRMINGHAM URBAN LEAGUE	05U	LMC	\$2,563.63
2013	9	12821	5656530	CHILDCARE RESOURCES	05L	LMC	\$9,351.17
2013	9	12821	5666807	CHILDCARE RESOURCES	05L	LMC	\$11,447.63
2013	9	12821	5666893	CHILDCARE RESOURCES	05L	LMC	\$5,735.01
2013	9	12821	5666905	CHILDCARE RESOURCES	05L	LMC	\$4,088.20
2013	9	12821	5682688	CHILDCARE RESOURCES	05L	LMC	\$3,502.84
2013	9	12821	5693792	CHILDCARE RESOURCES	05L	LMC	\$4,912.60
2013	9	12823	5682711	Jefferson State Community College	05	LMCSV	\$3,310.02
2013	9	12823	5693792	Jefferson State Community College	05	LMCSV	\$2,842.44
2013	9	12826	5666893	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,896.83
2013	9	12826	5666905	Birmingham Regional Empowerment and Development Center	05	LMC	\$10,486.21
2013	9	12826	5674134	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,590.30
2013	9	12826	5693517	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,771.16
2013	9	12826	5697055	Birmingham Regional Empowerment and Development Center	05	LMC	\$1,601.02
2013	9	12827	5649045	Girl Scouts of North Central Alabama	05D	LMC	\$333.74
2013	9	12827	5682688	Girl Scouts of North Central Alabama	05D	LMC	\$4,488.00
2013	9	12827	5693792	Girl Scouts of North Central Alabama	05D	LMC	\$1,950.00
2013	9	12828	5666807	Positive Maturity, Inc	05A	LMC	\$7,194.49
2013	9	12829	5649045	Rose Garden Adult Day Services, Inc.	05A	LMC	\$9,900.00
2013	9	12829	5666807	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5666905	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5682711	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,300.00
2013	9	12829	5693792	Rose Garden Adult Day Services, Inc.	05A	LMC	\$1,403.00
2013	9	12832	5666893	Titusville Development Corporation	05A	LMC	\$1,000.00
2013	9	12832	5674134	Titusville Development Corporation	05A	LMC	\$2,468.00
2013	9	12833	5649053	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5656530	Children's Village, Inc.	05D	LMC	\$10,136.00
2013	9	12833	5666893	Children's Village, Inc.	05D	LMC	\$1,085.00
2013	9	12833	5674134	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5693517	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12833	5697055	Children's Village, Inc.	05D	LMC	\$500.00
2013	9	12834	5666893	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$10,848.39
2013	9	12834	5682711	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,549.77
2013	9	12834	5697055	MENTAL HEALTH ASSOCIATION OF CENTRAL ALABAMA	05O	LMC	\$1,549.77
2013	9	12835	5649045	PRESCOTT HOUSE	05N	LMC	\$18,530.00
2013	9	12836	5666893	United Cerebral Palsy	05	LMC	\$7,525.96
2013	9	12836	5674134	United Cerebral Palsy	05	LMC	\$1,120.47
2013	9	12836	5693792	United Cerebral Palsy	05	LMC	\$1,045.50
2013	9	12837	5649045	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$6,693.35
2013	9	12837	5666807	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$3,357.48
2013	9	12837	5674134	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,826.88
2013	9	12837	5682711	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,053.16
2013	9	12837	5693792	North Birmingham Community Assistance Program, Inc.	05W	LMC	\$1,627.12



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2013	9	12856	5666905	One Roof	05F	LMC	\$3,450.00
2013	9	12856	5693792	One Roof	05F	LMC	\$3,034.00
Total							\$731,808.76

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2012	1	12550	5575113	General Program Administration - 2012	21A		\$72,940.05
2012	1	12550	5589293	General Program Administration - 2012	21A		\$8,602.47
2012	1	12550	5606321	General Program Administration - 2012	21A		\$7,480.68
2012	1	12550	5608894	General Program Administration - 2012	21A		\$1,729.40
2012	1	12550	5619649	General Program Administration - 2012	21A		\$1,586.86
2012	1	12550	5619659	General Program Administration - 2012	21A		\$6,559.51
2012	1	12550	5632876	General Program Administration - 2012	21A		\$32,153.36
2012	1	12550	5632881	General Program Administration - 2012	21A		\$32,081.31
2012	1	12550	5632882	General Program Administration - 2012	21A		\$31,107.91
2012	1	12550	5632883	General Program Administration - 2012	21A		\$31,097.55
2012	1	12550	5632884	General Program Administration - 2012	21A		\$31,010.81
2012	1	12550	5632891	General Program Administration - 2012	21A		\$30,953.29
2012	1	12550	5638394	General Program Administration - 2012	21A		\$17,088.19
2012	1	12550	5639608	General Program Administration - 2012	21A		\$9,076.05
2012	1	12550	5641383	General Program Administration - 2012	21A		\$2,334.28
2012	1	12550	5648990	General Program Administration - 2012	21A		\$30,530.56
2012	1	12550	5648994	General Program Administration - 2012	21A		\$30,817.00
2012	1	12550	5649025	General Program Administration - 2012	21A		\$31,553.51
2012	1	12550	5649032	General Program Administration - 2012	21A		\$29,784.27
2012	1	12550	5649037	General Program Administration - 2012	21A		\$3,469.16
2012	1	12550	5674147	General Program Administration - 2012	21A		\$31,413.87
2012	1	12550	5674149	General Program Administration - 2012	21A		\$31,714.07
2012	1	12550	5674151	General Program Administration - 2012	21A		\$32,279.66
2012	1	12550	5674157	General Program Administration - 2012	21A		\$31,291.98
2012	1	12550	5674164	General Program Administration - 2012	21A		\$262.78
2012	1	12550	5674170	General Program Administration - 2012	21A		\$30,718.85
2012	1	12550	5674177	General Program Administration - 2012	21A		\$31,508.87
2012	1	12550	5674182	General Program Administration - 2012	21A		\$31,959.78
2012	1	12550	5674184	General Program Administration - 2012	21A		\$34,093.22
2012	1	12550	5682673	General Program Administration - 2012	21A		\$1,426.25
2012	2	12551	5589298	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$1,494.63
2012	2	12551	5606454	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$5,034.85
2012	2	12551	5633118	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$3,011.47
2012	2	12552	5589298	METROPOLITAN BIRMINGHAM SERVICES FOR THE HOMELESS (MBSH)	20		\$14,398.67
2012	2	12552	5606454	METROPOLITAN BIRMINGHAM SERVICES FOR THE HOMELESS (MBSH)	20		\$3,968.90
2013	1	12799	5632891	General Program Administration - 2013	21A		\$2,954.34
2013	1	12799	5648990	General Program Administration - 2013	21A		\$27,690.27
2013	1	12799	5648994	General Program Administration - 2013	21A		\$27,501.11
2013	1	12799	5649025	General Program Administration - 2013	21A		\$27,818.04
2013	1	12799	5649032	General Program Administration - 2013	21A		\$26,607.93
2013	1	12799	5666802	General Program Administration - 2013	21A		\$12,890.87
2013	1	12799	5666880	General Program Administration - 2013	21A		\$4,814.09
2013	1	12799	5666901	General Program Administration - 2013	21A		\$2,475.74
2013	1	12799	5674126	General Program Administration - 2013	21A		\$3,106.91
2013	1	12799	5693481	General Program Administration - 2013	21A		\$522.90
2013	1	12799	5693772	General Program Administration - 2013	21A		\$15,932.02
2013	1	12799	5697050	General Program Administration - 2013	21A		\$2,912.54



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2013	1	12799	5698824	General Program Administration - 2013	21A		\$24,308.39
2013	1	12799	5698825	General Program Administration - 2013	21A		\$25,281.26
2013	1	12799	5698826	General Program Administration - 2013	21A		\$25,527.77
2013	1	12799	5699958	General Program Administration - 2013	21A		\$1,757.90
2013	2	12800	5666905	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$3,489.68
2013	2	12800	5674134	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$1,466.68
2013	2	12800	5693517	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$2,130.85
2013	2	12800	5693792	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$4,423.36
2013	2	12800	5697055	FAIR HOUSING CENTER OF NORTHERN ALABAMA	20		\$1,537.51
2013	2	12801	5682711	One Roof	20		\$3,146.08
2013	2	12801	5693517	One Roof	20		\$6,947.90
2013	2	12801	5697055	One Roof	20		\$3,145.78
2013	2	12801	5699958	One Roof	20		\$5,095.45
Total							\$990,019.44

ADDENDUM - E
HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS
(HOPWA) CAPER REPORT

This portion of the report was prepared by AIDS Alabama Inc., as the City's sponsor of HOPWA funding.



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number ALH12F001		Operating Year for this report <i>From (mm/dd/yy)</i> 07/01/13 <i>To (mm/dd/yy)</i> 06/30/14		
Grantee Name City of Birmingham				
Business Address		710 N. 20 th Street Rm. 1000 City Hall		
City, County, State, Zip		Birmingham	Jefferson	AL 35203-2227
Employer Identification Number (EIN) or Tax Identification Number (TIN)		63-6001201		
DUN & Bradstreet Number (DUNs):		072103559-0001	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: CAGE 39RRO	
*Congressional District of Grantee's Business Address		7		
*Congressional District of Primary Service Area(s)		7		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Birmingham		Counties: Jefferson
Organization's Website Address http://www.informationbirmingham.com/community-development.aspx		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name AIDS Alabama		Parent Company Name, if applicable n/a	
Name and Title of Contact at Project Sponsor Agency		Mitch Tarver, LCSW, Administrative Director of Programs- Program questions Kevin Finney, Director of Operations- Financial questions	
Email Address		mitchell.tarver@AIDSAlabama.org finney@AIDSAlabama.org	
Business Address		3521 7 th Avenue S.	
City, County, State, Zip,		Birmingham Jefferson AL 35222	
Phone Number (with area code)		(205) 324-9822	
Employer Identification Number (EIN) or Tax Identification Number (TIN)		58-1727755	Fax Number (with area code) (205) 324-9311
DUN & Bradstreet Number (DUNS):		834432999	
Congressional District of Project Sponsor's Business Address		7	
Congressional District(s) of Primary Service Area(s)		7, 6	
City(ies) and County(ies) of Primary Service Area(s)		Cities: Birmingham, Hoover, Jasper, Oneonta, Leeds	
Total HOPWA contract amount for this Organization for the operating year		\$582,166.00	Counties: Jefferson, Shelby, St. Clair, Blount, Walker
Organization's Website Address www.aidsalabama.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	n/a			Parent Company Name, if applicable
	n/a			
Name and Title of Contact at Subrecipient	n/a			
Email Address	n/a			
Business Address	n/a			
City, State, Zip, County	n/a	n/a	n/a	n/a
Phone Number (with area code)	n/a			Fax Number (include area code)
	n/a			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	n/a			
DUN & Bradstreet Number (DUNs):	n/a			
North American Industry Classification System (NAICS) Code	n/a			
Congressional District of Subrecipient's Business Address	n/a			
Congressional District of Primary Service Area	n/a			
City (ies) and County (ies) of Primary Service Area(s)	Cities: n/a			Counties: n/a
Total HOPWA Subcontract Amount of this Organization for the operating year	n/a			

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	n/a		Parent Company Name, if applicable	
	n/a			
Name and Title of Contact at Contractor/ Sub-contractor Agency	n/a			
Email Address	n/a			
Business Address	n/a			
City, County, State, Zip	n/a	n/a	n/a	n/a
Phone Number (include area code)	n/a		Fax Number (include area code)	
	n/a			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	n/a			
DUN & Bradstreet Number (DUNs)	n/a			
North American Industry Classification System (NAICS) Code	n/a			
Congressional District of the Sub-recipient's Business Address	n/a			
Congressional District(s) of Primary Service Area	n/a			
City(ies) and County(ies) of Primary Service Area	Cities: n/a		Counties: n/a	
Total HOPWA Subcontract Amount of this Organization for the operating year	n/a			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Agency Overview

As of March 31, 2013, a combined 18,492 HIV/AIDS cases have been reported to the Alabama Department of Public Health (ADPH). According to ADPH, 5,193 (28%) cases have been reported in Jefferson County alone. These totals do not include persons tested in other states who have relocated to Alabama or persons who are not aware of their HIV status.

AIDS Alabama devotes its energy and resources both city and statewide to helping people with HIV/AIDS live healthy, independent lives and works to prevent the spread of HIV. Through the City of Birmingham, AIDS Alabama uses HOPWA funding for the following programs: rental assistance, supportive services including case management and transportation, resource identification, and continued operation of existing housing within the City of Birmingham.

Research has shown that housing is, indeed, healthcare for the HIV-positive population. Recent findings add to the growing evidence that housing itself independently reduces risk of HIV infection and improves the health outcomes for persons living with HIV. According to the findings from the National AIDS Housing Coalition's 2011 North American Housing and HIV/AIDS Research Summit Series:

HIV-positive persons who are homeless or unstably house:

- **Are more likely to delay HIV care;**
- **Have lower CD4 counts and higher viral loads;**
- **Are less likely to be treated for HIV and to adhere to treatment;**
- **Are more likely to be hospitalized and use emergency rooms; and**
- **Experience higher rates of premature death than those stably housed HIV-positive individuals.**

AIDS Alabama provides a variety of safe and affordable housing to HIV-positive individuals and families. Within its portfolio, the Agency has 121 total units of housing scattered throughout the State. Of these, 45 transitional housing beds, 19 permanent supportive housing units, and 35 permanent housing beds are located inside the City of Birmingham. AIDS Alabama also provides emergency and long-term rental assistance in an effort to promote housing stability and prevent homelessness. From July 2013 through June 2014, AIDS Alabama provided HOPWA City housing subsidy assistance to 156 households in the Birmingham area, keeping them safe and stably housed.

In addition to housing, AIDS Alabama provides supportive services to HIV-positive persons throughout the City and State in order to ensure that all facets of care are identified and addressed. Services such as utility assistance, transportation, linkage and referral, basic living skills, vocational programs, and support groups are within the realm of case management at AIDS Alabama. The Agency developed a Statewide Needs Assessment tool in order to ascertain gaps in care within this community. The data collected from this assessment provides a realistic and undeniable snapshot of what HIV/AIDS looks like in Alabama. The Needs Assessment, most recently completed in 2010, provides the information needed to assist case managers in recognizing barriers to care and finding the resources to meet the needs of the consumer.

AIDS Alabama's CEO advocates for the Agency, Alabama, and the entire southern United States on local, state, and national levels. Her work has brought to light the discrepancies in funding and inequitable resources in the neediest areas. The Agency's Education Department provides free HIV testing, prevention education, and HIV awareness throughout the Birmingham Metropolitan Statistical Area, as well as parts of rural Alabama. Although AIDS Alabama's prevention efforts are focused on high-risk populations, the Agency challenges itself to reach out to those from all walks of life.

Rising infection rates coupled with inadequate funding, infrastructures, and resources have resulted in a grave situation in the public health care systems of the South, and Alabama is no exception. The impact of HIV/AIDS on the most vulnerable populations continues to intensify the challenges of 1) reducing new infections; 2) identifying infections as early as possible; and 3) providing adequate care, treatment, and housing. Adversities such as these are the reason that AIDS Alabama is devoted to supporting the City's and State's HIV/AIDS community and the most at-risk populations through housing, supportive services, advocacy, testing, and education.

Program Contacts: Mitch Tarver, LCSW, Administrative Director of Programs; and Kevin Finney, Chief Financial Officer

Housing Activities Provided

The following is a breakdown of funded services for this grant year:

Rental Assistance	\$168,248.50
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Operating Costs	\$231,208.51
Resource Identification	\$ 7,328.36
Supportive Services	\$161,672.08
Sponsor Administration	\$ 54,901.98

During this operating year, AIDS Alabama assisted 22 households with long-term rental assistance (TBRA), and 44 households with emergency rental assistance (STRMU). Thus, a total of 66 households in the City of Birmingham were afforded safe and stable housing in those categories. No grant funds were used for Project-Based Rental Assistance (PBRA) this operating year.

Alabama maintains a waiting list for housing vacancies, as well as for Tenant-Based Rental Assistance (TBRA). Through a referral system, which includes collaborations with the local Continuum of Care, other local AIDS Service Organizations, clinics, and other service providers, AIDS Alabama connects eligible clients with housing availabilities. If there are no current vacancies, the client is added to a waiting list until that unit or a comparable unit is available or referred to another affordable housing provider if eligible. The TBRA waiting list is managed in much the same way. Currently, there are 67 Birmingham-area households awaiting placement on the TBRA program. This waiting list, as well as all HOPWA funding, is monitored monthly and will be modified as needed.

Funding from this grant supported the operations of AIDS Alabama housing in Birmingham, including 12-unit, 11-unit, and a single-family dwelling in the City of Birmingham. Operational activities include the cost of property maintenance and utilities and helps to ensure that the consumer is provided decent and safe housing. Funding from this grant was also used to support the salary of staff who worked toward the expansion of housing and supportive programs.

During this operating year, AIDS Alabama employed over 1.5 FTE case managers who provided access to supportive programs and ensured that their consumers were given linkages to community resources. Throughout the year, the case managers at AIDS Alabama assisted **428** eligible consumers with **4,923** instances of case management with HOPWA and leveraged resources. Additionally, the Agency continued its transportation service, thereby employing one (1) FTE van driver with this funding. The transportation service funded through HOPWA and leveraged resources provided **15,106** trips for **152** eligible individuals and their families in Birmingham. Transportation services guarantee access to medical and mental health appointments, vocational and educational services, and other community resources to meet their needs.

AIDS Alabama continued to submit monthly and quarterly reports to the City of Birmingham in a timely manner. AIDS Alabama maintained a good working relationship with the Community Development Department and handled draw requests per the City's requirements. AIDS Alabama also participated in the development of the City's Annual Action Plan and Five Year Consolidated Plan.

AIDS Alabama's Housing Programs

1. **LIVING IN BALANCE CHEMICAL ADDICTION PROGRAM (LIBCAP)** provides treatment and recovery services to adults who are HIV-positive and have a chemical addiction. LIBCAP operates as an Intensive Outpatient Program (IOP). The programs whose residents participate in the LIB IOP are:

- The LIB RECTORY PROGRAM has 12 beds and operates as the LIB continuum point of entry. LIB Rectory, located onsite of the Agape Campus, is a tightly structured program. Consumer completion goals now range from 45 to 90 days due to the ending of the Substance Abuse and Mental Health Services Administration (SAMHSA) grant that helped fund the project. Completion of goals and transition times are based on individual achievement.
- The LIB RE-ENTRY PROGRAM is located in eleven leased apartments in conjunction with AIDS Alabama's Transitional Housing Program. In LIB Re-Entry, the consumers implement the re-entry plan that was developed in Next-Step. This plan can be modified if necessary and appropriate. Consumer completion goals include a solid housing plan with movement to permanent housing, income management plan, and a stability plan with a goal date of 90 to 150 days.
- The AFTERCARE PROGRAM transitions consumers to independent permanent housing and provides support, case management, and are given the option to attend weekly AfterCare groups to increase housing stability and to prevent relapse.

2. **TRANSITIONAL HOUSING** offers eleven furnished apartments in the Birmingham area for HIV-positive, homeless individuals and families.

3. **PERMANENT HOUSING** includes Agape House, an 18-unit, one-bedroom apartment complex; Agape II, a 12-unit, one, two, and three-bedroom facility for individuals and families; Family Places, five houses owned by AIDS Alabama for homeless families; the Crestwood Properties, two two-bedroom house; Eastlake Apartments, six independent-living units; and the Mustard Seed, three permanent housing units.

4. **SERVICE ENRICHED HOUSING** is provided for persons with HIV and a dual diagnosis of mental illness who are unable to live independently. Certified by the State of Alabama, JASPER House offers 14 private rooms for individuals who require assistance 24-hours per day.

5. **HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)** provides Short-Term Rent, Mortgage, And Utility (STRMU)

assistance and long-term Tenant Based Rental Assistance (TBRA) to prevent homelessness. STRMU is a “needs-based” program, meaning clients must demonstrate an emergency situation that has made them unable to make rental or mortgage payments. TBRA works to keep consumers stably housed by assisting with monthly rental payments.

6. **SHELTER PLUS CARE** is a permanent housing program that targets homeless people with disabilities. Jefferson County Housing Authority, a medical clinic, or a social service agency must refer individuals to the Shelter Plus Care Program. AIDS Alabama is a Shelter Plus Care referring agency and also provides case management to HIV-positive Shelter Plus Care recipients.

7. **STATEWIDE HOUSING** includes Magnolia Place, a 15-unit apartment complex in Mobile Alabama; Alabama Rural AIDS Project, nine housing units distributed in rural areas throughout Alabama; and the Rural Studios in Lee County, three single apartments and two apartments for women and children.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

B. Annual Performance under the Action Plan

1. Outputs Reported and 2. Outcomes Assessed

GOAL: RENTAL ASSISTANCE

Objective:

AIDS Alabama will provide 28 households in the entitlement area with long-term rental assistance (TBRA) during the annual grant period.

Outputs/Accomplishments:

AIDS Alabama provided 22 consumers with long-term TBRA between July 1, 2013, and June 30, 2014. This goal was met at 79%. Seven TBRA beneficiaries left the program during the reporting period; two (2) received other housing subsidies, the remaining three (3) consumers were privately housed upon exit from the program, one (1) went to jail, and one (1) died. It was difficult to reach the 28-consumer goal for this category. It has become more apparent that it is more realistic to help more individuals in the short-term assistance category. This goal was changed in October of 2013, and is reflected in the contract between the City of Birmingham and AIDS Alabama.

Outcome Assessment:

These funds allow consumers to obtain and remain in affordable leased housing. AIDS Alabama case managers will continue to monitor the HOPWA budget monthly, and will increase the TBRA vouchers as the budget allows. Of the 22 households served, all met the 100% goal of:

- Housing stability and

- Reducing risks of homelessness.

Objective:

AIDS Alabama will provide 40 households in the entitlement area with short-term emergency rental or mortgage (STRMU) assistance in the grant year.

Outputs/Accomplishments:

AIDS Alabama provided 44 households in the entitlement area with STRMU assistance between July 1, 2013, and June 30, 2014. This surpassed the goal at 110%. This service provided much-need support to individuals and families who would otherwise be at a high risk of homelessness.

Outcome Assessment:

This funding will keep consumers in current stable housing from becoming homeless because of a temporary emergency situation. As anticipated, the demand for STRMU resumed after the end of the Homeless Prevention and Rapid Re-Housing Program. AIDS Alabama staff will continue to assist those persons eligible for this service. It is estimated that of those assisted, 25% remain stably housed with no further need for assistance. The Agency achieved the goal for:

- Housing stability and
- Reducing risks of homelessness.

GOAL: SUPPORTIVE SERVICES

Objective:

AIDS Alabama will employ at least 1.5 FTE case managers to provide 400 instances of case management supportive service help to 126 households in need of housing assistance in the metropolitan Birmingham area in the next year.

Outputs/Accomplishments:

AIDS Alabama employed a total of over 1.5 FTE case managers through this funding source. With HOPWA and leveraged resources AIDS Alabama case managers provided 4,923 instances of case management and support services to 428 households in Birmingham between July 1, 2013, and June 30, 2014. Both accomplishments exceeded the goal.

Outcome Assessment:

Consumers were linked to mainstream resources that afforded them the ability to remain in stable housing and to live independently. Through these services 100% of all recipients experienced better access to care. AIDS Alabama staff will continue to provide outreach to clinics, community-based organizations, and other local stakeholders to ensure that all eligible HIV-positive persons living in the Birmingham area have equal access to care. The Agency achieved 340% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and
- Improving access to care.

Objective:

AIDS Alabama will employ at least 1.0 FTE van driver with HOPWA funds to assist in providing over 3,500 transportation service trips to 100 households referred to AIDS Alabama for housing services.

Outputs/Accomplishments:

Through this funding and leveraged resources, AIDS Alabama surpassed this goal by employing 1.0 FTE van drivers who provided 15,106 legs of transportation to social service and medical appointments for 152 eligible households between July 1, 2013, and June 30, 2014. This goal was surpassed.

Outcome Assessment:

One-hundred percent (100%) of all beneficiaries received better access to care through this service. These mainstream support services promote healthier and more socially connected consumers who can live independently and remain in safe and stable housing. AIDS Alabama will work diligently to ensure that all eligible consumers are connected to transportation services. The Agency achieved 152% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and

- Improving access to care.

GOAL: OPERATING COST

Objective:

AIDS Alabama will provide safe and affordable housing to 175 consumers and family members over the next year.

Outputs/Accomplishments:

AIDS Alabama provided safe and affordable housing to a total of 90 households, including 90 HIV-positive consumers and 20 family members, in Birmingham between July 1, 2013, and June 30, 2014. This output met the set goal at only 51%. The typical operations costs would go toward several other permanent supportive housing projects in the city. However, funds were not needed to cover those expenses this operating year. Because only one permanent housing city project was covered with HOPWA City funds this year, goals in this category were expected to be low. It is expected that this funding will be needed in the next operating year, though. This funding was also used to support continued operations for the Campus Activity Center, a space develop with HOPWA funding and used to provide space for consumer programs as well as office space for campus staff.

Outcome Assessment:

All (100%) of current HIV-positive residents and their families were provided safe and appropriate housing. Although the goal was not met, AIDS Alabama continues to be very consistent with maintaining the occupancy levels at a high rate. AIDS Alabama housing and case management staff will work even harder in the next program year to ensure housing retention and high occupancy. The Agency achieved 90% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and
- Improving access to care.

GOAL: RESOURCE IDENTIFICATION

Objective:

AIDS Alabama will identify and plan for future AIDS housing in the entitlement area and participate in 100% of key AIDS housing-related conferences between July 1, 2014, and June 30, 2015.

Outputs/Accomplishments:

Although AIDS Alabama participated in 100% of all key housing related conferences during this program year, the agency did not use Resource ID to fund those expenses.

Outcome Assessment:

AIDS Alabama collaborated with other community stockholders to increase safe and decent housing for Birmingham's HIV-positive population and the supportive services needed to sustain housing stability. Additionally, between July 1, 2013, and June 30, 2014, AIDS Alabama continued to be an active member of both the local and the Balance of the State Continuums of Care, as well as make efforts to be at the table for decisions regarding local and statewide affordable housing partnerships and expansion opportunities.

3. Coordination

AIDS Alabama continues to use HOPWA rental assistance and supportive services as a means of transitioning our low-income, HIV-positive consumers to more permanent housing solutions through both mainstream and private sources. The agency leverages funds secured through Ryan White Part B and Targeted Case Management to increase access to care and to ensure that the consumer is provided all available resources. AIDS Alabama works with Community Mental Health Centers, local substance abuse programs, domestic violence resources, the local homeless Continuum of Care, the local Recovery Coalition, and all other low-income housing developers to locate supportive services and housing options not available through our organization. Similarly, AIDS Alabama continues to act as a referral source for organizations in the community also serving this vulnerable population.

4. Technical Assistance

AIDS Alabama is experiencing a need for further IDIS training, both for new and existing staff persons. Although there are now webinars offered through HUDHRE.info website, one-on-one training through our local Technical Assistant provider would be very helpful.

c. Barriers and Trends Overview

Provide narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

AIDS Alabama continues to struggle with high demands for housing and supportive services coupled with reduced funding and an increase in jurisdictions. The lack of decent, safe, and affordable housing is also an ongoing problem for individuals living with HIV disease in the State of Alabama. Typically, units affordable to a very-low income household are not in a desired neighborhood and may not be considered decent or safe. According to the Low Income Housing Coalition of Alabama, the State has an estimated shortage of more than 44,000 affordable housing units. This is why rent supplement programs and affordable housing developments are so vital. In the HIV-positive population, like with other vulnerable groups, housing is often the catalyst for stable health care, decreased risky behaviors, and successful long-term outcomes. Additionally, the availability of supportive services is a crucial factor when determining success outcomes in persons living with HIV disease. As funding continues to shift to away from supportive services, we see larger gaps in the client-to-case manager ratio, leaving less time to focus on the clients’ underlying issues. As a result, the needs of this population become reoccurring and on-going, depleting already limited resources.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

AIDS Alabama has seen a recent trend of decreasing funding for supportive services. These vital services provide residents already in housing the necessary services to stay housed and in medical care. Without supportive services, we find a large number of clients exiting programs without the skills necessary to maintain independent housing. In order to increase the success rate of these individuals and families, we must supply them with basic living skills that will increase their ability to remain stable and independent.

The shift of HIV disease in America is another alarming trend affecting the needs this population. The disparate impact of HIV/AIDS in the southern region of the United States has created an emergency that must be addressed, especially among minority populations. The face of HIV is becoming increasingly minority, rural, and poor. The South represents a little more than one-third of the U.S. population (36.4%), however it now accounts for 60% of the states with the highest rates of new HIV diagnoses (Southern States Manifesto, 2008). Additionally, eleven of the top twenty states with the highest AIDS (not HIV) cases are in the South (Southern States Manifesto, 2008). Alabama is no exception to these alarming trends. In light of this information, one might imagine that funding to the South has followed the epidemic, but this is unfortunately not the case. The South still receives disproportionate financial allocations which are often calculated based on outdated and inequitable formulas.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

In 2010, AIDS Alabama completed its fourth Statewide Needs Assessment Survey. With the help of its sister AIDS Service Organizations across the state, AIDS Alabama surveyed 537 HIV-positive individuals, or 53% of the overall HIV/AIDS population in Alabama. This survey is unique in that that AIDS Alabama has gathered the same data throughout each previous survey. This allows the opportunity to evaluate trends that have developed over the last decade; topics assessed ranged from income, religion, and relationships to anti-retroviral therapy, mental health factors, and substance use. The next Statewide Needs Assessment Survey is scheduled for 2014.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	1,238
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	294
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	461
• Assistance with rental costs	360
• Assistance with mortgage payments	101
• Assistance with utility costs.	n/a
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	483

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
X	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	11,699.38	Case Mgmt Billing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Targeted Case Management Medicaid	5,059.13	Case Mgmt Billing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Program Income	4,599.92	AL Dept. of Public Health Funding	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	21,358.43		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	21,358.43
2.	Resident Rent Payments made directly to HOPWA Program	10,662.00
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	32,020.43

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	32,020.43
3.	Total Program Income Expended (Sum of Rows 1 and 2)	32,020.43

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	HOPWA Housing Subsidy Assistance	[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	28	22			100,000.00	98,239.00
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	70	2			110,000.00	7,345.34
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	105	88			125,000.00	224,417.59
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	40	44			70,000.00	69,455.08
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)	0	0				
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	243	156			405,000.00	399,457.01
	Housing Development (Construction and Stewardship of facility based housing)	[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 78 & 9)						
	Supportive Services	[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	150	428			171,105.00	161,672.08
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract) hopwa	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	150	428			171,105.00	161,672.08
	Housing Information Services	[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	Total Housing Information Services						

Grant Administration and Other Activities		[1] Output Households		[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources			7,500.00	7,328.36
17.	Technical Assistance (if approved in grant agreement)				
18.	Grantee Administration (maximum 3% of total HOPWA grant)				
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)			55,000.00	54,901.98
20.	Total Grant Administration and Other Activities (Sum of Rows 17 – 20)				
Total Expended				[2] Outputs: HOPWA Funds Expended	
				Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)			638,605.00	623,359.43

***The Administration funding used included administration dollars from the 2012 operating year as well as the 2013 operating years. \$30,949.33 (2012) + \$23,952.65 (2013) = 54,901.98 (total seen above)**

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	428	122,913.19
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation	152	38,758.89
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	580	
16.	Adjustment for Duplication (subtract)	152	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	428	161,672.08

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	44	69,455.08
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.	4	4,333
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.		
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY.	40	65,122.08
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.		
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	22	18	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing	2	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison	1	
			8 Disconnected/Unknown		
			9 Death	1	<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	2	2	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	88	42	1 Emergency Shelter/Streets	7	<i>Unstable Arrangements</i>
			2 Temporary Housing	10	<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing	16	<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy	7	
			6 Institution	2	<i>Unstable Arrangements</i>
			7 Jail/Prison	2	
			8 Disconnected/unknown	2	
			9 Death		<i>Life Event</i>

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
--	---

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
44	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	11	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	33	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		Unstable Arrangements
	Jail/Prison		
Disconnected			
Death		Life Event	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			16
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			5

***The numbers in the above chart reflect information generated upon completion of the grant year, which includes consumers who continued receiving STRMU into the 2014-15 operating year. These households’ circumstances and ability to maintain private housing without subsidy will be determined further after STRMU funding has ended.**

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	156
b. Case Management	428
c. Adjustment for duplication (subtraction)	156
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	428
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	428		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	419		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	426		Access to Health Care
4. Accessed and maintained medical insurance/assistance	421		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	393		Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> MEDICAID Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> Veterans Affairs Medical Services AIDS Drug Assistance Program (ADAP) 	<ul style="list-style-type: none"> Ryan White-funded Medical or Dental Assistance
--	--	--

- MEDICARE Health Insurance Program, or use local program name
- State Children’s Health Insurance Program (SCHIP), or use local program name

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- Earned Income
- Veteran’s Pension
- Unemployment Insurance
- Pension from Former Job
- Supplemental Security Income (SSI)
- Child Support
- Social Security Disability Income (SSDI)
- Alimony or other Spousal Support
- Veteran’s Disability Payment
- Retirement Income from Social Security
- Worker’s Compensation
- General Assistance (GA), or use local program name
- Private Disability Insurance
- Temporary Assistance for Needy Families (TANF)
- Other Income Sources

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	22	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	0	0	0	0
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
Total Permanent HOPWA Housing Subsidy Assistance	0	0	0	0
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	0	0		
Total HOPWA Housing Subsidy Assistance	0	0	0	0

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households

that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	156

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	80
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	5
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	12
4.	Transitional housing for homeless persons	7
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	24
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	3
8.	Substance abuse treatment facility or detox center	12
9.	Hospital (non-psychiatric facility)	2
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	6
12.	Rented room, apartment, or house	15
13.	House you own	3
14.	Staying or living in someone else's (family and friends) room, apartment, or house	5
15.	Hotel or motel paid for without emergency shelter voucher	4
16.	Other	
17.	Don't Know or Refused	2
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	156

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	3	11

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	156
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	5
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	44
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	205

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0			
2.	18 to 30 years	15	9			24
3.	31 to 50 years	54	46			100
4.	51 years and Older	21	11			32
5.	Subtotal (Sum of Rows 1-4)	90	66			156
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	12	11			23
7.	18 to 30 years	4	3			7
8.	31 to 50 years	7	6			13
9.	51 years and Older	3	3			6
10.	Subtotal (Sum of Rows 6-9)	26	23			49
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	116	89			205

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	132		44	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	24	4	5	
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial				
11.	Column Totals (Sum of Rows 1-10)	156	4	49	

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	140
2.	31-50% of area median income (very low)	7
3.	51-80% of area median income (low)	9
4.	Total (Sum of Rows 1-3)	156

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

AIDS Alabama

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Rectory
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check only one box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,840.56	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 06/01/93
b.	Rehabilitation/Construction Dates: N/A		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: 04/01/1997 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 04/01/1997 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 11 Total Units = 11
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 0</i>
g.	What is the address of the facility (if different from business address)?		2112 A Avenue H Ensley Birmingham, AL 35218
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab		12		
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units			12			
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	56	\$10,840.56
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)	0	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	56	\$10,840.56

1. Project Sponsor/Subrecipient Agency Name (Required)

AIDS Alabama

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Re-Entry
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,838.17	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 12/20/2013
b.	Rehabilitation/Construction Dates: <i>N/A</i>		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: 12/20/2013 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 12/20/2010 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 11 Total Units = 11
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 0</i>
g.	What is the address of the facility (if different from business address)?		2217 Green Springs Hwy, Homewood, Alabama 35205
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab		33		
Rental units rehabbed				

Homeownership units constructed (if approved)				
---	--	--	--	--

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units			11			
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs	52	\$10,838.17
c. Project-Based Rental Assistance (PBRA) or other leased units		
d. Other Activity (if approved in grant agreement) <u>Specify:</u>		
e. Adjustment to eliminate duplication (subtract)	0	
f. TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	52	\$10,838.17

1. Project Sponsor/Subrecipient Agency Name (Required)

AIDS Alabama

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Campus Activity Center (Renamed the Langley Activity Center)
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check only one box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input checked="" type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,841.08	\$	

a.	Purchase/lease of property:	Date (mm/dd/yy): 06/01/93
b.	Rehabilitation/Construction Dates:	Date started: 01/01/04 Date Completed: 01/01/10
c.	Operation dates:	Date residents began to occupy: 01/01/10 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:	Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:	HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?	2105 Ave H Ensley, Birmingham, AL 35218
h.	Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a, please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab				1
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units
- Supportive services only facility

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility Specify						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	0	\$10,841.08
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	0	\$10,841.08

1. Project Sponsor/Subrecipient Agency Name (Required)

AIDS Alabama

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Crestwood Project
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$4,704.14	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): Property was gifted to AIDS Alabama in 2010
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: 01/24/2011 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 01/24/2011 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 1 Total Units = 1
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		4754 7 th Court South Birmingham, AL
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab				1

Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units				1	
d.	Other housing facility Specify:					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	1	\$4,704.14
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.) 268.09	1	\$4,704.14



Housing Opportunities for Persons with AIDS (HOPWA) Program

Annual Progress Report (APR) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The APR report for HOPWA competitively selected grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes related to: maintain housing stability; prevent homelessness; and improve access to care and support. The public reporting burden for the collection of information is estimated to average 56 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Annual Progress Report (APR) provides annual performance reporting on clients outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The APR fulfills statutory reporting requirements and provides the grantee and HUD with the necessary information to assess the overall performance and accomplishment of the grantee's program activities under the approved goals and objectives.

HOPWA competitive grantees are required to submit an APR for each operating year in which HOPWA grant funds were expended. Information on each competitive grant is to be reported in a separate APR. Grantees must complete Parts 1-5 on standard reporting elements. Grantees approved for "Other Activities", as detailed in their grant agreement, are requested to adapt the APR to report on their unique program accomplishments.

In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282), which requires grant recipients to provide general information for all entities (including contractors and sub-contractors) receiving \$25,000+ in federal funding.

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PART 6. Worksheet - Determining HOPWA Outcomes and Connections with HMIS

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) year period. If no further HOPWA funds are used to support the facility, in place of filing the APR, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5E Annual Certification of Continued Use for HOPWA Facility-Based Stewardship in this APR. The required use period is three years if rehabilitation is non-substantial.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor or subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, , Services

Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Operating Year. The information contained in this APR should reflect the grantee's operating year determined at the time the grant agreement is signed. Project sponsor/subrecipient accomplishment information must coincide with this operating year period. Any change requires the approval of HUD by amendment, such as an extension for one additional year of operation. A renewal grant start date would be coordinated with the close out of the existing grant.

Grantees with an approved extension period of less than 6-months should submit the APR for the third year of the grant term at the end of the approved extension period and incorporate data from the additional months. Grantees with an approved extension period of 6-months or more should turn in an APR at the end of the operating year and submit a separate extension APR at the end of the extension period.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed APR to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (grantees) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all grantees and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, or chronic physical illness or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Extension APR: In addition to the standard three-year (3) grant term, grantees that requested and received an extension of their grant term from the HUD field office may be required to submit an *Extension APR*. Grantees with an approved extension period of less than 6-months should submit the APR for the third year of the grant term at the end of the approved extension period and incorporate data from the additional months. Grantees with an approved extension period of 6-months or more should turn in an APR at the end of the operating year and submit a separate extension APR at the end of the extension period.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the APR asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (See definition for Live-in Aide below) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the APR.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Worksheet - Determining HOPWA Outcomes and Connections with HMIS* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive or services. See *Code of Federal Regulations Title 24 Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor/subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time limited housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher Program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Persons with AIDS (HOPWA)

Annual Progress Report – Measuring Performance Outcomes

PART 1: Grantee Summary

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Please use Charts 1 and 2 in this section to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 requests Subrecipient Information. Complete only the charts applicable to the HOPWA project detailed in the report. When completing the charts, provide a response for every question using “N/A” to indicate if a particular question is not applicable to the Grantee or Subrecipient. Do not leave any sections blank.

Note: Report all general information pertaining to project sponsors and subrecipients that perform housing and supportive services in Part 5A: Summary of Project Sponsor/Subrecipient Information.

1. Grantee Information

HUD Grant Number ALH12F001		Operating Year for this report <i>From (mm/dd/yy)</i> 07/1/2013 <i>To (mm/dd/yy)</i> 06/30/2014 <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> ExtYr		
Grantee Name City of Birmingham		Parent Company if applicable		
Type of HOPWA Grant <input type="checkbox"/> Competitive <input checked="" type="checkbox"/> Formula				
Business Address		3521 7 th Avenue South		
City, State, Zip, County		Birmingham	AL	35222 Jefferson
Employer Identification Number (EIN) or Tax Identification Number (TIN)		58-1727755		
DUN & Bradstreet Number (DUNs)		83-443-2999	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:	
Congressional District of Grantee's Business Address		Seven (7)		
*Congressional District(s) of Primary Service Area		Seven (7)		
*City(ies) and County(ies) of Primary Service Area		Cities: Birmingham		Counties: Jefferson
Organization's Website Address www.aidsalabama.org		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		
Is the grantee a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization? <input type="checkbox"/> Please check if yes and a grassroots organization? <input type="checkbox"/>				

* Service delivery area information only needed for program activities being directly carried out by the grantee.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will refer for prosecution false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)	
Name and Title of Authorized Official Patricia Todd, Executive Director	Signature & Date (mm/dd/yy)
Name and Title of Contact at Grantee Agency (person who can answer questions about the report and program) Mitch Tarver, Administrative Director of Programs (Program Questions) Kevin Finney, Chief Financial Officer (Financial Questions)	Email Address mitchell.tarver@aidalabama.org finney@aidalabama.org

Phone Number (include area code) (205) 324-9822	Fax Number (include area code) (205) 324-9311
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2. Administrative Subrecipient Information

Provide information on each Subrecipient organization with a contract/agreement of \$25,000 or greater to assist the project sponsor with evaluations or other administrative services but no services directly to client households. **Agreements include:** grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: This chart does not apply to organizations that provide direct services to clients, defined by CFR 574.3, in providing housing and other support to beneficiaries. Subrecipients who provide direct services should complete the Subrecipient Chart in Part 5A: Summary of Project Sponsor/Subrecipient Information. Additionally, if the grantee undertakes service delivery activities directly, complete the respective performance sections (Part 5A-5E) for all activities conducted by the grantee

Note: If any information is not applicable to your organization, please report N/A in the appropriate box.

Note: Please see the definitions for project sponsor and subrecipient for distinction.

Organization N/A		Parent Company (if applicable) N/A		
Name and Title of Contact at Sub-recipient Organization	N/A			
Email Address	N/A			
Business Address	N/A			
City, State, Zip, County	N/A	N/A	N/A	N/A
Phone Number (include area code)	N/A	Fax Number (include area code) N/A		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	N/A			
DUN & Bradstreet Number (DUNs)	N/A			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of Sub-recipient's Business Address	N/A			
Congressional District(s) of Primary Service Area	N/A			
City(ies) and County(ies) of Primary Service Area	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	N/A			

End of Part 1

Part 2: Grantee Narrative and Performance Assessment

Use the Grantee Narrative and Performance Assessment (items A through D) to succinctly describe in a one to three page narrative how activities enabled client households to improve housing stability, increased access to care and support, and reduced their risk of homelessness. Describe the organization of the HOPWA Program and how the program interacts with other housing and supportive service programs in the community and/or state. The narrative should detail program accomplishments, barriers to achieving stated performance goals, technical assistance needs and innovative outreach and support strategies utilized by project sponsors or partner organizations to achieve program goals. In addition, provide information on any evaluations of the project's accomplishments conducted during the operating year. This narrative will be used for public information, including posting on HUD's web page.

A. Outputs Reported. Describe program accomplishments including the number of housing units supported and the number households assisted with HOPWA funds during this operating year. Include a comparison between proposed (as approved in the grant agreement) and actual accomplishments, as demonstrated in Part 3: Overview of Grant Activities. In the narrative, describe how the different types of housing assistance are coordinated to serve clients. If your organization has a waiting list, please explain how it is administered.

B. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

C. Barriers and Recommendations. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and actions taken in response to barriers, as well as recommendations for program improvement. You may select more than one from the following list. Specify a barrier for each explanation or description.

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> HOPWA/HUD Regulations | <input type="checkbox"/> Planning | <input type="checkbox"/> Housing Availability | <input type="checkbox"/> Rent Determination and Fair Market Rents |
| <input type="checkbox"/> Discrimination/Confidentiality | <input type="checkbox"/> Multiple Diagnoses | <input type="checkbox"/> Eligibility | <input type="checkbox"/> Technical Assistance or Training |
| <input type="checkbox"/> Supportive Services | <input type="checkbox"/> Credit History | <input type="checkbox"/> Rental History | <input type="checkbox"/> Criminal Justice History |
| <input type="checkbox"/> Housing Affordability | <input type="checkbox"/> Geography/Rural Access | <input type="checkbox"/> Other, please explain further | |

D. Technical Assistance. Describe any technical assistance needs and how they will benefit program beneficiaries.

AIDS Alabama provides a variety of safe and affordable housing to HIV-positive individuals and families. Within its portfolio, the Agency has 121 total units of housing scattered throughout the State. Of these, 45 transitional housing beds, 19 permanent supportive housing units, and 35 permanent housing beds are located inside the City of Birmingham. AIDS Alabama also provides emergency and long-term rental assistance in an effort to promote housing stability and prevent homelessness. From July 2013 through June 2014, AIDS Alabama provided HOPWA City housing subsidy assistance to 156 households in the Birmingham area, keeping them safe and stably housed.

In addition to housing, AIDS Alabama provides supportive services to HIV-positive persons throughout the City and State in order to ensure that all facets of care are identified and addressed. Services such as utility assistance, transportation, linkage and referral, basic living skills, vocational programs, and support groups are within the realm of case management at AIDS Alabama. The Agency developed a Statewide Needs Assessment tool in order to ascertain gaps in care within this community. The data collected from this assessment provides a realistic and undeniable snapshot of what HIV/AIDS looks like in Alabama. The Needs Assessment, most recently completed in 2010, provides the information needed to assist case managers in recognizing barriers to care and finding the resources to meet the needs of the consumer.

AIDS Alabama's CEO advocates for the Agency, Alabama, and the entire southern United States on local, state, and national levels. Her work has brought to light the discrepancies in funding and inequitable resources in the neediest areas. The Agency's Education Department provides free HIV testing, prevention education, and HIV awareness throughout the Birmingham Metropolitan Statistical Area, as well as parts of rural Alabama. Although AIDS Alabama's prevention efforts are focused on high-risk populations, the Agency challenges itself to reach out to those from all walks of life.

Rising infection rates coupled with inadequate funding, infrastructures, and resources have resulted in a grave situation in the public health care systems of the South, and Alabama is no exception. The impact of HIV/AIDS on the most vulnerable populations continues to intensify the challenges of 1) reducing new infections; 2) identifying infections as early as possible; and 3) providing adequate care, treatment, and housing. Adversities such as these are the reason that AIDS Alabama is devoted to supporting the City's and State's HIV/AIDS community and the most at-risk populations through housing, supportive services, advocacy, testing, and education.

Program Contacts: Mitch Tarver, LCSW, Administrative Director of Programs; and Kevin Finney, Chief Financial Officer

Housing Activities Provided

The following is a breakdown of funded services for this grant year:

Rental Assistance	\$168,248.50
Operating Costs	\$231,208.51
Resource Identification	\$ 7,328.36
Supportive Services	\$161,672.08
Sponsor Administration	\$ 54,901.98

During this operating year, AIDS Alabama assisted 22 households with long-term rental assistance (TBRA), and 44 households with emergency rental assistance (STRMU). Thus, a total of 66 households in the City of Birmingham were afforded safe and stable housing in those categories. No grant funds were used for Project-Based Rental Assistance (PBRA) this operating year.

Alabama maintains a waiting list for housing vacancies, as well as for Tenant-Based Rental Assistance (TBRA). Through a referral system, which includes collaborations with the local Continuum of Care, other local AIDS Service Organizations, clinics, and other service providers, AIDS Alabama connects eligible clients with housing availabilities. If there are no current vacancies, the client is added to a waiting list until that unit or a comparable unit is available or referred to another affordable housing provider if eligible. The TBRA waiting list is managed in much the same way. Currently, there are 67 Birmingham-area households awaiting placement on the TBRA program. This waiting list, as well as all HOPWA funding, is monitored monthly and will be modified as needed.

Funding from this grant supported the operations of AIDS Alabama housing in Birmingham, including 12-unit, 11-unit, and a single-family dwelling in the City of Birmingham. Operational activities include the cost of property maintenance and utilities and helps to ensure that the consumer is provided decent and safe housing. Funding from this grant was also used to support the salary of staff who worked toward the expansion of housing and supportive programs.

During this operating year, AIDS Alabama employed over 1.5 FTE case managers who provided access to supportive programs and ensured that their consumers were given linkages to community resources. Throughout the year, the case managers at AIDS Alabama assisted **428** eligible consumers with **4,923** instances of case management with HOPWA and leveraged resources. Additionally, the Agency continued its transportation service, thereby employing one (1) FTE van driver with this funding. The transportation service funded through HOPWA and leveraged resources provided **15,106** trips for **152** eligible individuals and their families in Birmingham. Transportation services guarantee access to medical and mental health appointments, vocational and educational services, and other community resources to meet their needs.

AIDS Alabama continued to submit monthly and quarterly reports to the City of Birmingham in a timely manner. AIDS Alabama maintained a good working relationship with the Community Development Department and handled draw requests per the City's requirements. AIDS Alabama also participated in the development of the City's Annual Action Plan and Five Year Consolidated Plan.

AIDS Alabama's Housing Programs

1. **LIVING IN BALANCE CHEMICAL ADDICTION PROGRAM (LIBCAP)** provides treatment and recovery services to adults who are HIV-positive and have a chemical addiction. LIBCAP operates as an Intensive Outpatient Program (IOP). The programs whose residents participate in the LIB IOP are:

- The LIB RECTORY PROGRAM has 12 beds and operates as the LIB continuum point of entry. LIB Rectory, located onsite of the Agape Campus, is a tightly structured program. Consumer completion goals now range from 45 to 90 days due to the ending of the Substance Abuse and Mental Health Services Administration (SAMHSA) grant that helped fund the project. Completion of goals and transition times are based on individual achievement.
- The LIB RE-ENTRY PROGRAM is located in eleven leased apartments in conjunction with AIDS Alabama's Transitional Housing Program. In LIB Re-Entry, the consumers implement the re-entry plan that was developed in Next-Step. This plan can be modified if necessary and appropriate. Consumer completion goals include a solid housing plan with movement to permanent housing, income management plan, and a stability plan with a goal date of 90 to 150 days.
- The AFTERCARE PROGRAM transitions consumers to independent permanent housing and provides support, case management, and are given the option to attend weekly AfterCare groups to increase housing stability and to prevent relapse.

2. **TRANSITIONAL HOUSING** offers eleven furnished apartments in the Birmingham area for HIV-positive, homeless individuals and families.

3. **PERMANENT HOUSING** includes Agape House, an 18-unit, one-bedroom apartment complex; Agape II, a 12-unit, one, two, and three-bedroom facility for individuals and families; Family Places, five houses owned by AIDS Alabama for homeless families; the Crestwood Properties, two two-bedroom house; Eastlake Apartments, six independent-living units; and the Mustard Seed, three permanent housing units.

4. **SERVICE ENRICHED HOUSING** is provided for persons with HIV and a dual diagnosis of mental illness who are unable to live independently. Certified by the State of Alabama, JASPER House offers 14 private rooms for individuals who require assistance 24-hours per day.

5. **HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)** provides Short-Term Rent, Mortgage, And Utility (STRMU) assistance and long-term Tenant Based Rental Assistance (TBRA) to prevent homelessness. STRMU is a "needs-based" program, meaning clients must demonstrate an emergency situation that has made them unable to make rental or mortgage payments. TBRA works to keep consumers stably housed by assisting with monthly rental payments.

6. **SHELTER PLUS CARE** is a permanent housing program that targets homeless people with disabilities. Jefferson County Housing Authority, a medical clinic, or a social service agency must refer individuals to the Shelter Plus Care Program. AIDS Alabama is a Shelter Plus Care referring agency and also provides case management to HIV-positive Shelter Plus Care recipients.

7. **STATEWIDE HOUSING** includes Magnolia Place, a 15-unit apartment complex in Mobile Alabama; Alabama Rural AIDS Project, nine housing units distributed in rural areas throughout Alabama; and the Rural Studios in Lee County, three single apartments and two apartments for women and children.

B. Annual Performance under the Action Plan

1. Outputs Reported and 2. Outcomes Assessed

GOAL: RENTAL ASSISTANCE

Objective:

AIDS Alabama will provide 28 households in the entitlement area with long-term rental assistance (TBRA) during the annual grant period.

Outputs/Accomplishments:

AIDS Alabama provided 22 consumers with long-term TBRA between July 1, 2013, and June 30, 2014. This goal was met at 79%. Seven TBRA beneficiaries left the program during the reporting period; two (2) received other housing subsidies, the remaining three (3) consumers were privately housed upon exit from the program, one (1) went to jail, and one (1) died. It was difficult to reach the 28-consumer goal for this category. It has become more apparent that it is more realistic to help more individuals in the short-term assistance category. This goal was changed in October of 2013, and is reflected in the contract between the City of Birmingham and AIDS Alabama.

Outcome Assessment:

These funds allow consumers to obtain and remain in affordable leased housing. AIDS Alabama case managers will continue to monitor the HOPWA budget monthly, and will increase the TBRA vouchers as the budget allows. Of the 22 households served, all met the 100% goal of:

- Housing stability and
- Reducing risks of homelessness.

Objective:

AIDS Alabama will provide 40 households in the entitlement area with short-term emergency rental or mortgage (STRMU) assistance in the grant year.

Outputs/Accomplishments:

AIDS Alabama provided 44 households in the entitlement area with STRMU assistance between July 1, 2013, and June 30, 2014. This surpassed the goal at 110%. This service provided much-need support to individuals and families who would otherwise be at a high risk of homelessness.

Outcome Assessment:

This funding will keep consumers in current stable housing from becoming homeless because of a temporary emergency situation. As anticipated, the demand for STRMU resumed after the end of the Homeless Prevention and Rapid Re-Housing Program. AIDS Alabama staff will continue to assist those persons eligible for this service. It is estimated that of those assisted, 25% remain stably housed with no further need for assistance. The Agency achieved the goal for:

- Housing stability and
- Reducing risks of homelessness.

GOAL: SUPPORTIVE SERVICES

Objective:

AIDS Alabama will employ at least 1.5 FTE case managers to provide 400 instances of case management supportive service help to 126 households in need of housing assistance in the metropolitan Birmingham area in the next year.

Outputs/Accomplishments:

AIDS Alabama employed a total of over 1.5 FTE case managers through this funding source. With HOPWA and leveraged resources AIDS Alabama case managers provided 4,923 instances of case management and support services to 428 households in Birmingham between July 1, 2013, and June 30, 2014. Both accomplishments exceeded the goal.

Outcome Assessment:

Consumers were linked to mainstream resources that afforded them the ability to remain in stable housing and to live independently. Through these services 100% of all recipients experienced better access to care. AIDS Alabama staff will continue to provide outreach to clinics, community-based organizations, and other local stakeholders to ensure that all eligible HIV-positive persons living in the Birmingham area have equal access to care. The Agency achieved 340% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and
- Improving access to care.

Objective:

AIDS Alabama will employ at least 1.0 FTE van driver with HOPWA funds to assist in providing over 3,500 transportation service trips to 100 households referred to AIDS Alabama for housing services.

Outputs/Accomplishments:

Through this funding and leveraged resources, AIDS Alabama surpassed this goal by employing 1.0 FTE van drivers who provided 15,106 legs of transportation to social service and medical appointments for 152 eligible households between July 1, 2013, and June 30, 2014. This goal was surpassed.

Outcome Assessment:

One-hundred percent (100%) of all beneficiaries received better access to care through this service. These mainstream support services promote healthier and more socially connected consumers who can live independently and remain in safe and stable housing. AIDS

Alabama will work diligently to ensure that all eligible consumers are connected to transportation services. The Agency achieved 152% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and
- Improving access to care.

GOAL: OPERATING COST

Objective:

AIDS Alabama will provide safe and affordable housing to 175 consumers and family members over the next year.

Outputs/Accomplishments:

AIDS Alabama provided safe and affordable housing to a total of 90 households, including 90 HIV-positive consumers and 20 family members, in Birmingham between July 1, 2013, and June 30, 2014. This output met the set goal at only 51%. The typical operations costs would go toward several other permanent supportive housing projects in the city. However, funds were not needed to cover those expenses this operating year. Because only one permanent housing city project was covered with HOPWA City funds this year, goals in this category were expected to be low. It is expected that this funding will be needed in the next operating year, though. This funding was also used to support continued operations for the Campus Activity Center, a space develop with HOPWA funding and used to provide space for consumer programs as well as office space for campus staff.

Outcome Assessment:

All (100%) of current HIV-positive residents and their families were provided safe and appropriate housing. Although the goal was not met, AIDS Alabama continues to be very consistent with maintaining the occupancy levels at a high rate. AIDS Alabama housing and case management staff will work even harder in the next program year to ensure housing retention and high occupancy. The Agency achieved 90% of the goal for:

- Housing stability;
- Reducing risks of homelessness; and
- Improving access to care.

GOAL: RESOURCE IDENTIFICATION

Objective:

AIDS Alabama will identify and plan for future AIDS housing in the entitlement area and participate in 100% of key AIDS housing-related conferences between July 1, 2014, and June 30, 2015.

Outputs/Accomplishments:

Although AIDS Alabama participated in 100% of all key housing related conferences during this program year, the agency did not use Resource ID to fund those expenses.

Outcome Assessment:

AIDS Alabama collaborated with other community stockholders to increase safe and decent housing for Birmingham's HIV-positive population and the supportive services needed to sustain housing stability. Additionally, between July 1, 2013, and June 30, 2014, AIDS Alabama continued to be an active member of both the local and the Balance of the State Continuums of Care, as well as make efforts to be at the table for decisions regarding local and statewide affordable housing partnerships and expansion opportunities.

C. Barriers and Recommendations Overview

Provide narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. AIDS Alabama continues to struggle with high demands for housing and supportive services coupled with reduced funding and an increase in jurisdictions. The lack of decent, safe, and affordable housing is also an ongoing problem for individuals living with HIV disease in the State of Alabama. Typically, units affordable to a very-low income household are not in a desired neighborhood and may not be considered decent or safe. According to the Low Income Housing Coalition of Alabama, the State has an estimated shortage of more than 44,000 affordable housing units. This is why rent supplement programs and affordable housing developments are so vital. In the HIV-positive population, like with other vulnerable groups, housing is often the catalyst for stable health care,

decreased risky behaviors, and successful long-term outcomes. Additionally, the availability of supportive services is a crucial factor when determining success outcomes in persons living with HIV disease. As funding continues to shift to away from supportive services, we see larger gaps in the client-to-case manager ratio, leaving less time to focus on the clients' underlying issues. As a result, the needs of this population become reoccurring and on-going, depleting already limited resources.

2. AIDS Alabama has seen a recent trend of decreasing funding for supportive services. These vital services provide residents already in housing the necessary services to stay housed and in medical care. Without supportive services, we find a large number of clients exiting programs without the skills necessary to maintain independent housing. In order to increase the success rate of these individuals and families, we must supply them with basic living skills that will increase their ability to remain stable and independent.

The shift of HIV disease in America is another alarming trend affecting the needs of this population. The disparate impact of HIV/AIDS in the southern region of the United States has created an emergency that must be addressed, especially among minority populations. The face of HIV is becoming increasingly minority, rural, and poor. The South represents a little more than one-third of the U.S. population (36.4%), however it now accounts for 60% of the states with the highest rates of new HIV diagnoses (Southern States Manifesto, 2008). Additionally, eleven of the top twenty states with the highest AIDS (not HIV) cases are in the South (Southern States Manifesto, 2008). Alabama is no exception to these alarming trends. In light of this information, one might imagine that funding to the South has followed the epidemic, but this is unfortunately not the case. The South still receives disproportionate financial allocations which are often calculated based on outdated and inequitable formulas.

D. Technical Assistance

AIDS Alabama is experiencing a need for further IDIS training, both for new and existing staff persons. Although there are now webinars offered through HUDHRE.info website, one-on-one training through our local Technical Assistant provider would be very helpful.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

E. Unmet Housing Need: Assessment of Unmet Housing Needs for HOPWA eligible Households.

In Chart 1, please identify your service area. If your service area operates within an area also served by HOPWA formula funds, check the box in Row a. If your service area is **not** also served by HOPWA formula funds, check the box in Row b.

Note: For help determining whether or not a formula HOPWA programs operates within your service area, go to http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/aidshousing/programs/formula.

1. Service Area

a. Program operates within an area also served with HOPWA formula funds <i>[Completing Chart 2, Planning Estimate for Area’s Unmet Needs for HOPWA-eligible households is optional for this group of competitive grantees]</i>	<input checked="" type="checkbox"/>
b. Program operates in an area that is not eligible for HOPWA formula funds <i>[This group of competitive grantees must complete Chart 2]</i>	<input type="checkbox"/>

2. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households

In Chart 2 Row 1, provide an assessment of the total number of HOPWA-eligible households that require housing subsidy assistance, but are not served by any HOPWA-funded housing subsidy assistance programs in this service area. In Rows a. through c. enter the total number of HOPWA-eligible households by type of housing subsidy assistance needed. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 3, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

1. Total number of households that have unmet housing subsidy assistance need.	
2. From the total reported in #1, identify the number of households with unmet housing needs by type of housing subsidy assistance: a. Tenant-Based Rental Assistance (TBRA) b. Short-Term Rent, Mortgage and Utility payments (STRMU) <ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

3. Recommended Data Sources for Assessing Unmet Need (check all sources used)

= Data as reported in the area Consolidated Plan, e.g. in Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on needs
= Data from prisons or jails in the community on persons being discharged with HIV/AIDS, if mandatory testing is conducted
= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or related care assessments, e.g. local health department or CDC surveillance data

End of Part 2

Part 3: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units Only. Do not count Supportive Services in this section)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3C, Chart 1, Row 7 (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. Individuals

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	156

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	• Continuing to receive HOPWA support from the prior operating year	80
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	• Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	5
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	12
4.	Transitional housing for homeless persons	7
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	24
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	3
8.	Substance abuse treatment facility or detox center	12
9.	Hospital (non-psychiatric facility)	2
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	6
12.	Rented room, apartment, or house	15
13.	House you own	3
14.	Staying or living in someone else's (family and friends) room, apartment, or house	5
15.	• Hotel or motel paid for without emergency shelter voucher	4
16.	• Other	
17.	Don't Know or Refused	2
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	156

c. Homeless Individuals Summary

In Chart c., indicate the number of HOPWA eligible individuals reported as homeless in Chart b., Row 5 who are also identified as homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of APR). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Homeless Veteran(s)	Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	3	11

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 3A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual.

Note: See definition of Beneficiaries.

Note: See definition of Transgender.

Note: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined below in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
<ul style="list-style-type: none"> 1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.) 	156
<ul style="list-style-type: none"> 2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance 	5
<ul style="list-style-type: none"> 3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy 	44
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	205

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0			
2.	18 to 30 years	15	9			24
3.	31 to 50 years	54	46			100
4.	51 years and Older	21	11			32
5.	Subtotal (Sum of Rows 1-4)	90	66			156
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	12	11			23
7.	18 to 30 years	4	3			7
8.	31 to 50 years	7	6			13
9.	51 years and Older	3	3			6
10.	Subtotal (Sum of Rows 6-9)	26	23			49
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	116	89			205

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of ALL Beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	132		44	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	24	4	5	
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial				
11.	Column Totals (Sum of Rows 1-10)	156	4	49	

Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column [1] and Part 3A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	140
2.	31-50% of area median income (very low)	7
3.	51-80% of area median income (low)	9
4.	Total (Sum of Rows 1-3)	156

Part 3: Summary Overview of Grant Activities
B. Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan, or grant proposal/renewal application and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

a. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	11,699.38	Case Mgmt Billing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: Targeted Case Management Medicaid	5,059.13	Case Mgmt Billing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Program Income	4,599.92		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	21,358.43		

2. Program Income and Resident Rent Payments

In Section 2, Chart a., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

a. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	21,358.43
2.	Resident Rent Payments made directly to HOPWA Program	10,662.00
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	32,020.43

b. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	32,020.43
3.	Total Program Income Expended (Sum of Rows 1 and 2)	32,020.43

Part 3: Summary Overview of Grant Activities

C. Performance and Expenditure Information

Report the total number of households that received HOPWA assistance and the amount of HOPWA funds expended for each program activity provided. In each activity section, the total Row must contain an **unduplicated** total number of households assisted. An adjustment for duplication Row is provided in each section to ensure that the total is correct.

Note: See definition section for more information about Adjustment for Duplication.

Data Check: Data in this section is summarized from all project sponsors/subrecipients PART 5A-E submissions and therefore should match the combined total for those submissions. HOPWA housing subsidy assistance, supportive services, and housing placement activities are measured in households served while housing development activities are measured in units developed.

1. Performance and Expenditure Information by Activity Type

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households	[2] Outputs: Amount of HOPWA Funds Expended
1.	Tenant-Based Rental Assistance	22	98,239.00
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	2	109,597.33
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units	88	122,165.60
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year		
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year		
4.	Short-term Rent, Mortgage, and Utility Assistance	44	69,455.08
5.	Permanent Housing Placement Services		
6.	Adjustment for duplication (subtract)	0	
7.	TOTAL HOPWA Housing Subsidy Assistance (Column 1 equals sum of Rows 1-5 minus Row 6; Column 2 equals the sum of Rows 1-5)	156	399,457.01
Housing Development (Construction and Stewardship of Facility-Based Housing)		[1] Outputs: Number of Housing Units	[2] Outputs: Amount of HOPWA Funds Expended
8.	Facility-Based Units; Capital Development Projects not yet opened		\$0
9.	Stewardship units subject to 3- or 10- year use periods		
10.	TOTAL Housing Development (Sum of Rows 8 and 9)		\$0
Supportive Services		[1] Outputs: Number of Households	[2] Outputs: Amount of HOPWA Funds Expended
11a.	Supportive Services provided by project sponsors/subrecipients that also delivered HOPWA housing assistance <i>(as reported in Part 5D, 1a.)</i>	428	161,672.08

11b.	Supportive Services provided by project sponsors/subrecipients that only provided supportive services (as reported in Part 5, D, 1b.)		
12.	Adjustment for duplication (subtract)		
13.	TOTAL Supportive Services (Column 1 equals Sum of Rows 11a. & 11b. minus Row 12; Column 2 equals Sum of Row 11a. & 11b.)	428	161,672.08
Housing Information Services		[1] Outputs: Number of Households	[2] Outputs: Amount of HOPWA Funds Expended
14.	Housing Information Services		
15.	TOTAL Housing Information Services		
Grant Administration and Other Activities		[1] Outputs: Number of Households	[2] Outputs: Amount of HOPWA Funds Expended
16.	Resource Identification to establish, coordinate and develop housing assistance resources		7,328.36
17.	Technical Assistance (if approved in grant agreement)		
18.	Project Outcomes/Program Evaluation (if approved in grant agreement)		
19.	Grantee Administration (maximum 3% of total of HOPWA grant)		
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)		54,901.98
21.	Other Activity (if approved in grant agreement). Specify:		
22.	TOTAL Grant Administration and Other Activities (Sum of Rows 16-21)		62,230.34
TOTAL Expended			[2] Amount of HOPWA Funds Expended
23.	TOTAL Expenditures (Sum of Rows 7, 10, 13, 15 & 22)		623,359.43

End of Part 3

***Justification for Funds Expended in Part 3, Row 2a:** These funds are used for staff salaries relating to the operating costs of the properties. Duties of the Community Resource Specialist (CRS) staff include ongoing inspection and maintenance of the properties to ensure that day-to-day housing functions are appropriate.

Part 4: Summary of Performance Outcomes

Housing Stability, Prevention of Homelessness, and Access to Care

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	22	18	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	2	Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison	1	Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death	1	Life Event
Permanent Supportive Housing Facilities/ Units	2	2	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets	7	Unstable Arrangements
			2 Temporary Housing	10	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	16	Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy	7	
			6 Institution	2	
			7 Jail/Prison	2	Unstable Arrangements
			8 Disconnected/unknown	2	
			9 Death		
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness

(Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
44	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	11	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	33	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
Disconnected			
Death		<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			16
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			5

***The numbers in the above chart reflect information generated upon completion of the grant year, which includes consumers who continued receiving STRMU into the 2014-15 operating year. These households’ circumstances and ability to maintain private housing without subsidy will be determined further after STRMU funding has ended.**

Section 3. Access to Care and Support: Assessment of Client Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year, identify in the appropriate row the number of households that received HOPWA-funded housing subsidy assistance (TBRA, STRMU, Facility-Based, Permanent Housing Placement Services and Master Leasing) and HOPWA-funded case management services. Use Row c. to adjust for duplication among the service categories and row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did **NOT** provide HOPWA housing subsidy assistance identify in the appropriate row, the number of households that received HOPWA funded case management services. .

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance(duplicated)- TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	156
b. Case Management	428
c. Adjustment for duplication (subtraction)	156
d. Total Households Served by Project Sponsors/Subrecipients with HOPWA Housing Subsidy Assistance (Sum of Rows a. & b. minus Row c.)	428
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. Case Management	0
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provide HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	428	0	Support for Stable Housing
2. Had contact with a case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	419	0	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	426	0	Access to Health Care
4. Accessed and maintained medical insurance/assistance	421	0	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	393	0	Sources of Income

Chart 1b., Row 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (*Reference only*)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (CHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (*Reference only*)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded job training programs, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by project sponsors or obtained from an outside agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipient that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	22	0

End of Part 4

Part 5A: Summary of Each Project Sponsor/Subrecipient Information

For each project sponsor or subrecipient, please complete the entirety of Parts 5A-E. Order the report as Part 5A-E for Project Sponsor/Subrecipient 1, then Part 5A-E for Project Sponsor/Subrecipient 2, etc.

Note: If the grantee undertakes service delivery activities directly, complete the respective performance sections (Parts 5A-E) for all activities conducted by the grantee.

In Chart 1, provide the following information for organizations designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Subrecipient data is reported in Chart 2 (see definitions for more information regarding the distinction between a subrecipient and a project sponsor).

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

1. Project Sponsor Information

Project Sponsor Agency Name AIDS Alabama		Parent Company Name, if applicable n/a		
Name and Title of Contact at Project Sponsor Agency		Kathie M. Hiers, CEO		
Email Address		Kathie@aidsalabama.org		
Business Address		3521 7 th Avenue South		
City, County, State, Zip,		Birmingham	Jefferson	AL 35222
Phone Number (with area code)		(205) 324-9822		Fax Number (with area code) (205) 324-9811
Employer Identification Number (EIN) or Tax Identification Number (TIN)		58-1727755		
DUN & Bradstreet Number (DUNs)		83-443-2999		
Congressional District of Sponsor's Business Address		7		
Congressional District(s) of Primary Service Area		6 and 7		
City(ies) and County(ies) of Primary Service Area		Cities: Birmingham, Hoover, Jasper, Oneonta, Leeds		Counties: Jefferson, Shelby, St. Clair, Blount, Walker
Total HOPWA contract amount for this Organization for the operating year		\$582,166.00		
Organization's Website Address www.aidsalabama.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Please check if yes and a faith-based organization <input type="checkbox"/>				
Please check if yes and a grassroots organization <input type="checkbox"/>				

2. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must complete Part 5, Sections A-E of the APR.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	n/a	Parent Company Name, if applicable		
		n/a		
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	n/a			
Email Address	n/a			
Business Address	n/a			
City, County, State, Zip	n/a	n/a	n/a	n/a
Phone Number (included area code)	n/a		Fax Number (include area code)	
			n/a	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	n/a			
DUN & Bradstreet Number (DUNs)	n/a			
North American Industry Classification System (NAICS) Code	n/a			
Congressional District of the Sub-recipient's Business Address	n/a			
Congressional District(s) of Primary Service Area	n/a			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: n/a		Counties: n/a	
Total HOPWA Subcontract Amount of this Organization for the operating year	n/a			
Organization's Website Address	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No			
n/a	If yes, explain in the narrative section how this list is administered.			
Is the sponsor a nonprofit organization? <input type="checkbox"/> Yes <input type="checkbox"/> No	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Please check if yes and a faith-based organization <input type="checkbox"/> Please check if yes and a grassroots organization <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered.			

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information
B. Rental Assistance, Short-Term Rent, Mortgage and Utility Assistance Programs and Permanent Housing Placement Assistance

1. Rental Assistance (RA)

Enter the total number of households served in Column [1] and the amount of HOPWA funds expended Column [2] by the project sponsor/subrecipient on RA.

HOPWA Housing Subsidy Assistance Category: RA		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/Subrecipient
a.	Tenant-based rental assistance (TBRA)	22	98,239.00
b.	Other Rental Assistance (RA) Programs (if approved in grant agreement)	0	\$0
c.	Direct program delivery costs (e.g., program staff time)		\$0
d.	TOTAL Rental Housing Assistance (For Column [1] sum of Row a. & Row b., for Column [2] sum of rows a. – c.)	22	98,239.00

2. Short-Term Rent, Mortgage and Utility Assistance (STRMU)

In Row a., enter the total number of households served and the amount of HOPWA funds expended by each project sponsor or subrecipient on Short Term Rent, Mortgage, and Utility assistance (STRMU).

In Row b., enter the total number of STRMU assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended by the project sponsor/subrecipient assisting these households. In Row c., enter the total number of STRMU assisted households that received assistance with both mortgage and utility costs and the amount expended by the project sponsor assisting these households. In Row d., enter the total number of STRMU assisted households that received assistance with rental costs only (no utility costs) and the amount expended by the project sponsor/subrecipient assisting these households. In Row e., enter the total number of STRMU assisted households that received assistance with both rental and utility costs and the amount expended by the project sponsor/subrecipient assisting these households. In Row f., enter the total number of STRMU assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended by the project sponsor/subrecipient assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3C, Chart 1, Row 4.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. should equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. should equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	44	69,455.08
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	\$0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	\$0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	0	\$0
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	\$0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	0	\$0
g.	Direct program delivery costs (e.g., program operations staff time)		\$0

3. Permanent Housing Placement Services

In Row a., Column [1] report the households served with HOPWA-funded Permanent Housing Placement Assistance and in Row a, Column [2] report the HOPWA funds expended on Permanent Housing Placement Services. Use Row b. to report on direct program delivery costs used to operate the Permanent Housing Placement Program. Use Row c., to report household and expenditure totals for Permanent Housing Placement Services.

HOPWA Housing Subsidy Assistance Category: Permanent Housing Placement Assistance		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/Subrecipient
a.	Permanent Housing Placement Services		\$0
b.	Direct program delivery costs (e.g., program staff time)		\$0
c.	TOTAL Permanent Housing Placement Services (sum of Rows a. and b.)		\$0

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information

C. Facility-based Housing Assistance

Complete one Part 5C for each facility developed and/or supported through HOPWA funds.

Do not complete this Chart for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor/subrecipient should complete Section 5E: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 1a., Project Site Information, and 1b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but-continued to receive HOPWA operating dollars this reporting year.

1a. Project Site Information for HOPWA Capital Development of Projects Only (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Rectory
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,840.56	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 06/01/93
b.	Rehabilitation/Construction Dates: N/A		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: 04/01/1997 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 04/01/1997 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 11 Total Units = 11
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 0</i>
g.	What is the address of the facility (if different from business address)?		2112 A Avenue H Ensley Birmingham, AL 35218
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

1b. Number and Type of HOPWA Capital Development Project (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

For units entered above (1a) please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		12		
Rental units rehabbed				

Homeownership units constructed (if approved)				
---	--	--	--	--

2. Number of Units Assisted in Types of Housing Facility/Units Leased or Operated by Project Sponsor or Subrecipient Charts 2a., 2b. and 3 are required for each facility. In Charts 2a. and 2b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together on one page.*

a. Check one only.

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units			12		
d.	Other housing facility <u>Specify:</u>					

3. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	56	\$10,840.56
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)	0	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	56	\$10,840.56

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information

C. Facility-based Housing Assistance

Complete one Part 5C for each facility developed and/or supported through HOPWA funds.

Do not complete this Chart for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor/subrecipient should complete Section 5E: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 1a., Project Site Information, and 1b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but-continued to receive HOPWA operating dollars this reporting year.

1a. Project Site Information for HOPWA Capital Development of Projects Only (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Re-Entry
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check only one box.] <input type="checkbox"/> Permanent housing <input checked="" type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,838.17	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 12/20/2013
b.	Rehabilitation/Construction Dates: N/A		Date started: _____ Date Completed: _____
c.	Operation dates:		Date residents began to occupy: 12/20/2013 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 12/20/20103 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 11 Total Units = 11
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year 0</i>
g.	What is the address of the facility (if different from business address)?		2217 Green Springs Hwy, Homewood, Alabama 35205
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

1b. Number and Type of HOPWA Capital Development Project (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

For units entered above (1a) please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		33		

Rental units rehabbed				
Homeownership units constructed (if approved)				

2. Number of Units Assisted in Types of Housing Facility/Units Leased or Operated by Project Sponsor or Subrecipient
Charts 2a., 2b. and 3 are required for each facility. In Charts 2a. and 2b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together on one page.*

a. Check one only.

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units			11		
d.	Other housing facility <u>Specify:</u>					

3. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	52	\$10,838.17
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)	0	
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	52	\$10,838.17

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information

C. Facility-based Housing Assistance

Complete one Part 5C for each facility developed and/or supported through HOPWA funds.

Do not complete this Chart for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor/subrecipient should complete Section 5E: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 1a., Project Site Information, and 1b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but-continued to receive HOPWA operating dollars this reporting year.

1a. Project Site Information for HOPWA Capital Development of Projects Only (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Campus Activity Center (Renamed the Langley Activity Center)
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check only one box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility OTHER- Community Center
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$10,841.08	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 06/01/93
b.	Rehabilitation/Construction Dates:		Date started: 01/01/04 Date Completed: 01/01/10
c.	Operation dates:		Date residents began to occupy: 01/01/10 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		2105 Ave H Ensley, Birmingham, AL 35218
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

1b. Number and Type of HOPWA Capital Development Project (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

For units entered above (1a) please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab				1
Rental units rehabbed				

Homeownership units constructed (if approved)				
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2. Number of Units Assisted in Types of Housing Facility/Units Leased or Operated by Project Sponsor or Subrecipient Charts 2a., 2b. and 3 are required for each facility. In Charts 2a. and 2b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together on one page.*

a. Check one only.

- Permanent Supportive Housing Facility/Units
 Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify</u>					

3. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	0	\$10,841.08
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	0	\$10,841.08

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information
C. Facility-based Housing Assistance

Complete one Part 5C for each facility developed and/or supported through HOPWA funds.
Do not complete this Chart for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor/subrecipient should complete Section 5E: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 1a., Project Site Information, and 1b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but-continued to receive HOPWA operating dollars this reporting year.

1a. Project Site Information for HOPWA Capital Development of Projects Only (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Crestwood Project
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check only one box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input checked="" type="checkbox"/> Operating	\$4,704.14	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): Property was gifted to AIDS Alabama in 2010
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: 01/24/2011 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: 01/24/2011 <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 1 Total Units = 1
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?		4754 7 th Court South Birmingham, AL
h.	Is the address of the project site confidential?		<input checked="" type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.

1b. Number and Type of HOPWA Capital Development Project (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

For units entered above (1a) please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab				1

Rental units rehabbed				
Homeownership units constructed (if approved)				

2. Number of Units Assisted in Types of Housing Facility/Units Leased or Operated by Project Sponsor or Subrecipient
Charts 2a., 2b. and 3 are required for each facility. In Charts 2a. and 2b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served.* **Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together on one page.**

a. Check one only.

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: AIDS Alabama

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units				1	
d.	Other housing facility <u>Specify:</u>					

3. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs	1	\$4,704.14
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.) 268.09	1	\$4,704.14

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information
D. Supportive Services and Other Activities

In this section, report on the use of HOPWA funds for supportive services and other activities.

In Chart 1, if the project sponsor/subrecipient provided both HOPWA funded supportive services AND HOPWA housing subsidy assistance check Box 1a. If the project sponsor/subrecipient provided supportive services but did not also provide HOPWA housing subsidy assistance, check Box 1b. If the project sponsor/subrecipient did not provide any HOPWA funded

supportive services, check Box 1c.

1. Type of Project Sponsor (Check one only)

a. Supportive Services are provided by project sponsor/subrecipient that also delivered HOPWA housing subsidy assistance (complete Chart 2 and 3)	<input checked="" type="checkbox"/>
b. Supportive Services provided by project sponsor/subrecipient who did NOT also provide HOPWA housing subsidy assistance (complete Chart 2 and 3)	<input type="checkbox"/>
c. Project sponsor/subrecipient does not provide HOPWA supportive services (complete only Chart 3 only)	<input type="checkbox"/>

2. Listing of Supportive Services paid for with HOPWA funds provided by Project Sponsor/Subrecipient Agency

In Chart 2, project sponsors/subrecipients who provided HOPWA supportive services during the operating year (checked off Box 1a. or 1b. in Chart 1) should report the total of all households and expenditures for each type of HOPWA-funded supportive service delivered. Use Row 16 to adjust for duplication and Row 17 to provide an unduplicated household count. All project sponsors/subrecipients who provided supportive services with HOPWA funds during the operating year should report by supportive services activity type the number of households served and HOPWA dollars expended.

Note: Every project sponsor/subrecipient who checked off Box 1a. or 1b. above should report households served and funds expended by supportive service type in Chart 2 below.

	Supportive Services	[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	\$0
2.	Alcohol and drug abuse services	0	\$0
3.	Case management	428	122,913.19
4.	Child care and other child services	0	
5.	Education	0	
6.	Employment assistance and training	0	
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	
8.	Legal services	0	
9.	Life skills management (outside of case management)	0	
10.	Meals/nutritional services	0	
11.	Mental health services	0	
12.	Outreach	0	
13.	Transportation	152	38,758.89
14.	Other Activity (if approved in grant agreement). Specify:	0	\$0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	580	
16.	Adjustment for Duplication (subtract)	152	
17.	TOTAL Households receiving Supportive Services (unduplicated) (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	428	161,672.08

3. Listing of Housing Information Services, Grant Administration, and Other Activities paid for with HOPWA funds

In Chart 3, all project sponsors/subrecipients should report Housing Information Services and Grant Administration and Other activities, as applicable. Use Chart 3, Rows 18 and 19 to report the number of unduplicated households receiving HOPWA housing information services and HOPWA dollars spent providing housing Information services. Use Rows 20 through 24 to report HOPWA expenditures on other activities including Grant Administration.

Note: The sum total reported in Row 26 includes the total supportive services dollars reported in Chart 2 Row, 17.

Housing Information Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA funds Expended
18.	Housing Information Services		\$0
19.	TOTAL Housing Information Services		\$0
Grant Administration and Other Activities		[1] Output: Number of Households	[2] Output: Amount of HOPWA funds Expended
20.	Resource Identification to establish, coordinate, and develop housing assistance resources		\$0
21.	Technical Assistance to Community Residences		\$0
22.	Project Outcomes/Program Evaluation (if approved)		\$0
23.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)		\$54,901.98
24.	Other Activity (if approved in grant agreement) Specify:	0	\$0
25.	TOTAL Grant Administration and Other Activities	0	\$62,230.34
26.	TOTAL Supportive Services and Grant Administration Expenditures (Sum of Chart 2, Row 17 and Chart 3, Rows 20 and 25)		\$223,902.42

Part 5: Summary of Each Project Sponsor(s)/Subrecipient(s) Information
E. Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

NOT APPLICABLE

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Section 5C of the APR if the facility was acquired, rehabilitated or constructed/developed in part with HOPWA funds but NO HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of "Stewardship Units"

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through other resources and all the requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: <input type="text"/>	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (include area code)

End of Part 5

Part 6: Worksheet - Determining HOPWA Outcomes and Connections with HMIS

1. This Chart is designed to help you assess program results based on the information reported in Part 4. Completion of this worksheet is optional.

Permanent Housing Assistance	Stable Housing (# remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-based Rental Assistance (TBRA)	0	0	0	0
Permanent Facility-Based Housing Assistance/Units	6	0	0	0
Transitional/Short-term Facility-Based Housing Assistance/Units	0	0	0	0
Total Permanent HOPWA Housing Assistance	6	0	0	0
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-term Rent, Mortgage, and Utility Assistance (STRMU)	0	0	0	0
Total HOPWA Housing Assistance	6	0	0	0

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, Public Housing).
6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing: moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /Prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of Part 6

ADDENDUM - F
HUD CPMP CAPER NARRATIVE REFERENCE GUIDE

CPMP Version 2.0 contains features that incorporate changes made in the Consolidated Plan final rule that became effective on March 13, 2006. The CAPER Narrative Guidelines have been updated to comply with these new requirements and are included herein as a guide for locating the various narrative requirements in this report.

CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT
SUPPLEMENTAL FHEO CAPER INDEX

- Program year accomplishments for the activities that identified the race of persons assisted.

This information is contained in the City's PY 2013 Caper in Addendum which includes a copy of the IDIS Program Year 2012 Summary of Accomplishments Report(CO4PR23).The racial information is contained in this report and is broken out by Housing Activities and Non-Housing Activities.

- Program year accomplishment narrative for fair housing activities.

This information is contained in the City's PY2013Caperonpages26-28.The narrative is in the form at required by the CPMP narrative reference guide as outlined in item 3.

- Female headed household's activities with national objective codes LMC,LMH, LMJ.

This information is contained in the City's PY 2013 Caper in the IDIS Summary of Activities Report (CO4PR03) referenced in our CAPER Cover letter and available by download. This information is entered into IDIS for the above referenced applicable activities throughout the year and reported in the CO4PR03 on an activity by activity basis. We are not aware of a summary report that IDIS generates relative to this information, but it is contained in the C04PR03 on an activity by activity basis in the referenced IDIS report.

- The amount of funding authorized or disbursed for fair housing activities.

This information is contained in the City'sPY2013CAPERintheSummaryof Activities Report (C04PR06) available by download. The City has a long standing public service agreement with the Fair Housing Center for Northern Alabama in the amountof\$20,881.00topromotefairhousingactivities.The activities undertaken by this organization are also detailed in the CAPER narrative on pages 26-28.

- The race and sex of low/moderate persons receiving jobs via economic development activities.

The CAPER Narrative requirements relative to low/moderate job activities as outlined in item 6 of the CPMP Reference Guide is contained on page 78 of our CAPER narrative. Please note race and sex requirements are not required as apart of the CAPER narrative. Further, IDIS does not provide for the input of gender data only racial demographic data. Economic Development Activities supported by the City during PY 2013 are noted on page 8.Prior year job creation information is contained in the City's IDIS Summary of Activities Report (CO4PR03) referenced in our CAPER Cover letter

and available by download for economic development activities.

- An analysis of the impact of the actions taken for this reporting period to overcome the effects of impediments identified.

This information is contained in the City's PY 2013 Caper on pages 26-28. The narrative is in the format required by the CPMP narrative reference guide as outlined in item 3. The specific actions taken to overcome effects of each of the six (6) identified impediments is specifically addressed in item beginning on page 26 of the CAPER.

ADDENDUM - G
10-YEAR PLAN TO END CHRONIC HOMELESSNESS

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**USE OF CDBG & ESG FUNDS IN ADDRESSING THE CHALLENGES OF
HOMELESSNESS
AND IMPLEMENTATION OF THE CITY'S 10 YEAR PLAN TO END
CHRONIC HOMELESSNESS**

**IMPLEMENTATION REPORT-TEN YEAR PLAN TO END CHRONIC
HOMELESSNESS SUBMITTED AS PART OF THE CITY PY 2013 CAPER**

September 11, 2014

Introduction:

In accordance with Notice CPD-03-14 "Using CDBG Funds in Addressing the Challenges of Homelessness" and in accordance with HUD's and the City of Birmingham's established goal of ending chronic homelessness by the year 2017, the following is intended as a summary of CDBG funds utilized to support this effort.

Historically, the City of Birmingham has used its CDBG funds in conjunction with other Federal Programs such as the Emergency Solutions Grant Program funded under the McKinney-Vento Homeless Assistance Act, to undertake innovative initiatives that help the City meet the needs of its homeless population. This policy is well grounded in HUD Regulation and Policy and is consistent with the City's HUD Approved Consolidated Plan and its 2007-2017 Ten-Year Plan to End Chronic Homelessness, copies of which are posted on the Community Development Webpage at www.birminghamal.gov. The City's Ten-Year Plan to End Chronic Homelessness in Birmingham is an expression of the City's commitment to actively seek long-term and sustainable solutions to end chronic homelessness rather than simply managing it.

As noted in the City's Ten Year Plan, solving the complex conditions that lead to chronic homelessness requires a community effort and to meet the needs of homeless individuals, particularly the chronically homeless. It is a problem that government alone cannot solve.

The following is a summary of HUD funding committed by the City of Birmingham since the City's 2007 adoption of the Ten Year Plan in an effort to move forward with implementation and to address the needs of homeless families and individuals in Birmingham. The following Community Development Activities are described in the City's 2007-2010 HUD Approved Action Plans and have been developed after accepting Citizen Comment and Review relative to these activities.

Homeless Overview:

Recent trends in homelessness in Birmingham have been mixed. While the total number of homeless persons has increased from 2011 to 2012, the total number of unsheltered homeless has decreased.

Homeless Trends + Counts: (Estimates)

	Total Homeless Population	Unsheltered
2005	2,428	775 (32%)
2007	2,104	920 (45%)
2009	2,297	735 (32%)
2011	2,051	846 (40%)
2012	2,428	1,216 (54%)
2013	1,469	509 (35%)

Significant challenges remain:

- Beds for homeless men
- All non-profits are struggling with funding issues due to the economy and other factors.
- All need more government, corporate, foundation & faith-based support.

Community Development Activities Included in 2007-2010 HUD Approved Action Plans To Address the Challenges of Homelessness:

The City receives an annual entitlement from the Community Development Block Grant Program (CDBG) and Emergency Shelter Grant Programs (ESG) that are allocated to Cities by formula. The funding amount varies from year to year depending upon Congressional appropriation of funds and other formula related considerations. The following is a summary of activities undertaken in an effort to support the City's Homeless Population by Program Year.

The City's HUD Approved Program Year (PY) is from July 1 through June 30 of each year. The activities funded in support of the City's Ten Year Plan to End Chronic Homeless from 2007 to the present follows:

Aletheia House, Inc.:

135 Finley Avenue, West
P.O. Box 1514
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men.

Funding Summary follows:

CDBG: \$40,790.00.00- PY 2007 (IDIS Activity Number 8831)
CDBG: \$40,790.00- PY 2008 (IDIS Activity Number-9709)
CDBG: \$40,790.00.00- PY 2012 (IDIS Activity Number-10770)
CDBG: \$44,870.00- PY 2010 (IDIS Activity Number- 11776)
CDBG: \$44,870.00- PY 2011 (IDIS Activity Number- 12382)
CDBG: \$42,627.00- PY 2012 (IDIS Activity Number- 12559)
CDBG: \$37,320.00- PY2013 (IDIS Activity Number- 12808)

Total HUD Funded Support: \$292,057.00

Birmingham Health Care for the Homeless:

712 25th Street, North
P.O. Box 11523
Birmingham, Al 35202-1523

Agency currently provides respite care for the homeless including outreach, medical care, case management, and counseling.

Funding Summary follows:

CDBG: \$18,972.00-PY 2007 (IDIS Activity Number-8858)
CDBG: \$18,972.00-PY 2008 (IDIS Activity Number- 9717)
CDBG: \$18,972.00.00-PY 2012 (IDIS Activity Number-10778)
CDBG: \$20,869.00-PY 2010 (IDIS Activity Number- 11813)
CDBG: \$20,869.00-PY 2011 (IDIS Activity Number- 12391)

Total HUD Funded Support: \$97,934.00

Bridge Ministries:

1016 19th Street South
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Also includes support for shelter services including medications, medical supplies, dental, medical, or vision clinic fees for the homeless.

Funding Summary follows:

ESG: \$26,874.00- PY 2007 (IDIS Activity Number- 8855)
CDBG: \$30,802.00.00-PY 2007 (IDIS Activity Number-8859)
ESG: \$26,874.00- PY 2008 (IDIS Activity Number-9751)
CDBG: \$30,802.00-PY 2008 (IDIS Activity Number-9718)

ESG: \$26,665.00-PY 2009 (IDIS Activity Number 10809)
CDBG: \$30,802.00.00-PY 2009 (IDIS Activity Number 10779)
ESG: \$26,665.00-PY 2010 (IDIS Activity Number- 11781)
CDBG: \$33,882.00-PY 2010 (IDIS Activity Number- 11777)
ESG: \$26,665.00-PY 2011 (IDIS Activity Number- 12392)
CDBG: \$33,882.00-PY 2011 (IDIS Activity Number- 12568)
ESG: \$46,665.00-PY 2012 (IDIS Activity Number- 11781)
CDBG: \$32,188.00-PY 2012 (IDIS Activity Number- 12568)
ESG: \$36,582.00- PY 2013 (IDIS Activity Number- 12863 & 12861)
CDBG: \$28,180.00- PY 2013 (IDIS Activity Number- 12817)

Total HUD Funded Support: \$437,528.00

Changed Lives Christian Center, Inc.:

1308 26th Avenue, North
Birmingham, Al 35204

Homeless shelter for men providing food and shelter. It is anticipated this facility will provide up to 144 beds when fully operational. This activity began in 2010.

Funding Summary follows:

CDBG: \$50,000.00- PY 2010 (IDIS Activity Number- 11944)
CDBG: \$50,000.00- PY 2011 (IDIS Activity Number- 12383)
CDBG: \$47,500.00- PY 2012 (IDIS Activity Number- 12560)
CDBG: \$41,586.00- PY 2013 (IDIS Activity Number- 12809)

Total HUD Funded Support: \$189,086.00

Cooperative Downtown Ministries, Inc:

1501 3rd Avenue, North
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue North. Program provides for shelter, 3 daily meals, clothing, showers, counseling, and other supportive services.

Funding Summary follows:

ESG: \$36,250.00- PY 2007 (IDIS Activity Number-8851)
CDBG: \$43,172.00-PY 2007 (IDIS Activity Number-8832)
ESG: \$36,250.00- PY 2008 (IDIS Activity Number- 9747)
CDBG: \$43,172.00-PY 2008 (IDIS Activity Number- 9710)
ESG: \$35,965.00-PY 2009 (IDIS Activity Number- 10804)
CDBG: \$43,172.00-PY 2009 (IDIS Activity Number 10771)
ESG: \$35,965.00-PY 2010 (IDIS Activity Number- 11815)

CDBG: \$47,490.00-PY 2010 (IDIS Activity Number-11812)
ESG: \$35,965.00-PY 2011 (IDIS Activity Number- 12430)
CDBG: \$47,490.00-PY 2011 (IDIS Activity Number-12384)
ESG: \$42,008.00-PY 2012 (IDIS Activity Number)
CDBG: \$45,116.00-PY 2012 (IDIS Activity Number-12561)
ESG: \$32,569.00- PY 2013 (IDIS Activity Number- 12864)
CDBG: \$39,499.00- PY 2013 (IDIS Activity Number- 12810)

Total HUD Funded Support: \$564,083.00

Family Connection:

1323 7th Avenue, North
Birmingham, Al 35203

Provision of essential services and operation expenses to support the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. The program serves young persons who are essentially homeless and on the streets without support. The program is funded through the Emergency Shelter Grant Program and is supported indirectly through the Community Development Block Grant Program Administrative Fund to provide coordination and staff support.

Funding Summary follows:

ESG: \$74,672.00- PY 2007 (IDIS Activity Numbers-8845, 8846)
ESG: \$74,672.00- PY 2008 (IDIS Activity Numbers- 9739, 9740)
ESG: \$74,090.00-PY 2009 (IDIS Activity Numbers- 10800 & 10805)
ESG: \$74,090.00-PY 2010 (IDIS Activity Numbers- 11782 7 11783)
ESG: \$74,090.00-PY 2011 (IDIS Activity Numbers- 12424 & 12425)
ESG: \$54,683.00-PY 2012 (IDIS Activity Numbers- 11782 7 & 11783)
ESG: \$66,815.00- PY 2013 (IDIS Activity Numbers- 12865 & 12864)

Total HUD Funded Support: \$493,112.00

First Light, Inc.:

2230 Fourth Avenue, North
Birmingham, Al 35203

Provision of an emergency shelter for homeless women and their children including the provision of food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

Funding Summary follows:

ESG: \$19,648.00- PY 2007 (IDIS Activity Number-8852)
CDBG: \$33,600.00-PY 2007 (IDIS Activity Number-8833)

ESG: \$19,648.00- PY 2008 (IDIS Activity Numbers- 9748)
CDBG: \$33,600.00-PY 2008 (IDIS Activity Number- 9711)
ESG: \$19,495.00-PY 2009 (IDIS Activity Number-10806)
CDBG: \$33,600.00-PY 2009 (IDIS Activity Number-10772)
ESG: \$19,495.00-PY 2010 (IDIS Activity Number- 11887)
CDBG: \$36,960.00-PY 2010 (IDIS Activity Number- 11888)
ESG: \$19,495.00-PY 2011 (IDIS Activity Number- 12437)
CDBG: \$36,960.00-PY 2011 (IDIS Activity Number- 12385)
ESG: \$25,538.00-PY 2012 (IDIS Activity Number- 11887)
CDBG: \$35,112.00-PY 2012 (IDIS Activity Number- 12562)
ESG: \$19,799.00- PY 2013 (IDIS Activity Number- 12864)
CDBG” \$30,740.00- PY 2013 (IDIS Activity Number- 12811)

Total HUD Funded Support: \$364,195.00

JCCEO

300 8th Ave. W
Birmingham, AL 35204

ESG: \$107,151.00-PY 2012 (IDIS Activity Number)
ESG: \$94,759- PY 2013 (IDIS Activity Number- 12863 & 12862 & 12861)

Total HUD Funded Support: \$201,910.00

New Pilgrim Bread of Life Ministries:

708 Goldwire Place SW
Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons.

Funding Summary follows:

CDBG: \$20,622.00.00-PY 2007 (IDIS Activity Number-8860)
CDBG: \$20,622.00-PY 2008 (IDIS Activity Numbers- 9719)
CDBG: \$20,622.00.00-PY 2009 (IDIS Activity Number-10780)
CDBG: \$22,684.00-PY 2010 (IDIS Activity Numbers-11814)
CDBG: \$22,684.00-PY 2011 (IDIS Activity Numbers-12393)
CDBG: \$21,550.00- PY 2012 (IDIS Activity Number-12569)
CDBG: \$18,866.00- PY 2013 (IDIS Activity Number- 12818)

Total HUD Funded Support: \$147,650.00

Pathways/Downtown Path Center:

409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of a transitional shelter program. Also provides support services for homeless women at day shelter facilities including counseling, job readiness skills, and life management skills.

Funding Summary follows:

ESG: \$26,612.00- PY 2007 (IDIS Activity Number-8849)
CDBG: \$65,612.00-PY 2007 (IDIS Activity Number- 8835)
ESG: \$26,612.00- PY 2008 (IDIS Activity Number- 9743)
CDBG: \$65,619.00-PY 2008 (IDIS Activity Number-9713)
ESG: \$26,406.00-PY 2009 (IDIS Activity Numbers-10802)
CDBG: \$65,619.00-PY 2009 (IDIS Activity Number-10774)
ESG: \$26,406.00-PY 2010 (IDIS Activity Number- 11886)
CDBG: \$65,688.00-PY 2010 (IDIS Activity Number- 11885)
ESG: \$26,406.00-PY 2010 (IDIS Activity Number- 12428)
CDBG: \$65,688.00-PY 2011 (IDIS Activity Number- 12387)
CDBG: \$68,571.00-PY 2012 (IDIS Activity Number- 12564)
ESG: \$25,158- PY 2013 (IDIS Activity Number- 12864)
CDBG: \$60,033.00- PY 2013 (IDIS Activity Number- 12813)

Total HUD Funded Support: \$614,430.00

Pathways/Transitional Shelters:

409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of a transitional shelter program. Also provides support services for homeless women including counseling, job readiness skills, life management skills, food, clothing, case management, counseling, day care, school placement, and after school child care.

Funding Summary follows:

ESG: \$37,318.00- PY 2007 (IDIS Activity Number-8850)
CDBG: \$31,484.00-PY 2007 (IDIS Activity Number- 8834)
ESG: \$37,318.00- PY 2008 (IDIS Activity Number-9745)
CDBG: \$31,484.00-PY 2008 (IDIS Activity Number-9712)
ESG: \$37,025.00-PY 2009 (IDIS Activity Number-10803)
CDBG: \$31,484.00-PY 2009 (IDIS Activity Number-10773)
ESG: \$35,532.00-PY 2010 (IDIS Activity Number- 11883)
CDBG: \$34,632.00-PY 2010 (IDIS Activity Number- 11884)
ESG: \$35,532.00-PY 2011 (IDIS Activity Number- 12429)
CDBG: \$34,632.00-PY 2011 (IDIS Activity Number- 12386)
ESG: \$41,575.00-PY 2011 (IDIS Activity Number- 12429)
CDBG: \$32,900.00-PY 2012 (IDIS Activity Number- 12563)
ESG: \$32,233- PY 2013 (IDIS Activity Number- 12864)

CDBG: \$28,803.00- PY 2013 (IDIS Activity Number- 12812)

Total HUD Funded Support: \$481,952.00

Urban Ministry, Inc.:

1229 Cotton Avenue, SW
Birmingham, Al 35211

Provision of essential services, homeless prevention, and operation expenses food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. The program also assists families that have received eviction notices or notices of termination of utility services.

Funding Summary follows:

ESG: \$13,890.00- PY 2007 (IDIS Activity Number-8861)
CDBG: \$20,622.00-PY 2007 (IDIS Activity Numbers- 8847, 8848)
ESG: \$13,890.00- PY 2008 (IDIS Activity Numbers- 9741, 9742)
CDBG: \$20,622.00-PY 2008 (IDIS Activity Number- 9720)
ESG: \$13,790.00-PY 2009 (IDIS Activity Numbers-10801, 10810)
CDBG: \$20,622.00-PY 2009 (IDIS Activity Number-10781)
ESG: \$13,790.00-PY 2010 (IDIS Activity Numbers- 11882)
CDBG: \$22,684.00-PY 2010 (IDIS Activity Number- 11880)
ESG: \$13,790.00-PY 2011 (IDIS Activity Numbers- 12427)
CDBG: \$22,684.00-PY 2011 (IDIS Activity Number- 12394)
ESG: \$24,958.00-PY 2012 (IDIS Activity Numbers- 11882)
CDBG: \$21,550.00-PY 2012 (IDIS Activity Number- 12570)
ESG: \$20,287.00- PY 2013 (IDIS Activity Numbers- 12865 & 12863 & 12862 & 12861)
CDBG: \$18,866- PY 2013 (IDIS Activity Number- 12819)

Total HUD Funded Support: \$262,045.00

YWCA/INTERFAITH HOSPITALITY HOUSE TRANSITIONAL SHELTER:

309 North 23rd Street
Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care.

Funding Summary follows:

ESG: \$27,990.00- PY 2007 (IDIS Activity Number- 8857)
CDBG: \$37,985.00-PY 2007 (IDIS Activity Number- 8853)
ESG: \$27,990.00- PY 2008 (IDIS Activity Number-9749)

CDBG: \$37,985.00-PY 2008 (IDIS Activity Number-9716)
ESG: \$27,770.00-PY 2009 (IDIS Activity Numbers-10807, 10808)
CDBG: \$37,985.00-PY 2009 (IDIS Activity Numbers-10777)
ESG: \$27,770.00-PY 2010 (IDIS Activity Numbers-11853)
CDBG: \$41,784.00-PY 2010 (IDIS Activity Numbers-11851)
ESG: \$27,770.00-PY 2011 (IDIS Activity Numbers-12433)
CDBG: \$41,784.00-PY 2011 (IDIS Activity Numbers-12390)
ESG: \$33,813.00-PY 2012 (IDIS Activity Numbers)
CDBG: \$39,695.00-PY 2012 (IDIS Activity Numbers-12567)
ESG: \$26,215- PY 2013 (IDIS Activity Number- 12864)
CDBG: \$34,753.00- PY 2013 (IDIS Activity Number- 12816)

Total HUD Funded Support: \$471,289.00

YWCA HOMELESS PROGRAMS AND CHILD TRANSPORTATION SERVICES

309 North 23rd Street
Birmingham, Al 35203

Provision of transitional housing for homeless women and their children including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Also includes emergency shelter for homeless victims of domestic violence and their children. Also includes transportation for homeless children residing in local shelters to day care services at eh YWCA.

Funding Summary follows:

ESG: \$32,330.00- PY 2007 (IDIS Activity Number-8854)
CDBG: \$55,447.00-PY 2007 (IDIS Activity Numbers- 8836, 8856)
ESG: \$32,330.00- PY 2008 (IDIS Activity Number- 9750)
CDBG: \$55,447.00-PY 2008 (IDIS Activity Numbers- 9714, 9715)
ESG: \$32,075.00-PY 2009 (IDIS Activity Number-10808)
CDBG: \$55,447.00-PY 2009 (IDIS Activity Numbers-10775, 10776)
ESG: \$32,075.00-PY 2010 (IDIS Activity Numbers)
CDBG: \$60,991.00-PY 2010 (IDIS Activity Numbers- 11849 & 11850)
ESG: \$32,075.00-PY 2011 (IDIS Activity Numbers)
CDBG: \$60,991.00-PY 2011 (IDIS Activity Numbers- 12388 & 12389)
ESG: \$38,118.00-PY 2012 (IDIS Activity Numbers- 12591)
CDBG: \$57,941.00-PY 2012 (IDIS Activity Numbers- 12565 & 12566)
ESG: \$29,553- PY 2013 (IDIS Activity Number- 12864)
CDBG: \$39,187.00- PY 2013 (IDIS Activity Number- 12814)

Total HUD Funded Support: \$614,007.00

TOTAL HUD ACTION PLAN FUNDING FROM 2007-2013 TO ADDRESS THE CHALLENGES OF HOMELESSNESS: \$5,231,278.00

CORRESPONDENCE:

To: Michael Calvert

From Jim Fenstermaker

Subject: Progress Toward Implementing the 10-Year Plan to End Chronic Homelessness

Date: January 20, 2010

In recent months there have been a number of events and statements made by various parties suggesting that little is being done to implement the City's adopted 10-Year Plan to End Chronic Homelessness. Some pundits have even held a 'funeral' for the plan. More specifically, these pundits suggest that the City is not actively implementing its Plan. To this point, I have refrained from responding to these criticisms, although, it is clear to me that the City of Birmingham has been extremely active in implementing the parts under its control.

The Plan, as you know, was adopted in May 2007. Since that time, the City has committed in excess of \$12 million to fund, in partnership with a series of non-profits, more than 200 units of emergency shelter and permanent supportive housing within the City. Considering the mix of one-, two-, and three-bedroom units, it is reasonable to estimate that these 200+ units will provide housing for as many as 400-450 homeless or formerly homeless men, women and children. All of these units are funded in cooperation with non-profits who specialize in providing housing for the homeless, the formerly homeless and to those with various disabilities or other special needs. All of these units are either completed or actively under construction at this time. It is anticipated that all will be on-line during 2010.

While part of me would love to rebut the claims of these pundits chapter and verse, citing individual buildings, projects, locations, etc., I do not believe that to be a wise course of action. We have chosen to implement these projects with as little fanfare and as much discretion as possible, taking care to do as little 'labeling' as we can. Would we serve the public well to advertise that the City is funding \$1 million to develop 'X' apartments for homeless, male, recovering drug addicts at 3200 Johnson Avenue? Do we serve the clients well? Do the chances of the project being opposed and not funded increase or decrease by so labeling it as a 'homeless project'? Do the future occupants benefit by being classified and so-labeled?

Anyone who believes NIMBYism is not a factor in the development of supportive housing for the homeless and special needs populations is simply out of touch with reality. Witness the opposition that arose around the Firehouse Shelter's controversial zoning case a few years back and the controversy more recently surrounding Changed Lives. While most believe 'in abstract' that we 'ought to *do something* about

homelessness', nobody wants the shelter next to them. I'm not faulting them, it's human nature. Everyone agrees that someone ought to help 'them', but it should be done by someone else somewhere else.

In addition, the issue of homelessness in Birmingham seems to hit the public policy radar on only about 10 days per year. The other 355 days it is a relative non-issue. Ironically, it's not that the issue has "fair weather friends", but rather has "foul weather friends". Most people get worked up about homelessness on the 10 coldest days of the year. The remaining 355 days it's 'out of sight, out of mind'. If you could bottle the commitment that exists during those 10 days and use it to translate into the real work and support for the genuinely tough political decisions that elected officials have to make to make shelter a reality the other 355 days, we would have something.

Rather than throw rocks at the City for what they perceive the City has not done, the pundits and advocates would do well to work on keeping the issue on the policy radar year-round by making the link to the fact that providing housing for the homeless is good community development policy; is good economic development policy; and is a broad community-wide issue worthy of more focused public-private partnerships. It takes great political courage and conviction for any elected official to support shelter beds when facing a roomful of their constituents who are screaming for them to vote 'no', or to 'put it somewhere else'—and we have had some City officials display that courage. We would do well to make it easier for them in the future by broadening the level of support for them for doing the right things. This issue needs broad-based support from the business community, from the faith community and others to establish as a 'given' that we cannot be the great City we aspire to be as long as people are sleeping on the street.

"None of us are home until all of us are home."
Project H.O.M.E., Philadelphia, PA



**City Center Master Plan
Implementation Board Meeting**

Monday, July 19, 2010

3:30p.m. - Conference Room A – Mayor’s Office

Meeting Summary

Welcome and Introductions: ONB Chair Dr. Carol Garrison opened the meeting and led the group in introductions. Michael Calvert reported that ONB Board Member Robert Earl Kelly has agreed to accept the position as 2nd Vice Chair in 2011. He will succeed Robert Aland, who will chair the ONB Board in 2011.

Mayor’s Report: Mayor Bell said that he had received a letter from BBVA Compass Bank, affirming the bank’s interest in developing a new headquarters building in Birmingham if the City and State can come to terms with the bank

Loft District – Addressing Noise Issues as Neighbors: Michael Calvert reported that the Central City Neighborhood Association has been working with merchants in the neighborhood to create a “Good Neighbor Agreement” between the Neighborhood residents and area business owners. The Agreement outlines the rights, expectations, and benefits of each party to ensure that both residents and businesses are respected in this evolving mixed-use district. CCNA will sign the first such Agreement with Pale Eddies Pour House, a new bar under development in the 2300 block of 2nd Ave N. Councilor Austin noted that Mayor Bell had proposed this concept when he was with the City Council.

Loan Program Funding: ONB Sr. Vice President & Director of Financial Incentives Fran Godchaux said that ONB has been approached by prospects requesting City of Birmingham Economic Stimulus Loans in an aggregate amounts of \$4.5 million. This will exceed the amount currently available in the program. Mayor Bell said that he would consider a request for an additional authorization with new Director of Finance Tom Barnett.

Fran Godchaux also applauded Mayor Bell for meeting with ONB officials and representatives of Merrill Lynch in an effort to encourage the firm to retain an office downtown.

Discussion Topic: Housing the Homeless, Panhandles and Other Initiatives:

Additional Housing for the Homeless: Jim Fenstermaker said that City had adopted a 10-year Plan to End Homelessness in 2007 in response to a federal directive, recognizing that it was an *unfunded* mandate. He also noted that:

- While growth/loss in the homeless population has been essentially flat,

Birmingham has experienced a net loss of almost 500 beds for the homeless between 2005-2012.

- The City of Birmingham is involved in providing about \$12 million financial assistance to several non-profits that will result in the construction of 400-450 additional beds for homeless or formerly homeless individuals.
- Significant challenges remain: 1) There are still too few beds for homeless men, 2) All non-profits have experienced decreases in funding and/or are experiencing difficulty raising funds, and 3) There is a need for more government, corporate, foundation and faith based support.

Ten Year Plan to End Homelessness: Stefan Kertesz is Chair of One Roof, formerly MBSH. The organization oversees the annual distribution of about \$7.5 million of federal funds for housing initiatives in the Birmingham community. Mr. Kertesz suggested that in addition to recognizing the challenges the community faces with homeless issues, the triumphs should be considered as well. These include: 1) New beds that will come on-line soon, including those at Changes Lives Christian Center, 2) A continuing flow of federal funds under the Obama administration to address homelessness 3) Support from faith-based organizations and 4) UAB's development of 75 "housing first" beds for homeless men.

ONB and One Roof jointly recommended to Mayor Bell that the City of Birmingham take key steps to implement the 10-Year Plan to End Homelessness by joining with the United Way and possibly the President of the County Commission to appoint an oversight committee of 9-11 citizens with One Roof providing technical assistance. The Mayor agreed.

Enforcement of Existing Panhandling Ordinances and Consideration of Other Initiatives: John Lauriello of Southpace Property said that panhandling is a significant factor in a tenant's decision to relocate their business away from downtown. Combined with increasing parking and business license fees and competition from suburban office parks, downtown is in danger of losing a number of businesses when their leases end. Mr. Lauriello also said that he did not think there was a substantial enough police presence in downtown during critical times of pedestrian traffic to discourage panhandling. Additionally, as a downtown property owner/manager, his firm is having to spend \$250-300 week to clean up after people who sleep and defecate in doorways. Others also have this problem.

Teresa Thorne and Capt. Irby noted that currently the police cannot require removal of people from doorways unless the property owner or tenant has 1) Prominently posted No Trespassing Signs and 2) Given the police department written authorization to require those people to move. CAP and the police can ask people to move out of doors voluntarily and this is usually effective. Of course, some may not respond to the request. Mayor Bell authorized ONB and CAP to work with the City attorney to find a solution that would allow the police to legally move unauthorized people from doorways without the current restrictions.

Attendance

Mayor's Office: Mayor William A. Bell; **Department Heads/Staff:** Andre Bittas, Planning, Engineering & Permits; Don Lupo, Mayor's Office of Citizens Assistance (MOCA); Griffin Lassiter, Economic Development; Capt. Henry Irby, North Precinct; Jim Fenstermaker, Community Development; **City Council:** Councilor Johnathan Austin
Guests: Stefan Kertesz, Chair, One Roof; John Lauriello, Southpace Properties; Kate Nielsen, Community Foundation ; Jim Hansen, RBC
ONB Officers & Staff: ONB Board Members: Carol Garrison, ONB Chair Doris Powell, Secretary; Teresa Thorne, CAP; **ONB Staff:** Michael Calvert, President; Robert Emerick, VP -Communications; Fran^{Submitted 7/23/2010} Godchaux, Senior VP – Financial Incentives; Chris Hatcher, VP - Planning; Aimee Scotland, Development Information Alex Masterson, Hess Fellow, Kevin Weintraub, Intern.

ADDENDUM - H
PY 2013 ESG CAPER SUBRECIPIENT REPORT

Report Options

Provider Type Provider Reporting Group

Reporting Group * ESG City of Birmingham

Program Date Range * 07/01/2013 to 06/30/2014

Type of Data to Use in Report * Entry/Exits
 Services

For Emergency Shelters, use Entry/Exits
 Shelter Services (any BH-180/BH-8600 and below service)
 ShelterPoint Stays

Funding Source (Includes all funding sources when a selection is not specified)

501-SSVF
 AAL HPRP ADECA
 Beeson Grant
 Beeson Senior Services
 CAP
 CDBG City of Birmingham

Entry/Exit Types * Basic HPRP HUD PATH Quick Call Standard VA

CR-65 ESG Persons Assisted

4a - Homeless Prevention Activities

Number of Persons in Households	Total
Adults	151
Children	129
Don't Know/Refused	0
Missing Information	2
Total	282

4b - Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	111
Children	83
Don't Know/Refused	1
Missing Information	2
Total	197

4c - Shelter Activities

Number of Persons in Households	Total
Adults	2801
Children	389
Don't Know/Refused	3
Missing Information	59
Total	3252

4d - Street Outreach

Number of Persons in Households	Total
Adults	883
Children	19
Don't Know/Refused	0
Missing Information	6
Total	908
Clients Contacted (DQ)	604
Clients Engaged (DQ)	461

4e - Total Persons Served

Number of Persons in Households	Total
Adults	3504
Children	580
Don't Know/Refused	3
Missing Information	68
Total	4155

5 - Gender

Gender	Total
Male	2468
Female	1657
Transgendered	1
Unknown	29
Total	4155

6 - Age	
Age	Total
Under 18	580
18-24	393
Over 24	3111
Don't Know/Refused	3
Missing Information	68
Total	4155

7 - Special Populations				
Special Populations Sub-populations	Total	Total Persons Served Prevention	Total Persons Served RRH	Total Persons Served in Emergency Shelters
Veterans	360	21	6	297
Victims of Domestic Violence	512	11	22	458
Elderly	239	18	9	188
HIV/AIDS	76	3	1	61
Chronically Homeless	1197	22	8	1017
Persons With Disabilities				
Severely Mentally Ill	941	16	20	813
Chronic Substance Abuse	1263	17	15	1057
Other Disability	1043	56	34	884
Total	2714	94	64	2231

Additional Information

Race/Ethnicity of Total Persons Served			
Race	Total	Hispanic/Latino	
White	1085	23	
Black or African American	2877	24	
Asian	6	0	
American Indian or Alaska Native	6	0	
Native Hawaiian or Other Pacific Islander	5	0	
Asian & White	1	0	
Black or African American & White	19	1	
American Indian or Alaska Native & Black or African American	7	1	
Other Multi-Racial	18	4	
Unknown	129	2	
Total	4155	55	