

**FINAL  
ACTION PLAN-ONE YEAR USE OF FUNDS  
PY 2013 (JULY 1, 2013-JUNE 30, 2014)  
HUD-CPD FORMULA PROGRAMS  
CDBG, ESG, HOME, HOPWA**

**CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
JOHN COLON, DIRECTOR**

**May 17, 2013**

**FINAL PY 2013 ACTION PLAN-ONE YEAR USE OF FUNDS  
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# **PY 2013 ACTION PLAN EXECUTIVE SUMMARY:**

## **EXECUTIVE SUMMARY SUBPART 1: COMMUNITY PROFILE**

### **1.1 History of Birmingham**

Birmingham was incorporated in 1871 at the height of America’s industrial revolution. The city began as a speculative real estate venture with the intersection of two railroads as its center, and the local abundance of iron, ore, limestone and coal—all of the necessary ingredients needed to produce steel. Once known as the “Pittsburgh of the South”, Birmingham today is the business, financial, educational and cultural hub of the state and is the largest city in the state of Alabama comprising a land area of 163 square miles and a population of 211,458.

### **1.2 Government Structure**

The structure of a city government refers to how the government is organized to make policy and to administer its affairs. Historically, both legislative and administrative responsibilities have been vested in the city’s governing board.

The City of Birmingham has a strong Mayor-Council form of government with the Mayor representing the Executive Branch of the government and the nine members of the City Council representing the legislative branch of the government. Each member of the City Council represents a single-member district in the city and this allows the City of Birmingham to enjoy wide discretion in providing services that will best serve the needs of the residents of the City of Birmingham including public works and safety, parks and recreation, affordable housing, services for the homeless, education, arts, music, etc.

Current Mayor and City Council Members:

Mayor: William A. Bell, Sr.

City Council Members: Roderick Royal, Council President; Steven Hoyt; Kimberly Rafferty; Maxine Parker; Valerie Abbott; District 6 is currently vacant; Lashunda Scales; Jonathan Austin; James Roberson, Jr.

### **1.3 Birmingham Today**

#### **The People**

Birmingham’s residents, according to the 2011 American Community Survey, number approximately 211,000, although this reflects a significant drop in population since its peak of 340,887 in 1960, and the 2000 count of 243,072. The median age for residents of Birmingham is 37 years, with 84.7 males per 100 females. Birmingham’s elderly population (those aged 65 and over) accounts for approximately 28,000 individuals or 13.2% of the population. This has been

steadily declining since 1990, when elderly numbered nearly 40,000 (14.8% of the population) and 2000, when elderly accounted for 13.6% of the population, numbering just over 33,000.

Along with population, the number of households in Birmingham has also been decreasing. According to the 2011 survey, there were 86,653 households in Birmingham, which represents a 10.2% decrease in households since 2000 after a 6% decrease between 1990 and 2000. The more rapid decrease in population (-13.8% between 2000 and 2008) over households equates to smaller household size. In 1990, the average household size was 2.26 persons. By 2000, the average household size decreased to 2.17 and was estimated to be 1.88 by 2008. The size of households has recently increased to 2.35 as of 2011. This could be an anomaly in data or a shift to some other deciding factor. At this moment it is too early in the change to show an exact cause.

Racially, Birmingham reflects diversity with a Caucasian population of approximately 48,000, an African-American population of approximately 158,000, an Asian population of approximately 1,900, and other (including two or more races) of approximately 3,900. There are approximately 6,000 individuals of Hispanic ethnicity. Since 2000, the Caucasian population has declined in terms of numbers and its percentage of the total population, while all other races have increased in number and as a percentage of the total population. African-Americans constitute 74.2% of the population, Caucasians constitute 22.7%, Asians constitute 0.9%, and those of Hispanic ethnicity (regardless of race) constitute 2.9% of the population.

## The Economy

The economic profile of Birmingham, Alabama (per the 2000 Census Data Economic Characteristics) shows an economy dependent on a variety of industries including education, health and social services (24.8%) retail trade (11.0%), professional, management and administrative services (9.7%) arts, entertainment, recreation, accommodation and food services 11.7%) and manufacturing (9.6%). Household income ranged between less than \$10,000 to over \$200,000. The following chart indicates the wide range in household incomes and associated percentages per household category for residents of Birmingham:

|                     |        |
|---------------------|--------|
| Less than \$10,000  | 17.2%  |
| \$10,000-\$14,999   | 9.6%   |
| \$15,000-\$24,999   | 16.1%  |
| \$25,000-\$34,999   | 13.3%  |
| \$35,000-\$49,999   | 16.5%  |
| \$50,000-\$74,999   | 15.1 % |
| \$75,000-\$99,999   | 4.3%   |
| \$100,000-\$149,999 | 4.7%   |
| \$150,000-\$199,999 | 1.6%   |
| \$200,000 or more   | 1.7%   |

## **EXECUTIVE SUMMARY SUBPART 2: PY 2010-2015 CONSOLIDATED PLAN OVERVIEW:**

What is a Consolidated Plan?

The City of Birmingham, Alabama must submit a consolidated plan every five years to illustrate to the U.S. Department of Housing and Urban Development (HUD) not only the housing and community development needs in the city, but also a coordinated plan to meet those needs. As the lead agency responsible for developing the city's consolidated plan, the Department of Community Development has prepared this consolidated plan as an application for funding for the following federal programs.

- Community Development Block Grant Program (CDBG)
- HOME Investment Partnership Program (HOME)
- Housing Opportunities for People with AIDS Program (HOPWA)
- Emergency Shelter Grants (ESG) prior to PY 2011 and from then on called Emergency Solutions Grants (ESG)

### **The Planning Process**

#### ***Public Hearings***

The Department of Community Development will hold a series of local public hearings to discuss the planning process and to solicit input using a Primary Needs Assessment Survey. Hearing and their locations include:

- Public Hearing for Consolidated Planning Process occurred on Thursday, January 28, 2010 in the Birmingham City Council Chamber
- Public Hearing for Proposed Action Plan on January 10 and 24, 2013 in the Birmingham City Council Chamber
- Public Hearing for Final Action Plan on April 18, 2013 in the Birmingham City Council Chamber

#### ***Agency Consultations***

The Department of Community Development worked with other public and private agencies to identify and prioritize community needs, to develop strategies and action plans, to identify community resources, and to promote the coordination of resources. The following agencies were consulted as part of this process:

- Housing Authority of the Birmingham District
- Department of Planning, Engineering and Permits
- Police Department
- Birmingham Parks and Recreation Board

- University of Alabama at Birmingham
- Jefferson State Community College
- Fair Housing Center for the City of Birmingham
- Office of Economic Development for the City of Birmingham
- Division of Youth Services for the City of Birmingham
- Jefferson County Department of Health
- Alabama Housing Finance Authority
- One Roof, Inc. (Continuum of Care)

The following recently prepared and printed studies, plans, reports and resources, consulted in this process, provided information that was used in the development of the consolidated plan:

- The City of Birmingham, Alabama's 2010-2015 Consolidated Plan
- National Low-Income Housing Coalition's report *Out of Reach: The Gap Between Housing Costs and Income of Poor People in the United States*
- The City of Birmingham, Alabama's Analysis of Impediments to Fair Housing
- The City of Birmingham, Department of Community Development, *Policy Statement on Community Development and Neighborhood Revitalization*
- The U.S. Department of Commerce, Bureau of the Census
- The U.S. Department of Labor, Bureau of Labor Statistics

The City's current HUD approved 5 Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015. Copies of the plan are available for inspection in the City's Community Development Department; the Birmingham Public Library; and on the City's Website at [www.informationbirmingham.com](http://www.informationbirmingham.com).

**EXECUTIVE SUMMARY SUBPART 3. THE PY 2013 ACTION PLAN**  
**DEVELOPMENT PROCESS:**

**3A. What is an Action Plan?**

The Action Plan is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes the activities that the City will undertake during the next year (PY 2013-July 1, 2013 thru June 30, 2014) to address its priority needs. The Action Plan also explains where the assistance will be directed by geographic area during PY 2013.

The Action Plan includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other proposed actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

The Action Plan also includes program specific requirements including: a) the total amount of CDBG, HOME, ESG, and HOPWA funds allocated including anticipated program income; b) For the HOME Program, a description of; i) Other forms of investment, if any, to be used; ii) for use of HOME funds for homebuyers, a description of guidelines for resale or recapture of HOME funds required under 24 CFR 92.254; iii) for use of HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds a description of the City's refinancing guidelines required under 24 CFR 92.206(b).

The City's Community Development Department served as the lead agency during the development of the PY 2013 Action Plan One Year Use Of Funds.

**3B. ACTION PLAN CONSULTATION WITH SOCIAL SERVICE AGENCIES AND OTHER ENTITIES:**

The consolidated plan submission rules developed by the U.S. Department of Housing & Urban Development (HUD) required all jurisdictions to consult and coordinate with appropriate public and private agencies, such as the State and other local jurisdictions, local public housing agencies participating in an approved Comprehensive Grant program, and among its own departments, to assure that its consolidated plan was a comprehensive document and that it addressed statutory purposes. These purposes included goals for reducing the number of households in poverty.

In an effort to meet these and other Pre-submission requirements in the development of its PY 2013 Action Plan, the City of Birmingham undertook the following steps to prepare its

housing and community development plan for submission to HUD:

i) Non-Profit Organization Consultation:

The City consulted with approximately 35 non-profit organizations including grassroots faith based and other community organizations, representing a variety of interests including the housing needs of children, elderly persons, persons with disabilities, homeless persons, and other categories of residents regarding the possible use of PY 2013 consolidated formula allocation funds. Proposals were specifically solicited from the following local agencies: Aids Alabama, Aletheia House, Birmingham Health Care, Birmingham Independent Living Center, Birmingham Urban League, Bridge Ministries, Center for Urban Missions, Childcare Resources, Cooperative Downtown Ministries, Consumer Credit Counseling Services, Fair Housing Center of Northern Alabama, Family Connection, First Light, Girl Scouts of North-Central Alabama, Imani New Life Recovery, Jefferson County Committee for Economic Opportunities, Jefferson State Community College, Main Street Birmingham, Mental Health Association of Central Alabama, Metro Changers, Ministerial Brotherhood, Neighborhood Housing Services of Birmingham, New Pilgrim Bread of Life, North Birmingham Community Assistance Program, One Roof, Outreach Incorporated, Pathways, Positive Maturity, Prescott house, Rising West Princeton, Rose Garden, Titusville Development Corporation, United Cerebral Palsy of Greater Birmingham, Urban Impact Urban Ministry, and YWCA of Central Alabama. A copy of the memorandum sent to these agencies is contained in Appendix D.

ii) State and Local Jurisdiction Consultation:

In an effort to promote consultation between state and adjacent units of general local government, particularly for multi-jurisdictional problems and solutions the City requested the input of the following local governments in the development of its PY 2013 Action Plan: Jefferson County, Alabama; the City of Bessemer, Alabama; the City of Hoover, Alabama, the State of Alabama through the Alabama Department of Economic and Community Affairs (ADECA). The City provided a copy of its PY 2013 Action Plan-One Year Use of Funds application schedule to these local governments and also provided copies of its Notice of Public Hearings and Request for Proposals for its PY 2013 Action Plan. The City encouraged the participation and consultation of these local & state governments during the PY 2013 Action plan development process. Copies of letters to local & state governments are contained in Appendix D.

In May, 2007, the City also completed a 10 Year Plan to Prevent and End Chronic Homelessness (2007-2017). The information collected from the development of the 10 Year Plan was also utilized in the development of the City's PY 2013 Action Plan.

iii) State and Local Health and Child Welfare Agency Consultation Regarding Lead Based Paint.

In an effort to promote consultation with state and local health and child welfare

agencies, the City requested the input of the Jefferson County Department of Health in the development of its PY 2013 Action Plan. The City provided a copy of its PY 2013 Action Plan-One Year Use of Funds application schedule to the Jefferson County Department of Health and also provided copies of its Notice of Public Hearings and Request for Proposals for its PY 2013 Action Plan. A summary of the City's Proposed PY 2013 Action Plan was also provided for comment and review for a period of 30 days. The City encouraged the participation and consultation of the Jefferson County Department of Health during the PY 2013 Action plan development process. Copies of letters to the Jefferson County Department of Health and are contained in Appendix D.

iv). Involvement of Persons with Disabilities and Advocates.

HUD regulations for citizen participation in development of the Consolidated Plan and Action Plan updates calls for jurisdictions to include data regarding the housing needs of people with disabilities. The City consulted with the Birmingham Independent Living Center and encouraged citizens with disabilities to participate in its PY 2013 Action Plan development process in an effort to address housing needs for low and low-and moderate income persons with disabilities. All public hearing locations were conducted in the Birmingham City Council Chamber which is accessible to the handicapped; however, anyone who required further information or had a disability which might require special materials, services, or assistance was asked to notify the City's Community Development Department. No such requests were received during the PY 2013 Action Plan Development process. Data regarding the housing needs of persons with disabilities is detailed in Subpart III-4, Activities To Be Undertaken and HUD Activity Table, under Special Needs Population Activities.

## **EXECUTIVE SUMMARY SUBPART 4: PY 2013 ACTION PLAN PRIORITY NEEDS:**

The City's PY 2010-2015 Consolidated Plan contains a five-year Strategic Plan which sets forth the following areas of priority need:

1. Provide decent and affordable housing for low and very low-income households.
2. Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements.
3. Provide housing and services for populations with special needs
4. Provide housing and supportive services for homeless populations.
5. Promote city wide economic development.
6. Provide public facility/infrastructure activities.

Within these broad areas of priority need, the City has begun to make considerable progress on the dual programmatic goals of: 1) revitalizing low-moderate income neighborhoods through the provision of housing, economic opportunity, key services and infrastructure improvements while 2) providing a significant level of services to meet the basic needs of our citizens with the greatest needs, including the homeless, those with HIV/AIDS or with physical/mental disabilities and the very poor elderly.

Accordingly, in order to continue to seek these two broad goals, and implement a program within the areas of priority need identified within the City's five-year strategic plan, during PY 2013 the City will give priority consideration to projects/activities in the following areas:

### **Activities in Support of Revitalization of Neighborhoods and Communities**

- 1) Construction or rehabilitation of housing for low-moderate income persons. These activities should be targeted and clustered in limited geographic areas. Rental housing that contributes to revitalization will be considered, but priority will be given to activities that support homeownership.
- 2) Provision of services in support of affordable housing, particularly to the provision of homeownership counseling, credit counseling and other services as needed.
- 3) Economic development activities in targeted geographic areas that create job opportunities for low-moderate income persons and/or provide needed services to adjacent low-moderate income neighborhoods.
- 4) Improvements to public facilities and/or public infrastructure in support of targeted housing or economic development activities.
- 5) Provision of public services that support housing and economic revitalization activities in

specific targeted geographic areas.

Activities Meeting Basic Needs of Citizens with Greatest Needs

- 1) Housing rehabilitation and development for low-moderate income renters and homeowners. Particular emphasis on the very low income, the elderly, and persons with disabilities.
- 2) Public services in support of low income housing, including housing counseling, assistance to persons with disabilities, assistance to persons with HIV/AIDS, lead-based paint hazard assistance and similar services.
- 3) Provision of emergency shelter, transitional shelter and permanent housing, along with appropriate supportive services at these various levels for the homeless.
- 4) Provision of infrastructure, improvements, assistance to and/or services to provide economic opportunity for low-moderate income residents, including direct assistance and support to organizations that create opportunities and/or jobs for low-moderate income persons, or to organizations that provide essential services to persons in support of economic development, such as child care for working parents, transportation, etc.

v. Consultation with HUD:

The Alabama State HUD Office was provided a copy of the City's PY 2013 Proposed Action Plan-One Year Use of Funds application schedule and also provided a copy of its Notice of Public Hearings and Request for Proposals for its PY 2013 Action Plan. A summary of the City's Proposed PY 2013 Action Plan was also provided for comment and review for a period of 30 days.

## **EXECUTIVE SUMMARY SUBPART 5: THE PY 2013 ACTION PLAN CITIZEN PARTICIPATION PROCESS:**

The U.S. Department of Housing and Urban Development (HUD) regulations require that a consolidated strategy and plan must result from an effective citizen participation process. The City followed its adopted citizen participation plan in the development of its PY 2013 Action Plan-One Year Use of Funds submission. The City has undertaken throughout the development of its PY 2013 Action Plan, an open, credible, and broad-based effort to involve and solicit the participation of the community and neighborhood leaders, and residents of their needs and the types of services that they would like to see established or improved throughout the City. The following is a summary of the City's Citizen Participation process.

In an effort to broaden public participation in the development of the PY 2013 Action Plan, the City began its Action Plan development process with the publication of a notice of public hearings on December 14, 2012 in the Birmingham News and December 20, 2012 in the Birmingham Times. The hearings were scheduled for Thursday, January 10 and 24, 2013 at 9:30 a.m. and 5:30 p.m. in the City Council Chamber. The purpose of the hearings was to obtain comments and proposals for the use of the City's PY 2013 consolidated formula allocation and to obtain views of citizens, public agencies, and other interested parties on the housing and community development needs of the City. Citizens were also afforded the opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments, priorities, and proposals received at the hearings were considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

Copies of the notices were also mailed on December 14, 2012 to the President of the Citizens Advisory Board for the purpose of notifying the City's 99 Neighborhoods and 23 Communities. Copies were also mailed to the Birmingham City Council, City Departments, approximately 40 social service agencies representing a variety of interests including the housing needs of children, elderly persons, persons with disabilities, homeless persons, and other categories of residents. Copies were also made available to the HABD, the Alabama State HUD office, and other entities. Hearing notices and agendas were also posted on the 2<sup>nd</sup> Floor City Hall billboard in accordance with the Alabama Open Meetings Act and published in the Birmingham News and The Birmingham Times, a minority owned publication. Copies of the notices were also posted on the City's website at [www.birminghamal.gov](http://www.birminghamal.gov).

To broaden outreach to persons with disabilities, the hearing location was the Birmingham City Council Chamber which is accessible to the disabled; however, anyone who required further information or had a disability which might require special materials, services, or assistance were asked to notify the City's Community Development Department within 48 hours of the hearings. This assistance included any requests for translators, or related services for non-English speaking persons.

All interested parties who were unable to attend the public hearings but desired to

submit written views, comments, or proposals regarding the City's development of its Proposed PY 2013 Action Plan-One Year Use of Funds were asked to submit them in writing to the City's Community Development Department on or before Friday, January 27, 2013 by 4:00 p.m. All written proposals received in a timely manner were considered in the development of the City's Final PY 2013 Action Plan.

In furthering the public participation, the City published the Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds on March 28, 2013. The Mayor's Recommended Action Plan for PY 2013 was based upon initial review of comments and needs as determined by the City. The public was again afforded the 30 day public comment period which commenced on April 29, 2013 at 4:00 p.m. All written proposals received in a timely manner were considered in the development of the City's Final PY 2013 Action Plan.

Copies of the referenced notices, agendas, hearing minutes and related correspondence are contained in Appendix D and E.

## **EXECUTIVE SUMMARY SUBPART 6: PY 2013 COMMUNITY DEVELOPMENT OBJECTIVES AND OUTCOMES:**

The City's Community Development Objectives are to foster neighborhood stability, to utilize CDBG funds for physical improvements and housing rehabilitation, to encourage business development and jobs, and to foster increased housing production and home ownership for families at all income levels.

The projected use of funds stated herein has been developed so as to give maximum feasible priority to activities which will benefit low-and moderate income families or aid in the prevention or elimination of slums and blight. In addition, the projected use of funds is consistent with the City's established strategy of stabilizing the City and reversing negative trends associated with urban decay and blight.

The City's strategy for community development has three basic goals: 1) To encourage neighborhood stability through physical improvements and housing rehabilitation; 2) Improve the economic life of the City by encouraging business development and jobs, and 3) foster increased production and home ownership for families at all income levels. The City intends to continue its efforts to obtain available federal, state, local, and private funding, to provide for community wide improvements in each of the areas outlined above in as many communities and neighborhoods as funding permits.

### **PAST PERFORMANCE EVALUATION**

The City's efforts through its HUD programs have been successful in targeting over 90% of all available funding to low-and moderate income persons and families. This performance is consistent with the City's stated goals referenced above.

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HUD-CPD FORMULA PROGRAMS  
CDBG, HOME, ESG, HOPWA**

**CITY OF BIRMINGHAM, ALABAMA**

**PART I: REQUIRED PY 2013 ACTION PLAN ELEMENTS PART I. CONSOLIDATED PLAN PROGRAM YEAR:**

In its HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use Of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use Of Funds. The City's Program Year (PY) 2013 Action Plan-One Year Use Of Funds will begin July 1, 2013 and will end on June 30, 2014.

**PART II. CDBG, HOME, ESG, HOPWA ELIGIBLE RANGE OF ACTIVITIES:**

A listing of eligible proposed activities was reviewed with citizens during the development of its PY 2013 Action Plan-One Year Use of Funds (See Notice of Public Hearings and Request for Proposals-Appendix D). Comments received relative to these activities were considered in the development of the City's Final PY 2013 Action Plan-One Year Use Of Funds submission and listing of activities contained in Appendix J, HUD Table 3C.

**PER 24 CFR 91.220:**

**SUBPART III-1. FORM APPLICATION:**

A standard Form 424 has been completed for the Community Development Block Grant Program, the Emergency Solutions Grant Program, the Housing Opportunities for Persons With AIDS Program and the HOME Investment Partnership Program. The 424 forms are contained in Appendix A; required Certifications in Appendix B, and Definitions in Appendix C.

**SUBPART III-2. FEDERAL RESOURCES:**

The following is a description of the Federal resources the City expects to be available during PY 2013 to address the priority needs and specific objectives identified in the City's 2010-2015 Consolidated Five Year Strategic Plan Submission and in its PY 2013 Action Plan-One Year Use of Funds. The priority needs identified in the City's Five Year Strategic Plan to be included as a part of the City's PY 2013 Action Plan have been listed for reference purposes in Subpart 4 of the Executive Summary. These resources include grant funds and program

income.

A. PY 2013 CONSOLIDATED FORMULA ALLOCATION:

The City's Consolidated Formula Allocation for PY 2013 has yet to be announced by HUD. The following is based in part on estimated allocations and program income and will be utilized for planning purposes. These numbers may also include income receipts, surplus income from urban renewal settlements, grant funds returned to the City's line of credit for which the planned use has not been included in a prior statement or plan, and income from float-funded activities:

| Program | Activity                                 | Amount      |
|---------|--|-------------|
| CDBG    | Administration                           | \$959,457   |
|         | Planning and Management                  | \$42,642    |
|         | Repayment of Section 108 Loan            | \$150,000   |
|         | Special Economic Development             | \$127,500   |
|         | Housing Rehabilitation Activities        | \$2,819,332 |
|         | Public Service Activities                | \$751,574   |
|         | Commercial Revitalization                | \$159,988   |
|         | Total                                    | \$5,010,493 |
| HOME    | Administration                           | \$111,273   |
|         | Rental Activities                        | \$834,552   |
|         | CHDO Activities                          | \$166,910   |
|         | Total                                    | \$1,112,735 |
| HOPWA   | Rental Assistance                        | \$200,620   |
|         | Supportive Services                      | \$100,309   |
|         | Operating Costs                          | \$154,956   |
|         | Resource Identification                  | \$5,000     |
|         | Administration                           | \$33,956    |
|         | Total                                    | \$494,841   |
| ESG     | Emergency Shelter/Street Outreach        | \$265,940   |
|         | Rapid Re-housing                         | \$95,434    |
|         | Prevention Services                      | \$45,309    |
|         | HMIS                                     | \$3,900     |
|         | Administration                           | \$32,658    |
|         | Total                                    | \$443,241   |
|         | Grand Total for All Action Plan Programs | \$7,061,310 |

The City has prepared its PY 2013 Action Plan budget based on the total anticipated funding expected to be received as outlined above. However, the City will not contract for

services in its PY 2013 Action Plan activities beyond actual allocations received from HUD during the course of PY 2013.

**B. PY 2013 ANTICIPATED CDBG FLOAT LOAN ACTIVITY PROGRAM INCOME:**

A recipient may use undisbursed funds in the CDBG line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the float for purposes of this section. In accordance with 570.301(b)(3), unlike other projected program income, the full amount of income expected to be generated by a float-funded activity must be shown as a source of program income in the action plan containing the activity, whether or not some or all of the income is expected to be received in a future program year.

All activities to be undertaken during PY 2013 with float loan program income has been described in Appendix J, HUD Table 3C. All float funded activities will be secured by an irrevocable letter of credit from a commercial lender payable upon demand or upon the expiration of the term of the loan. The letter of credit will be unconditionally available to the City in the full amount of the loan and interest accrued there under. The City anticipates the receipt of program income from float-funded activities undertaken during PY 2013 to be received on or before January, 2015 representing a maximum term of 2.5 years. The City currently has no float loan activities scheduled due to current capacity restraints. If a future assessment is done and float capacity is found to be available, a substantial amendment will be done outlining the activities to be undertaken during PY 2013.

**SUBPART III-3. OTHER RESOURCES:**

In this section, the City has described resources from private and non-Federal public sources that are reasonably expected to be available during PY 2013 within the jurisdiction to address the priority needs and specific objectives identified in the City's Five Year Strategic Plan contained in its PY 2010-2015 Consolidated Strategy and Plan Submission. The priority needs identified in the City's Five Year Strategic Plan have been listed for reference purposes in the Executive Summary of this document. The City has explained how Federal funds will be leveraged and has included a description of how matching requirements of the HUD programs will be satisfied. The City anticipates the following private and non-Federal resources to be available during PY 2013 to address the priority needs identified in this plan. They are as follows:

| SOURCE                            | AMOUNT     |
|-----------------------------------|------------|
| Private Financial Donations (ESG) | \$ 295,584 |
| ADECA-ESG Funding                 | 200,000    |
| ADECA-ESG Match                   | 200,000    |
| HUD-NSP3 Entitlement Funding      | 2,576,151  |
| CDBG-DR PY 2012                   | 6,386,326  |
| CDBG-DR PY 2013 (announced)       | 17,497,000 |

|  |                      |
|--|----------------------|
| American Recovery & Reinvestment Act of 2011-Homeless Prevention | 2,735,730            |
| HABD Rental Vouchers   | 26,592,281           |
| HABD Public Housing  | 22,537,824           |
| Other HABD Section 8 Funding                                     | 14,371               |
| FY 2008 Continuum of Care Competition-Homeless Assistance Awards | 8,554,355            |
| City Economic Development Stimulus Program                       | 5,000,000            |
| <b>TOTAL</b>   | <b>\$ 92,589,622</b> |

2. Plan for Leveraging. The City through its adopted housing programs, encourages the leveraging of private and non-Federal funds through the use of CDBG Housing Rehabilitation Funds, HOME Investment Partnership funds and Emergency Solutions Grant funds. The City also encourages the leveraging of private and non-Federal funds in its allocation of CDBG Public Service activity funds and ESG funds to those entities that provide services to the Homeless, Special Needs, and low-income elderly and frail elderly population. Emphasis is placed on assisting organizations that can demonstrate the capacity for developing private and non-Federal funding sources thereby increasing the availability of CDBG and other Federal funds for other needed purposes.

3. HUD Program Matching Requirements. The only Federal program contained in the City's PY 2013 Action Plan which has matching requirements is the Emergency Solutions Grant Program. The City requires in its ESG agreement with Sub-recipients that each Subrecipient supplement its ESG grant amount with an equal amount of matching funds from sources other than ESG. In calculating the match amount, the following may be utilized:

- a. Value of any donated material or building.
- b. Value of any lease on a building.
- c. Any salary paid to staff in carrying out its ESG program.
- d. Volunteer hours for service at \$5.00 per hour.
- e. Other grants or monetary donations.

4. Satisfaction of HUD Matching Requirements. So as to satisfy HUD matching requirements, the City undertakes the following procedure in awarding ESG funding.

a. The Community Development Department prepares a written request for proposals that is published on two different occasions in local newspapers of general circulation. Notices are also made available through the City's Citizen Participation mailings, mailings to CDBG and ESG Sub-recipients, and through announcements made at various neighborhood meetings. Additionally, a mailing list of providers of services to the homeless is formulated with includes present Sub-recipients of ESG funds and an RFP is mailed directly to them.

b. After the final date for receiving proposals has passed, the CD staff reviews all proposals according to how it can best address the needs of homelessness, the amount of funds requested, type of proposed delivery of services with the amount of funds

requested, how well it meets HUD's ESG Guidelines, and the time period that services can be provided.

c. After proposals are reviewed, the Community Development Department makes its recommendations based on program objectives to the Mayor for his review and approval, then to the assigned City Council sub-committee and finally to the full Council for approval.

d. Agencies are informed of their allocation. Contracts of agreement are prepared, reviewed, and executed by the City and ESG Sub-recipients.

e. All selected ESG Sub-recipients are briefed on all program requirements and procedures that are necessary under the ESG program for proper implementation of the agency's activities.

f. Documentation of ESG Matching Requirements: Grant award letters to ESG sub-recipients, informing them of their ESG grant awards, require the agency to declare their sources of anticipated matching funds. This match declaration must be approved by the City in accordance with ESG guidelines prior to the execution of an ESG agreement with the sub-recipient. The ESG funded agencies declare their matching requirement in one of two ways: (1) Declare a specific and constant source of match i.e., salaries of employees, or (2) declare that documentation of ESG eligible expenses will be submitted in an amount equal to or greater than each financial reimbursement request. The sub-recipient's total ESG match must equal or exceed its total ESG grant amount which is outlined in an ESG Agreement executed with the sub-recipient agency.

Match documentation is required by the City as a part of each sub-recipient's financial reimbursement request for ESG funds. All ESG proposals are evaluated in accordance with the following criteria: i) The extent to which the proposed activities address the needs of the homeless; ii) The demonstrated ability of the non-profit entity to carry out the proposed activities promptly and properly; iii) The nature and extent of the unmet homeless needs within the non-profit entity's present structure of which the ESG funding will be used; iv) Documentation of sources and amounts of available matching funds.

5. Consolidated Plan Consistency: To assist other entities in the development of other resources within the City of Birmingham to further the priorities and goals referenced herein, the City will consider all requests for Certification of Consistency with its current HUD approved Consolidated Plan. Requests for consistency must address the priority needs and specific objectives identified in the City's Five Year Strategic Plan contained in its PY 2010-2015 Consolidated Strategy and Plan Submission. The priority needs identified in the City's Five Year Strategic Plan have been listed for reference purposes in the Executive Summary of this document.

SUBPART III-4. ACTIVITIES TO BE UNDERTAKEN AND HUD ACTIVITY TABLE  
ONE YEAR ACTION PLAN:

A description of the activities the City proposes to undertake by program during PY 2013 are described in Appendix J, HUD Table 3C. These activities have been developed so as to address the priority needs in terms of local objectives as identified in the Housing and Community Development Five Year Strategic Plan section of the City's 2010-2015 Consolidated Strategy and Plan Submission. The priority needs identified in the City's Five Year Strategic Plan, HUD Tables 2A and 2B, have been listed for reference purposes in Subpart 4 of the Executive Summary.

Should displacement occur as a result of any of the activities described herein, the City will provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan copies of which are available in the City's Community Development Department, Housing Division, 710 North 20th Street, Room 1000; Birmingham, Alabama 35203.

The City anticipates that approximately 90% of available funds in the aggregate for all activities described in the City's PY 2013 Action Plan-One Year Use of Funds will benefit low and moderate income persons.

The City does not discriminate on the basis of race, color, national origin, sex, religion, age, or handicapped status in employment or provision of services.

HUD ACTIVITY TABLE  
PY 2013 ONE YEAR ACTION PLAN  
CITY OF BIRMINGHAM, ALABAMA

The following Activity Table for the City's PY 2013 Action Plan One Year Use Of Funds will provide an estimate of the number and type of families that will benefit from the proposed activities, the specific local objectives and priority needs that will be addressed by the activities using formula grant funds and program income the City expects to receive during PY 2013, proposed accomplishments, and a target date for completion of the proposed activities.

I. HOUSING ACTIVITIES:

A. HOUSING PRIORITY NEEDS: Priority Numbers 1, 2, 3, and 4 as referenced in Subpart 4 of the executive summary. They include i) Provision of decent and affordable housing for low and very low-income households; ii) Provision of down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; iii) Provision of housing and services for populations with special needs; iv) Provision of housing and supportive services for homeless populations.

B. SPECIFIC LOCAL OBJECTIVES: Provide housing assistance to low and very low income renters, homeowners, and first time home buyers by upgrading the existing stock of housing affordable to low and very low income households through rehabilitation of structures classified as substandard but suitable for rehabilitation, particularly in neighborhoods where such rehabilitation will contribute significantly to revitalization of whole neighborhoods.

C. PROPOSED ACCOMPLISHMENTS: Housing assistance for low and very low income renters, homeowners, and first time home buyers will be addressed during PY 2013 by using available federal, state, local, and private resources. It is estimated that approximately 1,200 households will be provided housing assistance on a City Wide basis as outlined below.

D. TARGET COMPLETION DATE: June 30, 2014.

E. TYPE OF BENEFICIARIES AND INCOME LEVELS:

| <u>Income Level</u> | <u>Family Household Size</u> |            |           |              |
|---------------------|------------------------------|------------|-----------|--------------|
|                     | <u>1-2</u>                   | <u>3-4</u> | <u>5+</u> | <u>Total</u> |
| 0-30%               | 125                          | 100        | 25        | 250          |
| > 30-50%            | 41                           | 30         | 4         | 75           |
| > 50-80%            | 41                           | 30         | 4         | 75           |
| 80% +               | <u>6</u>                     | <u>3</u>   | <u>1</u>  | <u>10</u>    |
| Totals              | 213                          | 163        | 34        | 410          |

II . HOMELESS/HIV/AIDS ACTIVITIES:

A. PRIORITY HOMELESS NEEDS: Priority Numbers 3 and 4 as referenced in Subpart 4 of the executive summary. They include the provision of housing and services for populations with special needs; and the provision of housing and supportive services for homeless populations.

B. SPECIFIC LOCAL OBJECTIVES: Provide emergency shelter, support facilities, homeless prevention services, and transitional housing facilities for the City's homeless population.

C. PROPOSED ACCOMPLISHMENTS: Provide food, emergency and transitional shelter, counseling, medical care, supportive services, skill training, and day care to up to 5,000 of the City's homeless population using a Continuum of Care approach to the provision of services. The City will consider supportive services needed in each stage of the process which includes outreach/assessment, emergency shelters and services, transitional housing, permanent supportive housing, access to permanent housing, and activities to prevent low-income individuals and families with children from becoming homeless.

D. TARGET COMPLETION DATE: June 30, 2014.

E. TYPE OF BENEFICIARIES AND INCOME LEVELS:

| <u>Income Level</u> | <u>Individuals-Non-Housing and Homeless Services</u> |
|---------------------|--|
|                     | <u>Total</u>   |
| 0-30%               | 5,000  |
| > 30-50%            | 0  |
| > 50-80%            | 0  |
| 80% +               | 0  |

III. NON-HOMELESS SPECIAL NEEDS POPULATION AND SENIORS ACTIVITIES:

A. PRIORITIES FOR NON-HOMELESS SPECIAL NEEDS AND SENIORS POPULATIONS: Priority Number 3 as referenced in Subpart 4 of the executive summary which includes the provision of housing and services for populations with special needs.

B. SPECIFIC LOCAL OBJECTIVES: Increase the availability of affordable housing for the disabled (mental, physical, and developmental), for the low and very low income elderly and frail elderly, and increase the availability of housing for people diagnosed with HIV/AIDS and their families.

C. **PROPOSED ACCOMPLISHMENTS:** Provide disabled residential tenants and disabled homeowners with modifications to their residences that will promote safer, barrier free living. Also, increase the number of barrier free living units, provide loan funds directly to low income elderly persons for necessary home repairs, provide site acquisition demolition, engineering design to assist non-profit sponsors in project development. Estimate the provision of services to approximately 100 households.

D. **TARGET COMPLETION DATE:** June 30, 2014.

E. **TYPE OF BENEFICIARIES AND INCOME LEVELS:**

| <u>Income Level</u> | <u>Family Household Size</u> |            |           |              |
|---------------------|------------------------------|------------|-----------|--------------|
|                     | <u>1-2</u>                   | <u>3-4</u> | <u>5+</u> | <u>Total</u> |
| 0-30%               | 28                           | 22         | 0         | 50           |
| > 30-50%            | 18                           | 12         | 0         | 30           |
| > 50-80%            | 10                           | 5          | 0         | 15           |
| 80% +               | <u>4</u>                     | <u>1</u>   | <u>0</u>  | <u>5</u>     |
| Totals              | 60                           | 40         | 0         | 100          |

IV. **NON-HOUSING COMMUNITY DEVELOPMENT ACTIVITIES:**

A. **PRIORITIES FOR NON-HOUSING COMMUNITY DEVELOPMENT NEEDS:** Priority Numbers 5 and 6 as referenced in Subpart 4 of the executive summary. These include the promotion of City wide Economic Development activities; and the provision of public facility/infrastructure activities.

B. **SPECIFIC LOCAL OBJECTIVES:** Promote and strengthen the Economic Base and the Business climate through the creation and retention of livable wage jobs in Birmingham; Provide public services (including labor, supplies, and materials) which are directed toward improving the community's public services and facilities; and Provide support to Public Facilities and Improvements.

C. **PROPOSED ACCOMPLISHMENTS:** Increase the availability of economic development opportunities for low, very low, and low-moderate income persons; provide public services in the areas of employment, crime prevention, child care, health, drug abuse, education fair housing counseling, energy conservation, or recreational needs; and provide needed infrastructure improvements, public parks and/or other recreation facility needs; and neighborhood facilities as needed.

D. **TARGET COMPLETION DATE:** June 30, 2014.

E. **TYPE OF BENEFICIARIES AND INCOME LEVELS:**

Income Level

Individuals-Non-Housing and Homeless Services

|          | <u>Total</u> |
|----------|--------------|
| 0-30%    | 5,000        |
| > 30-50% | 7,000        |
| > 50-80% | 3,000        |
| 80% +    | <u>200</u>   |
| Total    | 15,200       |

SUBPART III-5: GEOGRAPHIC DISTRIBUTION OF PROGRAM FUNDS:

The City intends to direct CDBG, ESG, HOME, and HOPWA assistance during the ensuing program year on a City Wide basis so as to provide maximum benefit with the limited Federal and other resources anticipated to be available during PY 2013 to the City's very low, low-and moderate income population. It is anticipated that the investment of resources on a City wide basis to address the priority needs and specific objectives identified in the City's strategic plan will permit the City to pursue for the entire City its three basic community development goals which are: 1) To encourage neighborhood stability through physical improvements and housing rehabilitation; 2) Improve the economic life of the City by encouraging business development and jobs, and 3) foster increased production and home ownership for families at all income levels.

The City of Birmingham, Alabama covers a geographic area of 4,034 square miles. The City of Birmingham through its Department of Community Development intends to direct assistance during the ensuing program year on a city-wide basis so as to provide maximum benefit with the limited federal, state, county and private sector resources anticipated to be available to the City's very low, low and moderate income populations. It is anticipated that the investment of resources on a city-wide basis to address the priority needs and specific objectives identified in the City of Birmingham's Strategic Plan will permit the City to pursue for the entire City its three basic community development goals: (1) To encourage neighborhood stability through physical improvements and housing rehabilitation; (2) Improve the economic life of the City by encouraging business development and the creation of permanent jobs; and (3) Foster increased production and home ownership for families at all income levels.

Given its multi-faceted role as an employment, cultural, government, and recreational center for surrounding neighborhoods and the region, the City's central business district will continue to receive commercial revitalization efforts to ensure an attractive focal point for the community.

The balance of housing and community development assistance will be widely dispersed

throughout the City of Birmingham, Alabama. Homeless and special needs assistance will be directed to agencies located in a wide geographic area; emergency shelter programs are primarily housed in the downtown area, while efforts will be made to integrate transitional and permanent housing for homeless and special needs populations as widely as possible. Similarly, to promote increased housing choice and opportunity, more public and assisted housing units will be located outside areas of minority and low-income concentration.

A number of maps reflecting the geographic distribution of the activities to be undertaken during PY 2013 in relation to areas where low-and moderate income families, including areas of minority concentration, are contained in Appendix I.

### SUBPART III-6: ANTI-POVERTY STRATEGY:

#### *Overview*

The anti-poverty strategy is the unifying thread that ties the housing, homeless, public housing and non-housing community development strategies together as one comprehensive plan for reducing the number of families that fall below the poverty level. The strategic plan, goals and objectives noted throughout this part promote self-sufficiency and empowerment.

The Department of Community Development, as the lead agency in the implementation of the Consolidated Plan, will coordinate efforts among its many partner organizations to ensure that the goals outlined in the consolidated plan are met. These partners include neighborhood residents, representatives of health and human service agencies, businesses, churches, nonprofit developers, lenders and for-profit entities. The key principles of the city's anti-poverty strategy and five-year strategic plan are described in the following sections.

#### *Improve the Quality and Availability of Affordable Housing*

Eliminating many of the physical signs of poverty is a key element in the anti-poverty strategy. The housing, public housing and community revitalization initiatives work toward fulfilling this goal. The Department of Community Development will direct significant resources toward the creation of affordable housing and coordinating the efforts of local nonprofit and for-profit providers. Affordable housing is the foundation for achieving self-sufficiency.

#### *Provide For and Improve Public Services*

Important long-term goals in the strategy to reduce and eliminate poverty include providing services to residents of the City of Birmingham. Specifically, the strategy includes an emphasis on the provision of operational subsidies for service providers.

#### *Neighborhoods and Economic Development*

Another component of the anti-poverty strategy includes goals and objectives for improving the living and business environments throughout Birmingham. The consolidated plan includes

strategies to demolish or reuse vacant properties, encourage businesses to invest in Birmingham and redevelop City and County-owned properties to improve the overall character of the area's neighborhoods.

Through a comprehensive five-year plan, the City of Birmingham will continue to fund communities on a city-wide basis by utilizing CDBG and HOME funds to revitalize neighborhoods and communities. In addition, other public and private sector funds will also be sought as a means to leverage the CDBG and HOME allocations received by the city on an annual basis.

### SUBPART III-7 INSTITUTIONAL STRUCTURE AND COORDINATION OF RESOURCES:

#### *Public Institutions and Private Organizations*

Historically public institutions and private organizations have worked well together to provide housing and community development programs and services to the people of Birmingham. Various resources are coordinated to help the city's families and individuals in need of housing assistance, elderly, low and very low income, and special needs populations.

Federal, state, local and private resources will continued to be utilized by the city over the coming five years. Working through a number of non-profit and other eligible entities, the city anticipates that public services will be used in tandem with private sector services to continue meeting the needs and priorities of neighborhoods and communities all over the city.

#### *City of Birmingham, Alabama*

##### Institutional Structure

The City of Birmingham, Alabama has operated the Community Development Block Grant (CDBG) program for many years as a HUD entitlement community. The City, in working with a variety of community agencies, has a well-established structure for implementing its programs. Birmingham has in the past and presently continues to maintain extensive contracts and coordination with a broad variety of community organizations.

General administration, program implementation, and monitoring responsibilities of the CDBG, HOME and related programs rest with the City's Department of Community Development. The Department of Community Development knows the importance of properly managing all federally funded programs. It seeks to improve its administrative role and to strengthen necessary partnerships within the community. The staff of the Department of Community Development maintains and coordinates relationships with various housing, human resource, and related agencies throughout the city. Over the next five-year period, the City intends to sharpen its own management skills and to solidify community relationships.

To increase the availability of affordable housing units to residents in Birmingham, the city partners with CHDOs, non-profit organizations, community development corporations, and

private developers as well as with private lenders, the Alabama Housing Finance Authority, the Jefferson County Department of Health and the Alabama Department of Human Resources.

No serious gaps in the institutional delivery system in Birmingham have been identified. However, the City recognizes a need for continued development, capitalization, and capacity building of viable non-profit organizations to carry out housing development activities. The City will attempt to designate additional housing development organizations as new CHDOs. For those organizations already operating as CHDOs, the Community Development Department will provide increased levels of technical assistance and guidance to build the organization's capacity and thereby improve housing production performance. The Department will also strengthen its oversight through improved monitoring and compliance of certified CHDOs.

The Department of Community Development will continue to participate in the initiatives arising from the Mayor's Task Force to End Chronic Homelessness and other local service delivery and sub area planning processes. The Department of Community Development will continue to work on improving coordination and communication among service providers to reduce duplication and deliver services more efficiently.

#### Coordination

The Consolidated Plan was developed by the Department of Community Development, on behalf of the City of Birmingham. The Plan was reviewed by other local government agencies, and the Department of Community Development staff members will continue to work with key departments to carry out housing and community development strategies. These departments include: Department of Planning, Engineering and Permits; Parks and Recreation Board; Police Department; and other city departments.

Other key public entities are many and varied and include, but are not limited to, the Housing Authority of the Birmingham District, non-profit community housing development organizations (CHDOs), community development corporations (CDCs), community and neighborhood associations and organizations, non-profit human services and shelter providers and non-profit organizations that work with special needs populations served by the mental health, disabled and substance abuse care provider systems.

The Department of Community Development has developed and maintained a very good working relationship with the Alabama Housing Finance Authority, which administers several below market-rate mortgage programs and allocates the Low Income Housing Tax Credit (LIHTC) Program for the state of Alabama.

### SUBPART III-8. HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES:

#### 1. DEMONSTRATED NEED OF HOMELESS PERSONS:

The needs and issues of homeless persons or other eligible clientele in Birmingham is comprehensive in nature and includes all areas of need. To address the needs of homeless

individuals and homeless families with children (including sub-populations) through the provision of the programs and services outlined through the priority homeless needs, the City included a number of key themes and priorities in its s HUD Approved 2010-2015 Consolidated Plan and in its current Action Plan. Sub-populations include the severely mentally ill, alcohol or drug addicted, fleeing domestic violence, homeless youth, and people diagnosed with HIV/AIDS and their families.

The priorities identified in the City's PY 2013 Action Plan regarding homelessness are as follows:

**PRIORITY HOMELESS/HIV/AIDS NEEDS:**

**PRIORITY #3:** Provide housing and services for populations with special needs.

**PRIORITY #4 :** Provide housing and supportive services for homeless populations.

**Emergency Shelter and Services:**

The City has committed CDBG funding and HUD-ESG funding to provide emergency shelter, food, counseling, and supportive services to the homeless and the homeless sub-populations. The City has also supported applications for funding by other entities ( Public Housing Authorities, developers, private or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply. The City has particularly supported applications by other entities for programs designed to benefit the homeless sub-populations.

**Housing and Services for Transition to Permanent Housing and Independent Living:**

The City has provided housing and services for transition to permanent housing and independent living to the homeless and the referenced homeless sub-populations. The City has also supported applications for funding by other entities (Public Housing Authorities, developers, private or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply. The City has particularly supported applications by other entities for programs designed to benefit the homeless sub-populations.

The City has attached HUD Table1A-Gaps Analysis for Homeless Needs in Birmingham from its current HUD approved Consolidated Plan (See Appendix N) reflecting Homeless and Specials Needs Populations in the development of its Continuum of Care and Gap Analysis of services to the homeless. As indicated, there is an unmet need/gap in all areas. Also included is the City's relative priority assigned to each based on anticipated resources to meet those needs.

**2. Strategy to Addressing Homeless Problems:**

The following is a description of the City's strategy and planned actions during the upcoming year to address emergency shelter and transitional housing needs of homeless individuals and families (including Subpopulations), to prevent low-income individuals and families with children (especially those with incomes below 30 percent of median) from becoming homeless, to help homeless persons make the transition to permanent housing and independent living, and to address the special needs of persons who are not homeless identified in accordance with 24 CFR 91.215(d).

1) **Emergency Shelter And Related Services.** As outlined in the referenced priorities, the City plans to provide emergency shelter, food, counseling, and supportive services to the homeless and homeless sub-populations. The City anticipates that it will also support applications for funding during the coming year by other entities (public housing authorities, developers, private-for profit or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply. The City will particularly support those applications by other entities for programs designed to benefit the referenced homeless sub-populations.

2) **Housing And Services For Transition To Permanent Housing And Independent Living.** As outlined in the referenced priorities, the City plans to provide housing and services for transition to permanent housing and independent living to the homeless and the referenced homeless sub-populations. The City anticipates that it will also support applications for funding during the coming year by other entities (public housing authorities, developers, private-for profit or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply. The City will particularly support those applications by other entities for programs designed to benefit the referenced homeless sub-populations.

3) **Permanent Housing And Services For Those Not Capable Of Achieving Independent Living.** As outlined in the referenced priorities, the City plans to provide emergency shelter, food, counseling, and supportive services to the homeless and homeless sub-populations. These persons include services to the chronically homeless who are not capable of achieving independent living. The City anticipates that it will also support applications for funding during the coming year by other entities (public housing authorities, developers, private-for profit or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply. The City will particularly support those applications by other entities for programs designed to benefit the referenced homeless sub-populations.

4) **Permanent Affordable Housing Opportunities For Persons Who Successfully Complete A Transitional Housing Program.** As outlined in the referenced priorities, the City plans to provide transitional housing opportunities and related services to the homeless populations of Birmingham. The City will continue its efforts to provide permanent housing to persons who have successfully completed a transitional housing program by supporting applications during

the coming year of other entities (public housing authorities, developers, private-for profit or non-profit organizations), particularly under the HOME program or under other programs for which the City is not itself eligible to apply or for which it elects to have other entities apply.

**5) Assistance For Preventing Low-Income individuals and families with children (especially those with incomes below 30 percent of median) from becoming homeless, and to help homeless persons make the transition to permanent housing and independent living.**

As outlined in the referenced priorities, the City plans to provide assistance to low and very low income homeowners and renters in order to upgrade and expand the existing stock of housing affordable low and very low income families. A range of programs, as described in appendix J, HUD Table 3C, will be provided to rehabilitate structures classified as substandard, but suitable for rehabilitation, to provide affordable housing to low and very low income households who otherwise could be, or become homeless. The City anticipates that it will also support applications for funding during the coming year by other entities (public housing authorities, developers, private-for profit or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply, to provide affordable housing to prevent low income households from becoming homeless.

**6) Assistance to address the special needs of persons who are not homeless identified in accordance with 24 CFR 91.215(d).** As outlined in the referenced priorities, the City plans to provide assistance to the City's special needs population by increasing the availability of housing for the disabled (mental, physical, and developmental) and by increasing the availability of housing for the low-income elderly and frail elderly.

MONITORING STANDARDS & PROCEDURES:

*Proposed Actions:*

The City of Birmingham recognizes its responsibility to be fiscally and administratively accountable for its activities regarding federal assistance programs to be implemented under the Consolidated Plan. In carrying out the goals, objectives, and priorities of the One Year Action Plan through the involvement of inter-governmental service departments; selected community-based non-profit organizations; public/private partnerships; for-profit agencies and other eligible entities, the City will closely monitor its programs and activities, to ensure that planned performance goals and objectives are being met in accordance with all Federal Uniform Administrative Requirements, and specific program regulations governing each component of the Consolidated Plan. This includes mandated requirements concerning affirmative marketing for housing activities, equal employment opportunity issues relative to the hiring of employees and Section 3 program requirements regarding the use of project area residents as employees and businesses as subcontractors, where applicable.

In all aspects, the City's approach to monitoring will be to improve program accountability and support results-oriented management by clearly communicating established priorities, needs and goals; providing measurable indicators of progress; and reporting on progress

against these measures. Ongoing collaborations with participating agencies, organizations and departments will take place to share knowledge, experiences and to take advantage of their learning to solve similar problems. The City is committed to the provision of technical assistance to non-profits and others that will ultimately result in enhancing Subrecipient service delivery and contract management capabilities. Periodic meetings with the Consolidated Plan Task Force, inter-departmental meetings, daily project tracking and performance reviews will also play a major role in solidifying the planned impact of these coordinated efforts. Details regarding the City’s monitoring standards and procedures are contained in Subpart III-15.

**3. Timely and Effective Expenditure of CDBG & ESG Funds For Support Of The Homeless:**

The City anticipates making application for the following funding during its upcoming PY 2013 to support the homeless:

|   |                    |
|---|--------------------|
| 1. Community Development Block Grant Program:   |                    |
| PY 2013 Public Services-Homeless                | \$ 384,333         |
| 2. HUD Emergency Solutions Grant Program (ESG): | \$ 443,241         |
| 3. HUD ESG Match                                | \$ 443,241         |
| 4. ADECA ESG-Competitive Application            | \$ 200,000         |
| 5. ADECA ESG-Match                              | <u>\$ 200,000</u>  |
| <b>GRAND TOTAL</b>                              | <b>\$1,670,815</b> |

The City has expended prior year HUD funding in a timely and effective manner. The City has been a CDBG entitlement City since 1975 and has administered funding under the ESG program since the enactment of the Stewart B. McKinney Homeless Assistance Act in 1988. There are presently no findings or concerns on the part of HUD regarding the timely expenditure of funding.

**4. Continuum of Care:**

As outlined in the City’s current HUD approved 2010-2015 Consolidated Plan and Action Plan, the City has assisted in the development of a Continuum of Care system in Birmingham. This effort is coordinated by the One Roof, an agency that the City has and presently supports through its Community Development Block Grant Program.

One Roof is a coalition of approximately 30 service providers working to meet the needs of the homeless population in the Birmingham area and surrounding areas. The geographic area covered includes Jefferson, Shelby, St. Clair, and Blount Counties. Membership includes the following:

Shelters: First Light Women’s Shelter, Jimmie Hale Mission, Interfaith Hospitality House, The YWCA, Salvation Army, Firehouse Shelter, Safehouse.

Service Agencies: Traveler's Aid, Community Kitchens, JBS MH/MR Authority, AIDS Alabama, Birmingham Hospitality Network, Pathways, Christian Service Mission, Birmingham Health Care, Independent Living Center, Shelby Emergency Assistance, Aletheia House, Jefferson County housing Authority, Jolene James for NAMI, urban Ministries.

Municipal Affiliations: City of Birmingham, City Action Partnership, Operation New Birmingham, Reggie Holloway for Shelby County Commission, Chad Richmond for State of Alabama Veterans Employment.

Faith Based: Ministerial Brotherhood, Highlands United Methodist Church.

To date the One Roof organization has been quite successful in their efforts to obtain funding directly from the U.S. Department of Housing and Urban Development. As a result of the efforts of One Roof, approximately \$8.5 million in HUD funding annually has been received through the HUD Super-NOFA process. This supports the fact that One Roof is working hard to not only take care of Birmingham's homeless population, but also to coordinate the City's Continuum of Care in an effective manner.

Proposed funding for the One Roof and Continuum of Care is listed in Appendix J-HUD Table 3C, Summary Project Listing.

#### **5. Matching Requirements Under The ESG Program:**

Funding under the ESG program will require that each applicant supplement its grant with equal amounts of matching funds from sources other than ESG. In calculating the match amount, the following may be utilized: I) Value of any donated material or building; ii) Value of any lease on a building; iii) Any salary paid to staff in carrying out an ESG program; iv) Volunteer hours for services at \$5.00 per hour; v) Other grants or monetary donations.

The City of Birmingham does not match ESG funding with municipal funds except for administration costs, however, requires each sub-grantee to provide match based on the above criteria. The matching requirement is detailed in ESG agreements executed between the City and its sub-grantees. The portion of ESG funds spent on Administration by the City of Birmingham is matched with other grants through salary payments.

#### **6. Budget/Schedule:**

The City's ESG Budget is contained in Appendix J., HUD Table 3C of this submission. The proposed schedule for the expenditure of these funds follows:

\* Contract Date: July 1, 2013 through June 30, 2014. Close out will be completed no later than June 30, 2015.

#### **SUBPART III-9. OTHER ACTIONS:**

The following is a description of other actions the City intends to undertake during PY 2013 as a part of its Action Plan regarding each of the topics itemized below:

1. Actions To Address Obstacles To Meeting Under Served Needs During PY 2013

During PY 2013 the City will continue its efforts to meet the needs of the underserved through all of its PY 2013 Action Plan programs as described in Appendix J, HUD Table 3C. The City will particularly continue its efforts to encourage the reduction of cumbersome and unnecessary federal regulations, particularly in the HOME and CDBG programs, which often slow the delivery of needed services to the underserved.

2. Actions To Undertake To Foster And Maintain Affordable Housing:

As outlined in priorities 1, 2, 3, and 4 listed in Subpart 4 of the Executive Summary, the City plans to provide assistance to low and very low income homeowners and renters in order to upgrade and expand the existing stock to housing affordable low and very low income families. A range of programs, as described in Appendix J, HUD Table 3C, will be provided to rehabilitate structures classified as substandard, but suitable for rehabilitation. The City anticipates that it will support applications for funding during the coming year by other entities (public housing authorities, developers, private-for profit or non-profit organizations) under programs for which it is not itself eligible to apply or for which it elects to have other entities apply, to foster affordable housing.

3. Actions To Eliminate Or Reduce Barriers to Affordable Housing:

As referenced in Section 3-5, Barriers to Affordable Housing, of the City's 2010-2015 Consolidated Strategy and Plan Submission, the City assessed the negative effects of public policies, rules and regulations impacting the availability of affordable housing. Additionally, the City set forth a plan to ameliorate their negative effects.

Noted within the discussion as referenced, is the fact that, although such policies, rules and regulations sometimes increase the cost of affordable housing, they have been enacted for a good reason (i.e., to protect the community's health and safety.)

The City will continue its efforts during the upcoming program year to eliminate or reduce barriers to affordable housing. Many factors such as land costs, historic preservation regulations, developer's costs, health issues, environmental regulations, etc. are beyond the scope of the City to eliminate or control and thereby are not anticipated to be significantly changed during the upcoming program year in a manner that would remove or ameliorate the negative effects of these public policies, rules or regulations. See Appendix P for further details.

4. Actions To Evaluate And Reduce Lead-Based Paint Hazards:

The City intends to undertake a number of activities to evaluate and reduce lead-based paint hazards. During the upcoming program year the City will consider the following activities: 1) Support the routine testing for lead toxicity developed by the Jefferson County Health Department; 2) Support the Jefferson County Environmental Health Bureau in its conduct of environmental testing for lead at individual residences; 3) Support the Public Housing Authority's participation in the Department of Housing and Urban Development's lead-based paint abatement program for subsidized housing; 4) Encourage the Jefferson County Health Department to continue their ongoing educational and outreach program on lead hazard abatement; 5) Provide educational material to neighborhood based organizations in order to promote public awareness of lead hazards; 6) Recommend that CDBG funds are budgeted for lead hazard reduction identification and abatement for low-income owners and renters who participate in Housing Rehabilitation Programs funded in whole or in part under the City's CDBG or HOME programs in accordance with the Requirements for Notification, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance regulations. A summary of the City's CDBG and HOME assisted Housing Rehabilitation Programs for which CDBG funds will be utilized for lead hazard reduction identification and abatement for low-income owners and renters is included in Appendix J, HUD Table 3C.

#### 5. Efforts To Reduce The Number Of Households With Incomes Below The Poverty Line:

The City will continue its efforts to provide affordable housing and other services to households with incomes below the poverty line, as defined by the Office of Management and Budget and revised annually. It is anticipated that these households will include the homeless, low and very low income families, and possibly low-moderate income families. During its PY 2013 Action Plan, it is anticipated that approximately 90% of the City's Consolidated Plan allocation will benefit low-, very-low, and low-moderate income households. A listing of the programs to be undertaken during PY 2013 is contained in Appendix J, HUD Table 3C to assist these income persons.

The City's efforts to reduce the number of households with incomes below the poverty line will include, but not be limited to, the provision of affordable housing and related services and the provision of human needs services. These activities and efforts will include affordable housing for low and very-low income homeowners and renters, child care financial assistance for the working poor and homeless, training and educational assistance instruction, drug prevention training/drug recovery services, emergency food services, homeownership opportunity programs and counseling, fair housing services, legal services, and a continuum of care for the homeless including transitional and emergency shelter services leading to self sufficiency.

#### 6. Efforts To Develop The City's Institutional Structure During PY 2013:

The City in Section 4.6 of its 2010-2015 Consolidated Strategy and Plan Submission,

described the institutional structure, including private organizations, non-profit organizations, and public institutions, through which it will carry out its housing and community development five year strategic plan and assessed the existing strengths and limitations in the delivery system. The City does not anticipate any significant changes to the institutional structure during PY 2013.

#### 7. Efforts To Enhance Coordination Between Public And Assisted Housing Providers And Private And Governmental Health, Mental Health, And Social Service Agencies During PY 2013:

The City in Section 4.6, Institutional Structure, of its 2010-2015 Consolidated Strategy and Plan Submission, described the public and private housing and social service agencies that comprise a portion of the City's institutional structure. The City further described the Coordination of departments, agencies, people, and organizations to be included during the 2013 Action Plan.

To enhance coordination between public and assisted housing providers and governmental health, mental health, and social service agencies during PY 2013, the City will continue its policy of involving all of these organizations in the consolidated planning and implementation process. The City will continue its use of public hearings, mailings, notices, and other such forums as a means of providing available information to these organizations to better enable the City and housing and community service providers to identify and address all housing and community development needs, both short-term and long-term, that exist within the City.

#### 8. Actions To Foster Public Housing Improvements and Resident Initiatives:

The City anticipates that the Housing Authority of the Birmingham District (HABD) will continue its efforts to aggressively apply for available federal, state, and local grants including the Comprehensive Grant Program (CGP) for physical management, HOPE VI, and operational improvement needs for our public housing residents. The City also anticipates that Non-profit organizations will also continue to aggressively apply for available federal, state, and local funding to provide related housing and community development services. The City anticipates that it will consider, and where appropriate, support these efforts.

i. Steps to be taken as a part of the City's PY 2013 Action Plan to improve the management and operation of public housing residents and the living environment of public housing residents.

The City, the HABD, and the area HUD office have strived to establish strategies, targets, incentives and sanctions for improving the performance and management of the HABD.

During PY 2013, the City will consider the provision of CDBG funds where applicable

to improve the living environment of the residents. The HABD has indicated that during PY 2013, it will continue its efforts to meet the management standards established by HUD and will continue training and certification workshops for executive directors and other PHA officers and members. Also, fiscal policies and analyses will continue to be established on a project based accounting for income and cost.

- ii. Efforts to be undertaken during PY 2013 in coordination with the local public housing authority, to increase the involvement of residents in the management of public housing.

The Housing Authority of the Birmingham District (HABD) is a quasi government agency which operates independently of the City. Its board members are appointed by the Birmingham City Council. One board member position is reserved for a resident of public housing.

The City does not anticipate during PY 2013 being directly involved in determining management techniques used at the HABD. However, through its avenue of meetings with the HABD, issues of public housing including the involvement of residents in management may be reviewed.

- iii. Efforts to be undertaken during PY 2013 to expand homeownership opportunities for residents of public housing.

The City will continue to encourage the HABD to expand homeownership opportunities for residents of public housing through participation in HUD and other programs designed to provide affordable housing opportunities for prospective homeowners. Such programs include, but are not limited to, the HOME Investment Partnership program, HOPE VI or other HUD initiatives, and new construction programs so as to expand homeownership opportunities. The City will provide technical assistance directly to the HABD as requested should the HABD find it appropriate to apply for assistance under the referenced or other programs designed to expand homeownership opportunities.

The City will also encourage the HABD to participate in the new HUD scattered site/single-family public housing program. Preference will be given to residents of PHA'S who meet the income and underwriting guidelines for City sponsored homeownership programs.

- iv. Efforts To Be Undertaken During PY 2013 To Ensure No Net Loss In The Assisted Housing Inventory As A Result Of Public Housing Demolition, Conversion To Homeownership Prepayment, Or Voluntary Termination Of A Federally Assisted Mortgage, Or Any Other Actions.

During PY 2013 the City and the HABD will continue their efforts to ensure no net loss

in the assisted housing inventory, by compliance with the requirement of Section 104(d) of the Housing and Community Development Act of 1974, as amended. Section 104(d) of the Act provides for one-for-one replacement of low/moderate-income dwelling units demolished or converted to use other than low/moderate income housing as a direct result of the use of CDBG/UDAG assistance for relocation assistance for lower income persons displaced in connection with the demolition of any dwelling unit or the conversion of a low/moderate-income dwelling units.

Also, according to the Housing Authority of the Birmingham District, for public housing authorities (PHAs), HUD first suspended the federal requirement of one-for-one replacement housing under the Fiscal Year 1995 Rescissions Act. Congress later permanently repealed the one-for-one replacement requirement under the Housing Act (QHWRA) of 1998. Under the CDBG final rules published in the Federal Register on March 20, 1996, HUD determines whether one-for-one replacement housing is necessary for demolished or disposed low-income housing.

The City will continue its efforts to coordinate the review of applicable HOPE program applications with the Housing Authority, Birmingham District and non-profit organizations as appropriate. This review will support the position of the Department of Housing and Urban Development that applicable HOPE programs must include a replacement housing plan.

The City will also continue its efforts to work with local property owners assisted under Section 236 221 3(d) of National Housing Act in order to prevent and/or delay the prepayment of federally-assisted mortgages and displacement of low-income residents. The City will encourage the above referred property owners and the local HUD officer to promote the transfers of affordable housing to owners dedicated to its long-term preservation for low-income residents.

#### v. Efforts To Ensure No Net Loss In The Assisted Housing Inventory As A Result Of Conversion Of Units To Homeownership.

During PY 2013 the City will continue its efforts to work with local property owners assisted under Section 236 221 3(d) of the National Housing Act to promote the transfers of affordable housing to owners dedicated to its long-term preservation for low income residents.

#### SUBPART III-10. PUBLIC HOUSING:

In accordance with 24 CFR 91.100, the City has consulted with the Housing Authority of the Birmingham District (HABD) which participates in an approved Comprehensive Grant program concerning consideration of public housing needs and planned Comprehensive Grant program activities. This consultation will help provide a better basis for the certification by the Mayor that the Comprehensive Grant Plan/annual statement is consistent with the City's assessment of low-income housing needs (as evidenced in the City's housing and community

development plan) and that the City will cooperate in providing resident programs and services (as required by 968.320(d) of the regulations for the Comprehensive Grant program). It will also help ensure that activities with regard to local drug elimination, neighborhood improvement programs, and resident programs and services, funded under the public housing program and those funded under a program covered by the City's Consolidated Plan are fully coordinated to achieve comprehensive community development goals. Consultation letters sent to the HABD during the City's Consolidated Plan and Action Plan development process are contained in Appendix D.

The HABD is presently not designated as "troubled" by HUD or otherwise performing poorly.

### SUBPART III-11: HOME PROGRAM REQUIREMENTS:

The HOME Investment Partnership Program (HOME) affords state and local governments the flexibility to fund a wide range of low-income housing activities through creative and unique housing partnerships among states and localities, private industry, and nonprofit organizations. HOME allows these partnerships to respond to diverse local housing needs through moderate and substantial rehabilitation, new construction, tenant-based rental assistance, and other related activities.

#### **SUBPART III-11 (A): Recapture Provision**

The City of Birmingham, Alabama through its Department of Community Development intends to use HOME program funds to promote home buying opportunities for lower-income residents. As such, it will comply with the recapture provision outlined in the HOME program regulations at 24 CFR Part 92.

Initially, housing that is acquired for homeownership using HOME funds will comply with the following provisions:

- The purchase price may not exceed the HUD Section 203(b) mortgage limit for the type of single-family housing
- If repairs are necessary, the appraised value of the property (after rehabilitation) may not exceed the HUD established mortgage limit for the type of housing
- The household must have an annual income of 80 percent or less of the HUD-established area median, adjusted for household size
- The property must be used as the household's principal residence

To ensure the long-term affordability, restrictions will be placed on HOME-assisted properties as follows:

- Either a covenant attached to the land or a deed restriction will be placed on each property at the time of purchase with HOME funds, which will establish a HUD-defined compliance period based on the amount of assistance and type of activity and a local affordability period equal to 5 to 15 years.
- The City of Birmingham, Alabama through its Department of Community Development may forgive the Direct HOME subsidy at the rate of 1/5<sup>th</sup>, 1/10<sup>th</sup>, or 1/15<sup>th</sup> per year to correlate with the affordability period.
- To be considered affordable, any subsequent purchaser must have an annual income of 80 percent or less of the HUD-established area median, adjusted for household size, and the subsequent purchaser's mortgage payment<sup>1</sup> may not exceed 30 percent of the household's gross income.
- The compliance period for any subsequent purchaser will be the remaining period under the initial covenant or deed restriction unless additional HOME-assistance is provided, then a new compliance period is triggered.

### **HOME Program Recapture Provision**

The method of repayment will be recapture for all current HOME activities. The City will not use sub-recipients, urban county or consortium members, CHDOs or other entities to provide the homebuyer assistance.

Homebuyers are income-eligible families and required to live in the HOME assisted property as their principal residence for the duration of the applicable affordability period.

The period of affordability is based upon the direct HOME subsidy provided to the homebuyer that enabled the homebuyer to purchase the unit. Any HOME program income used to provide direct assistance to the homebuyer is included when determining the period of affordability.

The following table outlines the required minimum affordability periods.

| <b>If the HOME Direct Subsidy to Homebuyer in the unit is:</b> | <b>The period of affordability is:</b> |
|--|--|
| Under \$15,000   | 5 years                                |
| Between \$15,000 and \$40,000                                  | 10 years                               |
| Over \$40,000  | 15 years                               |

---

<sup>1</sup> The mortgage payment includes principal, interest, taxes and insurance.

If the homebuyer sells, transfers, exchanges, assigns, refinances or leases the HOME assisted property, the City will recapture HOME funds. The recapture requirements are reflected in the written agreement, mortgage, and mortgage note. The recapture requirement is limited to the amount of the net proceeds available from the sale of the HOME assisted property. The following recapture language is contained in all HOME Program Notes and Mortgages: “Upon the sale, exchange, assignment, transfer, refinancing or lease during the affordability period of the real estate which is the subject of the mortgage securing this note, the recipient of the second mortgage HOME loan shall be required to pay to the Mortgagee 3% annual simple interest on the second mortgage HOME loan plus the principal amount of said second mortgage HOME loan.”

**SUBPART III-11 (B): *Manufactured Housing:***

Manufactured housing to be assisted with HOME funds will be considered affordable housing if it is:

- Situated on a permanent foundation
- Connected to permanent utility hook-ups
- Located on land that is held in fee-simple, land trust, or a long-term lease with a term equal to or greater than the compliance period
- In compliance with all required housing quality standards
- In conformance with all conditions and standards previous stated in this section

**SUBPART III-11(C): *HOME Match Requirement***

The City of Birmingham, Alabama has no matching requirement under the HOME Program regulations.

**SUBPART III-11(D): HOME PROGRAM ELIGIBLE PROPERTIES AND PARTICIPANTS:**

- The City's HOME Program offers broad discretion in the types of properties that can be used in a homebuyer program.
- The property can be an EXISTING property or in certain circumstances, NEWLY CONSTRUCTED over one-year old, but not previously occupied.
- Any property which will serve as the purchaser's principal residence, including:
  - Single family property (one unit).

- A two or four unit property.
- Cooperative unit.

**PROPERTY STANDARDS**

- Acquisition Only: Property must meet the City's Minimum Housing Code\* at time of initial occupancy.

\*exceeds Section 8 Housing Quality Standards (HQS)

- Acquisition and Rehabilitation: Where rehabilitation to the property is needed:
  - At time of initial occupancy, the property must be free from any defects that pose a danger to the health or safety of occupants; and,
  - Within 2 years of property transfer to the first-time homebuyer, the property must meet HQS.  
Where the work is substantial rehabilitation (> \$25,000 average per unit total development costs), local code standards, Rehab standards and cost-effective energy conservation and effectiveness standards apply.

**PROPERTY VALUE (AT TIME OF PURCHASE)**

- All properties require an appraisal.
- The appraised value of a HOME assisted property to be acquired must not exceed the 203(b) mortgage limit for the area for the type of housing being purchased (single family, condominium, manufactured home, etc.).

**RULES AND REGULATIONS**

| 1-Family and<br>Condominium Unit | 2-Family  | 3-Family  | 4-Family  |
|----------------------------------|-----------|-----------|-----------|
| \$271,050                        | \$347,000 | \$419,425 | \$521,250 |

- IF REHABILITATION IS REQUIRED, the appraised value of the property **after rehabilitation** cannot exceed the 203(b) mortgage limit.
  - The after Rehab value estimate should be completed prior to occupancy or

investment of HOME funds.

- The appraisal, if performed by a third party, is an eligible project "soft" cost.
- The property value - not purchase price - must be equal to or less than the prevailing 203(b) limits.

### **THE ELIGIBLE HOMEBUYER**

- The prospective purchaser household must meet the following eligibility criteria in order to participate:
  1. Must be **low/low moderate income**: that is, the purchaser must have a gross annual income that does not exceed 80 percent of median income for the area.
  2. Must occupy the property as a principal residence.
- Low Income: The purchasing household must be low income either:
  - In the case of a contract to purchase existing housing, at the time of purchase;
  - In the case of a contract to purchase housing to be constructed, at the time the contract is signed;
  - In the case of a lease purchase agreement (for existing housing or housing to be constructed), at the time the lease purchase agreement is signed.

Verification of income eligibility is good for a period of 6 months. Consequently, in order to assure that a prospective homebuyer is income eligible, the income will be verified early in the application process. An update of the home buyers income will be necessary if more than 6 months has transpired from initial verification to occupancy of the property.

- Principal Residence: The purchaser household must use the property as its principal residence.
  - The deed will incorporate this requirement.
  - The loan documents (Promissory Note) between the purchaser and the City will also incorporate this requirement.
  - Temporary subleases are not allowed.

### **HOME PROGRAM-FORM OF OWNER**

- For purposes of the HOME Program, homeownership means ownership in fee simple title or leasehold in a one-to-four unit dwelling, ownership or membership in a cooperative.
- The ownership interest may be subject only to the following:
  - Mortgages, deeds of trust or other debt instruments approved by the City.
  - Any other encumbrances or restrictions that do not impair the marketability of the ownership interest, other than the HOME Program restrictions on resale.

**SUBPART III-11(E): HOME PROGRAM FORMS OF INVESTMENT:**

The City does not intend to use forms of investment under the HOME program other than those described in 24 CFR 92.205(b). Please note that 24 CFR Part 92 is hereinafter referred to as "this part". The forms of investment that the City may use are as follows:

- (1) The City may invest HOME funds as equity investments, interest-bearing loans or advances, non-interest bearing loans or advances, interest subsidies consistent with the purposes of this part, deferred payment loans, grants, lease purchase agreements, or other forms of assistance that HUD determines to be consistent with the purposes of this part. The City has the right to establish the terms of assistance, subject to the requirements of this part. Lease Purchase Agreements may not exceed 36 months; proceeds will promote homebuyer assistance; and proceeds may not be used for CHDO Administrative fees.
- (2) The City may invest HOME funds to guarantee loans made by lenders and, if required, the City may establish a loan guarantee account with HOME funds. The HOME funds may be used to guarantee the timely payment of principal and interest or payment of the outstanding principal and interest upon foreclosure of the loan. The amount of the loan guarantee account must be based on a reasonable estimate of the default rate on the guaranteed loans, but under no circumstances may the amount on deposit exceed 20 percent of the total outstanding principal amount guaranteed; except that the account may include a reasonable minimum balance. While loan funds guaranteed with HOME funds are subject to all HOME requirements, funds which are used to repay the guaranteed loans are not.

**SUBPART III-11(F): AFFIRMATIVE MARKETING/MINORITY WOMEN'S BUSINESS OUTREACH:**

*Statement of Policy*

In accordance to the requirements of Executive Order 11625 and 12432, and 12138, the City of Birmingham will conduct outreach to minority and women owned businesses. The outreach

plan will ensure, to the maximum extent possible, opportunities for minorities and women, and entities owned by minorities and women to participate in the HOME program. Without limitation this shall include outreach for participation by minorities and women, and entities owned by minorities and women in all contracting activities, whether public or private. The outreach will target participation in all phases of procurement of property and services, and will include, but is not limited to real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services.

1. The City of Birmingham will continue its efforts to identify and maintain an inventory of certified minority and women owned business enterprises (MBEs and WBEs). The City currently maintains a directory of MBEs and WBEs which catalogs each entity by capability, and the type of service, supply and/or product provided. In addition, the City has undertaken an extensive outreach effort, through the Birmingham Plan, to train, provide technical assistance, and prepare MBEs and WBEs for business certification. Under the Birmingham Plan, the City has established major support units to foster the participation of minority and women in the procurement of business and/or services.
2. The City of Birmingham will direct widespread publicity of HOME opportunities through the local media. Area radio and television stations, newspapers, magazines, and other media sources will be used to publicize and promote contract and business opportunities for MBEs and WBEs. Promotional activities will include news releases, public service broadcasts, and other media resources.
  1. Documentary information on the HOME program will be developed and distributed periodically to MBEs and WBEs listed with the Community Development and Economic Development Departments. Information will include fact sheets, program guides, procurement forecasts and procedures, and other materials that will be instrumental in notifying the MBEs and WBEs of contract/subcontract opportunities.
4. Direct solicitation of business with MBEs and WBEs by the City will be ongoing. The City's Housing division, participating non-profit organizations, CHDOS, and other HOME participants will be provided with listings of certified MBEs and WBEs which will identify each entity by capability, and the type of service, supply and/or product provided. If any subcontracts are let, the prime contractor will be required to take affirmative steps to include MBEs and WBEs.

Eligible MBEs and WBEs will, likewise, be provided information on opportunities for participation in prospective projects. This information will detail procurement procedures and avenues for participation as vendors and suppliers of goods and services. When economically feasible the City will divide total contract requirements into small tasks or quantities, or extend delivery schedules, to permit maximum minority and women's business participation.

5. The City of Birmingham will sponsor individually, and/or actively participate in meetings, seminars, and other activities sponsored locally to disseminate information of business related opportunities with the HOME program. Activities will be scheduled periodically to ensure that MBEs and WBEs are informed of HOME opportunities.
6. The City will maintain centralized records with statistical data on the use and participation of MBEs and WBEs as contractors and subcontractors in the HOME program. Organizations participating in the HOME program will be required to certify the participation of minorities and women, and entities owned by minorities and business in all phases of contracting under the HOME program. This data will be systematically analyzed to monitor and identify annual and semi-annual participation goals.

## **Affirmative Marketing Plan**

### ***Statement of Policy:***

In accordance with Regulations of Title 24 CFR 511.10 (m), and in furtherance of the City's commitment to non-discrimination and equal opportunity in housing, the City of Birmingham will conduct an Affirmative Marketing Plan for all HOME-assisted housing containing five or more units. The Affirmative Marketing Plan is designed to provide information to, and attract eligible persons from all racial, ethnic, and gender groups in the housing market to the available housing. All non-profit organizations, CHDOs, owners, and other prospective participants in the HOME Rental Rehabilitation Program will be required to enter into an agreement with the City confirming their participation in the Affirmative Marketing Plan.

The City will also apply the Affirmative Marketing Plan Procedures described herein to its Neighborhood Stabilization Program (NSP) funding. These procedures will govern the selection and purchase of NSP housing units as well as the solicitation and selection of potential residents in a manner that is nondiscriminatory and consistent with Fair Housing and Equal Opportunity (FH&EO) requirements.

### **HOME & NSP Affirmative Marketing Procedures:**

1. The City of Birmingham will inform all potential/ prospective HOME Rental Rehabilitation Program participants of the City's policy on affirmative marketing. The City will use the Equal Housing Opportunity logotype or slogan in all press releases, pamphlets, solicitations for owners, and other written communication to fair housing and other groups.
2. All non-profit organizations, CHDOs, owners, and other prospective participants in the

HOME Rental Rehabilitation Program will be required to confirm through a written agreement that in they will comply with all applicable fair housing laws, including the City of Birmingham's Fair Housing Ordinance Number 80-165, adopted August 20, 1980; 2-4-71, et. sec., General Code of the City of Birmingham, 1980 as amended.

3. Owners must explicitly agree not to discriminate against prospective tenants on the basis of their receipt of, or eligibility for housing assistance under any federal, state, or municipal housing assistance program (except for a project for housing elderly persons) or on the basis that tenants have a minor child who will be residing with them.
4. Owners will agree to adhere to the Affirmative Marketing Plan by assuring the display of the fair housing poster, and the use of Equal Housing Opportunity logotype or slogan in press releases, advertisements, flyers, announcements, and other forms of community contact or solicitation which makes known the availability of housing units. A copy of any such advertisement will be forwarded to the City of Birmingham.
5. Owners will assure the special outreach to persons who are not likely to apply for housing by notifying the following parties in the event of any vacancies: The City of Birmingham (Housing and Community Resources Divisions), the Housing Authority of the Birmingham District, Greater Birmingham Ministries, Birmingham Urban League, the Jefferson County Committee for Economic Opportunity, the Birmingham News and Post Herald, and the Birmingham Times.
6. Owners must agree to undertake the affirmative marketing requirements for a period of affordability per HOME regulations from the date of the completion of the rehabilitation.
7. The City of Birmingham will review and assess the affirmative marketing actions of participants in the HOME Rental Rehabilitation Program semi-annually for a period of five years not to exceed fifteen years following the completion of rehabilitation. Participants with affirmative marketing deficiencies will be given a written statement which will define the deficiencies, and provide an opportunity for corrective action. Each participant will be informed that failure to comply with the affirmative marketing requirements within a specified time frame may result in disqualification from further participation in the HOME Rental Rehabilitation Program, or other similar programs administered by the City of Birmingham. All records of the affirmative marketing monitoring will be maintained in the Housing Division.

**SUBPART III-11(G): HOME PROGRAM REFINANCING GUIDELINES:**

In accordance with 24 CFR 91.220(g)(2)(iii), if a participating jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under 24 CFR 92.206(b). The guidelines shall describe the conditions under which the participating

jurisdictions will refinance existing debt.

The City's HOME program refinancing guidelines are as follows:

- (i) Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing;
- (ii) Require a review of management practices to demonstrate that disinvestment in the property has not occurred, that the long term needs of the project can be met and that the feasibility of serving the targeted population over an extended Affordability period can be demonstrated;
- (iii) State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both;
- (iv) The required period of affordability is a minimum of 15 years.
- (v) The investment of HOME funds may be jurisdiction-wide;
- (vi) HOME funds cannot be used to refinance multifamily loans made or insured by any Federal program, including Community Development Block Grant (CDBG) programs.

#### SUBPART III-12: DEFERRED LOAN OPTION FOR RESIDENTIAL REHABILITATION:

All residential rehabilitation loan programs funded in whole or in part with CDBG or HOME funds have a deferred loan option for funds which go to correct lead based paint problems and minimum housing code items which directly impact health and safety. Deferred loan terms require that the borrower live in the residential structure assisted through the term of the deferred loan. If a borrower remains in the structure assisted through the term of the deferred loan the loan will be forgiven. If a borrower should move from the structure assisted, rent or convey the property in any way prior to the end of the term of the deferred loan then the loan becomes immediately due and payable. Periods of deferment may be for up to 15 years.

#### SUBPART III-13: EMERGENCY SOLUTIONS GRANT PROGRAM REQUIREMENTS:

The Emergency Solutions Grant affords state and local governments the flexibility to fund a wide range of low-income homeless activities through creative and unique collaboration among states, localities, and nonprofit organizations. ESG allows these

partnerships to respond to diverse local homeless needs through assistance for Emergency Shelter, Street Outreach, Rapid Re-housing, Homeless Prevention, and HMIS.

### SUBPART III-13A: ESG CONSULTATION WITH THE CONTINUUM OF CARE:

#### CONSULTATION SUMMARY-CONTINUUM OF CARE

The City has established a supportive and mutually beneficial partnership with One Roof (formally Metropolitan Birmingham Services for the Homeless/MBSH), the Continuum of Care lead agency for Birmingham and surrounding areas. Since One Roof's inception (1984) the City has provided for a portion of the administrative and operational support for the organization through Community Development Block Grant funds. Since the announcement of required consultations for ESG and even before, several meetings and consultations have taken place to determine how best to coordinate efforts to implement the HEARTH Emergency Solutions Grant. These meetings discussed efforts that will result in maximizing the utilization of all available resources to generate positive housing stability outcomes for persons/families who are literally homeless or who are at imminent risk of becoming homeless. Also, in the HEARTH Emergency Solutions Grant consultations, the City spoke with the Continuum to determine how to allocate its ESG grant for eligible activities; in developing the performance standards for, and evaluating the outcomes of, projects and activities assisted by ESG funds; and in developing funding, policies, and procedures for the operation and administration of the HMIS. The product of these consultations is outlined below and is described in more detail throughout this document. In addition to the above referenced consultations, the City sent a copy of the announcement letter to all current sub-recipients informing them of the award amount, eligible activities, comment dates, and requesting comments and/or proposals.

The City has a standing commitment to meet with the Continuum on a monthly basis or as often as needed which provides an effective forum to generate and exchange helpful information through roundtable discussions on the City's overall progress toward addressing joint goals and strategies as outlined in the City's 10 Year Plan to Prevent and End (Chronic) Homelessness. This membership represents a wide spectrum and strong linkage of local homeless shelter providers, community advocates and other mainstream and social service support entities that work with Birmingham's homeless population on a daily basis.

The City continues to consult with the Executive Board of One Roof to discuss the status of both long and short range plans to be implemented to ensure that expanded activities under the Emergency Solutions Grant program are designed to address the critical need to rapidly re-house individuals and families that are living on the streets or in emergency shelters. Several consultations regarding the allocation of ESG funds for eligible activities resulted in the development of performance standards, evaluation tools, monitoring strategies and other protocols that will assist greatly in realizing successful program outcomes as homeless participants are transitioned into appropriate stabilized housing (See Appendix U-Performance Standards). Consultations have also resulted in the development of HEARTH Grant Policies and Procedures utilizing the important lessons learned during the implementation of the ARRA's

Homelessness prevention and Rapid Re-Housing Program (See Appendix T–HESG Policies and Procedures). The City’s efforts in this area are always in support of, or to further enhance the work of the Continuum in our community. As the program evolves, the City and the Continuum will work closely together to refine these guides. Further, in compliance with HUD’s mandate to utilize the Continuum of Care’s Homeless Management Information System (HMIS), the City requires that all sub-recipients receiving allocations under the ESG program participate in this component to ensure that accurate and reliable data is collected on program outputs and performance. As an eligible activity under the ESG, program funds are made available for agencies to meet this requirement.

The City recognizes One Roof as a valuable and informed partner as we work to assist individuals and families making the transition from either homelessness or near homelessness to housing stability and affordability. We will continue to strengthen this relationship through an open door policy of collaboration, cooperation and support.

The City of Birmingham recognizes the importance of coordinating with the local Continuum of Care as a valued partner. This coordination makes it possible to work jointly with representatives of the entire community to address a broad scope of homeless needs through a combination of joint strategies and resources that are designed to enhance the effectiveness of activities that meet the homeless needs and more accurately the broad scope of needs of our at-risk and/or literally homeless population.

**SUBPART III-13B: ESG HOMELESS PARTICIPATION REQUIREMENT:**

**HOMELESS PARTICIPATION REQUIREMENT**

One Roof, Inc., the Continuum of Care for the Birmingham area, reserves a position on their board for a homeless or former homeless person. The city also publishes each Action Plan and Action Plan Amendment in an effort to target those that may be affected by the use of funds or lack thereof. The City strives to extend this participation through these existing and any future possible avenue so that the City can better hear from those it is directly affecting. Also, many of the sub-recipients the City uses allow for homeless or former homeless persons to be employed or volunteer with their organization so as to further better the person and allow for experience and input.

**SUBPART III-13C: EMERGENCY SOLUTIONS GRANT AND CRITERIA FOR AWARDING GRANT FUNDS:**

**ESG SELECTION PROCESS:**

1. The Community Development Department prepares a written request for proposals that is published in local newspapers of general circulation. Notices are also made available through the City's Citizen Participation mailings and

mailings to CDBG and ESG sub-recipients.

2. Community Development Department staff review all proposals according to how each can best address the homeless priority needs identified in the City's Consolidated Plan.
  3. After proposals are reviewed, the Community Development Department makes its recommendations based on program objectives to the Mayor for review and approval. The Mayor's recommendations are then reviewed through the appropriate City Council sub-committee and full City Council for approval.
1. Upon approval, ESG sub recipients are informed as to the disposition of their proposal. Contracts are prepared for execution by the City and ESG subrecipients approved to provide services.

SUBPART III-13-D: 2013 ADECA EMERGENCY SOLUTIONS GRANT PROGRAM:

It will be announced at each of the City's PY 2013 Action Plan Public Hearings (See Appendix E) that the City may be eligible to apply to the Alabama Department of Economic Community Affairs (ADECA) for up to \$200,000.00 in PY 2013 Emergency Solution Grant (ESG) funding and it intends to do so upon the announcement of funding availability by ADECA. It was also explained that this is a competitive grant application process and there is no guarantee of ESG-ADECA funding during PY 2013. ESG proposals received through the PY 2013 Request for Proposal process were utilized to identify agencies with ESG administrative experience with no significant programmatic or financial problems relating to ESG administration within the past 3 years. The review identified the following agencies meeting these criteria: Birmingham PATH, Cooperative Downtown Ministries, Family Connection Hope House, and the YWCA.

X Family Connection: \$50,000.00

This agency was established to provide comprehensive services to homeless and runaway youth. The shelter programs services are designed to address the immediate needs of youth by providing temporary shelter counseling and referral assistance. The outreach portion of the Family Connection programs consist of direct, regular contact with street youth coupled with clothing, some medical supplies, blankets, food as well as an opportunity for the youth to utilize the Day Shelter which is located in downtown Birmingham.

ESG funding would be to provide Emergency Shelter and Street Outreach Funds to support ongoing expenses related to the day shelter as well as the street outreach program to provide fuel for the outreach van, known as the Hope Mobile, food, clothing, blankets, personal hygiene kits, etc.

X Pathways: \$50,000.00

Pathway's mission is to provide innovative and effective social services in participation with other Birmingham agencies to break the cycle of dependence among homeless women and children. The agency provides a day shelter, emergency shelter and transitional shelter for women and children.

ESG funding would be to provide Emergency Shelter and Street Outreach Funds to provide support for ongoing shelter expenses such as food, household expenses and shelter utility bills.

X Young Women’s Christian Association (YWCA): \$50,000.00

The agency is a leading provider of domestic violence services, which include emergency shelter, transitional housing and day care for children of clients as well as children living in other shelters. The YWCA domestic violence transitional shelter program provides a safe living environment for women who have suffered from domestic violence. These shelter locations are provided at undisclosed locations. Clients of the YW transitional housing program are surrounded with supportive services on an individual and group basis, such as employment readiness, day care, counseling, debt and credit counseling.

ESG funding would be to provide Emergency Shelter and Street Outreach Funds to provide support for ongoing shelter expenses such as food, utilities and rent.

X Cooperative Downtown Ministries (Old Firehouse Shelter): \$50,000.00

Agency is a leading provider of emergency shelter and related supportive services for homeless men in Birmingham. The shelter is located on 3<sup>rd</sup> Avenue North at the Old Firehouse Shelter. ESG funding would be to provide Emergency Shelter and Street Outreach Funds to provide support for ongoing shelter expenses such as food, utilities and rent.

SUBPART III-14: HOPWA SUMMARY (PREPARED BY AID’S ALABAMA, INC):



**HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)**  
**2013 ACTION PLAN**

**Project Summary**

***Introduction***

The National AIDS Housing Coalition’s (NAHC) National Housing and HIV/AIDS Research Summit series provides a venue for the presentation of research of significance to HIV/AIDS housing policy, coupled with dialogue about the public policy implications of research findings. The first Summit in 2005 was a breakthrough event where researchers, policy experts, HIV/AIDS housing providers, and consumers gathered to discuss the link between housing status and HIV/AIDS prevention and care. Summit II, which took place

in October 2006, continued the dialogue begun at the first Research Summit regarding this relationship and moved forward with NAHC's goal of establishing a re-visioned HIV/AIDS housing policy based upon sound research. The third Summit was scheduled to take place March 5-7, 2008 in Baltimore, MD.

Examples of key findings are set out below.

**Homelessness is a major risk factor for HIV, and HIV is a major risk factor for homelessness.** Homelessness or unstable housing is directly related to greater HIV risk among vulnerable persons. The prevalence of HIV infection is three to nine times higher among persons who are homeless or unstably housed, compared to similar persons with stable and adequate housing. Indeed, overall rates of HIV infection among homeless populations range from three to ten percent or higher – ten times the rate of infection in the general population. Homelessness and unstable housing are likewise common and recurring issues among persons living with HIV/AIDS. As many as sixty percent of all persons living with HIV/AIDS have experienced homelessness since becoming HIV positive; at any given time, up to sixteen percent of all persons with HIV in some communities are homeless, sleeping in shelters, on the street, or in cars.

**Housing is HIV prevention.** A growing body of research suggests that unstable housing is more strongly associated with increased HIV risk behaviors than individual characteristics of homeless and unstably housed individuals, highlighting the potential of housing as an independent structural intervention to reduce the spread of HIV. Persons who were homeless or unstably housed were two to six times more likely to have recently used hard drugs, shared needles, or exchanged sex than persons with stable housing, controlling for demographics, economic resources, health and mental health status, and service utilization. Persons whose housing status improved during the course of research were half as likely to use hard drugs, use needles, share needles, or have unprotected sex, as were individuals whose housing status did not change. Those whose housing status worsened over time were four times more likely than others to have recently exchanged sex for money or drugs.

**Housing is HIV health care.** Housing is a matter of life or death for persons with HIV/AIDS. The all-cause death rate among homeless persons with HIV/AIDS is five times the rate of death among housed persons with HIV/AIDS. Lack of stable housing is a barrier to starting HIV health care, staying in care, and access to highly active antiretroviral therapy (HAART). Improved housing status is directly related to improved access to health care, higher levels of HAART adherence, lowered viral loads, and reduced mortality. After controlling for variables including outpatient use at baseline, demographics, health status, and receipt of case management, persons who improved their housing were almost five times as likely to report a recent outpatient visit for HIV care

than persons who remained homeless or unstably housed. Homeless or unstably housed persons who improved their housing between baseline and follow-up were over six times as likely as persons who did not change their housing situation to be receiving medications at follow-up. This growing body of knowledge supports a public health response to housing need. Models of care that include housing as a key component offer great power, enabling new and more effective approaches to HIV prevention and treatment. Four public policy imperatives emerged from the findings:

- Make subsidized, affordable housing (including supportive housing for those who need it) available to all persons with HIV;
- Make housing homeless persons a top prevention priority, since housing is a powerful HIV prevention strategy;
- Incorporate housing as a critical element of HIV health care; and
- Continue to collect and analyze data to assess the impact and effectiveness of various models of housing as an independent structural HIV prevention and healthcare intervention.

At the end of 2007, a combined 15,283 HIV/AIDS cases have been reported to the Alabama Department of Public Health. Of these, 4,335 were in the greater Birmingham and Jefferson County area. These totals do not include persons tested in other states who have relocated to Alabama or persons who are not aware of their HIV status.

HIV/AIDS is not limited to any category or group. It can affect the lives of young women who contract HIV from a partner, men who experiment with drugs or sex, or even newborns who contract the virus from the mother. HIV disease is now the fifth leading cause of death in the United States among persons 25-44 years of age and the number one cause of death among African-Americans women of the same age.

African-Americans represent 26% of Alabama's population; however, 63.4% (9,698) of all reported HIV/AIDS cases in Alabama are from this group. African-American males represent 44% of all HIV/AIDS cases reported. African-American females represent 19.2% of all HIV/AIDS cases reported.

Living with HIV disease is expensive. More than 60% of people living with this disease are low-income with few resources. The other 40% includes persons who are actively employed and contributing to their communities, as well as those persons who are considered the working poor. Financial support and supportive services are critical to maintaining housing for this population.

### Background

**The first year of HOPWA funding began in 1994. AIDS Alabama continues to work with**

## **local providers to increase capacity to operate and develop HIV-specific housing.**

AIDS Alabama has five types of housing programs geared toward persons living with HIV and AIDS. Those programs are:

### ***Rental Assistance***

AIDS Alabama provides a rental assistance program with the purpose of keeping persons stably housed. This assistance consists of three types:

- Short-Term Rent, Mortgage, and Utility Assistance (STRMU) assists households facing a housing emergency or crisis that could result in displacement from their current housing or result in homelessness.
- Tenant-Based Rental Assistance (TBRA) is ongoing assistance paid to a tenant's landlord to cover the difference between market rents and what the tenant can afford to pay. Tenants find their own units and may continue receiving the rental assistance as long as their income remains below the qualifying income standard and other eligibility criteria are met.
- Project-Based HOPWA Housing Assistance Program offers low income persons with HIV/AIDS the opportunity to occupy housing units that are operated by AIDS Alabama.

### ***Emergency Shelter***

- Other existing emergency shelters provide emergency housing to persons with HIV/AIDS in Birmingham. These shelters are: The Firehouse Shelter, Salvation Army, SafeHouse, Jimmy Hale Mission, First Light, and Pathways. AIDS Alabama partners with these agencies to make referrals and to seek long-term solutions for persons utilizing emergency shelters.

### ***Transitional Housing***

- One of only two transitional housing programs in the State dedicated to persons with HIV/AIDS is operated by AIDS Alabama. The Birmingham program is known as Next Step housing. The program consists of ten two and three-bedroom apartments and one communal living facility with 11 beds that provides an HIV-specific chemical dependency program. Applicants are screened for eligibility, and then individualized care plans are written and agreed upon by each individual. There are 54 total available beds in the Next Step program.

### ***Permanent Housing***

- Agape House and Agape II offer permanent apartment complex living in Birmingham for persons with HIV/AIDS. There are 25 one-bedroom units, three two-bedroom units, and two three-bedroom units in these two complexes.
- Family Places is a Birmingham-based program of five scatter-site houses with four three-bedroom units and a four-bedroom unit for families living with HIV/AIDS.

### ***Service Enriched Housing***

- JASPER House in Birmingham offers 14 beds in a single room occupancy model for persons who are unable to live independently due to their HIV status and their mental illness. All occupants are low-income and HIV-positive.

### ***Needs Assessment***

The needs of the population are primarily determined by five sources of data:

- 1) The 2007-2008 comprehensive, statewide needs assessment performed by AIDS Alabama;
- 2) The 2003-2004 comprehensive, statewide needs assessment performed by AIDS Alabama of approximately 5% of the State's cases;
- 3) The Point-in-Time survey completed annually by Metropolitan Birmingham Services for the Homeless with Continuum of Care agencies;
- 4) The 2003 Central Alabama Ryan White C.A.R.E. Consortium Consumer Needs Assessment Survey; and
- 5) The needs assessment conducted by the Alabama Department of Public Health in 2005.

The needs of the population are great. More than 90% of respondents in the AIDS Alabama 2003-2004 needs assessment reported having less than \$1,000 per month income. Sixty-five percent (65%) of those surveyed stated they are in need of some type of housing. Respondents identified "more housing resources" as a needed priority to improve services offered by agencies. The need for transitional and permanent supportive housing is apparent with permanent supportive housing being the highest priority of the local Continuum of Care.

Given the preceding statistics and needs represented, AIDS Alabama will use HOPWA funding for the following programs:

- rental assistance;

- supportive services (including social work, case management, support staff, first month's rent/deposit, and transportation);
- operations of existing housing;
- rehabilitation/conversion; and
- resource identification.

## 2. Statement of Work

AIDS Alabama, Inc. is a non-profit organization dedicated to statewide HIV/AIDS prevention, housing and services for persons living with HIV. Services provided include permanent and transitional housing, case management, prevention education and transportation. AIDS Alabama will provide the following services as described herein for eligible persons residing within the City of Birmingham.

### A. RENTAL ASSISTANCE (Tenant-Based Rental Assistance (TBRA) & Short-Term Rental, Mortgage and Utility assistance (STRMU)) - \$200,620

#### Performance Goal:

1. AIDS Alabama will provide 35 households in the entitlement area with long-term rental assistance during the annual grant period.
2. AIDS Alabama will provide 25 households in the entitlement area with short-term emergency rental or mortgage assistance in the grant year.
3. AIDS Alabama will provide 40 households with project-based rental assistance between July 1, 2013 and June 30, 2014.

#### Outcome Measure:

Eighty percent (80%) of HOPWA rental assistance recipients will remain in stable housing one year after receiving assistance.

#### Activity Description:

As stated above, living with HIV disease is expensive, and the majority of persons living with the disease today are low-income. Financial support to maintain housing often means the difference between being homeless and having a stable place to stay. Homeless persons cannot adhere to a medication regimen, because homeless persons have no place to keep their medications. Without an address homeless persons are not eligible for most government benefits. HOPWA regulations allow for emergency rental assistance, which is up to five months of aid out of any 52 week period, and long-term assistance with no limits. Long-term

assistance requires HUD Section 8 qualifying rules. Project-based funds allow consumers to remain in affordable leased housing provided by AIDS Alabama and reduce their risk of becoming homeless.

Methodology/Objectives:

HOPWA City funds are used to maintain the city-wide rental assistance program. Emergency rental participants must:

- provide documentation of HIV status;
- meet HUD's low-income criteria;
- provide documentation of a lease or mortgage in their name;
- provide documentation of the need for assistance;
- disclose all household income and provide verification of income; and
- insure that the home has a smoke detector or be provided with one.

Participants will meet with an AIDS Alabama case manager to create an individualized housing plan to maximize independence. Once paperwork is complete and verified by agency officials, a check will be sent to the landlord along with a request for a receipt. Landlords are asked to contact us if the tenant does not pay their portion, if any, of the rent. This program operates under a name having nothing to do with HIV/AIDS in order to protect the confidentiality of the participants. The check to the landlord is sent typically within 72 hours of completion of paperwork. AIDS Alabama will be the primary agency for Birmingham area residents to apply for this assistance. HOPWA also provides an application processing fee.

The total of this program will be \$200,620 .

**B. SUPPORTIVE SERVICES - \$100,309**

Performance Goals:

1. AIDS Alabama will employ at least 1.5 FTE case managers to provide 450 instances of case management supportive service help to 150 households in need of housing assistance in the metropolitan Birmingham area in the next year. Assumptions: (average encounter for case manager 300 times 1.5) (households in City housing and HOPWA)

Supportive services are to include mileage reimbursement for case managers and supervisory staff. With permission from the HUD local field office, AIDS Alabama provides limited deposit and first month rent for consumers attempting to establish a permanent residency.

2. AIDS Alabama will employ at least 1.0 FTE van driver with HOPWA funds to assist in providing over 11,000 transportation service trips to 100 households referred to AIDS Alabama for housing services. (A total of two full-time drivers provide weekday services,

and a part-time van driver provides weekend services.)

**Outcome Measure:**

Eighty percent (80%) of case management and transportation beneficiaries will report an increased ability to access mainstream resources in one year as a result of supportive services. This access will mean a higher probability that these consumers will remain in stable housing.

**Activity Description:**

According to the 2003 Ryan White C.A.R.E. Consortium Needs Assessment, not knowing where to go for services and inadequate transportation were identified as two of the biggest problems by HIV-positive individuals trying to access HIV-related services.

This puzzle of accessing services must be aided by trained staff (case managers) who can guide low-income, HIV-infected persons both to identify their individual goals as part of a care plan and to identify resources for them to meet their goals of care.

A further challenge is transportation in our community. Once a referral to a medical clinic is made, the follow-through is often not possible because the applicant has no way (or financial resources) to maneuver through the public transportation system to access main stream support.

**Methodology/Objectives:**

AIDS Alabama will employ 1.5 FTE case managers to insure that more persons have access to the types of support and care they need. Adequate supervisory staff will be provided to plan and manage ongoing services.

AIDS Alabama will support the ongoing operations of van transportation to ensure that persons who are referred to service are not blocked from access by lack of transportation.

The total cost of this program is \$100,309.

**C. OPERATING COSTS - \$154,956**

**Performance Goal:**

**AIDS Alabama will provide safe and affordable housing to 175 consumers and family members over the next year.**

**AIDS Alabama currently houses approximately 100 individuals in the City of Birmingham in both transitional and permanent housing. AIDS Alabama has also expanded its transitional housing and substance abuse programs; these funds will help to support those programs.**

Outcome Measure:

One-hundred percent (100%) of AIDS Alabama households will receive adequate and safe housing as a result of HOPWA operating funds.

Activity Description:

AIDS Alabama offers several types of housing to persons with HIV/AIDS in the City of Birmingham:

- Transitional – The program is known as Next Step housing and consists of ten two and three-bedroom apartments and one communal living facility named the Rectory, which houses a substance abuse program.
- Permanent – Agape House and Agape II offer apartment complex living in Birmingham for persons with HIV/AIDS. There are 30 one, two, and three-bedroom units in these two complexes. Family Places is a Birmingham-based program of five scatter-site houses (four three-bedroom units and one four-bedroom unit) for families living with HIV/AIDS.
- Service Enriched Permanent – JASPER House offers 14 beds in a single-room occupancy model for persons who are unable to live independently due to their HIV status and mental illness. JASPER House is the first and only facility of its kind in the State of Alabama and is certified by the Alabama Department of Mental Health and Mental Retardation as a Day Rehabilitation Center and to provide adult mental health services.

Methodology/Objectives:

Funds will be used to supplement operating expenses of the various campus and scatter-site housing located in Birmingham. AIDS Alabama will operate Agape House and Agape II according to HUD guidelines for Multifamily 811 housing programs and will draw down these supplemental funds as required by actual operating costs.

The total cost of this program will be \$154,956.

**D. RESOURCE IDENTIFICATION - \$5,000**

Performance Goal:

AIDS Alabama will identify and plan for future AIDS housing in the entitlement area and participate in 100% of key AIDS housing related conferences between July 1, 2013, and June

30, 2014.

**Outcome Measure:**

AIDS Alabama staff will provide six units of permanent supportive housing to consumers as a result of this resource identification.

**Activity Description:**

The identification of resources to expand permanent, transitional, and supportive housing in Birmingham is critical. The availability of low-income housing for special needs populations is extremely limited. These funds will be used to offset staff time, training, and consumer-based assessments to link the needs of consumers with the best available options for housing.

**Methodology/Objectives:**

AIDS Alabama will use these funds for a meaningful needs assessment of the population, for training programs related to AIDS housing, and to offset time of key planning staff.

The total cost of this program will be \$5,000

**E. ADMINISTRATION – \$33,956**

**Performance Goal:**

AIDS Alabama will work with the City of Birmingham to obtain funds in a timely manner, to ensure that proper reports are filed on time, and to meet or exceed all performance goals between July 1, 2013, and June 30, 2014.

**Activity Description:**

The City of Birmingham will be responsible for reporting requirements, processing draw requests, and accounting for expenditures of funds. AIDS Alabama will be responsible for ensuring that the city's reports are complete and on time, that the draw requests are handled as per the city's requests, and that all expenditures are tracked according to all rules and regulations as specified by the city and by HUD. AIDS Alabama will complete the Annual Progress Report, participate in the Consolidated Planning process as requested by the City, and keep detailed backup for all expenditures. Further, AIDS Alabama will have an indirect cost allocation prepared monthly to indicate how all administrative costs were utilized.

**Methodology/Objectives:**

The City of Birmingham, as the grantee, may receive administrative fees of \$17,463 (3% of the funds per regulations). Historically the City of Birmingham has chosen not to receive

administrative fees and has added those funds to the supportive services line. AIDS Alabama's amount as Sponsor (7% of the funds per regulations) will be \$33,956. AIDS Alabama will complete any and all documentation and reporting as required of the sponsor in the regulations, as well as those required by the grantee (City of Birmingham) and by HUD. AIDS Alabama's Finance Department will issue all rental assistance checks to landlords, account for and report to tax authorities all employee payroll expenses and rental payments, track van operational expenses and demographic information on individuals transported, and perform all other accounting and financial duties associated with this grant.

The total cost of this program will be \$33,956

#### HOPWA Prime Sponsor Selection Process:

Aids Alabama, Inc., a non-profit organization, is the City's Prime Project Sponsor for the administration of the HOPWA program funds and has been so since the City's initial participation in the HOPWA program beginning in 1999. Aids Alabama Inc., was initially recommended by the U.S. Department of Housing and Urban Development Birmingham Office to serve as the City's Prime Project Sponsor of HOPWA funding so as to ensure continuity of HOPWA program services within Alabama and to provide for a single point of contact in the administration of HOPWA program requirements and services on a state wide basis. This recommendation was consistent with the provisions outlined in HUD Notice CPD 96-05 Section II item D, and in accordance with 24 CFR 85.36(d)(4). AIDS Alabama Inc., currently is the only agency within the State of Alabama solely dedicated to statewide HIV/AIDS prevention, housing, and services for persons living with HIV and their families and serves as Prime Project Sponsor for both the City of Birmingham and State of Alabama HOPWA Programs.

In an effort to broaden public participation, the City's Prime Project Sponsor selection process is included as a part of the City's Proposed Action Plan Development Process including a Request for Proposal process advertised annually to solicit comments and proposals for the proposed use of HUD funds for the upcoming program year. Comments and proposals are solicited from a wide range of non-profit organizations and other entities including grassroots faith based organizations. This process is described in the Executive Summary, Subparts 3 thru 5, as well as in Appendix D and F of this document. All timely comments and proposals received are reviewed and considered by the City prior to Prime Project Sponsor selection and all project sponsor selections are reviewed and approved by the City's elected officials prior to implementation.

### 3. Budget

This budget assumes a \$494,841 overall grant for this program in fiscal year 2013-2014. Adjustments will be made if the amount of available funding changes.

|   |                  |
|---|------------------|
| <b>Rental Assistance</b> - To provide approximately 100 households in the ment area with emergency, project-based and long-term rental assistance.          | \$200,620        |
| <b>Supportive Services</b> - Salary and fringe benefits for 1.5 FTE case ers and 1 FTE van driver. This includes mileage reimbursement for                  | \$100,309        |
| <b>Operating Costs</b> - Costs for minor repairs, maintenance, insurance, s, furnishings, equipment, supplies and other costs associated with ing housing   | \$154,956        |
| <b>Resource Identification</b> - Offset staff time, training, and consumer-assessments to link the needs of consumers with the best available s for housing | \$5,000          |
| Administration (7% AIDS Alabama)  | \$33,956         |
| <b>TOTAL</b>  | <b>\$494,841</b> |

AIDS Alabama currently receives HOPWA funds.

### **II. Agency Information**

AIDS Alabama, formerly AIDS Task Force of Alabama, incorporated in 1986 with a mission to serve persons with HIV and to prevent the spread of HIV. In 1988 AIDS Alabama was awarded a grant from the Health Resources Services Administration (HRSA, U.S. Department of Health & Human Services) to perform a needs assessment for persons living with HIV in the greater Birmingham area. This comprehensive research involved the University of Alabama at Birmingham, persons living with the virus, health providers, mental health providers, social service agency directors, representatives from the City of Birmingham and Jefferson County, biostatisticians, case managers in the HIV arena, volunteers, and HIV community representatives. In 1990, at the completion of this study, housing for persons with HIV was determined to be the number one need in the community. Relationships that developed during the needs assessment process led to AIDS Alabama's strong network of contacts in the HIV, homeless, healthcare, business, and government communities.

Simultaneous with this process, the State of Alabama awarded AIDS Alabama the first state funds related to HIV disease. AIDS Alabama quickly emerged as an experienced grant administrator for federal and state funds. AIDS Alabama has two key functions:

- a) provide housing for homeless or imminently homeless persons with HIV disease in the Birmingham area and
- b) to secure and administer grants for care of persons with HIV and for HIV-prevention grants for the Birmingham area and statewide.

***Mission Statement***

*AIDS Alabama devotes its energy and resources to helping people with HIV/AIDS live healthy, independent lives and works to prevent the spread of HIV.*

**Housing Experience:**

AIDS Alabama (then the AIDS Task Force of Alabama) was incorporated in 1986 as a 501(c) 3 nonprofit and began providing housing and supportive services in 1993 with the opening of a 3-bedroom transitional house for multiply diagnosed, low income persons living with HIV/AIDS. Supportive services included transportation to medical and psychosocial appointments and linkage with food stamps, Medicaid, Social Security benefits, substance abuse treatment, mental health support, prevention education services, and other social services. The housing program was funded by the U.S. Department of Housing & Urban Development's Emergency Shelter Grant funds through Jefferson County. This experience set the groundwork for what has moved to a full continuum of services, including case management services, transitional housing, permanent housing, service enriched housing, and both HIV prevention education and secondary education, which teaches HIV positive persons how to maintain good health and how to prevent transmission to others.

In the spring of 1992, AIDS Alabama was offered an old Catholic church complex by the Catholic Diocese of Birmingham with the vision that we would house persons there who were living with HIV/AIDS. An application was submitted for HUD 811 funds to convert the church school into an 18-unit permanent housing complex and to operate it once completed. In September 1992, the agency was notified of receipt of those funds. This facility, known as AGAPE House, opened on June 22, 1995.

In April of 1994 AIDS Alabama was awarded our first HUD Housing Opportunities for Persons with AIDS (HOPWA) contract and began providing rental assistance statewide. AIDS Alabama continues to provide statewide service through partnerships with seven other AIDS Service Organizations.

In 1995, AIDS Alabama won two Supportive Housing Program grants and a HOPWA Competitive grant application. These funds allowed AIDS Alabama to offer 45 beds of transitional housing, with housing for the first time for individuals with children. The HOPWA Entitlement grants continued to grow, as did the budget. Prevention education services increased, and a contract with the Alabama Medicaid Agency was added.

In 1998, a HOPWA Competitive award was received for the Alabama Rural AIDS Project (ARAP) to provide outreach workers in 35 rural counties and to purchase ten houses for families living with HIV. These two awards moved the agency further along the continuum of more sophisticated types of housing services with the belief that without stable housing, persons living with HIV/AIDS would not be able to access healthcare.

In 1998 AIDS Alabama was awarded two additional Supportive Housing Grants from the U.S. Department of Housing and Urban Development (HUD) to further increase transitional housing. This program, Next Step, currently consists of ten one and three-bedroom houses and one communal living chemical dependency program facility, the Rectory. The program provides housing with supportive services, such as case management and transportation, for up to 54 individuals per night.

In the next year AIDS Alabama was awarded another HUD grant to house homeless families. With these funds AIDS Alabama purchased and renovated five three and four-bedroom houses throughout the City of Birmingham. This program, now known as Family Places, can provide housing with supportive services to 27 family adults and children.

AIDS Alabama applied for a second HUD 811 grant called AGAPE II in 1997. Those funds were also awarded, and AGAPE II, a 12-unit apartment complex, was completed on June 10, 1999.

In July 1999 AIDS Alabama began to serve as the sponsor of the City of Birmingham's HOPWA Entitlement Funds to administer emergency rental assistance and supportive services to low-income, HIV-positive persons.

AIDS Alabama changed its name from the AIDS Task Force of Alabama in December of 1999 to both signify that this disease did not warrant a short lived task force and to fit our mission of serving persons with HIV/AIDS statewide. AIDS Alabama subcontracts with seven other AIDS Service Organizations to provide services to all 67 counties.

AIDS Alabama was awarded a HOPWA Competitive Multiply Diagnosed Initiative (MDI) grant in 1997. These funds were used to construct JASPER House. Opened in April 2002, JASPER House provides 14 beds for persons living with HIV disease and mental illness who are unable to live independently.

In the summer of 2002 to further address the need of housing in rural areas, AIDS Alabama partnered with the Auburn University School of Architecture and Design and East Alabama AIDS Outreach to construct the Rural Studio Project. Opened in September of 2003, this program offers a three-apartment unit in a rural, community residence setting. A family unit that will reside two families is near completion.

In 2007 AIDS Alabama purchased six new permanent housing units in east Birmingham. These apartments are now being remodeled in preparation for new tenants. A new three unit

permanent supportive housing apartment complex was also started in 2007 at the existing campus in west Birmingham. It should be completed in mid-2008. The church building on the Agency campus was rehabilitated as a community meeting and activity space in 2007. New bathrooms and other enhancements were added in 2008-2009.

AIDS Alabama provides its own property management in the Birmingham area for the two HUD 811 apartment complexes, five permanent houses, ten transitional units, six permanent housing apartments in east Birmingham, the Rectory, and the JASPER House.

Across the state, the agency manages the ten homes purchased through the Alabama Rural AIDS Project, the Rural Studio complex, and the Agency also assumed ownership and management of a 15-apartment complex (Magnolia Place) in Mobile from the Volunteers of America in 2007.

The Education and Outreach Department provides prevention education to the general population of greater Birmingham area as well as those infected with HIV. Monthly community presentations occur at homeless shelters, drug and alcohol treatment centers, correctional facilities, churches, schools, social and medical service agencies, and detention centers. The Education and Outreach Department also provides workshops and conferences to licensed professionals throughout the state of Alabama.

AIDS Alabama has been providing services in the City of Birmingham for over 21 years. The Agency in 2008 provides over 125 beds and almost 50,000 nights of housing per year in the Birmingham area through its resident programs and rental assistance. The Agency provides care for approximately 100 persons in Shelter + Care housing, provides rental assistance to 100 consumers, and provides HIV prevention and secondary education services to the Greater Birmingham Area.

AIDS Alabama first began to provide housing in September of 1993 at Parkview Place, a community residence emergency shelter. AIDS Alabama renovated the property to be in compliance with the American Disabilities Act utilizing Emergency Shelter Grant funds. AIDS Alabama staff supervised the entire renovation process.

In 1992 a new HIV housing provider, the Agape Foundation, was formed. Agape Foundation applied for and received a HUD 811 grant to renovate an existing Catholic school into a complex of 18 one-bedroom apartments. AIDS Alabama provided oversight of the construction and project development. Agape House opened on June 22, 1995. On June 10, 1999, Agape II, a second 811 funded project with 12 one, two and three bedroom apartments, opened in Birmingham. AIDS Alabama is a partner of the Agape Foundation and Agape II and provides supportive services to the residents.

In the summer of 1995 AIDS Alabama was awarded a HOPWA Competitive Special Project of National Significance (SPNS) grant and a Supportive Housing Program grant from the U.S. Department of Housing and Urban Development (HUD) to increase the capacity and supportive services for Next Step Housing. Supportive services for the residents of the

program funded by these grants include transportation, case management, and a chemical dependency treatment program. In December 1997 AIDS Alabama was awarded a HOPWA Competitive Multiply Diagnosed Initiative (MDI) grant. These funds were used to construct JASPER House. Opened in April 2002, JASPER House provides 14 beds for persons living with HIV disease and mental illness.

In 1998 AIDS Alabama was awarded two additional Supportive Housing Grants from the U.S. Department of Housing and Urban Development (HUD) to further increase Next Step housing. This program currently consists of ten one and three-bedroom houses and one communal living chemical dependency program facility. The program provides housing with supportive services, such as case management and transportation for up to 54 individuals per night.

In the next year AIDS Alabama was awarded another HUD grant to house homeless families. With these funds AIDS Alabama purchased and renovated five three and four-bedroom houses throughout the City of Birmingham. This program, now known as Family Places, has provided housing with supportive services to many families and children.

Also in 1998 AIDS Alabama began to recognize the need for housing in rural areas of the state. We applied for and were awarded a HOPWA Competitive grant to begin the Alabama Rural AIDS Program (ARAP). Ten three-bedroom homes were purchased throughout the State of Alabama. In the summer of 2002 to further address the need of housing in rural areas, AIDS Alabama partnered with the Auburn University School of Architecture and Design and East Alabama AIDS Outreach to construct the Rural Studio Project. Opened in September of 2003, this program offers a three-bedroom unit in a rural, community residence setting. A family unit that will reside two families is near completion.

In 2007 AIDS Alabama purchased six new permanent housing units in the Woodlawn area. These apartments are now being remodeled in preparation for new tenants. A new three unit permanent supportive housing apartment complex was also started in 2007. It should be completed in mid-2008. The church building on the Agency campus in Ensley is now usable as a community meeting space and new bathrooms and other enhancements were added in 2008-2009.

AIDS Alabama has been providing housing services with supportive services in the City of Birmingham and in the state of Alabama for 21 years. This agency has grown to a capacity of providing almost 50,000 nights of housing per year in the Birmingham area through its resident and rental assistance programs.

**Personnel:**

See attached job descriptions and personnel policies.

**Financial:**

As the project sponsor, AIDS Alabama is responsible for ensuring the city's reports are complete and on time, that the draw requests are handled as per the city's requests, and that all

expenditures are tracked according to all rules and regulations as specified by the City and by HUD. AIDS Alabama will complete the Annual Progress Report, participate in the Consolidated Planning process as requested by the City, and keep detailed backup for all expenditures. AIDS Alabama's Finance Department will issue all rental assistance checks to landlords, account for and report to tax authorities, employee payroll expenses, and rental payments, track van operational expenses and demographic information on individuals transported, and all other accounting and financial duties associated with this grant. AIDS Alabama completes an annual external audit in ensure that Generally Accepted Accounting Procedures are utilized and that internal control measures are in place. This year's audit was clean with no findings or concerns. AIDS Alabama also works with the City of Birmingham and HUD to complete annual programmatic and fiscal audits.

#### SUBPART III-15: MONITORING STANDARDS AND PROCEDURES PLAN:

The City of Birmingham, through its Department of Community Development, is committed to a comprehensive program of monitoring and evaluating the progress of housing and community development activities. The goal of the jurisdiction is to ensure long-term compliance with the applicable regulations and standards, particularly the National Affordable Housing Act. The Department of Community Development administers and monitors activities funded in whole or in part under programs covered by the consolidated plan. The Department of Community Development is responsible for overseeing funds distributed to sub-recipients and other entities, and for reporting performance and accomplishments in the Consolidated Annual Performance and Evaluation Report.

Because the CPD formula block grant program promotes maximum flexibility in program design and since the use of these funds is driven by local choice, HUD believes that performance measurement systems should be developed at the state and local level.

In accordance with the HUD-CPD Notice 03-09, issued in September 2003, the City is continuing its efforts to develop local performance measures. The City's Community Development Department is presently requiring quarterly reports from each of its CDBG, ESG, HOME and HOPWA grantees measuring performance and output measures. These reports are to be compiled and included as a part of the City's annual CAPER submission.

The City of Birmingham, through its Department of Community Development, is structuring its monitoring process to ensure that a system of continuous communication and evaluation is in place. The monitoring process facilitates the evaluation of accomplishments in relation to established goals and objectives. Information gained from the review will give the City of Birmingham an opportunity to determine which programs and /or strategies are working, which benefits are being achieved, which needs are being met and which objectives are being accomplished. Both qualitative and quantitative methods of evaluation will be used including the following:

- Surveys
- Telephone conversations
- Quarterly reports
- Periodic meetings
- Workshops
- Evaluation sessions
- Other forms of data collection

The Department of Community Development will require that all subrecipients, CHDOs, or other entities receiving funding execute written agreements before any CDBG, HOME, ESG, or HOPWA funds will be disbursed. These agreements will provide a description of the activity or project to be undertaken, its objectives, budget, and expected duration. The roles and responsibilities of each partner and the expected public benefit will be specified, measured, and recorded for tracking performance.

Service and/or housing providers will be required to submit quarterly reports on progress and accomplishments. The Department of Community Development will also conduct mid-year evaluations and site visits of funding recipients. These strategies will be used, as necessary, to redirect or refocus programs in order to meet annual and five-year objectives.

As part of the monitoring process, the City of Birmingham through its Department of Community Development will prepare and distribute a schedule of visits for each program, housing provider, and/or service provider. The schedule will be sent to each agency, organization or appropriate metropolitan government department as part of the initial CDBG, HOME, HOPWA, or ESG orientation. Notification letters, with schedules included, will address specific monitoring and technical aspects to be covered along with agency staff that should be involved. The Department of Community Development will also employ spot reviews and site visits as part of its monitoring activities.

**Timeliness of Expenditures:** To ensure the timeliness of expenditures, the focus of the monitoring plan will center on key indicators, which demonstrate if programs are operating effectively and efficiently. The plan will help the City of Birmingham, Alabama to ensure that housing, homeless, and non-housing issues and the internal policies are consistent. Where projects and/or programs have experienced delays, assessments of the following will be conducted:

- Reasons for the delay
- Extent to which the delay is beyond the control of the housing and/or service provider
- Extent to which original priorities, objectives and schedules were unrealistic

Monitoring activities for the consolidated plan will incorporate aspects that have been

included in the CDBG, HOME, HOPWA and ESG programs. This includes reviewing and documenting projects for eligibility, maintaining record-keeping requirements, and reviewing financial transactions, including budgets and funding. Since the consolidated plan is an integrated, comprehensive document, expansions and modifications of other monitoring procedures that have been used in the past will be conducted.

### **Subrecipient Monitoring:**

The City will comply with the Contractual Regulatory Requirements, as referenced at Section 24 of the Code of Federal Regulations, Part 570, which outlines the following basic provisions which all Subrecipient agreements must address:

#### *Statement of Work/ Scope of Services*

Detailed description of work to be performed, quantifying specific performance goals/milestones for completion of activities, and how the project will ensure that intended beneficiaries are served. Detailed information regarding the planned impact of economic development activities including job creation and retention, where applicable. Housing rehabilitation/development activity descriptions will address specific work to be done, the number units to be completed and performance benchmarks. The scope of services for public works and public facilities will be developed with the assistance of participating city departments such as engineering, parks and recreation and private sub-contractors, where applicable.

Detailed budget of projected resources, indicating clearly how funds will be used to support planned activities.

#### *Record-keeping Requirements*

Identifying the Subrecipient's responsibility for providing timely financial reports, accurately documenting program progress, the frequency of reports required by the City and Federal Government. Documentation of CDBG participant and/or area eligibility.

#### *Program Income*

Specific management and reporting procedures for those activities that may generate program income to ensure that funds are properly recorded, reported and expended.

#### *Program Financial Audit*

Detailing regulatory guidelines by which the City and Subrecipient agencies will be governed regarding the financial and programmatic responsibilities of grant administration.

#### *Other Program Requirements*

Addressing such special areas as labor standards, fair housing requirements, prescribed conditions governing the participation of religious organizations, and other assurances and certifications where applicable.

*Suspension and Termination Clause*

Sets forth provisions for the City to terminate or suspend agreements for reasons of non-compliance or convenience.

These actions which provide Subrecipients with all the necessary contract management and program implementation tools, will provide adequate and detailed information to effectively monitor both the Subrecipient's and the City's performance under the Consolidated Plan.

*Schedule:*

The monitoring of Consolidated Plan approved program activities will be accomplished through the application of three basic functions: Programmatic, Financial and On-Site Monitoring. The first two functions are conducted from an in-house perspective based on the desk-top review of required monthly progress reports, periodic inter-departmental consultations, financial reimbursements and related documents, correspondences and other day-to-day contract management activities. The City's Finance Department provides weekly and monthly auditing of CDBG Program expenditures through its computerized Fiscal Management and Information system. There is also an annual internal audit conducted by the City's Internal Audit Division. On-site performance review monitoring will be conducted through actual visits to project locations and/or Subrecipient agencies, to verify and inspect planned versus actual progress for public service, housing, public works, facilities and economic development activities. In addition an assessment of fund management, grant administration, compliance with contractual obligations, and the Consolidated Plan will also be made.

Significant observations resulting from all monitoring efforts will be documented in writing and placed in appropriate project files. Comments on the status of activities reflecting successes or lack of progress will be reflected with recommendations for corrective actions where necessary. For minor problems, on-going open collaborations with funded entities will provide a opportunity to share concerns, and offer immediate technical assistance. In instances where on- site monitoring have taken place a formal letter outlining monitoring results and any necessary corrective to be undertaken and time frames to resolve identified concerns will be transmitted to the appropriate authorities. In addition to daily monitoring and management functions, the City will conduct at least one formal on-site monitoring of Subrecipients per contract year. However, unlimited monitoring will take place for those agencies demonstrating the need.

The U. S. Department of Housing and Urban Development provides further oversight of the City's CDBG activities and other financial assistance programs through its annual monitoring, required program specific performance reports and technical assistance upon request. The City anticipates that this policy will continue.

### **Home Program Monitoring:**

1. The Community Development Department prepares a written HOME Program request for proposals that is published in local newspapers of general circulation.
2. Community Development Department staff review all HOME Program proposals according to how each can best address the City's affordable housing priorities identified in the City's Consolidated Plan and Action Plan.
3. After proposals are reviewed, the Community Development Department makes its recommendations to the Mayor's Housing Review Committee (Appendix I), based on program objectives.
4. Upon approval, HOME sub recipients are informed as to the disposition of their proposal. Contracts are prepared for execution by the City and HOME subrecipients approved to provide affordable housing.
5. Community Development staff monitors the contractual agreement through project completion and throughout the duration of applicable affordable housing limits.

**Program Timeliness:** The Community Development Department Staff monitors overall program timeliness by reviewing expenditure ratio's for CDBG, ESG, HOME, and HOPWA on at least a monthly basis. Copies of the reports are maintained on file in the Community Development Department.

### **SUBPART III-16: ANTI-DISPLACEMENT AND RELOCATION PLAN:**

The City of Birmingham through its Department of Community Development will replace all low- and moderate-income dwelling units that are either occupied or deemed "vacant but suitable for occupancy" but which are subsequently demolished or converted to a non-housing use in connection with an activity assisted with funds provided under the Housing and Community Development Act of 1974, as amended, as described in 24 CFR 570.606(c) (1).

All replacement housing will be provided within four years after the commencement of the demolition or conversion. Before entering into a contract committing the Department of Community Development to provide funds for an activity that will directly result in demolition or conversion, the City of Birmingham through its Department of Community Development will make public a notice in local newspapers and submit to HUD the following information in writing:

- A description of the proposed assisted activity

- The location on a map and number of dwelling units by size (number of bedrooms) that will be demolished or converted to a use other than as low- or moderate-income dwelling units as a direct result of the assisted activities
- A time schedule for the commencement and completion of the demolition of conversion
- To the extent known, the location on a map and the number of dwelling units by size that will be provided as replacement dwelling units
- The source of funding and a time schedule for the provision of the replacement dwelling units
- The basis for concluding that each replacement dwelling unit will remain a low- or moderate-income dwelling unit for at least 10 years from the date of initial occupancy
- Information demonstrating that any proposed replacement of dwelling units with smaller dwelling units (for example, a two-bedroom unit with two one-bedroom units), is consistent with the housing needs of lower-income households in the city

If such data are not available for the last four items at the time of the general submission, the City of Birmingham through its Department of Community Development will identify the general location on an area map and the approximate number of dwelling units by size and provide information identifying the specific location and number of dwelling units by size as soon as it is available.

The Department of Community Development is responsible for tracking the replacement of housing and ensuring that it is provided within the required period. The department is also responsible for ensuring that relocation assistance, as described in 570.606(c)(2), is provided to any lower-income person displaced by the demolition of any dwelling unit or the conversion of a low- or moderate-income dwelling unit to another use in connection with an assisted activity.

Consistent with the goals and objectives of activities assisted under the Act, the City of Birmingham, Alabama will take the following steps to minimize the displacement of persons from their homes:

- Coordinate code enforcement with rehabilitation and housing assistance programs
- Evaluate housing codes and rehabilitation standards in reinvestment areas to prevent their placing undue financial burden on long-established owners or tenants of multifamily buildings

- Stage rehabilitation of apartment units to allow tenants to remain during and after rehabilitation by working with empty units or buildings first
- Locate temporary housing to house persons who must be relocated temporarily during rehabilitation
- Adopt public policies to identify and mitigate displacement resulting from intensive public investment in neighborhoods

**SUBPART III-17: MINORITY HOMEOWNERSHIP:**

The City through the CDBG and HOME Programs estimates that a total of 15 minority households will be assisted in becoming homeowners during the upcoming program year. These programs will be administered through the Community Development Department's Housing Division.

**SUBPART III-18: SECTION 504 REASONABLE ACCOMMODATION POLICY:**

STATEMENT OF REASONABLE ACCOMMODATIONS FOR PERSONS WITH  
DISABILITIES

The Community Development Department hereby adopts and re-affirms the following Section 504 Policy in compliance with the requirements of Section 504 of the Rehabilitation Act of 1973. This policy is as follows:

**Reasonable Accommodation Policy-Section 504:**

Program Modifications: "The City will make reasonable modifications to policies and programs to ensure that people with disabilities have an equal opportunity to enjoy all City services, activities and programs. Because all activity centers may not offer accessible programs citizens may be directed to those centers where we can accommodate your needs at another facility or make program modifications at the site to facilitate access and participation.

Communication: Upon request, the City will provide appropriate aides and services for qualified persons with disabilities so they can participate fully in the City's programs, services and activities. Persons needing communication devices should make their needs known to the department for which service is sought. Please allow adequate time for the City to make accommodations. This policy is available for inspection through the ADA office and is distributed to the public through the attached information.

Employment: The City of Birmingham is an equal Opportunity Employer and does not discriminate on the basis of disability in its hiring or employment practices. All

applications for employment may be accessed through the Jefferson County Personnel Board.

Requests: Persons with special needs or requirements should notify the Director's Office, Department of Community Development; 710 North 20<sup>th</sup> Street, 10<sup>th</sup> Floor City Hall; Phone 205-254-2309 at least 48 hours in advance if possible, depending on the request. Requests may also be made through the City's Office of Americans with Disabilities; Linda Coleman Director at 205-254-2079.

APPENDIX A-STANDARD FORM 424

APPENDIX B – CERTIFICATIONS

## APPENDIX C-DEFINITIONS

**Certification.** A written assertion, based on supporting evidence, that must be kept available for inspection by HUD, by the Inspector General of HUD, and by the public. The assertion shall be deemed to be accurate unless HUD determines otherwise, after inspecting the evidence and providing due notice and opportunity for comment.

**Consolidated plan (or "the plan").** The document that is submitted to HUD that serves as the planning document (comprehensive housing affordability strategy and community development plan) of the jurisdiction and an application for funding under any of the Community Planning and Development formula grant programs (CDBG, ESG, HOME, or HOPWA), which is prepared in accordance with the process prescribed in this part.

**Consortium.** An organization of geographically contiguous units of general local government that are acting as a single unit of general local government for purposes of the HOME program (see 24 CFR part 92).

**Cost burden.** The extent to which gross housing costs, including utility costs, exceed 30 percent of gross income, based on data available from the U.S. Census Bureau.

**Elderly person.** A person who is at least 62 years of age.

**Emergency shelter.** Any facility with overnight sleeping accommodations, the primary purpose of which is to provide temporary shelter for the homeless in general or for specific populations of the homeless.

**Extremely low-income family.** Family whose income is between 0 and 30 percent of the median income for the area, as determined by HUD with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 30 percent of the median for the area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes.

**Float Funded Activity:** Under the CDBG entitlement program, the regulations do not require that funded activities be completed within a particular time period. Planned activities are often scheduled by the grantee (the City) to be carried out over a period of time. There is some constraint on the City's ability to schedule activities over long periods of time since the regulations provide a maximum level of unexpended funds a grantee is generally authorized to have when it is about to receive its next annual grant. However, the allowable level of funds at that point can be as much as one- and one-half times the community's annual grant amount. This level of undisbursed funds is called the "float".

Homeless family with children. A family composed of the following types of homeless persons: at least one parent or guardian and one child under the age of 18; a pregnant woman; or a person in the process of securing legal custody of a person under the age of 18.

Homeless person. A youth (17 years or younger) not accompanied by an adult (18 years or older) or an adult without children, who is homeless (not imprisoned or otherwise detained pursuant to an Act of Congress or a State law), including the following:

- (1) An individual who lacks a fixed, regular, and adequate nighttime residence; and
- (2) An individual who has a primary nighttime residence that is:
  - (i) A supervised publicly or privately operated shelter designed to provide temporary living accommodations (including welfare hotels, congregate shelters, and transitional housing for the mentally ill);
  - (ii) An institution that provides a temporary residence for individuals intended to be institutionalized; or
  - (iii) A public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings.

Homeless subpopulations. Include but are not limited to the following categories of homeless persons: severely mentally ill only, alcohol/drug addicted only, severely mentally ill and alcohol/drug addicted, fleeing domestic violence, youth, and persons with HIV/AIDS.

HUD. The United States Department of Housing and Urban Development.

Income. The Department of Community Development of the City of Birmingham, Alabama has adopted the Section 8 definition of income.

Jurisdiction. A State or unit of general local government.

Large family. Family of five or more persons.

Lead-based paint hazards. Any condition that causes exposure to lead from lead-contaminated dust, lead-contaminated soil, lead-contaminated paint that is deteriorated or present in accessible surfaces, friction surfaces, or impact surfaces that would result in adverse human health effects as established by the appropriate Federal agency.

Low-income families. Low-income families whose incomes do not exceed 50 percent of the median family income for the area, as determined by HUD with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes.

Moderate-income family. Family whose income does not exceed 80 percent of the median income for the area, as determined by HUD with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes.

Middle-income family. Family whose income is between 80 percent and 95 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 95 percent of the median for the area on the basis of HUD's findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes. (This corresponds to the term "moderate income family" under the CHAS statute, 42 U.S.C. 12705.)

Overcrowding. A housing unit containing more than one person per room.

Person with a disability. A person who is determined to:

- (1) Have a physical, mental or emotional impairment that:
  - (i) Is expected to be of long-continued and indefinite duration;
  - (ii) Substantially impedes his or her ability to live independently;
  - (iii) Is of such a nature that the ability could be improved by more suitable housing conditions; or
- (2) Have a developmental disability, as defined in Section 102(7) of the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001-6007); or
- (3) Be the surviving member or members of any family that had been living in an assisted unit with the deceased member of the family who had a disability at the time of his or her death.

Poverty level family. Family with an income below the poverty line, as defined by the Office of Management and Budget and revised annually.

Severe cost burden. The extent to which gross housing costs, including utility costs, exceed 50 percent of gross income, based on data available from the U.S. Census Bureau.

State. Any State of the United States and the Commonwealth of Puerto Rico.

Transitional housing. A project that is designed to provide housing and appropriate supportive services to homeless persons to facilitate movement to independent living within 24 months, or a longer period approved by HUD. For purposes of the HOME program, there is no HUD-approved time period for moving to independent living.

Unit of general local government. A city, town, township, county, parish, village, or other general purpose political subdivision of a State; an urban county, and a consortium of such political subdivisions recognized by HUD in accordance with the HOME program (part 92) of the CDBG program (part 570).

Urban County.

- (1) The term "urban county" means any county within a metropolitan area which--
  - (i) is authorized under State law to undertake essential community development and housing assistance activities in its unincorporated areas, of any, which are not units of general local governments; and
  - (ii) has a population of 200,000 or more (excluding the population of metropolitan cities therein) and has a combined population of 100,000 or more (excluding the population of metropolitan cities therein) in such unincorporated areas and in its included units of general local government (and in the case of counties having a combined population of less than 200,000, the areas and units of general local government must include the areas and units of general local government which in the aggregate have the preponderance of the persons of low and moderate income who reside in the county excluding metropolitan cities therein) in which it has authority to undertake essential community development and housing assistance activities and which do not elect to have their population excluded, or with which it has entered into cooperation agreements to undertake or to assist in the undertaking of essential community development and housing assistance activities.
- (2) The term "urban county" also includes any other county eligible under section 102(a)(6) of the Act.
- (3) Any county classified as an urban county pursuant to paragraph (ee)(1) or (2) of this definition, and that no longer qualifies as an urban county under paragraph (ee)(1) or (2) of this definition in a fiscal year beginning after fiscal year 1989, shall retain its classification as an urban county for such fiscal year and the succeeding fiscal year, except that in such succeeding fiscal year the amount of the grant to such an urban county shall be 50 percent of the amount calculated under section 106(b) of the Act; and the remaining 50 percent shall be added to the amount allocated under section 106(d) of the Act to the State in which the urban county is located and the urban

county shall be eligible in such succeeding fiscal year to receive a distribution from the State allocation under section 106(d) of the Act.

- (4) In determining whether a county's combined population contains the required percentage of low and moderate income persons, the Department will identify the number of persons that resided in applicable areas and units of general local government based on data from the most recent decennial census, and using income limits that would have applied for the year in which that census was taken.

#### SUPPLEMENTAL DEFINITIONS RELATING TO LEAD-BASED PAINT HAZARDS

Abatement - Abatement means any set of measures designed to permanently eliminate lead-based paint hazards in accordance with standards established by appropriate Federal agencies. Such term includes:

- ! the removal of lead-based paint and lead-contaminated dust, the permanent containment or encapsulation of lead-based paint, the replacement of lead-painted surfaces or fixtures, and the removal or covering of lead-contaminated soil; and
- ! all preparation, cleanup, worker protection, disposal, and post-abatement clearance testing activities associated with such measures.

Encapsulation - A method of abatement that involves the coating and sealing of surfaces with durable, surface coatings specifically formulated to elastic, able to withstand sharp and blunt impacts, long-lasting, and resilient, while also resistant to cracking, peeling, algae, fungus, and ultraviolet light. Encapsulation prevents any part of lead-containing paint from becoming part of house dust or otherwise accessible to children. Paint is not an encapsulant.

Enclosure - The resurfacing or covering of surfaces with durable materials such as gypsum board or paneling, and sealing or caulking the edges and joints. Enclosure prevents or control chalking, flaking, peeling, scaling, or loose lead-containing substances from becoming part of house dust or otherwise accessible to children.

Interim Controls - "Interim controls" means a set of measures designed to temporarily reduce human exposure or likely exposure to lead-based paint hazards, including specialized cleaning, repairs, maintenance, painting, temporary containment, ongoing monitoring of lead-based paint hazards or potential hazards, and the establishment and operation of management and resident education programs.

Interim Guidelines - HUD's manual of lead-hazard reduction practices: "Lead-Based Paint: Interim Guidelines for Hazard Identification and Abatement in Public and Indian Housing," revised September 1990 and May 1991.

HEPA (High efficiency particulate accumulator) - A vacuum cleaner fitted with a filter capable of filtering out particles of 0.3 microns or greater from a body of air at 99.97 percent efficiency or more.

Lead-Based Paint - The HUD thresholds or action levels for abating lead-based paint are: one milligram per square centimeter (1 mg/cm<sup>2</sup>); or 0.5% by weight.

Lead-Based Paint Hazard - "Lead-based paint hazard" means any condition that causes exposure to lead from lead-contaminated dust, lead-contaminated soil, lead-contaminated paint that is deteriorated or present in accessible surfaces, friction surfaces, or impact surfaces that would result in adverse human health effects as established by the appropriate Federal agency.

Lead-Contaminated Soil - "Lead-contaminated soil" means bare soil on residential real property that contains lead at or in excess of the level determined to be hazardous to human health by the appropriate Federal agency.

Laboratory Accreditation - A laboratory that has been approved by a state to perform analyses of lead in paint and dust and whose approval is consistent with criteria established by the Environmental Protection Agency.

Lead-in-dust - Interior house surface dust that contains an area concentration of lead that may pose a threat of adverse health effects in pregnant women or young children. A hazard exists if concentrations exceed the Maximum Acceptable Standards: 200 micrograms per square foot (ug/sq.ft.) on floors, 500 ug/sq.ft. on window sills, and 800 ug/sq.ft. on window wells.

Replacement - A strategy of abatement that removes components such as windows, doors, and trim that have lead-based painted surfaces, and installs new or de-lead components free of lead-based paint.

Residential Dwelling - (a) a single-family dwelling including attached structures such as porches and stoops; or (b) a single-family dwelling unit in a structure that contains more than 1 separate residential dwelling unit, and in which each such unit is used or occupied, or intended to be used or occupied, in whole or in part, as the home or residence of 1 or more persons.

Risk Assessment - "Risk assessment" means an on-site investigation to determine and report the existence, nature, severity, and location of lead-based paint hazards in residential dwellings, including: (a) information gathering regarding the age and history of the housing and occupancy by children under age 6; (b) visual inspection; (c) limited wipe sampling or other environmental sampling techniques; (d) other activity as may be appropriate; and (e) provision on a report explaining the results of the investigation.

Substrate - The material to which a coating such as paint is applied. Residential substrates are usually wood, plaster, masonry, gypsum board, or metal, including components such as doors

and door frames, windows and window trim, other trim, walls, ceilings, cabinets, and other built-in storage.

Surface - The outer or topmost boundary of a substrate.

Testing - The measurement of lead in painted surfaces by Federal- or State-certified personnel using a portable x-ray fluorescence analyzer, laboratory analysis of paint samples, or other methods approved by HUD.

Title X - The Residential Lead-Based Hazard Reduction Act of 1992 (Public Law 102-550) signed into law on October 28, 1992.

Wipe Test - The testing of a surface for the presence of lead dust by the patterned wiping of the surface, followed by analysis of the wipe by atomic absorption spectroscopy, giving the result in micrograms of lead per square foot of surface. For clearance purposes, a sample of floors, window sills, and window wells should be tested in accordance with HUD's Interim Guidelines. The guidelines require that for clearance, all surfaces shall have less than the maximum allowable concentration standard of 200 ug/sq.ft. on floors, 500 ug/sq.ft. on window sills, and 800 ug/sq.ft. on window wells.

XRF Analyzer - A portable instrument that determines lead concentration in milligrams per square centimeter (mg/cm<sup>2</sup>) using the principle of x-ray fluorescence. The instrument may measure mean lead content or lead content plus a spectrum of other elements.

APPENDIX D  
PY 2013 ACTION PLAN PUBLIC HEARING NOTICES  
AND CONSULTATION CORRESPONDENCE

I. APPLICATION SCHEDULE:

The following schedule was utilized in the development of the City's PY 2013 Action Plan

**CITY OF BIRMINGHAM, ALABAMA**  
**DEPARTMENT OF COMMUNITY DEVELOPMENT**  
**PY 2013 ACTION PLAN - ONE YEAR USE OF FUNDS**  
**(JULY 1, 2013 THROUGH JUNE 30, 2014)**

**PY 2013 ACTION PLAN SUBMISSION SCHEDULE FOR**  
**CDBG, HOME, HOPWA, AND ESG PROGRAMS**

- \* **December 14, 2012**: Make PY 2013 Alternative Proposal Applications Available and publish Proposed PY 2013 Action Plan for mandatory 30 day comment period. Copies to be sent to current CDBG, ESG, HOME sub recipients, HABD, HUD, City Council Members, and various City departments and both to be posted on the Community Development Department Webpage at [www.birminghamal.gov](http://www.birminghamal.gov).
- \* **January 10, 2013**: PY 2013 Action Plan public hearings to be conducted at 9:30 a.m. and 5:30 p.m. in the City Council Chamber by the Community Development Department.
- \* **January 24, 2013**: PY 2013 Action Plan public hearings to be conducted at 9:30 a.m. and 5:30 p.m. in the City Council Chamber by the Community Development Department.
- \* **January 28, 2013; 4:00 p.m.**: Proposed Action Plan comment period ends. Deadline for the submission of all comments/proposals.
- \* **February 18, 2013**: Community Development Department complete review of PY 2013 proposals and submit them to the Mayor for approval. This is an estimated time frame depending upon the number of proposals received and is subject to change.
- \* **March 13, 2013**: Publication of the Mayor's Recommended PY 2013 Action Plan and Budget for 30 day Citizen Comment and review **if substantial amendments** have been made to the Proposed Action Plan published in December.
- \* **March 18 through April 8, 2013**: City Council Committee Review of the Mayor's Final PY 2013 Action Plan Budget recommendations. Includes Committee Review(s).
- \* **April 9 or 16, 2013**: Present Proposed PY 2013 Action Plan to the City Council authorizing the Mayor to submit the Action Plan to HUD pending completion of all regulatory requirements.

\* **April 15, 2013; 4:00 p.m.**: Mayor's Recommended Action Plan comment period ends. Deadline for the submission of all comments/proposals.

\* **April 17, 2013**: Publication of the Final PY 2013 Action Plan and Budget for 30 day Citizen Comment and review **if substantial amendments** have been made to the Mayor's Recommended Action Plan published in March.

\* **May 15, 2013**: Submission of the City's Final PY 2013 Action Plan to HUD and to the State Clearing House for required 45 day review.

\* **May 20, 2013; 4:00 p.m.**: Final Action Plan comment period ends (if needed).

\* **July 1, 2013**: PY 2013 Action Plan begins pending receipt of grant agreements from HUD and execution by the Mayor.

File Name: 13APSCHEDULE

## II. PUBLIC NOTICES:

The following PY 2013 Action Plan Public Hearing Notice was published or posted as indicated:

**II-1. PROPOSED PY 2013 (JULY 1, 2013-JUNE 30, 2014) ACTION PLAN-ONE YEAR USE OF FUNDS SUMMARY AND 30 DAY COMMENT PERIOD. This notice is contained in Appendix F.**

## **II-2. ALABAMA OPEN MEETING ACT PUBLIC MEETING NOTICE:**

CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
ALABAMA OPEN MEETINGS ACT  
PUBLIC MEETING NOTICE

### TIME, DATE, AND PLACE OF THE MEETING:

Date: Thursday, January 10, 2013

Time: 9:30 a.m. and 5:30 p.m.

Location: City Council Chamber, 710 North 20<sup>th</sup> Street; 3<sup>rd</sup> Floor City Hall, Birmingham, Alabama

### PRELIMINARY AGENDUM:

1. Introductions
2. Summary of the Action Plan Application Process
3. Citizen Comments/Proposals.

NATURE AND PURPOSE OF MEETING:

The purpose of the public hearing will be to obtain comments regarding the proposed use of the referenced PY 2013 HUD Consolidated Formula Allocation Program funding including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of the City's PY 2013 Consolidated Formula Allocation from the U.S. Department of Housing and Urban Development (HUD). Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received at the hearing or written comments received in the Community Development Department before January 28, 2013, 4:00 p.m. will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

PY 2013 (July 1, 2013-June 30, 2014) HUD Consolidated Formula Allocation Programs: Community Development Block Grant Program (CDBG); Home Investment Partnership Program (HOME); Emergency Solutions Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA).

NEWSPAPER PUBLICATION:

A more detailed public notice regarding this public hearing was published in the Birmingham News on December 14, 2012 and Birmingham Times on December 20, 2012. Copies are available for inspection in the Community Development Department; 710 North 20<sup>th</sup> Street; 10<sup>th</sup> Floor City Hall; Birmingham, Alabama

POSTING DATE: December 14, 2012; Bill Board; 2<sup>nd</sup> floor City Hall.

File name: 12-14-12 bill board

CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
ALABAMA OPEN MEETINGS ACT  
PUBLIC MEETING NOTICE

TIME, DATE, AND PLACE OF THE MEETING:

Date: Thursday, January 24, 2013

Time: 9:30 a.m. and 5:30 p.m.

Location: City Council Chamber, 710 North 20<sup>th</sup> Street; 3<sup>rd</sup> Floor City Hall, Birmingham, Alabama

PRELIMINARY AGENDUM:

1. Introductions
2. Summary of the Action Plan Application Process
3. Citizen Comments/Proposals.

NATURE AND PURPOSE OF MEETING:

The purpose of the public hearing will be to obtain comments regarding the proposed use of the referenced PY 2013 HUD Consolidated Formula Allocation Program funding including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of the City's PY 2013 Consolidated Formula Allocation from the U.S. Department of Housing and Urban Development (HUD). Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received at the hearing or written comments received in the Community Development Department before January 28, 2013, 4:00 p.m. will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

PY 2013 (July 1, 2013-June 30, 2014) HUD Consolidated Formula Allocation Programs: Community Development Block Grant Program (CDBG); Home Investment Partnership Program (HOME); Emergency Solutions Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA).

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POSTING DATE: December 14, 2012; Bill Board; 2<sup>nd</sup> floor City Hall.

File name: 12-14-12 bill board

CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
ALABAMA OPEN MEETINGS ACT  
PUBLIC MEETING NOTICE

TIME, DATE, AND PLACE OF THE MEETING:

Date: Thursday, April 18, 2013

Time: 9:30 a.m.

Location: City Council Chamber, 710 North 20<sup>th</sup> Street; 3<sup>rd</sup> Floor City Hall, Birmingham, Alabama

PRELIMINARY AGENDUM:

1. Introductions
2. Summary of the Action Plan Application Process
3. Citizen Comments/Proposals.

NATURE AND PURPOSE OF MEETING:

The purpose of the public hearing will be to obtain comments regarding the proposed use of the referenced PY 2013 HUD Consolidated Formula Allocation Program funding including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of the City's PY 2013 Consolidated Formula Allocation from the U.S. Department of Housing and Urban Development (HUD). Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received at the hearing or written comments received in the Community Development Department before April 29, 2013 by 4:00 p.m. will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

PY 2013 (July 1, 2013-June 30, 2014) HUD Consolidated Formula Allocation Programs: Community Development Block Grant Program (CDBG); Home Investment Partnership Program (HOME); Emergency Solutions Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA).

NEWSPAPER PUBLICATION:

A more detailed public notice regarding this public hearing was published in the Birmingham News on March 29, 2013 and Birmingham Times on March 28, 2013. The notice was republished in the Birmingham News on March 31, 2013. Copies are available for inspection in the Community Development Department; 710 North 20<sup>th</sup> Street; 10<sup>th</sup> Floor City Hall; Birmingham, Alabama

POSTING DATE: April 1, 2013; Bill Board; 2<sup>nd</sup> floor City Hall.

File name: 4-1-13 bill board

**II-3. Public Hearing Agenda:**

**CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 (JULY 1, 2013-JUNE 30, 2014) ACTION PLAN  
PUBLIC HEARING AGENDA**

**HUD FUNDED PROGRAMS**

**CDBG, ESG, HOME, HOPWA**

**JANUARY 10, 2013 @ 9:30 A.M. & 5:30 P.M.  
CITY COUNCIL CHAMBER**

**I. WELCOME AND INTRODUCTIONS: James Roberts, Senior Administrative Analyst**

**II. ACTION PLAN SUMMARY: James Roberts, Senior Administrative Analyst**

**III. PROGRAM YEAR 2013 HUD FORMULA ALLOCATION AMOUNTS:**

For information purposes, the following is a summary of the City's funding levels since 2002. Please note the City has taken significant reductions in all programs and unfortunately we anticipate additional reductions for 2013. HUD has yet to finalize the PY 2013 allocations based on overall Budget allocations from The Congress.

|       | CDBG          | HOME        | HOPWA     | ESG       |
|-------|---------------|-------------|-----------|-----------|
| 2002: | \$8,781,000   | \$2,549,000 | \$469,000 | \$292,000 |
| 2003: | \$8,117,000   | \$2,347,573 | \$486,000 | \$301,000 |
| 2004: | \$8,017,000   | \$2,347,512 | \$520,000 | \$296,915 |
| 2005: | \$7,595,149   | \$2,246,287 | \$497,000 | \$293,219 |
| 2006: | \$6,853,637   | \$2,121,412 | \$511,000 | \$292,138 |
| 2007: | \$6,847,776   | \$2,009,252 | \$516,000 | \$295,584 |
| 2008: | \$6,587,112   | \$2,033,367 | \$538,000 | \$295,046 |
| 2009: | \$6,662,347   | \$2,271,553 | \$554,848 | \$293,281 |
| 2010: | \$7,223,115   | \$2,371,553 | \$593,523 | \$291,788 |
| 2011: | \$6,021,660   | \$1,990,104 | \$586,116 | \$292,639 |
| 2012: | \$5,541,756   | \$1,191,453 | \$582,166 | \$521,460 |
| 2013: | Not Available |             |           |           |

**Approximate Reduction Percentages:**

|          | CDBG   | HOME   | HOPWA  | ESG    |
|----------|--------|--------|--------|--------|
| Since 02 | (-37%) | (-53%) | (+24%) | (+79%) |

**IV. CDBG PROGRAM LIMITATIONS FOR PLANNING & MANAGEMENT/ADMINISTRATION AND PUBLIC SERVICE ACTIVITIES:**

- CDBG Public Service Activities Are By CDBG Regulation Limited To 15% of CDBG Grant Funds and Anticipated Previous Year Program Income.

- CDBG Planning & Management/Administration Activities Are By CDBG Regulation Limited to 20% of CDBG Grant Funds and Anticipated Program Income.

**V. PY 2013 HOME AND HOPWA PROGRAMS:**

**-PY 2013 HOME Program:** Comments, suggestions, and proposals relating to the possible overall use of PY 2013 HOME funds by the City in accordance with the eligible activities previously referenced are encouraged and will be considered.

**- PY 2013 HOPWA Program:** AIDS Alabama, Inc. presently serves as the City's sponsor of HOPWA Entitlement Funds. A description of proposed activities is included as a part of the City's PY 2013 Proposed Action Plan. However, comments and suggestions relating to the possible overall use of PY 2013 HOPWA funds by the City in accordance with the eligible activities previously referenced are encouraged.

**- PY 2013 ESG Program:** The City intends to apply for 2013 ESG funding through the U.S. Department and Housing and Urban Development and through the Alabama Department of Economic and Community Affairs (ADECA). ESG proposals received through the City's 2013 Action Plan development process will be considered for both.

#### **VI. PY 2013 DEADLINE FOR PROPOSALS:**

ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE COMMUNITY DEVELOPMENT DEPARTMENT , 710 North 20<sup>th</sup> Street, 10<sup>th</sup> Floor City Hall; Birmingham, Al; no later than **Monday January 28, 2013 by 4:00 p.m.**, to be considered in the development of the City's Final PY 2013 Action Plan-One Year Use Of Funds submission. No comments or proposals received by e-mail or fax transmissions will be accepted or considered.

#### **VII. CITIZEN COMMENTS/PROPOSALS:**

- Please register at the desk if you would like to speak. Please provide your name, address, daytime telephone number, and organization, if any, that you represent. Names will be called in the order received.
- If you have parked in the Parking Deck adjacent to Boutwell Auditorium, you may have your deck ticket validated at the registration desk.

File name: 1-10-13 public hearing agenda

**CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 (JULY 1, 2013-JUNE 30, 2014) ACTION PLAN  
PUBLIC HEARING AGENDA**

**HUD FUNDED PROGRAMS  
CDBG, ESG, HOME, HOPWA**

**JANUARY 24, 2013 @ 9:30 A.M. & 5:30 P.M.  
CITY COUNCIL CHAMBER**

**I. WELCOME AND INTRODUCTIONS: James Roberts, Senior Administrative Analyst**

**II. ACTION PLAN SUMMARY: James Roberts, Senior Administrative Analyst**

**III. PROGRAM YEAR 2013 HUD FORMULA ALLOCATION AMOUNTS:**

For information purposes, the following is a summary of the City’s funding levels since 2002. Please note the City has taken significant reductions in all programs and unfortunately we anticipate additional reductions for 2013. HUD has yet to finalize the PY 2013 allocations based on overall Budget allocations from The Congress.

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| 2011: | \$6,021,660 | \$1,990,104 | \$586,116 | \$292,639 |
| 2012: | \$5,541,756 | \$1,191,453 | \$582,166 | \$521,460 |

2013: Not Available

**Approximate Reduction Percentages:**

|          | CDBG   | HOME   | HOPWA   | ESG     |
|----------|--------|--------|---------|---------|
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**IV. CDBG PROGRAM LIMITATIONS FOR PLANNING & MANAGEMENT/ADMINISTRATION AND PUBLIC SERVICE ACTIVITIES:**

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**- PY 2013 ESG Program:** The City intends to apply for 2013 ESG funding through the U.S. Department and Housing and Urban Development and through the Alabama Department of Economic and Community Affairs (ADECA). ESG proposals received through the City's 2013 Action Plan development process will be considered for both.

#### **VI. PY 2013 DEADLINE FOR PROPOSALS:**

ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE COMMUNITY DEVELOPMENT DEPARTMENT , 710 North 20<sup>th</sup> Street, 10<sup>th</sup> Floor City Hall; Birmingham, Al; no later than **Monday January 28, 2013 by 4:00 p.m.**, to be considered in the development of the City's Final PY 2013 Action Plan-One Year Use Of Funds submission. No comments or proposals received by e-mail or fax transmissions will be accepted or considered.

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File name: 1-24-13 public hearing agenda

**CITY OF BIRMINGHAM, ALABAMA  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
  
PY 2013 (JULY 1, 2013-JUNE 30, 2014) ACTION PLAN  
PUBLIC HEARING AGENDA  
  
HUD FUNDED PROGRAMS  
CDBG, ESG, HOME, HOPWA**

**April 18, 2013 @ 9:30 A.M.  
CITY COUNCIL CHAMBER**

**I. WELCOME AND INTRODUCTIONS: James Roberts, Senior Administrative Analyst**

**II. ACTION PLAN SUMMARY: James Roberts, Senior Administrative Analyst**

**III. PROGRAM YEAR 2013 HUD FORMULA ALLOCATION AMOUNTS:**

For information purposes, the following is a summary of the City's funding levels since 2002. Please note the City has taken significant reductions in all programs and unfortunately we anticipate additional reductions for 2013. HUD has yet to finalize the PY 2013 allocations based on overall Budget allocations from The Congress.

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| 2013: | Not Available |             |           |           |

**Approximate Reduction Percentages:**

|          | CDBG   | HOME   | HOPWA  | ESG    |
|----------|--------|--------|--------|--------|
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**IV. CDBG PROGRAM LIMITATIONS FOR PLANNING & MANAGEMENT/ADMINISTRATION AND PUBLIC SERVICE ACTIVITIES:**

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- CDBG Planning & Management/Administration Activities Are By CDBG Regulation Limited to 20% of CDBG Grant Funds and Anticipated Program Income.

**V. PY 2013 HOME AND HOPWA PROGRAMS:**

**-PY 2013 HOME Program:** Comments, suggestions, and proposals relating to the possible

overall use of PY 2013 HOME funds by the City in accordance with the eligible activities previously referenced are encouraged and will be considered.

- **PY 2013 HOPWA Program:** AIDS Alabama, Inc. presently serves as the City's sponsor of HOPWA Entitlement Funds. A description of proposed activities is included as a part of the City's PY 2013 Proposed Action Plan. However, comments and suggestions relating to the possible overall use of PY 2013 HOPWA funds by the City in accordance with the eligible activities previously referenced are encouraged.

- **PY 2013 ESG Program:** The City intends to apply for 2013 ESG funding through the U.S. Department and Housing and Urban Development and through the Alabama Department of Economic and Community Affairs (ADECA). ESG proposals received through the City's 2013 Action Plan development process will be considered for both.

**VI. PY 2013 DEADLINE FOR PROPOSALS:**

ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE COMMUNITY DEVELOPMENT DEPARTMENT , 710 North 20<sup>th</sup> Street, 10<sup>th</sup> Floor City Hall; Birmingham, Al; no later than **Monday April 29, 2013 by 4:00 p.m.**, to be considered in the development of the City's Final PY 2013 Action Plan-One Year Use Of Funds submission. No comments or proposals received by e-mail or fax transmissions will be accepted or considered.

**VII. CITIZEN COMMENTS/PROPOSALS:**

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File name: 4-18-13 public hearing agenda

**III. CONSULTATION CORRESPONDENCE:**

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
INTER-OFFICE MEMORANDUM

December 14, 2012

**TO: Mayor William A. Bell**

**ATTN: Erskine Faush**

**RE: Proposed Program Year (PY) 2013 (July 1, 2013-June 30, 2014) Action Plan-One Year Use of Funds and Development Schedule for the City's Final PY 2013 Action Plan**

Attached is the Community Development Department's Proposed Action Plan one Year use of Funds Summary and schedule for development of the City's Final PY 2013 Action Plan-One Year Use of Funds that must be submitted annually to the U.S. Department of Housing and Urban Development for the City to receive its annual entitlement funding. Also attached is a notice of public hearings to be conducted in the City Council Chamber by the Community Development Department on **January 10, 2013 and January 24, 2013** to receive proposals for consideration as a part of the City's development process for the Final PY 2013 Action Plan budget. Please note that all written proposals must be received in the Community Development Department **no later than January 28, 2013; 4:00 p.m.** to be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds submission.

HUD has not yet announced PY 2013 Entitlement allocations and we understand those figures will likely not be available until spring, 2013. The budget figures included in the attached Proposed PY 2013 Action Plan notice **are estimates only** based upon last year's funding levels. These figures will be adjusted once HUD announces PY 2013 entitlement allocations and after consideration of all timely comments received during the 30 day comment period and public hearings announced in the attached notice as a part of the development of the City's Final PY 2013 Action Plan which is due to HUD on or before May 17, 2013. I am providing the following additional information:

#### **I. INTRODUCTION- FIVE YEAR CONSOLIDATED PLAN:**

The City is presently following a five (5) year consolidated plan (or Comprehensive Housing Afford ability Strategy) that has been approved by the U.S. Department of Housing and Urban Development (HUD) for the period July 1, 2010 thru June 30, 2015. This document consolidates the planning and submission process for HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), American Dream Down payment Initiative (ADDI), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Copies of the plan are available for inspection in the Community Development Department and are also available on the City's website at [www.birminghamal.gov](http://www.birminghamal.gov).

The City's consolidated plan includes six required components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval. The Consolidated Plan contains a single five (5) year strategic plan that brings needs and resources together in a

coordinated housing and community development strategy. The five (5) year housing and community development strategic plan also outlines the strategy to be followed and the actions to be taken to address imbalances between the City's housing and community development needs and its resources.

## **II. PROGRAM YEAR 2013 ACTION PLAN- ONE YEAR USE OF FUNDS:**

In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds. The City's PY 2013 Action Plan will begin July 1, 2013 and will end on June 30, 2014. The Action Plan must include the following:

a) Standard Form 424;

b) A description of the activities the City will undertake during the upcoming year to address priority needs and local objectives that were identified in its five year housing and community development strategic plan. This description must identify the local objectives that will be addressed by the activities that are expected to be undertaken using formula grant funds, other HUD assistance, non-HUD sources of funds, and program income the City expects to receive during the program year. This information must be presented in a table format prescribed by HUD;

c) Activities the City plans to undertake during the upcoming year to address emergency shelter and transitional housing needs of homeless individuals and families, to prevent low-income individuals and families with children (especially those with incomes below 30 percent of median) from becoming homeless, and to help homeless persons make the transition to permanent housing and independent living;

d) Actions the City plans to take during the upcoming year to foster and maintain affordable housing, public housing improvements and resident initiatives, remove barriers to affordable housing, evaluate and reduce lead-based hazards, reduce the number of households with incomes below the poverty line, develop institutional structure, address obstacles to meeting under- served needs, and enhance coordination between public and private housing and social service agencies.

e) Submission date. The City must submit its Action Plan-One Year Use of Funds and required certifications to HUD no later than 45 days before the start of its July 1, Consolidated Plan Program Year. We plan to submit the Action Plan to HUD on or before **May 17, 2013**.

## **III. PROGRAM YEAR 2013 CONSOLIDATED FORMULA ALLOCATIONS:**

The Program Year 2013 Community Planning and Development (CPD) Formula

allocation estimates for CDBG, ESG, HOME, and HOPWA have not yet been made available to the City. For information purposes, the following is a summary of the City's funding levels since 2002. Please note the City has taken significant reductions in all program years except 2010 and unfortunately we anticipate additional reductions for 2013. The Congress has yet to take action regarding the PY 2013 Budget.

|       | CDBG          | HOME        | ADDI      | HOPWA     | ESG       |
|-------|---------------|-------------|-----------|-----------|-----------|
| 2002: | \$8,781,000   | \$2,549,000 | 0         | \$469,000 | \$292,000 |
| 2003: | \$8,117,000   | \$2,347,573 | \$113,752 | \$486,000 | \$301,000 |
| 2004: | \$8,017,000   | \$2,347,512 | \$134,230 | \$520,000 | \$296,915 |
| 2005: | \$7,595,149   | \$2,246,287 | \$76,541  | \$497,000 | \$293,219 |
| 2006: | \$6,853,637   | \$2,121,412 | \$38,193  | \$511,000 | \$292,138 |
| 2007: | \$6,847,776   | \$2,009,252 | \$38,193  | \$516,000 | \$295,584 |
| 2008: | \$6,587,112   | \$2,033,367 | \$15,432  | \$538,000 | \$295,046 |
| 2009: | \$6,662,347   | \$2,271,553 | 0         | \$554,848 | \$293,281 |
| 2010: | \$7,223,115   | \$2,371,553 | 0         | \$593,523 | \$291,788 |
| 2011: | \$6,021,660   | \$1,990,104 | 0         | \$586,116 | \$292,639 |
| 2012: | \$5,541,756   | \$1,191,453 | 0         | \$582,166 | \$521,460 |
| 2013: | Not Available |             |           |           |           |

**Approximate Reduction Percentages:**

| CDBG           | HOME   | ADDI    | HOPWA  | ESG    |
|----------------|--------|---------|--------|--------|
| Since 02(-37%) | (-53%) | (-100%) | (+24%) | (+79%) |

I have forwarded a copy of the attached a memorandum to Ms. Cheryl Kidd, Council Administrator and to Council members providing them with the Action Plan completion schedule and Public Hearing information. We look forward to working with you during the application process and if you have any questions, please feel free to contact this office.

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachments

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
INTER-OFFICE MEMORANDUM

December 14, 2012

**TO: Birmingham City Council Members**

**ATTN: Ms. Cheryl Kidd, Council Administrator**

**RE: Proposed Program Year (PY) 2013 (July 1, 2013-June 30, 2014) Action Plan-One Year Use of Funds and Development Schedule for the City's Final PY 2013 Action Plan**

Attached is the Community Development Department's Proposed Action Plan one Year use of Funds Summary and schedule for development of the City's Final PY 2013 Action Plan-One Year Use of Funds that must be submitted annually to the U.S. Department of Housing and Urban Development for the City to receive its annual entitlement funding. Also attached is a notice of public hearings to be conducted in the City Council Chamber by the Community Development Department on **January 10, 2013 and January 24, 2013** to receive proposals for consideration as a part of the City's development process for the Final PY 2013 Action Plan budget. Please note that all written proposals must be received in the Community Development Department **no later than January 28, 2013; 4:00 p.m.** to be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds submission.

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The City's consolidated plan includes six required components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval. The Consolidated

Plan contains a single five (5) year strategic plan that brings needs and resources together in a coordinated housing and community development strategy. The five (5) year housing and community development strategic plan also outlines the strategy to be followed and the actions to be taken to address imbalances between the City's housing and community development needs and its resources.

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**Approximate Reduction Percentages:**

| CDBG           | HOME   | ADDI    | HOPWA   | ESG     |
|----------------|--------|---------|---------|---------|
| Since 02(-37%) | (-53%) | (-100%) | (+ 24%) | (+ 79%) |

We look forward to working with you during the application process and if you have any questions, please feel free to contact this office.

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachments  
Cc: Erskine Faush

December 14, 2012

Mr. Jim Byard, Director  
Alabama Department of Economic  
and Community Affairs  
401 Adams Avenue  
Suite 580  
P.O. Box 5690  
Montgomery, Al 36103-5690

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Byard:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

The City's PY 2010-2015 Consolidated Plan includes six basic components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted

to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Mr. Forrest Davis, Director  
Bessemer Community Development Department  
1800 Third Avenue, North  
Bessemer, Al 35020

RE: PY 2013 Final Action Plan Development Schedule

Dear Forrest:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county,

to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Dr. Fredrick Hamilton, Director  
Jefferson County Office of Community and Economic Development  
716 Richard Arrington, Jr., Blvd., North  
Suite A-430  
Birmingham, Al 35203

RE: PY 2013 Final Action Plan Development Schedule

Dear Fredrick:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go

beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Office of the Executive Director  
City of Hoover  
100 Municipal Drive  
Hoover, Al 35216

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Pate:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY

2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Mr. David Fleming, Director  
Operation New Birmingham  
505 20<sup>th</sup> Street North  
Suite 150  
Birmingham, Alabama 35203

ATTN: Ms. Fran Godchaux

RE: PY 2013 Final Action Plan Development Schedule

Dear Michael:

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Mr. Charles Franklin  
CPD Director  
Alabama State HUD Office  
Medical Forum Building-Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203

ATTN: Ms. Beverly Gosnell

RE: PY 2013 Final Action Plan Development Schedule

Dear Charles:

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Ms. Sheila Tyson

President, Citizens Advisory Board  
1233 14<sup>th</sup> Place Southwest  
Birmingham, AL 35211

Dear Ms. Tyson:

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. Please note the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013, at 9:30 a.m. and 5:30 p.m. and January 24, 2013, at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan are due to the Community Development Department on or before January 28, 2013 at 4:00 p.m.

Any neighborhoods interested in submitting proposals for consideration should submit those proposals in accordance with this schedule.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF:jr

Attachments

cc: Melony Martin  
Susan Pelmer  
Florence Underwood  
Michael Shine

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

December 14, 2012

TO: Renee Blalock, Director  
Birmingham Public Library

ATTN: Government Documents Department

RE: PY 2013 Proposed Action Plan-One Year Use of Funds

Attached are three (3) copies of the above referenced document that we are making available for public inspection for a period of 30 days through January 28, 2013; 4:00 p.m. Should you have any questions or require additional copies, please contact Jamey Roberts at 254-2334.

As always, we appreciate your assistance.

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachments

December 14, 2012

Mr. James Mangum, Administrator

Prevention and Epidemiology Program  
Jefferson County Department of Health  
1400 6th Avenue, South  
Birmingham, Al 35233

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Mangum:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Dr. Michael Fleenor  
Jefferson County Department of Health  
1400 6th Avenue, South  
Birmingham, Al 35202

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Fleenor:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Office of the Executive Director  
Housing Authority of the  
Birmingham District  
1826 3rd Avenue, South  
Birmingham, Al 35255

RE: PY 2013 Final Action Plan Development Schedule

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final

PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Mr. Lewis McDonald, Director  
Jefferson County Housing Authority  
3700 Industrial Parkway  
Birmingham, Al 35217

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. McDonald:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

Michelle Farley  
One Roof  
1704 5<sup>th</sup> Avenue North  
Suite 150  
Birmingham, Alabama 35203

RE: PY 2013 Final Action Plan Development Schedule

Dear Michelle:

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions as or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

December 14, 2012

TO: Mr. Andre Bittas, Director  
Department of Planning & Engineering

RE: PY 2013 Final Action Plan-One Year Use of Funds Development Schedule

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary and application schedule. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions as or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

We plan to ask your office to review all street improvement and storm drainage proposals submitted by Neighborhood Associations. You or your designee may want to attend the hearings so as to familiarize yourself with any street improvement/storm drainage related requests we may receive.

As always, street improvement and storm drainage project proposals for CDBG funding should be located in eligible areas of the City containing at least 51% low-and moderate income residents. If you have any questions regarding the eligibility of a location, please contact Jamey Roberts at 2334. Also, all project proposals must be ready to be undertaken and completed within a one year time frame from July 1, 2013 to be considered for funding.

If you have any questions, please advise.

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

December 14, 2012

TO: Park and Recreation Board

RE: PY 2013 Final Action Plan-One Year Use of Funds Development Schedule

I have attached a copy of the City's Proposed PY 2013 Action Plan-One Year Use of Funds Summary and application schedule. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions as or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before January 28, 2013; 4:00 p.m.

We plan to ask your office to review all street improvement and storm drainage proposals submitted by Neighborhood Associations. You or your designee may want to attend the hearings so as to familiarize yourself with any street improvement/storm drainage related requests we may receive.

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If you have any questions, please advise.

James F. Fenstermaker, Director  
Community Development Department

JFF/jr  
Attachment  
cc: Erskine Faush

December 14, 2012

**TO: CDBG, ESG, HOPWA and HOME Subrecipients**

**RE: PY 2013 Final Action Plan Development Schedule**

I have attached a copy of the City's Proposed PY 2013 Action Plan One-Year Use of Funds Summary, Guidelines for Submission of your proposal, and a PY 2013 Action Plan Submission Schedule for the above programs. We encourage your participation and consultation with us during our Final PY 2013 Action Plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on Thursday, January 10, 2013, and Thursday, January 24, 2013, at 9:30 a.m. and 5:30 p.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before Monday, January 28, 2013, 4:00 p.m. Please note that no comments or proposals submitted by e-mail or fax transmission will be accepted or considered.

**If your agency is currently receiving funds from one of these programs you are still required to apply for further funding,** even though your agency may be listed as a proposed sub-grantee in the attached Proposed PY 2013 Action Plan One-Year Use of Funds Summary published on December 14, 2012. The proposals, again, are due in the Community Development Department, 10<sup>th</sup> Floor, City Hall, by January 28, 2013, 4:00 p.m. as indicated in the attached PY 2013 Proposed Action Plan Summary.

Sincerely,

James F. Fenstermaker, Director  
Community Development Department

JFF:cw

Attachments

cc: Erskine Faush  
Jarvis Patton

March 27, 2013

Mr. Jim Byard, Director  
Alabama Department of Economic  
and Community Affairs  
401 Adams Avenue  
Suite 580  
P.O. Box 5690  
Montgomery, Al 36103-5690

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Byard:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Mr. Forrest Davis, Director  
Bessemer Community Development Department  
1800 Third Avenue, North  
Bessemer, Al 35020

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Davis:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Dr. Fredrick Hamilton, Director  
Jefferson County Office of Community and Economic Development  
716 Richard Arrington, Jr., Blvd., North  
Suite A-430  
Birmingham, Al 35203

RE: PY 2013 Final Action Plan Development Schedule

Dear Dr. Hamilton:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Office of the Executive Director  
City of Hoover  
100 Municipal Drive  
Hoover, Al 35216

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Pate:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Mr. David Fleming, Director  
REV Birmingham  
505 20<sup>th</sup> Street North  
Suite 150  
Birmingham, Alabama 35203

ATTN: Ms. Fran Godchaux

RE: PY 2013 Final Action Plan Development Schedule

Dear Michael:

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Mr. Charles Franklin  
CPD Director  
Alabama State HUD Office  
Medical Forum Building-Suite 900  
950 22<sup>nd</sup> Street, North  
Birmingham, Alabama 35203

ATTN: Ms. Beverly Gosnell

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Franklin:

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Mr. Alonzo Darrow  
President, Citizens Advisory Board  
420 4<sup>th</sup> Street, Thomas  
Birmingham, AL 35214

Dear Mr. Darrow:

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. Please note the Community Development Department will conduct public hearings in the City Council Chamber on April 18, 2013, at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan are due to the Community Development Department on or before April 29, 2013 at 4:00 p.m.

Any neighborhoods interested in submitting proposals for consideration should submit those proposals in accordance with this schedule.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr

Attachments

cc: Melony Martin  
Susan Pelmer  
Florencie Underwood  
Michael Shine

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

March 27, 2013

TO: Renee Blalock, Director  
Birmingham Public Library

ATTN: Government Documents Department

RE: PY 2013 Mayor's Recommended Action Plan-One Year Use of Funds

Attached are three (3) copies of the above referenced document that we are making available for public inspection for a period of 30 days through April 29, 2013; 4:00 p.m. Should you have any questions or require additional copies, please contact Jamey Roberts at 254-2334.

As always, we appreciate your assistance.

John Colón, Director  
Community Development Department

JGC/jr  
Attachments

March 27, 2013

Mr. James Mangum, Administrator  
Prevention and Epidemiology Program  
Jefferson County Department of Health  
1400 6th Avenue, South  
Birmingham, Al 35233

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Mangum:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

The City's PY 2010-2015 Consolidated Plan includes six basic components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units

of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Dr. Michael Fleenor  
Jefferson County Department of Health  
1400 6th Avenue, South  
Birmingham, Al 35202

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. Fleenor:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

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In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan

program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Office of the Executive Director  
Housing Authority of the  
Birmingham District  
1826 3rd Avenue, South  
Birmingham, Al 35255

RE: PY 2013 Final Action Plan Development Schedule

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

The City's PY 2010-2015 Consolidated Plan includes six basic components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

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program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Mr. Lewis McDonald, Director  
Jefferson County Housing Authority  
3700 Industrial Parkway  
Birmingham, Al 35217

RE: PY 2013 Final Action Plan Development Schedule

Dear Mr. McDonald:

The City of Birmingham is currently following its PY 2010-2015 five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) that was approved by the U.S. Department of Housing and Urban Development (HUD), effective July 1, 2010. This document consolidates the planning and submission process for the following HUD Community Planning and Development (CPD) formula programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA).

The City's PY 2010-2015 Consolidated Plan includes six basic components: (a) housing and homeless needs assessment, (b) housing market analysis, (c) strategies and priority needs and objectives, (d) action plan, (e) certifications, (f) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

In its current HUD approved consolidated plan, the City designated July 1 through June 30 of each year as its Consolidated Plan Program Year for reporting to HUD annually regarding its Action Plan-One Year Use of Funds. This period represents a single time-frame for the planning and implementation of each program contained in its Action Plan-One Year Use of Funds.

In order to facilitate continuity in its programs and to provide accountability to citizens, the City must submit its Final PY 2013 Action Plan-One Year Use of Funds and required

certifications to HUD at least 45 days before the start of its July 1, 2013, consolidated plan program year. The City plans to submit its PY 2013 Action Plan-One Year Use of Funds to HUD on or before May 17, 2013.

In accordance with 24 CFR 91.100, the City must consult with State and adjacent units of general local government, including local government agencies with metropolitan-wide planning responsibilities where they exist, particularly for problems and solutions that go beyond a single jurisdiction. The non-housing community development plan must be submitted to the state, and if the jurisdiction is a CDBG entitlement grantee other than an urban county, to the county.

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct a public hearing in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

As always, your cooperation and assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Erskine Faush

March 27, 2013

Michelle Farley  
One Roof  
1704 5<sup>th</sup> Avenue North  
Suite 150  
Birmingham, Alabama 35203

RE: PY 2013 Final Action Plan Development Schedule

Dear Michelle:

I have attached a copy of the City's Mayor's Recommended PY 2013 Action Plan-One Year Use of Funds Summary. We encourage your participation and consultation with us during our Final PY 2013 Action plan development process.

Please note that the Community Development Department will conduct public hearings in the City Council Chamber on April 18, 2013 at 9:30 a.m. to receive proposals relative to the development of our Final PY 2013 Action Plan. Should your office have any suggestions and/or comments relative to the development of the City's Final PY 2013 Action Plan, please submit them to this office on or before April 29, 2013; 4:00 p.m.

If you have any questions, please advise.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment

CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

January 3, 2013

TO: PY 2013 Action Plan File

RE: Continuum of Care Consultation

Per the Emergency Solutions Grant regulations published for Program Year 2011 and beyond, the City of Birmingham and the Continuum of Care for the Birmingham area (One Roof) met for a formal consultation. The meeting consisted of hearing each other's concerns over the best way to implement limited funding and going over other new regulatory requirements that may now impact either party further. The City's ESG Policies and Procedures Manual was distributed to One Roof for comments or questions. At the conclusion of the meeting we agreed to try to implement best practices and adjust our future consultations based on the first year successes and shortcomings of the new programs. These funds have yet to be spent out and this will be a topic over the next year and during the PY 2014 consultation. We also agreed to have an open dialogue and further the conversation in a less formal capacity as we worked together toward the common goal of ending homelessness.

James Roberts, Senior Administrative Analyst  
Community Development Department

May 17, 2013

**BY CERTIFIED MAIL**

Mr. Charles Ball, Executive Director  
Regional Planning Commission of Greater Birmingham  
1731 1<sup>st</sup> Avenue, North-Suite 200  
Birmingham, Alabama 35203

RE: PY 2013 (July 1, 2013-June 30, 2014) Action Plan One Year Use of Funds.

Community Development Block Grant Program; HOME Investment Partnership Program;  
Emergency Solutions Grant Program; Housing Opportunities for Persons with Aids

Dear Mr. Ball:

Pursuant to Executive Order 12372, we are enclosing for your review a copy of the City of Birmingham's above referenced Action Plan One-Year Use of Funds submission.

Please note that a brief project description and summary of each activity to be undertaken under the programs referenced above is contained in Appendix R of the Action Plan. Also, please note that our Action Plan contains no activities relating to the planning or construction of water or sewer facilities as these are subject to particular review.

Please forward your comments to our office at your earliest convenience. It would be appreciated if your comments could be received prior to June 30, 2013.

As always, your assistance is appreciated.

Sincerely,

John Colón, Director  
Community Development Department

JGC/jr  
Attachment  
cc: Charles Franklin (HUD)

APPENDIX E  
PY 2013 ACTION PLAN PUBLIC HEARING MINUTES

**CITY OF BIRMINGHAM**  
**DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS**  
**1/10/13 PUBLIC HEARING MINUTES - 9:30 A.M.**

On Thursday, January 10, 2013, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM**  
**DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS**  
**1/10/13 PUBLIC HEARING MINUTES - 5:30 p.m.**

On Thursday, January 10, 2013, a public hearing was conducted in the City Council Chamber at 5:30 p.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS  
1/24/13 PUBLIC HEARING MINUTES - 9:30 A.M.**

On Thursday, January 24, 2013, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS  
1/19/13 PUBLIC HEARING MINUTES - 5:30 p.m.**

On Thursday, January 24, 2013, a public hearing was conducted in the City Council Chamber at 5:30 p.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

**CITY OF BIRMINGHAM  
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2013 ACTION PLAN ONE-YEAR USE OF FUNDS  
4/18/13 PUBLIC HEARING MINUTES – 9:30 a.m.**

On Thursday, April 18, 2013, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) funds. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2013 Action Plan One-Year Use of Funds.

## APPENDIX F

### **PROPOSED PY 2013 (JULY 1, 2013-JUNE 30, 2014) ACTION PLAN-ONE YEAR USE OF FUNDS SUMMARY 30 DAY COMMENT PERIOD**

#### **CITY OF BIRMINGHAM, ALABAMA**

In accordance with 24 CFR Part 91, the City of Birmingham's ("the City's") Community Development Department is hereby publishing a summary of its Proposed Program Year (PY) 2013 (July 1, 2013-June 30, 2014) Action Plan One Year Use Of Funds Submission for citizen comment for a period of at least thirty (30) calendar days from the date of this publication. The summary is published in order to afford affected citizens an opportunity to examine contents and to submit comments relating thereto.

The Final PY 2013 Action Plan will be developed after giving consideration to citizen comments and alternative proposals received at Public Hearings conducted by the City's Community Development Department on January 10, 2013 and January 24, 2013 and from the timely receipt of written comments and alternative proposals received in the Community Development Department on or before January 28, 2013 following the posting of this Proposed PY 2013 Action Plan-One Year Use of Funds Summary on the City Website-Community Department Webpage at [www.birminghamal.gov](http://www.birminghamal.gov) on December 14, 2012 and the publication of the same "Proposed PY 2013 Action Plan-One Year Use of Funds Summary" in the Birmingham News and Birmingham Times on December 20, 2012.

Copies of the City's Proposed PY 2013 Action Plan-One Year Use of Funds are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays; at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours and is posted on the City website-Community Development Department webpage at [www.birminghamal.gov](http://www.birminghamal.gov).

The City's Community Development Department encourages citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds Submission and is thereby making the Plan available for public inspection and comment for a period of at least thirty (30) calendar days.

**Also, the City's Community Development Department will conduct public hearings on January 10, 2013 at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. in the City Council Chamber, 710 North 20<sup>th</sup> Street, 3<sup>rd</sup> Floor City Hall; Birmingham, Alabama. The purpose of the hearings will be to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the**

possible use of funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the previously referenced address no later than 48 hours prior to the scheduled hearing dates. This assistance includes any request for translators, or related services for non-English speaking persons. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

All interested parties who are unable to attend the public hearings but desire to submit written views, comments, or proposals regarding the City's development of its Final PY 2013 Action Plan-One Year Use of Funds submission may do so by addressing them to Director; Community Development Department; 710 North 20th Street, 10<sup>th</sup> Floor City Hall; Birmingham, Alabama 35203.

**ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE CITY'S COMMUNITY DEVELOPMENT DEPARTMENT AT THE REFERENCED ADDRESS NO LATER THAN JANUARY 28, 2013 BY 4:00 P.M. TO BE CONSIDERED IN THE DEVELOPMENT OF THE CITY'S FINAL PY 2013 ACTION PLAN-ONE YEAR USE OF FUNDS SUBMISSION. NO E-MAIL OR FAX TRANSMISSION SUBMISSIONS WILL BE ACCEPTED OR CONSIDERED.**

## **I. BACKGROUND:**

In 1995 the U.S. Department of Housing & Urban Development (HUD) introduced a consolidated application process involving four HUD Community Planning and Development (CPD) formula programs: Community Development Block Grants (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). This process replaced at that time all current HUD-CPD planning and application requirements with a single submission, and satisfied the minimum statutory requirements for the four referenced HUD-CPD formula programs.

In summary, the Consolidated Plan actually serves four separate, but integrated, functions. The Consolidated Plan is 1) A planning document for the City, which builds on a participatory

process; 2) An application for federal funds under HUD's formula grant programs referenced above; 3) A strategy to be followed in carrying out HUD programs, and lastly; 4) An action plan that provides a basis for assessing performance.

The City's Five Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015 and contains six basic components: (1) housing and homeless needs assessment, (2) housing market analysis, (3) strategies and priority needs and objectives, (4) action plan, (5) certifications, (6) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

Copies of the City's PY 2010-2015 Consolidated Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at [www.birminghamal.gov](http://www.birminghamal.gov).

## **II. PROPOSED PROGRAM YEAR 2013 ACTION PLAN SUMMARY:**

The City's Action Plan-One Year Use of Funds is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes activities for Plan Year (PY) 2013 (July 1, 2013 thru June 30, 2014) for the City to address its priority needs. The Action Plan explains where the assistance will be directed by geographic area during PY 2013.

The Proposed Action Plan also includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

Additionally, the Proposed Action Plan includes program specific requirements such as: a) the total amount of CDBG, HOME, ESG, and HOPWA funds allocated including anticipated program income; b) For the HOME Program, a description of; i) Other forms of investment, if any, to be used; ii) for use of HOME funds for homebuyers, a description of guidelines for resale or recapture of HOME funds required under 24 CFR 92.254; iii) for use of HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds a description of the City's refinancing guidelines required under 24 CFR 92.206(b).

The Proposed Action Plan contains a narrative description of the standards and procedures that the City will use to monitor activities that it will carry out in advancing its plan. Also included

are definitions, required certifications and other statutory and program requirements.

**III. PY 2013 CONSOLIDATED FORMULA ALLOCATION:**

The following is a description of the Federal resources the City's Community Development Department estimates to be available during PY 2013 and the activities to address the priority needs identified in the City's PY 2010 thru 2015 Consolidated Plan.

The City's Consolidated Formula Allocation for PY 2013 has not yet been released by HUD. Until such time as the PY 2013 Formula Allocation are announced, the following estimated allocations will be utilized for planning purposes which includes an estimate of anticipated program income receipts, surplus income from urban renewal settlements, grant funds returned to the City's line of credit for which the planned use has not been included in a prior statement or plan, and income from float-funded activities as follows:

|   |                       |
|---|-----------------------|
| 1. Community Development Block Grant Program: |                       |
| PY 2013 Grant                                 | \$5,541,756.00        |
| PY 2013 Anticipated Program Income:           | 369,761.00            |
| Prior Year Funds:                             | <u>0.00</u>           |
|   | <u>\$5,911,517.00</u> |
| <br>  |                       |
| 2. PY 2013 HOME Grant:                        |                       |
|   | \$1,191,453.00        |
| PY 2013 Anticipated HOME Program Income       | <u>89,077.00</u>      |
|   | \$1,280,530.00        |
| <br>  |                       |
| 3. PY 2013 Emergency Shelter Grant (ESG):     | \$521,460.00          |
| <br>  |                       |
| 4. PY 2013 HOPWA Grant:                       | <u>\$582,166.00</u>   |
| <br>  |                       |
| <b>TOTAL</b>                                  | <b>\$8,295,673.00</b> |

**IV. PY 2013 PROPOSED ACTION PLAN-ONE YEAR USE OF FUNDS ACTIVITY SUMMARY BY PROGRAM:**

**CONSOLIDATED FORMULA ALLOCATION BUDGET AND PROGRAM DESCRIPTION: PY 2013: July 1, 2013-June 30, 2014**

**A. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):**

|                                    |                              |
|------------------------------------|------------------------------|
| <b>PY 2013 CDBG Grant</b>          | <b>\$5,541,756.00</b>        |
| <b>Anticipated Program Income:</b> | <b>\$ <u>369,761.00</u></b>  |
| <b>TOTAL CDBG:</b>                 | <b><u>\$5,911,517.00</u></b> |

**1. PROJECT: ADMINISTRATION: \$1,132,136**  
**(570.206)**

Project ID/Local ID #1; Project Title: General Program Administration; Priority Need: N/A; Eligibility 570.206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 21A-General Program Administration; Proposed Accomplishments: Program Administration; Start Date: 7/1/2013; Completion Date: 6/30/2014. Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description: These funds provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities including CDBG, ESG, HOME, HOPWA, CDBG-R, and any other Community Development Activities assisted in whole or in part with CDBG funds. Supportive funds will be allocated towards general program administration, legal services, planning, environmental reviews, public information, and fair housing.

**2. PROJECT: PLANNING & MANAGEMENT: \$50,167**  
**(570.205 & 206)**

Project ID/Local ID #2; Project Title: Planning & Management; Priority Need N/A; Eligibility 570.205-Planning and 206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 20-Planning; Proposed Accomplishments: Program Planning; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

Provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities. Proposed funding is as follows to be administered by the City's Community Development Department:

**Planning & Management Activities & Description:**

**-Metropolitan Birmingham Services For the Homeless (MBSH): \$29,286**

2230 4<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Assistance to MBSH, a non-profit organization, to provide for the administration of the City's HUD approved Continuum of Care for homeless persons.

**-Fair Housing Center of Northern Alabama: \$20,881**

1728 3<sup>rd</sup> Avenue, North Suite-400C  
Birmingham, Al 35203

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training.

**3. PROJECT: REPAYMENTS OF SECTION 108 LOAN PRINCIPAL: \$136,032 (570.705)**

Project ID/Local ID 3; Project Title: Repayments of Section 108 Loan Principal; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.705; National Objective N/A; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code:19F-Repayments of Section 108 Loan Principal; Proposed Accomplishments: N/A.; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

**Section 108 Loan Principal Activities & Description:**

Provide CDBG support for Section 108 loan repayments to be administered by the City's Community Development Department. Principal and interest payments due in August, 2013 and Principal only payments due in February, 2014 to The Bank of New York Mellon for Section 108 Loan payments

**4. PROJECT: SPECIAL ECONOMIC DEVELOPMENT (570.203(b) and (c)): \$150,000**

Project ID/Local ID 5; Project Title: Technical Assistance; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203(b) and (c) Special Economic Development; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 19C-CDBG Non-Profit Capacity Building; Proposed Accomplishments: 1 Organization and 9 Commercial Districts to be assisted; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability; Description:

Provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities.

**Special Economic Development Activities & Description:**

**Birmingham Beacon Program:**

**-Main Street Birmingham, Inc: \$150,000**

One 55<sup>th</sup> Place South; Birmingham, AL 35232

A non-profit corporation established to coordinate the Birmingham Beacon Program whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

**5. PROJECT: HOUSING REHABILITATION: \$3,349,152**  
**(570.202)**

Project ID/Local ID 6; Project Title: Housing Rehabilitation Activities; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Eligibility (570.202)-Eligible Rehabilitation and preservation activities; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 14A-Rehabilitation Single Unit Rehabilitation and 14B-Rehabilitation Multi-Unit Residential; Proposed Accomplishments: See individual activities; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability; Description:

Provides for the rehabilitation of owner-occupied and rental substandard structures on a City wide basis, non-profit organization housing service provider agreements, and associated program operation costs. Also includes loan processing and servicing costs, inspections, and other services related to assisting owners, tenants, contractors, and other entities, participating or seeking to participate in rehabilitation activities authorized under this section. Detailed descriptions of these and other housing related programs are available in the Community Development Department-Housing Division, 710 North 20th Street, Room 700; Birmingham, AL 35203. This activity is anticipated to generate program income and is to be administered by the City's Community Development Department-Housing Division on a city wide basis.

**Housing Rehabilitation Activities & Description:**

**A. Program Costs: \$780,750.00**

Costs associated with rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202. Includes staff salaries, legal services, mortgage servicing, and other related costs. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**B. Rehabilitation Activity Costs: \$2,568,402**

**- Single Family Rehabilitation Program: \$1,668,402**

Provides grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Proposed Accomplishments: 125 Housing Units to be rehabilitated. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Independent Living Resources of Greater Birmingham: \$225,000**

206 13<sup>th</sup> Street South  
Birmingham, AL 35233

Provides assistance to qualified disable residential tenants and disabled homeowners to enable modifications to be made to their residence. Proposes to modify homes at an average cost of \$3,000. The maximum allowable grant would be up to \$3,500 per household. The agency's Executive Director may grant an exception to the \$3,500.00 maximum in a limited number of cases where the need exists. Proposed Accomplishments: 47 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Rising West Princeton Corporation: \$25,000**

1708 1<sup>st</sup> Avenue, West  
Birmingham, Al 35208

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton. Proposed Accomplishments: 10 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**- Metro Changers, Inc.: \$650,000**

750 Montclair Road  
Birmingham, Al 35213

Authorize the Mayor to enter into necessary agreements to provide housing rehabilitation services through the Worldchangers Rehabilitation Program. Proposed Accomplishments: 150 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**6. PROJECT: PUBLIC SERVICES: {570.201(e)} \$905,809**

Project ID/Local ID 7; Project Title: Public Service Activities; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4-Provide Housing and Supportive Services for Homeless populations; Eligibility 570.201(e)-Public Services; Administered by the Community Development Department. Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: Public Services per 570.201(e); CDBG National Objective Citation: Benefit to low and moderate income persons per 570.208(a); Subrecipients: Yes; Location(s): City Wide.; HUD Matrix Code: 05-Public Services; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility. Description:

**Public Service Activities & Description:**

Provision of public services (including labor, supplies, and materials) including, but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 570.207(b)(4)), homebuyer downpayment assistance, or recreational needs. The amount of CDBG funds used for public services shall not exceed 15 percent of each grant plus 15 percent of program income received during the grantee's immediately preceding program year.

The City proposes to enter into CDBG Public Service Agreement with the following non-profit organizations to provide the services outlined herein:

**i). Homeless Shelter Programs: \$444,750**

**Men**

**- Aletheia House, Inc.: \$42,627**

135 Finley Avenue, West  
P.O. Box 1514  
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Changed Lives Christian Center, Inc.: \$47,500**

1308 26<sup>th</sup> Avenue, North  
Birmingham, Al 35204

Homeless shelter for men providing food and shelter. Proposed Accomplishments: Serve

2,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Cooperative Downtown Ministries, Inc.: \$45,116**

1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily. Proposed Accomplishments: Serve 2,800 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Women**

**-First Light, Inc.: \$35,112**

2230 Fourth Avenue, North  
Birmingham, Al 35203

To provide shelter and services to Birmingham's homeless women and children with an emphasis on serving the mentally ill. Proposed Accomplishments: Serve 700 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Transitional Shelters: \$32,900**

409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide transitional shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Pathways/Downtown Path Center: \$68,571**

409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA Homeless Daycare: \$44,760**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA Homeless Daycare Transportation; \$13,181**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide transportation for homeless children residing in local shelters to day care services at the YWCA. Proposed Accomplishments: Serve 60 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Families/Other**

**-YWCA: \$39,695**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

This program was previously operated by Interfaith Hospitality House which ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Supportive Services**

**-Bridge Ministries, Inc.: \$32,188**

1016 19<sup>th</sup> Street, South  
Birmingham, Al 35205

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services. Proposed Accomplishments: Serve 140 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure

Outcome: #1-Availability/Accessibility.

-New Pilgrim Bread of Life Ministries: **\$21,550**

708 Goldwire Place SW  
Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Urban Ministry, Inc.: **\$21,550**

1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

### **Other Public Services:**

#### **ii) Employment & Housing Assistance: **\$260,708****

-Birmingham Urban League, Inc.: **\$40,466**

1229 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of housing counseling services to assist individuals and families in obtaining, maintaining, and retaining, decent, safe and affordable housing. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

-Childcare Resources, Inc.: **\$53,906**

1904 1<sup>st</sup> Ave, North  
Birmingham, Al 35203-4006

Provision of supplemental child care financial assistance to low/moderate income working families. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #2-Affordability.

-Imani New Life Recovery Program: **\$35,955**

631 3<sup>rd</sup> Street, SW  
Birmingham, Al 35211

Provision of assistance to the New Life Recovery Program that provides services to chemically dependent males and females 18 years of age and older, whose lives have been affected by chemical abuse/dependence and whose income range from indigence to low/moderate income based on HUD family income eligibility guidelines. Service provision will include intake/assessment, development of a treatment plan and 24 group therapy sessions over a 12 week period. Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Jefferson State Community College: **\$24,313**  
2601 Carson Road  
Birmingham, Al 35215

Provision New Options Program to assist adults, primarily single parents, obtain educational and job skills. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Lawson State Community College: **\$16,180**  
3060 Wilson Road SW  
Birmingham, Al 35221-1717

The Birmingham Jobs Empowerment Initiative is a collaborative effort between Lawson State Community College and the City of Birmingham to provide cooperative educational opportunities responsive to the changing needs of the work place. The overarching goal is to help increase the employability level of Birmingham residents through the provision of quarterly workshops centered on skills development to enhance employability levels. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Neighborhood Housing Services of Birmingham: **\$67,416**  
601 19<sup>th</sup> Street North; Suite 103  
Birmingham, Al 35203

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance. Proposed Accomplishments: Serve 80 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing;

Performance Measure Outcome: #2-Affordability.

**-Birmingham Regional Empowerment and Development Center (BREAD): \$22,472**

1708 Spencer Avenue  
Birmingham, Al 35214

A program that would provide GED assistance and job readiness seminars for youth ages 16-24. Proposed Accomplishments: Serve 35 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

**iii) Children/Youth Development/Senior Citizens: \$104,005**

**- Girl Scouts of the Cahaba Council \$10,231**

105 Heatherbrooke Park Drive  
Birmingham, Al 35242-8008

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HABD to serve low/moderate income girls. Proposed Accomplishments: Serve 160 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Positive Maturity, Inc.-East Lake: \$22,472**

3600 8<sup>th</sup> Avenue, South; Suite 200  
Birmingham, Al 35222

Provision of services to seniors at the Shepherd Center East facility, 118 84<sup>th</sup> Street North including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Rose Garden Adult Day Services, Inc.: \$17,366**

4900 1<sup>st</sup> Avenue, North  
Birmingham, Al 35222

Provision of adult day services for functionally impaired adults (elderly and disabled). Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-J.J.'s Freedom Center: \$22,472  
812 18<sup>th</sup> Way SW  
Birmingham, Al 35211

This organization will provide summer and after school programs for at risk children specifically in the Western area of Birmingham. Proposed Accomplishments: Serve 37 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: # Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1- Availability/Accessibility.

-Incorporated Angels, INAC: \$8,989  
316 Avenue U  
Birmingham, Al 35214

This agency will continue the INAC Freedom School Initiative which was developed under the Children's Defense Fund. Proposed Accomplishments: Serve 55 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: # Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Titusville Development Corporation: \$22,475  
300 Kappa Avenue  
Birmingham, Al 35205

Provision of services to seniors that are currently being served at the Memorial Park Recreation Center, including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**iv) Special Needs: \$96,346**

- Children's Village, Inc.: \$16,672  
2001 18<sup>th</sup> Street, SW  
Birmingham, Al 35211

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development. Proposed Accomplishments: Serve 20 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Mental Health Association of Central Alabama, Inc.: **\$20,839**

3600 8<sup>th</sup> Avenue, South; Suite 501  
Birmingham, Al 35222

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients. Proposed Accomplishments: Serve 15 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1- Availability/Accessibility.

-North Birmingham Community Assistance Program, Inc.: **\$19,934**

3417 34th Terrace, North  
Birmingham, Al 35207

Provision of an emergency food assistance program for eligible families. Proposed Accomplishments: Serve 200 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Prescott House: **\$21,166**

1730 14th Avenue, South  
P.O. Box 55892  
Birmingham, Al 35255

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances. Proposed Accomplishments: Serve 350 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-United Cerebral Palsy of Greater Birmingham, Inc.: **\$17,735**

120 Oslo Circle  
Birmingham, Al 35211

Provision of comprehensive training to assist clients to live independently in the community. Proposed Accomplishments: Serve 125 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**8) PROJECT: COMMERCIAL REVITALIZATION: \$188,221**  
**(570.204)**

Project ID/Local ID 8; Project Title: Community Economic Development; Priority Need 5-Promote City Wide Economic Development Eligibility 570.204-Special Activities by Community-Based Development Organizations; National Objective 570.208 (b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: Yes; Location: 4<sup>th</sup> Avenue North Business District; HUD Matrix Code:18-B- ED Technical Assistance; Description:

**Commercial Revitalization Activities & Description:**

**-Urban Impact: \$188,221**  
1701 4<sup>th</sup> Avenue North  
Birmingham, Al 35203

Assistance to Urban Impact, a non-profit organization, to continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods. Proposed Accomplishments: Serve 50 businesses. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

**CDBG PROGRAM TOTAL: \$5,911,517**

**B. PROJECT: HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):**

|                             |                |
|-----------------------------|----------------|
| PY 2013 HOME Grant:         | \$1,191,453.00 |
| Anticipated Program Income: | \$ 89,077.00   |
| TOTAL                       | \$1,280,530.00 |

Project ID/Local ID 9; Project Title: HOME Investment Partnership Program; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations;; Help Prevent Homelessness? Yes; Help the Homeless? No; Help those with HIV or AIDS? No; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 21-HOME Program.

The HOME Program is to be administered by the City's Community Development

Department-Housing Division.

**HOME Program Activities & Description:**

1) HOME Program Administration Activities: **\$128,053**

Provision of HOME Administrative costs for PY 2013. The City may allocate up to 10% of the total HOME allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, fair housing activities, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

2) HOME Program CHDO Activities: **\$192,080**

Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low-and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

3) HOME Rental Activities: **\$960,397**

Provision of HOME funds for the acquisition/rehabilitation, rehabilitation, and new construction of substandard or aging multi-family housing units that are suitable for rehabilitation. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**HOME PROGRAM TOTAL: \$1,280,530.00**

**C. PROJECT: EMERGENCY SOLUTIONS GRANT PROGRAM (ESG): \$521,460**

Project ID/Local ID 10; Project Title: Emergency Solutions Grant Program; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs.

**ESG Activities & Description:**

**1. Emergency Shelter/Street Outreach Activities  
(60% Maximum): \$312,870**

**-Family Connection: \$ 31,493**

1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Urban Ministry, Inc.: \$ 13,193**

1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Downtown Path Center: \$ 32,449**

409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of their transitional shelter program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Transitional Shelters: \$ 41,575**

409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of expanded shelter and supportive services for homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Cooperative Downtown Ministries, Inc: \$ 42,008**

1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue North. Proposed Accomplishments: Serve 1,205 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Family Connection: \$ 54,683**  
1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-First Light, Inc.: \$ 25,538**  
2230 Fourth Avenue, North  
Birmingham, Al 35203

Provision of an emergency shelter for homeless women and children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA: \$ 33,813**  
309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA: \$ 38,118**  
309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

Provision of housing for homeless women and children. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **2. Homeless Prevention Activities: \$53,305**

### **- Bridge Ministries: \$46,665**

1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 165 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

### **-Urban Ministry, Inc.: \$ 6,640**

1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **3. Rapid Re-housing Assistance: \$112,276**

### **-Urban Ministry, Inc.: \$5,125**

1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

### **- JCCEO: \$107,151**

300 8<sup>th</sup> Avenue West  
Birmingham, Al 35204

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **4. HMIS: \$3,900**

### **-Urban Ministry, Inc.: \$1,000**

1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Bridge Ministries: **\$650**  
1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- JCCEO: **\$2,250**  
300 8<sup>th</sup> Avenue West  
Birmingham, Al 35204

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**5. Administration (7.5% Maximum): \$39,109**

Provision of ESG Administrative costs for PY 2013. The City may allocate up to 7.5% of the total ESG allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**ESG PROGRAM TOTAL: \$521,460**

**D. PROJECT: HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA):  
\$582,166**

Project ID/Local ID 10; Project Title: Emergency Shelter Grant Program; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs. Program to be administered by the Community Development Department through AIDS Alabama, Inc., a non-profit organization. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2- Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**HOPWA Activities & Description:**

1. AIDS Alabama, Inc. \$582,166

3521 7th Avenue, South, Birmingham, Al

AIDS Alabama, Inc. will serve as the City's sponsor of HOPWA Entitlement Funds per HUD's recommendation. HOPWA Activities are as follows:

- \* Rental Assistance: \$236,023
- \* Supportive Services: \$118,011
- \* Operating Costs: \$182,381
- \* Resource Identification: \$5,000
- \* Project Sponsor Administration: \$40,751

**HOPWA PROGRAM TOTAL: \$582,166**

**GRAND TOTAL FOR ALL ACTION PLAN PROGRAMS: \$8,295,673.00**

**V. ANTICIPATED BENEFIT TO LOW AND MODERATE INCOME PERSONS:**

The City anticipates that approximately 90% of available funds described herein to be used for activities that will benefit low and moderate income persons. Should displacement occur as a result of any of the activities described herein, the City will provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan, copies of which are available in the City's Community Development Department at the referenced address. The City does not discriminate on the basis of race, color, national origin, sex, religion, age, and handicapped status in employment or provision of services.

**VI. THIRTY DAY CITIZEN COMMENT PERIOD:**

**The City's Community Development Department encourages citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds Submission and is thereby making the Plan available for public inspection and comment for a period of at least thirty (30) calendar days.**

Copies of the Proposed PY 2013 Action Plan One Year Use Of Funds Submission are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours.

**Also, the City's Community Development Department will conduct public hearings on January 10, 2013, at 9:30 a.m. and 5:30 p.m. and January 24, 2013 at 9:30 a.m. and 5:30 p.m. in the City Council Chamber, 710 North 20<sup>th</sup> Street, 3<sup>rd</sup> Floor City Hall; Birmingham, Alabama. The purpose of the hearings will be to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.**

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the previously referenced address no later than 48 hours prior to the scheduled hearing dates. This assistance includes any request for translators, or related services for non-English speaking persons. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

**All interested parties who are unable to attend the public hearings but desire to submit written views, comments, or proposals regarding the City's development of its Proposed PY 2013 Action Plan-One Year Use of Funds submission may do so by addressing them to Director; Community Development Department; 710 North 20th Street, 10<sup>th</sup> Floor City Hall; Birmingham, Alabama 35203.**

**ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE CITY'S COMMUNITY DEVELOPMENT DEPARTMENT AT THE REFERENCED ADDRESS NO LATER THAN JANUARY 28, 2013 BY 4:00 P.M. TO BE CONSIDERED**

**IN THE DEVELOPMENT OF THE CITY'S PROPOSED PY 2013 ACTION PLAN-ONE YEAR USE OF FUNDS SUBMISSION. NO E-MAIL OR FAX TRANSMISSION SUBMISSIONS WILL BE ACCEPTED OR CONSIDERED.**

**CONTENTS OF PY 2013 CDBG, HOME, AND ESG PROPOSALS:**

1. All CDBG, HOME, and ESG proposals may be submitted in a format of the applicant's selection. Guidelines for the submission of proposals by non-profit organizations or other entities are available upon request in the City's Community Development Department at the referenced address.
2. All CDBG, HOME, and ESG proposals should include a detailed budget of projected expenditures, specific and measurable performance goals and objectives, and a narrative description of proposed activities to be undertaken with an explanation as to how the proposed activities fit into the overall organization's budget structure. A summary of the applicant's prior experience over the prior five years in administering CDBG, HOME, ESG, or other federal funding should also be included.
3. Should an organization or other entity desire to submit more than one CDBG, HOME, or ESG project proposal, the proposals should be submitted separately and should identify the program from which funding is proposed.
4. All CDBG, HOME, and ESG proposals should include an Equal Employment Opportunity Statement whereby the applicant certifies that: "In its employment practices or provision of services, it does not and shall not discriminate on the basis of an individual's race, color, national origin, sex, religion, age, or handicapped status, in accordance with Executive Order 11246, as amended.
5. All CDBG, HOME, and ESG proposals submitted by non-profit, for profit, or other such organizations should include a copy of its: a) Articles of Incorporation; b) Non-Profit Determination; c) List of its Board of Directors or Governing Body; and d) A description of the agency's fiscal management system including financial reporting, record keeping, accounting systems, payment procedures, and most recent audit report.
6. All proposals submitted must be for eligible activities. The City reserves the right to reject any and all proposals received in response to this request for proposals.

Director  
Department of Community Development  
710 North 20<sup>th</sup> Street  
10<sup>th</sup> Floor City Hall  
City of Birmingham, Alabama

12-14-2012

Date of Publication:

Birmingham News; Birmingham Times-12-20-12;

Website Posting: [www.birminghamal.gov](http://www.birminghamal.gov)

File name: 12-14-12 Proposed Publication

APPENDIX G.  
PROPOSED PY 2013 ACTION PLAN CITIZEN COMMENTS

The City encouraged citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds and made its Proposed 2013 Action Plan One Year Use Of Funds available for public examination and comment for a period of at least thirty (30) calendar days from the publication of the Proposed PY 2013 Action Plan Summary contained in Appendix F. Copies of the entire Proposed PY 2013 Action Plan One Year Use Of Funds were made available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies were also made available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. A copy of the published summary was also posted on the City's Website at [www.birminghamal.gov](http://www.birminghamal.gov) and copies were included in the City's Citizen Participation mailings to officers of each of its 99 neighborhoods and were e-mailed to all current sub-recipients of CDBG, ESG, HOME, or HOPWA funding.

Comments regarding the City's Proposed PY 2013 Action Plan One Year Use Of Funds were requested to be submitted in writing to: Director; Community Development Department; 710 North 20th Street, Room 1000; Birmingham, Alabama 35203.

Any written comments received at the referenced address no later than Monday, January 28, 2013 by 4:00 p.m. were considered in the development of the City's Final PY 2013 Action Plan One Year Use Of Funds.

- 1) Susan Johnston, **Family Connection**, requested level funding.
- 2) Lisa Isay and Jessica York, **Bridge Ministry**, thanks for continuing support want to focus on families with children wants funding for ill release from hospital.
- 3) Valerie Bouriche, **One Roof**, thanks for support and requested level funding.
- 4) Nathan Hicks, **Urban Impact**, requested level funding.
- 5) Patricia Todd, **AIDS Alabama**, Thanked the city and former Director for support. Requested continued support.
- 6) Jennifer Clarke, **YWCA Central Alabama**, Thanked the city for past support and requested continued support.
- 7) George Graham, **Girl Scouts of North-Central Alabama**, introduced himself as a new Board Member and asked that we correct the name to their new name. Also asked for level funding.
- 8) Kenneth Crenshaw, **BBVA Compass Bank**, asked that they be included in low income housing and down payment assistance.
- 9) Dan Kessler, **Disability Rights and Resources d/b/a Independent Living Center**, spoke of past and current programs and the need for more access and funding.
- 10) Steve Freeman, **Firehouse Shelter**, spoke about current and future plans and that they intend to get into new mentoring programs and substance abuse and mental health programs.
- 11) Jada, McDaniel, **Changed Lives Christian Center**, thanks for CDBG and they intend to

- also apply for HOME funds.
- 12) Meghan Ann Hauswirth, **AIDS Alabama**, gave statistics of HIV/AIDS in the southern U.S. and described the need for funding emergency shelter, permanent housing, and transitional housing.
  - 13) Connie Payne, **Children's Village**, described a 24 hour facility for 6-18 year olds that attend City Schools. Stated that the grant can help sustain, but they are seeking additional funding.
  - 14) Mary Parsons, **Eastern Area Christian Ministries**, all volunteer until 2007. They now have 1 paid employee and they are requesting CDBG funding.
  - 15) Charles Whatley, Resident of Crestwood Blvd., he is a retired teacher and wants to start a program with Kingston Elementary with JCCEO through Futures, Inc. to react to the 35211 area dropout rate.

The Community Development Department's rationale for not including new initiatives or new sub-grantees, beyond those added for the new Emergency Solutions Grant, in its Recommended PY 2013 Action Plan is as follows:

The City has experienced the following reductions in funding since 2002:

|       | CDBG   | HOME        | ADDI      | HOPWA     | ESG       |
|-------|--|-------------|-----------|-----------|-----------|
| 2002: | \$8,781,000  | \$2,549,000 | 0         | \$469,000 | \$292,000 |
| 2003: | \$8,117,000  | \$2,347,573 | \$113,752 | \$486,000 | \$301,000 |
| 2004: | \$8,017,000  | \$2,347,512 | \$134,230 | \$520,000 | \$296,915 |
| 2005: | \$7,595,149  | \$2,246,287 | \$76,541  | \$497,000 | \$293,219 |
| 2006: | \$6,853,637  | \$2,121,412 | \$38,193  | \$511,000 | \$292,138 |
| 2007: | \$6,847,776  | \$2,009,252 | \$38,193  | \$516,000 | \$295,584 |
| 2008: | \$6,587,112  | \$2,033,367 | \$15,432  | \$538,000 | \$295,046 |
| 2009: | \$6,662,347  | \$2,271,553 | 0         | \$554,848 | \$293,281 |
| 2010: | \$7,223,115  | \$2,371,553 | 0         | \$593,523 | \$291,788 |
| 2011: | \$6,021,660  | \$1,990,104 | 0         | \$586,116 | \$292,639 |
| 2012: | \$5,541,756  | \$1,191,453 | 0         | \$582,166 | \$521,460 |
| 2013: | Unavailable estimated for planning at a 15% reduction to 2012. |             |           |           |           |

**Approximate Reduction Percentages:**

| CDBG           | HOME   | ADDI    | HOPWA  | ESG    |
|----------------|--------|---------|--------|--------|
| Since 02(-37%) | (-53%) | (-100%) | (+24%) | (+79%) |

In light of these significant reductions, the Community Development Department has not included any significant new budget or program initiatives, beyond those added for the new Emergency Solutions Grant, in the Mayor's Recommended PY 2013 Action Plan One Year Use of Funds currently under review.

No other comments were received only proposals for funding.

APPENDIX H  
HOUSING REVIEW COMMITTEE

The Mayor has appointed the following or their designee to the City's Housing Review Committee to review, approve, and modify all applications for Housing Rehabilitation or related assistance under the City's CDBG Housing Rehabilitation Programs and HOME Programs.

Chief Of Staff  
Office of the Mayor  
710 North 20th Street  
Birmingham, Alabama 35203

City Council President  
Birmingham City Council  
710 North 20th Street  
Birmingham, Alabama 35203

Finance Department Director  
710 North 20th Street  
Birmingham, Alabama 35203

City Attorney  
Law Department  
710 North 20th Street-Room 600  
Birmingham, Alabama 35203

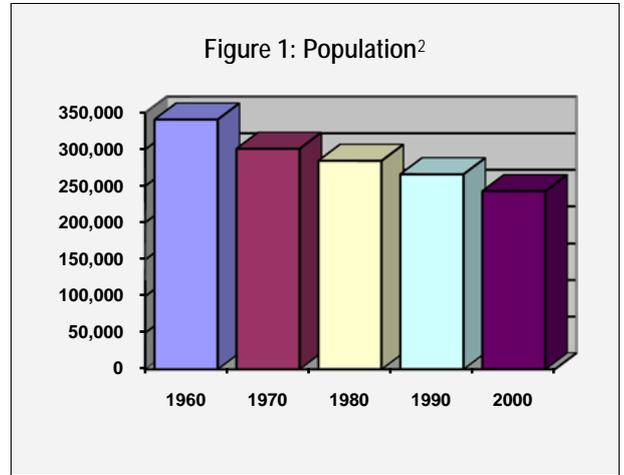
Director  
Community Development Department  
710 North 20th Street-Room 1000  
Birmingham, Al 35203

Director  
Department of Planning, Engineering, & Permits  
710 North 20th Street  
Birmingham, Al 3520

APPENDIX I  
MAPS/RELATED DATA

**Population**

Currently, the population of Birmingham is 211,670. The population of the city has been steadily declining since its peak of 340,887 in 1960. Since then, the population has dropped by 129,217 or 37.9%. The largest decreases occurred during the 1960's and since 2000 with population losses of 11.7% and 12.9%, respectively.



**Households**

Along with the population, the number of households in Birmingham has also been decreasing. According to the 2008 estimates, there were 87,795 households in Birmingham, which represents a 15.4% decrease in households since 1990 (compared to a 21.2% decrease in the population during the same period). The more rapid decrease in population over households equated to smaller household sizes. In 1990, the average household size was 2.53 persons. By 2000, the average household size decreased to 2.46 and was estimated to be 2.36 by 2008.<sup>3</sup>

**Racial Composition-Areas of Minority Concentration:**

The racial composition of Birmingham is changing dramatically. Between 1990 and 2000, the white population declined in terms of numbers and its percentage of the total population, while all other races increased in number and as a percentage of the total population. Since 2000, the white, African-American and American Indian/Alaska Native populations have all declined, numerically and as a percent. From 2000 and 2008, the White population is estimated to have declined an additional 18.1% beyond its 1990 to 2000 loss of 38.1%, and now represents just under 23.0% of the total population of the city. The African-American population is estimated to have decreased by nearly 13.0% between 2000 and 2008, despite its 5.8% increase between 1990 and 2000, and now represents nearly 74.1% of the total population. From 1990 to 2000, the Asian population increased 4.2%, and is estimated to have increased by another 3.9% to 1,877 (0.9% percent of the total population).

The most dramatic increase is in the Hispanic community. The Hispanic population grew from 1,175 in 1990 to 3,694 by 2000, representing a 214.4% increase and 1.5% of the total population in Birmingham (up from 0.4% ten years earlier). By 2008, the Hispanic population was estimated to have increased to 6,069, representing an additional 64.3% increase over 2000, and now comprising 2.9% of the total population of Birmingham.

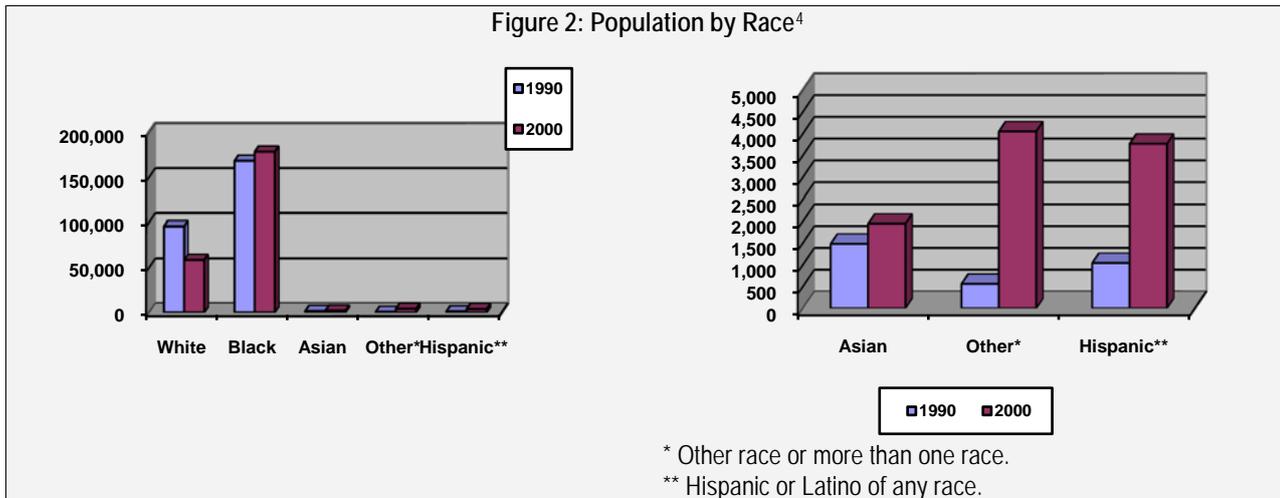
Although the data show a dramatic increase from 1990 to 2000 among those reporting other race or two or more races, information on two or more races is not shown separately in the 1990 census data. Between 2000 and 2008, this population segment was estimated to have increased by an additional 4.9%, now

<sup>2</sup> City of Birmingham, Department of Community Development, Policy Statement on Community Development and Neighborhood Revitalization (n.d.) and the U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1).

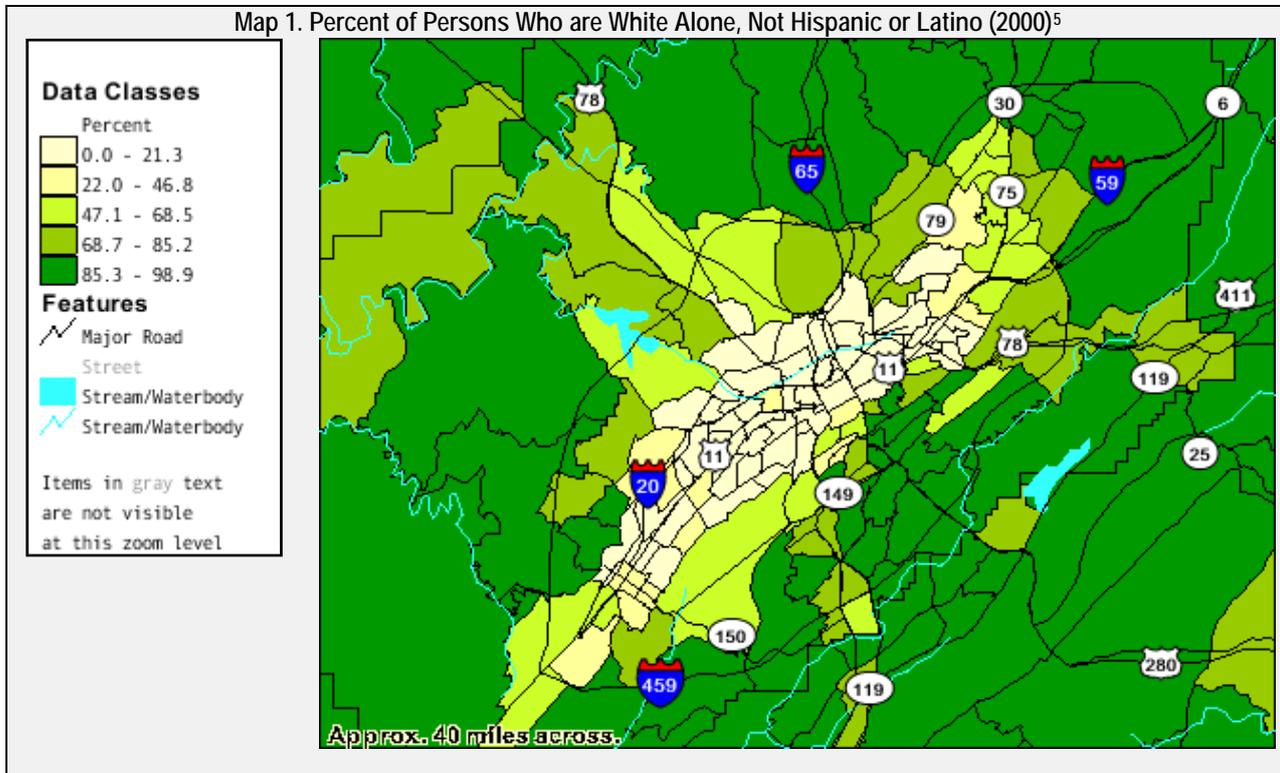
<sup>3</sup> U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

estimated to comprise 1.9% of the total population.

Figure 2: Population by Race<sup>4</sup>



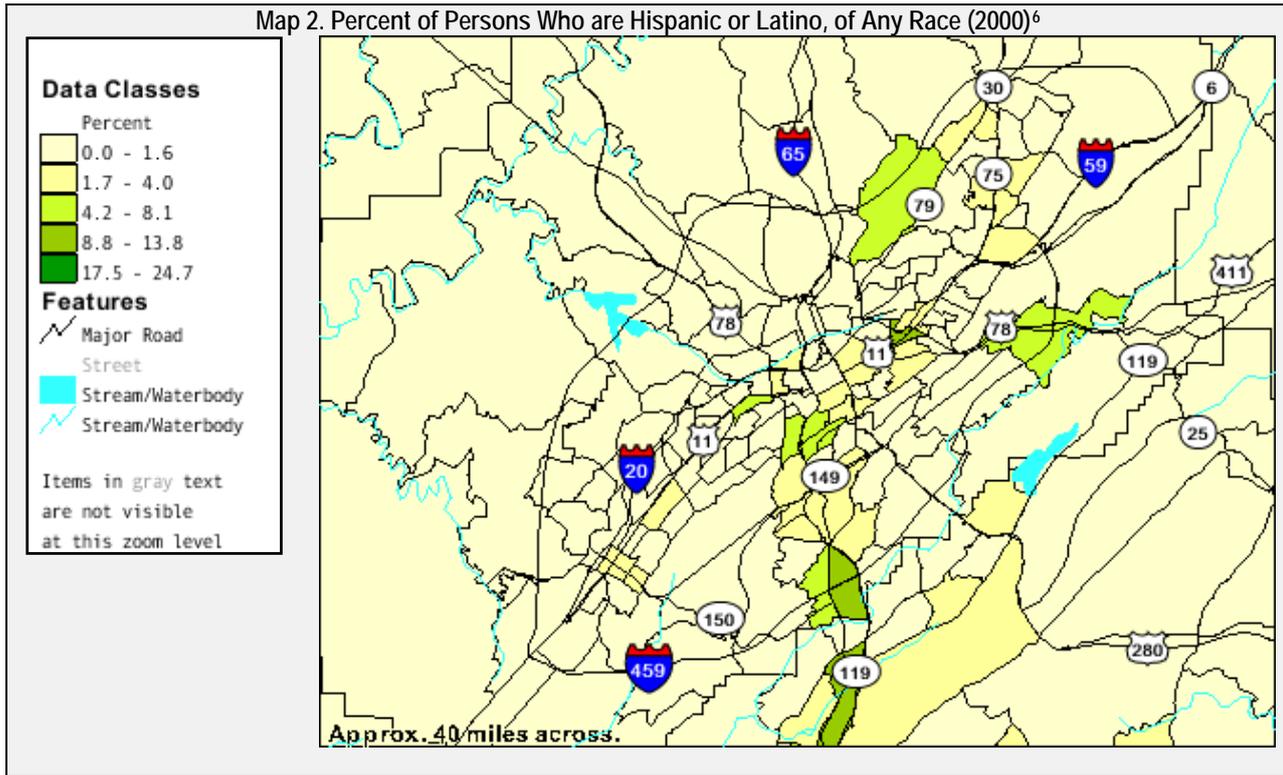
Map 1. Percent of Persons Who are White Alone, Not Hispanic or Latino (2000)<sup>5</sup>



<sup>4</sup> U.S. Department of Commerce, Bureau of the Census (Census 1990 Summary Tape File 1 and Census 2000 Summary File 1)

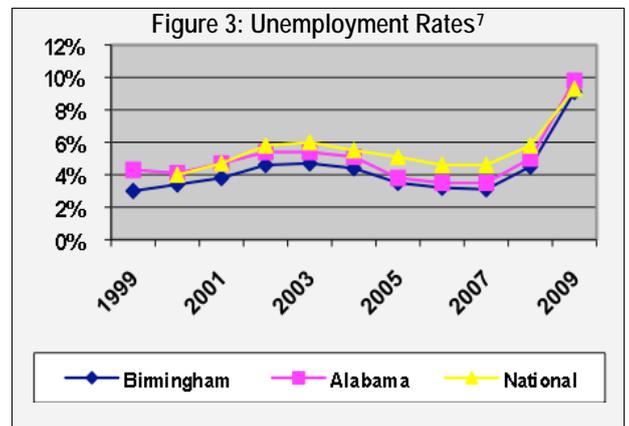
<sup>5</sup> U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

Map 2. Percent of Persons Who are Hispanic or Latino, of Any Race (2000)<sup>6</sup>



## Unemployment Rates

The unemployment rates for the Birmingham MSA have been less than one point below the statewide unemployment rates, which have closely mirrored the national rates. In the Birmingham MSA, unemployment has ranged from a low of 3.0% in 1999 to a high of 4.7% in 2003, until the 2009 high of 9.1%. According to information from the Bureau of Labor Statistics, the unemployment rates in the Birmingham MSA for 2002 and 2003 were the highest during the 10-year period until 2009.



## Median Household Income

In 2012, the median family income for the Birmingham MSA was \$57,100. This represents a 5.0% increase in median income over the past 10 years. For all years except 2001, 2007 and 2012, Birmingham experienced an increase in median income. In 2001, the median income remained steady.

<sup>6</sup> U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

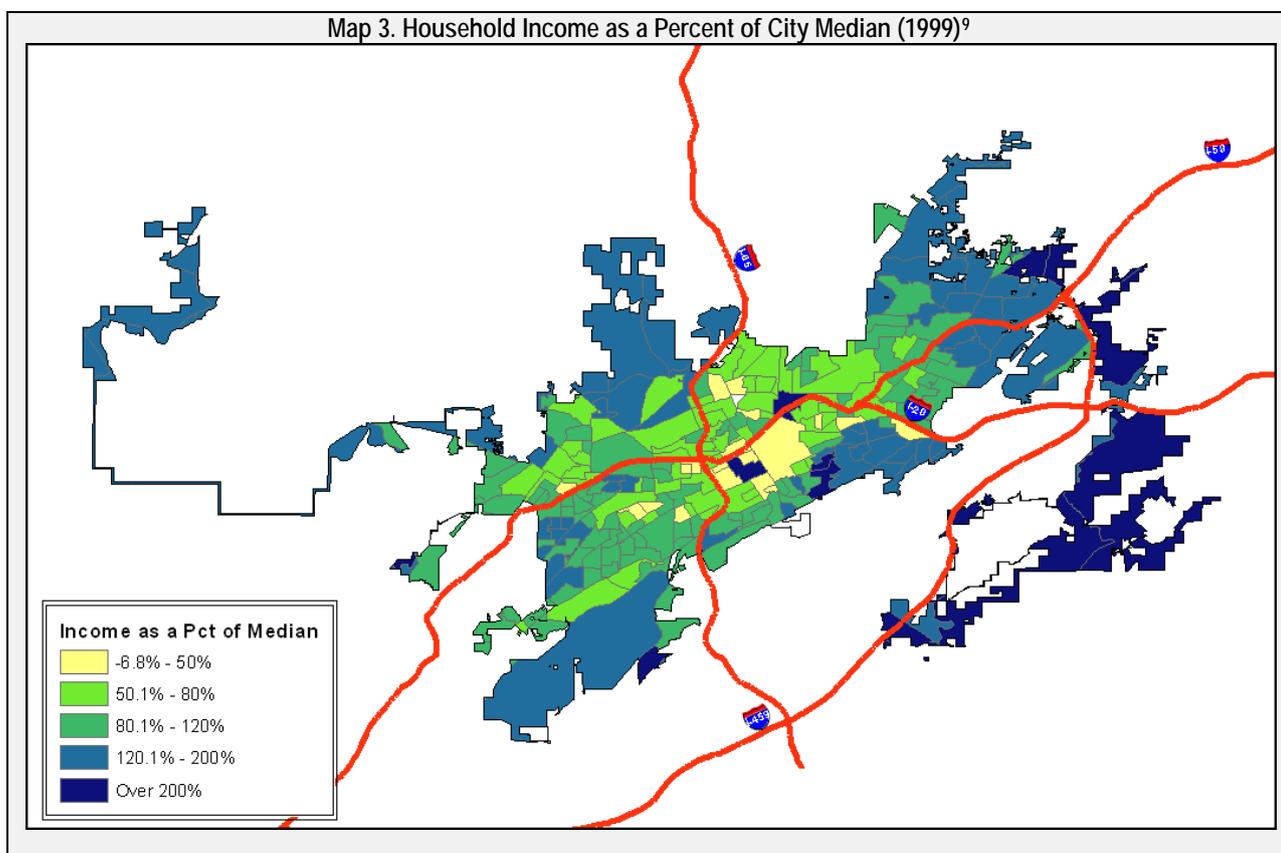
<sup>7</sup> U.S. Department of Labor, Bureau of Labor Statistics (Unemployment Rate Not Seasonally Adjusted)

In 2007 it declined by 3.3% from its 2006 level. In 2012 it decreased the most at 8.5% from 2011. The strongest years of growth were in 2000 and 2008, where income grew by more than 6.5%. The 2008 increase, however, is largely attributable to the 3.3% decline in the previous year. As shown in Map 3, households with lower median incomes are concentrated near the city center along the I-20 corridor, which is also the area of a concentration of non-White households (see Map 1).

| Year | Income | Change |         |
|------|--------|--------|---------|
|      |        | Amount | Percent |
| 2012 | 57,100 | -4,900 |         |
| 2011 | 62,000 | 300    | .5%     |
| 2010 | 61,700 | 800    | 1.3%    |
| 2009 | 60,900 | 1,800  | 3.0%    |
| 2008 | 59,100 | 3,600  | 6.5%    |
| 2007 | 55,500 | -1,900 | -3.3%   |
| 2006 | 57,400 | 1,150  | 2.0%    |
| 2005 | 56,250 | 1,050  | 1.9%    |
| 2004 | 55,200 | 1,000  | 1.8%    |
| 2003 | 54,200 | 1,500  | 2.8%    |
| 2002 | 52,700 | 1,600  | 3.1%    |
| 2001 | 51,100 | —      | —       |
| 2000 | 51,100 | 3,200  | 6.7%    |
| 1999 | 47,900 | —      | —       |

## 2.2 Supply and Demand—General

### Housing Units



In 1990, the total number of housing units in Birmingham was 117,691. During the period 1990 to 2000, the total number of housing units decreased by 4.9% to 111,927 units—

the number of owner-occupied units decreased by 3,252 and renter-occupied units decreased by 3,403. Also during this period, the number of vacant units increased by 7.3%. Owner-occupied housing represents 47.4% of all housing units and rental housing comprises 40.9%. Vacant units now account for 11.7% of all housing units.

<sup>8</sup> U.S. Department of Housing and Urban Development, Policy Development and Research (Data Sets: Median Income Limits)

<sup>9</sup> U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 3)

According to 2008 American Community Survey estimates, vacant units had increased to 22,603 (20.3%) of the remaining 88,795 housing units. Of occupied housing units, 53.3% were owner-occupied and 46.7% were renter occupied, comprising essentially the same composition as that in 2000.

Single-family detached housing units represented the majority of the owner-occupied housing stock in Birmingham in 2000, at 94.1%. This was estimated to have increased to 94.8% by 2008.

Although by 2000 the number of single-family detached units had decreased by 3,237 since 1990, it continued to represent about the same percentage of owner-occupied housing in that year. This number was estimated to have decreased by another 4,927 units by 2008, which in part accounts for the decline in owner occupancy. Overall, owner-occupied housing decreased 6.4% (3,388 units) between 1990 and 2000 and another 9.9% by 2008. Increases were only seen for owner-occupied housing in buildings of 20 to 49 units—4.2% increase in these high-occupancy buildings, with a net decline of 18.7% among all structures of two or more units between 2000 and 2008.

In terms of rental housing, buildings with five or more units continue to be the majority of the housing stock at 55.5% in 1990 and 54.6% in 2000, which represents a 7.8% decrease during this period. By 2008, this figure was 52.7%, representing an additional decline of 13.1%. The number of mobile homes used for rental housing increased by 34.0% from 100 units in 1990 to 134 units in 2000, but was estimated to have declined to 128 units (4.5%) by 2008. From 1990 to 2000, rental of single-family detached housing increased 3.9%, and increased by another 8.3% by 2008. All other types of buildings showed a decrease during this period with single-family attached housing decreasing the most—26.2% by 2000, and 50.4% by 2008.

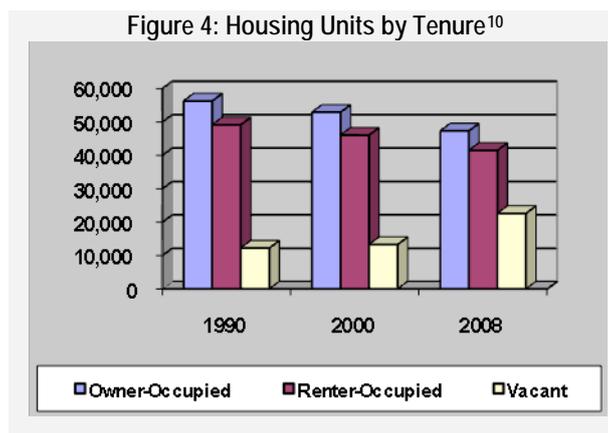


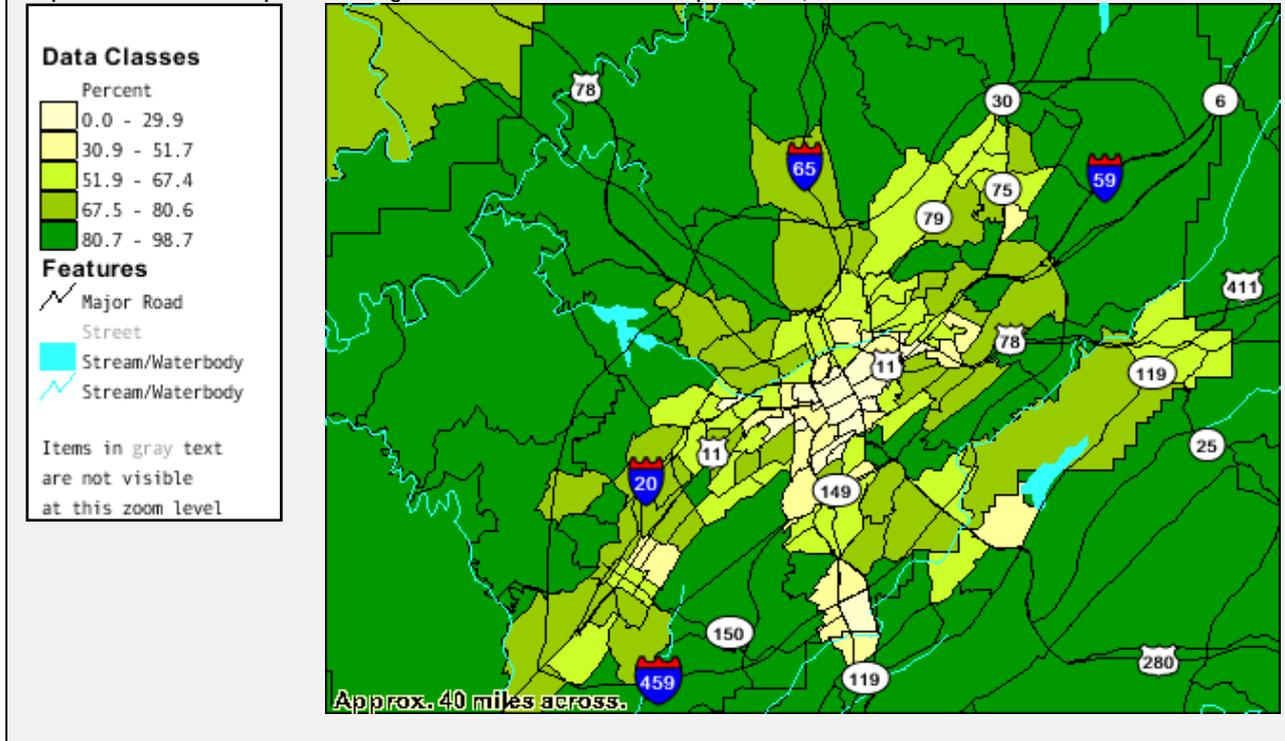
Table 2. Housing Units by Tenure and Number of Units<sup>11</sup>

<sup>10</sup> U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

<sup>11</sup> U.S. Department of Commerce, Bureau of the Census (Census 1990 and 2000 Summary File 3) and U.S. Census Bureau, 2006-2008 American Community Survey.

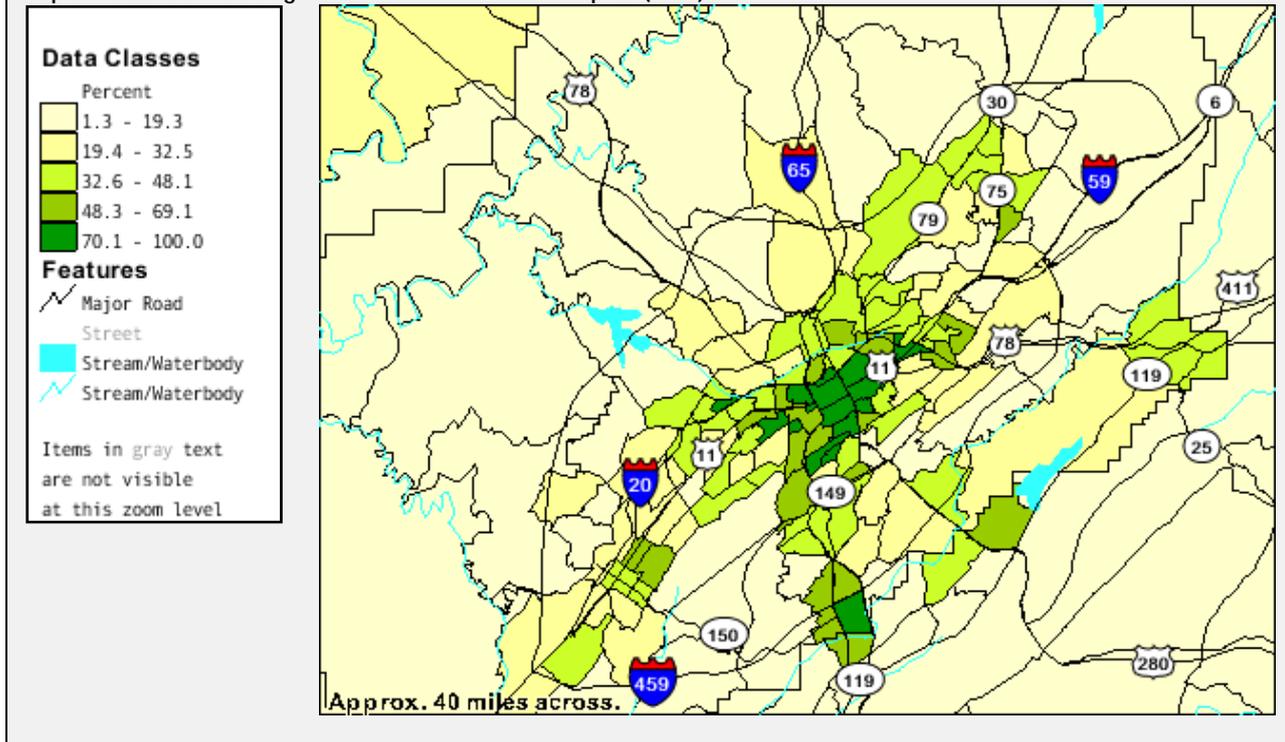
| Tenure and<br>Number of Units |                   | 2000           |               | 2008           |               | Change         |          |
|-------------------------------|-------------------|----------------|---------------|----------------|---------------|----------------|----------|
|                               |                   | Number         | Percent       | Number         | Percent       | Number         | Percent  |
| Owner-Occupied                | 1 Unit (detached) | 49,765         | 94.10%        | 44,838         | 94.78%        | -4,927         | -9.90%   |
|                               | 1 Unit (attached) | 1,048          | 1.98%         | 958            | 2.03%         | -90            | -8.59%   |
|                               | 2 Units           | 126            | 0.24%         | 37             | 0.08%         | -89            | -70.63%  |
|                               | 3 or 4 Units      | 307            | 0.58%         | 189            | 0.40%         | -118           | -38.44%  |
|                               | 5 or more Units   | 1,344          | 2.54%         | 1,218          | 2.57%         | -126           | -9.38%   |
|                               | Mobile Home       | 280            | 0.53%         | 67             | 0.14%         | -213           | -76.07%  |
|                               | Other             | 16             | 0.03%         | 0              | 0.00%         | -16            | -100.00% |
| <b>Total</b>                  | <b>52,886</b>     | <b>100.00%</b> | <b>47,307</b> | <b>100.00%</b> | <b>-5,579</b> | <b>-10.55%</b> |          |
| Renter-Occupied               | 1 Unit (detached) | 12,611         | 27.38%        | 13,661         | 32.93%        | 1,050          | 8.33%    |
|                               | 1 Unit (attached) | 1,621          | 3.52%         | 804            | 1.94%         | -817           | -50.40%  |
|                               | 2 Units           | 2,160          | 4.69%         | 1,313          | 3.16%         | -847           | -39.21%  |
|                               | 3 or 4 Units      | 4,333          | 9.41%         | 3,710          | 8.94%         | -623           | -14.38%  |
|                               | 5 or more Units   | 25,161         | 54.64%        | 21,872         | 52.72%        | -3,289         | -13.07%  |
|                               | Mobile Home       | 134            | 0.29%         | 128            | 0.31%         | -6             | -4.48%   |
|                               | Other             | 31             | 0.07%         | 0              | 0.00%         | -31            | -100.00% |
| <b>Total</b>                  | <b>46,051</b>     | <b>100.00%</b> | <b>41,488</b> | <b>100.00%</b> | <b>-4,563</b> | <b>-9.91%</b>  |          |

Map 4. Percent of Occupied Housing Units That Are Owner-Occupied (2000)<sup>12</sup>



<sup>12</sup> U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

Map 5. Percent of Housing Units That Are Renter-Occupied (2000)<sup>13</sup>



<sup>13</sup> U.S. Department of Commerce, Bureau of the Census (Census 2000 Summary File 1)

APPENDIX J  
HUD TABLE 3C

U.S. Department of Housing and Urban Development  
CPD Consolidated Plan  
Listing of Proposed Projects

Note: Each described proposed project and activity contains a Local & Project ID/, Project Title/Description; Activity/HUD Matrix Code/Title/Citation; Funding Source; Performance Indicator/Proposed Accomplishments; Annual Units; and Performance Measure Objectives and Outcomes as described in Appendix L.

The following is a description of the Federal resources, as outlined in Subpart III-2, the City expects to be available during PY 2013 to address the above referenced priority needs and specific objectives identified in the City's 2010-2015 Consolidated Five Year Strategic Plan Submission and contained in its Final PY 2013 Action Plan One Year Use Of Funds. These resources include grant funds and program income.

These following activities and proposed use of funds have been developed so as to address priority needs and local objectives, as identified in the Housing and Community Development Five year Strategic Plan section of the City's PY 2010-2015 Consolidated Strategy and Plan Submission, with anticipated grant funds and program income receipts expected to be received during PY 2013 under the CDBG, HOME, ESG, HOPWA, Public Housing Comprehensive Grant, and other HUD programs for meeting housing and community development objectives. The Action Plan-One Year Use of Funds must be submitted annually to HUD for approval. The rule establishing the Consolidated Plan submission also consolidates the reporting requirements for these programs, replacing the general performance reports with one performance report. **The City estimates 90% of CDBG funds will be used for activities that benefit low-and moderate income persons.**

Please note that Proposed Projects are in ALL CAPS AND UNDERLINED with the appropriate coding and the proposed activities for those projects are listed there under each with a brief description and proposed performance measure and outcome. Final PY 2013 Action Plan Projects and Activities are as follows:

**A. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):**

|                                    |                       |
|------------------------------------|-----------------------|
| <b>PY 2013 CDBG Grant</b>          | <b>\$4,710,493.00</b> |
| <b>Anticipated Program Income:</b> | <b>\$ 300,000.00</b>  |
| <b>TOTAL CDBG:</b>                 | <b>\$5,010,493.00</b> |

**1. PROJECT: ADMINISTRATION: \$959,457**  
**(570.206)**

Project ID/Local ID #1; Project Title: General Program Administration; Priority Need: N/A; Eligibility 570.206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 21A-General Program Administration; Proposed Accomplishments: Program Administration; Start Date: 7/1/2013; Completion Date: 6/30/2014. Performance Measure Objective:

N/A; Performance Measure Outcome: N/A; Description: These funds provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities including CDBG, ESG, HOME, HOPWA, CDBG-R, and any other Community Development Activities assisted in whole or in part with CDBG funds. Supportive funds will be allocated towards general program administration, legal services, planning, environmental reviews, public information, and fair housing.

**2. PROJECT: PLANNING & MANAGEMENT: \$42,642**  
**(570.205 & 206)**

Project ID/Local ID #2; Project Title: Planning & Management; Priority Need N/A; Eligibility 570.205-Planning and 206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 20-Planning; Proposed Accomplishments: Program Planning; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

Provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities. Proposed funding is as follows to be administered by the City's Community Development Department:

**Planning & Management Activities & Description:**

**-One roof: \$22,272**

2230 4<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Assistance to One Roof, a non-profit organization, to provide for the administration of the City's HUD approved Continuum of Care for homeless persons.

**-Fair Housing Center of Northern Alabama: \$20,370**

1728 3<sup>rd</sup> Avenue, North Suite-400C  
Birmingham, Al 35203

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training.

**3. PROJECT: REPAYMENTS OF SECTION 108 LOAN PRINCIPAL: \$150,000**  
**(570.705)**

Project ID/Local ID 3; Project Title: Repayments of Section 108 Loan Principal; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.705; National Objective N/A; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code:19F-Repayments of Section 108 Loan Principal; Proposed Accomplishments: N/A.; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

**Section 108 Loan Principal Activities & Description:**

Provide CDBG support for Section 108 loan repayments to be administered by the City's Community Development Department. Principal and interest payments due in August, 2013 and Principal only payments due in February, 2014 to The Bank of New York Mellon for Section 108 Loan payments

#### **4. PROJECT: SPECIAL ECONOMIC DEVELOPMENT (570.203(b) and (c)): \$127,500**

Project ID/Local ID 5; Project Title: Technical Assistance; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203(b) and (c) Special Economic Development; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 19C-CDBG Non-Profit Capacity Building; Proposed Accomplishments: 1 Organization and 9 Commercial Districts to be assisted; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability; Description:

Provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities.

#### **Special Economic Development Activities & Description:**

##### **Birmingham Beacon Program:**

##### **-Main Street Birmingham, Inc: \$127,500**

One 55<sup>th</sup> Place South; Birmingham, AL 35232

A non-profit corporation established to coordinate the Birmingham Beacon Program whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

#### **5. PROJECT: HOUSING REHABILITATION: \$2,819,332** **(570.202)**

Project ID/Local ID 6; Project Title: Housing Rehabilitation Activities; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Eligibility (570.202)-Eligible Rehabilitation and preservation activities; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 14A-Rehabilitation Single Unit Rehabilitation and 14B-Rehabilitation Multi-Unit Residential; Proposed Accomplishments: See individual activities; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability; Description:

Provides for the rehabilitation of owner-occupied and rental substandard structures on a City wide basis, non-profit organization housing service provider agreements, and associated program operation costs. Also includes loan processing and servicing costs, inspections, and other services related to assisting

owners, tenants, contractors, and other entities, participating or seeking to participate in rehabilitation activities authorized under this section. Detailed descriptions of these and other housing related programs are available in the Community Development Department-Housing Division, 710 North 20th Street, Room 700; Birmingham, Al 35203. This activity is anticipated to generate program income and is to be administered by the City's Community Development Department-Housing Division on a city wide basis.

**Housing Rehabilitation Activities & Description:**

**A. Program Costs: \$900,000.00**

Costs associated with rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202. Includes staff salaries, legal services, mortgage servicing, and other related costs. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**B. Rehabilitation Activity Costs: \$1,919,332**

**- Single Family Rehabilitation Program: \$1,019,332**

Provides grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Proposed Accomplishments: 125 Housing Units to be rehabilitated. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Independent Living Resources of Greater Birmingham: \$225,000**

206 13<sup>th</sup> Street South  
Birmingham, AL 35233

Provides assistance to qualified disable residential tenants and disabled homeowners to enable modifications to be made to their residence. Proposes to modify homes at an average cost of \$3,000. The maximum allowable grant would be up to \$3,500 per household. The agency's Executive Director may grant an exception to the \$3,500.00 maximum in a limited number of cases where the need exists. Proposed Accomplishments: 47 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Rising West Princeton Corporation: \$25,000**

1708 1<sup>st</sup> Avenue, West  
Birmingham, Al 35208

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton. Proposed Accomplishments: 10 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- Metro Changers, Inc.: **\$650,000**  
750 Montclair Road  
Birmingham, Al 35213

Authorize the Mayor to enter into necessary agreements to provide housing rehabilitation services through the Worldchangers Rehabilitation Program. Proposed Accomplishments: 150 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**6. PROJECT: PUBLIC SERVICES: {570.201(e)} \$751,574**

Project ID/Local ID 7; Project Title: Public Service Activities; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4-Provide Housing and Supportive Services for Homeless populations; Eligibility 570.201(e)-Public Services; Administered by the Community Development Department. Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: Public Services per 570.201(e); CDBG National Objective Citation: Benefit to low and moderate income persons per 570.208(a); Subrecipients: Yes; Location(s): City Wide.; HUD Matrix Code: 05-Public Services; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility. Description:

**Public Service Activities & Description:**

Provision of public services (including labor, supplies, and materials) including, but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 570.207(b)(4)), homebuyer down payment assistance, or recreational needs. The amount of CDBG funds used for public services shall not exceed 15 percent of each grant plus 15 percent of program income received during the grantee's immediately preceding program year.

The City proposes to enter into CDBG Public Service Agreement with the following non-profit organizations to provide the services outlined herein:

**i). Homeless Shelter Programs: \$384,333**

**Men**

- Aletheia House, Inc.: **\$36,233**  
135 Finley Avenue, West  
P.O. Box 1514  
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date:

6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Changed Lives Christian Center, Inc.: \$40,375**

1308 26<sup>th</sup> Avenue, North  
Birmingham, Al 35204

Homeless shelter for men providing food and shelter. Proposed Accomplishments: Serve 2,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Cooperative Downtown Ministries, Inc.: \$38,349**

1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily. Proposed Accomplishments: Serve 2,800 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Women**

**-First Light, Inc.: \$29,845**

2230 Fourth Avenue, North  
Birmingham, Al 35203

To provide shelter and services to Birmingham's homeless women and children with an emphasis on serving the mentally ill. Proposed Accomplishments: Serve 700 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Transitional Shelters: \$27,965**

409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide transitional shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Pathways/Downtown Path Center: \$58,285**

409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA Homeless Daycare: \$38,046**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA Homeless Daycare Transportation; \$11,204**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide transportation for homeless children residing in local shelters to day care services at the YWCA. Proposed Accomplishments: Serve 60 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Families/Other**

**-YWCA: \$33,741**

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

This program was previously operated by Interfaith Hospitality House which ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Supportive Services**

**-Bridge Ministries, Inc.: \$27,360**

1016 19<sup>th</sup> Street, South  
Birmingham, Al 35205

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services. Proposed Accomplishments: Serve 140 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-New Pilgrim Bread of Life Ministries: \$18,317**

708 Goldwire Place SW

Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Urban Ministry, Inc.: \$18,317**

1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-One roof: \$6,296**

2230 4<sup>th</sup> Avenue, North  
Birmingham, Al 35203

The agency currently provides assistance to eligible clients through the SOAR program. This program assists eligible homeless individuals to acquire social security and disability. Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Other Public Services:**

**ii) Employment & Housing Assistance: \$204,584**

**-Birmingham Urban League, Inc.: \$39,476**

1229 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of housing counseling services to assist individuals and families in obtaining, maintaining, and retaining, decent, safe and affordable housing. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Childcare Resources, Inc.: \$45,820**

1904 1<sup>st</sup> Ave, North  
Birmingham, Al 35203-4006

Provision of supplemental child care financial assistance to low/moderate income working families. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #2-Affordability.

**-Jefferson State Community College: \$20,666**

2601 Carson Road  
Birmingham, Al 35215

Provision New Options Program to assist adults, primarily single parents, obtain educational and job skills. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Lawson State Community College: **\$13,753**  
3060 Wilson Road SW  
Birmingham, Al 35221-1717

The Birmingham Jobs Empowerment Initiative is a collaborative effort between Lawson State Community College and the City of Birmingham to provide cooperative educational opportunities responsive to the changing needs of the work place. The overarching goal is to help increase the employability level of Birmingham residents through the provision of quarterly workshops centered on skills development to enhance employability levels. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Neighborhood Housing Services of Birmingham: **\$65,768**  
601 19<sup>th</sup> Street North; Suite 103  
Birmingham, Al 35203

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance. Proposed Accomplishments: Serve 80 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

-Birmingham Regional Empowerment and Development Center (BREAD): **\$19,101**  
1708 Spencer Avenue  
Birmingham, Al 35214

A program that would provide GED assistance and job readiness seminars for youth ages 16-24. Proposed Accomplishments: Serve 35 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

**iii) Children/Youth Development/Senior Citizens: \$80,763**

- Girl Scouts of North-Central Alabama: **\$8,696**  
105 Heatherbrooke Park Drive  
Birmingham, Al 35242-8008

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HABD to serve low/moderate income girls. Proposed Accomplishments: Serve 160 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Positive Maturity, Inc.-East Lake: \$19,101**

3600 8<sup>th</sup> Avenue, South; Suite 200  
Birmingham, Al 35222

Provision of services to seniors at the Shepherd Center East facility, 118 84<sup>th</sup> Street North including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Rose Garden Adult Day Services, Inc.: \$14,761**

4900 1<sup>st</sup> Avenue, North  
Birmingham, Al 35222

Provision of adult day services for functionally impaired adults (elderly and disabled). Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-J.J.'s Freedom Center: \$19,101**

812 18<sup>th</sup> Way SW  
Birmingham, Al 35211

This organization will provide summer and after school programs for at risk children specifically in the Western area of Birmingham. Proposed Accomplishments: Serve 37 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: # Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Titusville Development Corporation: \$19,104**

300 Kappa Avenue  
Birmingham, Al 35205

Provision of services to seniors that are currently being served at the Memorial Park Recreation Center, including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**iv) Special Needs: \$81,894**

**- Children's Village, Inc.: \$14,171**

2001 18<sup>th</sup> Street, SW  
Birmingham, Al 35211

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development. Proposed Accomplishments: Serve 20 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Mental Health Association of Central Alabama, Inc.: \$17,713**

3600 8<sup>th</sup> Avenue, South; Suite 501  
Birmingham, Al 35222

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients. Proposed Accomplishments: Serve 15 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-North Birmingham Community Assistance Program, Inc.: \$16,944**

3417 34th Terrace, North  
Birmingham, Al 35207

Provision of an emergency food assistance program for eligible families. Proposed Accomplishments: Serve 200 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Prescott House: \$17,991**

1730 14th Avenue, South  
P.O. Box 55892  
Birmingham, Al 35255

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances. Proposed Accomplishments: Serve 350 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-United Cerebral Palsy of Greater Birmingham, Inc.: \$15,075**

120 Oslo Circle  
Birmingham, Al 35211

Provision of comprehensive training to assist clients to live independently in the community. Proposed Accomplishments: Serve 125 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**8) PROJECT: COMMERCIAL REVITALIZATION: \$159,988**  
**(570.204)**

Project ID/Local ID 8; Project Title: Community Economic Development; Priority Need 5-Promote City Wide Economic Development Eligibility 570.204-Special Activities by Community-Based Development Organizations; National Objective 570.208 (b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: Yes; Location: 4<sup>th</sup> Avenue North Business District; HUD Matrix Code:18-B- ED Technical Assistance; Description:

**Commercial Revitalization Activities & Description:**

**-Urban Impact: \$159,988**

1701 4<sup>th</sup> Avenue North  
Birmingham, Al 35203

Assistance to Urban Impact, a non-profit organization, to continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods. Proposed Accomplishments: Serve 50 businesses. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

**CDBG PROGRAM TOTAL: \$5,010,493**

**B. PROJECT: HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):**

|                             |                      |
|-----------------------------|----------------------|
| PY 2013 HOME Grant:         | \$1,012,735.00       |
| Anticipated Program Income: | <u>\$ 100,000.00</u> |
| TOTAL                       | \$1,112,735.00       |

Project ID/Local ID 9; Project Title: HOME Investment Partnership Program; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations;; Help Prevent Homelessness? Yes; Help the Homeless? No; Help those with HIV or AIDS? No; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 21-HOME Program.

The HOME Program is to be administered by the City’s Community Development Department-Housing Division.

**HOME Program Activities & Description:**

1) HOME Program Administration Activities: \$111,273

Provision of HOME Administrative costs for PY 2013. The City may allocate up to 10% of the total

HOME allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, fair housing activities, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

2) HOME Program CHDO Activities: **\$166,910**

Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low-and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

3) HOME Rental Activities: **\$834,552**

Provision of HOME funds for the acquisition/rehabilitation, rehabilitation, and new construction of substandard or aging multi-family housing units that are suitable for rehabilitation. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**HOME PROGRAM TOTAL: \$1,112,735.00**

**C. PROJECT: EMERGENCY SOLUTIONS GRANT PROGRAM (ESG): \$443,241**

Project ID/Local ID 10; Project Title: Emergency Solutions Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs.

**ESG Activities & Description:**

**1. Emergency Shelter/Street Outreach Activities  
(60% Maximum): \$265,940**

**-Family Connection: \$ 26,769**

1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Urban Ministry, Inc.: \$ 11,214**

1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Downtown Path Center: \$ 27,582**

409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of their transitional shelter program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Transitional Shelters: \$ 35,339**

409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of expanded shelter and supportive services for homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Cooperative Downtown Ministries, Inc: \$ 35,707**

1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue North. Proposed Accomplishments: Serve 1,205 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Family Connection: \$ 46,481**

1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-First Light, Inc.: \$ 21,707**

2230 Fourth Avenue, North

Birmingham, Al 35203

Provision of an emergency shelter for homeless women and children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-YWCA: \$ 28,741

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-YWCA: \$ 32,400

309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

Provision of housing for homeless women and children. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **2. Homeless Prevention Activities: \$45,309**

- Bridge Ministries: \$39,665

1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 165 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Urban Ministry, Inc.: \$ 5,644

1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **3. Rapid Re-housing Assistance: \$95,434**

**-Urban Ministry, Inc.: \$4,356**  
1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- JCCEO: \$91,078**  
300 8<sup>th</sup> Avenue West  
Birmingham, Al 35204

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

#### **4. HMIS: \$3,900**

**-Urban Ministry, Inc.: \$1,000**  
1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Bridge Ministries: \$650**  
1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- JCCEO: \$2,250**  
300 8<sup>th</sup> Avenue West  
Birmingham, Al 35204

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**5. Administration (7.5% Maximum): \$32,658**

Provision of ESG Administrative costs for PY 2013. The City may allocate up to 7.5% of the total ESG allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**ESG PROGRAM TOTAL: \$443,241**

**D. PROJECT: HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA): \$494,841**

Project ID/Local ID 10; Project Title: Emergency Shelter Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs. Program to be administered by the Community Development Department through AIDS Alabama, Inc., a non-profit organization. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**HOPWA Activities & Description:**

**1. AIDS Alabama, Inc. \$494,841**

3521 7th Avenue, South, Birmingham, Al

AIDS Alabama, Inc. will serve as the City's sponsor of HOPWA Entitlement Funds per HUD's recommendation. HOPWA Activities are as follows:

- \* Rental Assistance: \$200,620
- \* Supportive Services: \$100,309
- \* Operating Costs: \$154,956
- \* Resource Identification: \$5,000
- \* Project Sponsor Administration: \$33,956

**HOPWA PROGRAM TOTAL: \$494,841**

**GRAND TOTAL FOR ALL ACTION PLAN PROGRAMS: \$7,061,310.00**

## APPENDIX K

### **SECTION 3 COMPLIANCE STRATEGY COMMUNITY DEVELOPMENT DEPARTMENT BIRMINGHAM, ALABAMA**

The City of Birmingham recognizes that the Department of Community Development and any other department of the City that receives over \$100,000 in Community Development Block Grant (CDBG) funds (hereafter referred to as the department), must comply with Section 3 of the Housing and Urban Development Act of 1968, as amended. As a mechanism to grant economic opportunities to Section 3 residents and business concerns, to the greatest extent feasible, and consistent with existing Federal, State, and local laws and regulations, the department is subject to the herein policies and procedures.

The purpose of these policies and procedures, is to provide Section 3 residents (low and very low income persons), as well as businesses that have an ownership of 51% or more Section 3 residents, the type of economic opportunity that will allow them to become self-sufficient.

Section 3 focuses on three construction projects:

1. Housing rehabilitation (including reduction and abatement of lead-based paint hazards)
2. Housing construction
3. Other public construction projects

Jobs arising in connection with these projects are not only the construction jobs, but also management, maintenance, clerical, and administrative jobs that come into existence because of a construction project.

#### **SECTION 3 JOB CREATION REQUIREMENT:**

Section 3 does not require the creation of economic opportunities for low and very low income persons, simply for the sake of creating economic opportunities. Section 3 does however require that when employment opportunities are generated, because a project/activity is undertaken using CDBG assistance, and necessitates the employment of additional personnel through individual hiring, preference in hiring must be granted to low and very low income persons.

#### **CITY OF BIRMINGHAM HIRING POLICY:**

The City of Birmingham operates under the Personnel Board of Jefferson County, Alabama, a public agency administering the civil service law which governs and controls all classified employees of Jefferson County, The Jefferson County Board of Health and the cities of Bessemer, Birmingham, Fairfield, Fultondale, Gardendale, Homewood, Hueytown, Irondale, Leeds, Midfield, Mountain Brook, Pleasant Grove, Tarrant, and Vestavia Hills, Alabama and law enforcement officers in the cities of Graysville, Trussville, and Warrior, Alabama. It is the objective of the Jefferson County Personnel Board rules to set forth the intent of the Civil Service Law and the specific provisions of the law with respect to appointment, career development, removal, discipline, and related conditions of employment in the classified service.

The Community Development Department cannot lawfully give preference in its employment hiring or advancement outside of the referenced Personnel Board Rules.

### SECTION 3 BID AWARDING REQUIREMENTS:

Contract award preference shall be given to:

1. A business in which 51% or more ownership of the business is by low or very low income person(s); or,
2. Business concerns that employ a substantial number (30%) of low or very low income persons. The business that is seeking this preference must employ low and very low income person(s) and show that the incomes of previously low and very low income person(s) now exceeds the income level of low or very low income person(s) and the date of first employment does not exceed a period of three (3) years; or
3. A business that provides evidence of a commitment to subcontract 25% of the total contract amount to business concerns that meet either the first or second definition of Section 3 business concerns. The purpose of this definition is to provide a preference to primary contractor(s) that have a successful record of subcontracting with Section 3 business concerns.

### BIRMINGHAM BID AWARD POLICY:

All departments of the City of Birmingham, Alabama, are subject to the purchasing policies of the City as set out in the City Code, City of Alabama. For construction projects of \$15,000.00 or more, the City of Birmingham is subject to Section 41-16-50 Code of Alabama.\* Under Section 41-16-50 all contracts must be awarded to the lowest qualified bidder. Therefore, it is not currently within the authority of the City of Birmingham to award construction contracts of \$15,000.00 or more, to any business that is not the lowest bidder.

- \* Note:           Cities in the State of Alabama do not have home rule authority.  
                      Cities can only undertake those things that are expressly permitted  
                      by the State of Alabama.

**SECTION 3  
POLICIES AND PROCEDURES  
DEPARTMENT OF COMMUNITY DEVELOPMENT  
BIRMINGHAM, ALABAMA**

Pursuant to the interim rule amending Part 135 to implement the comprehensive changes made to Section 3 of the Housing and Urban Development Act of 1992, the City of Birmingham, Department of Community Development (hereafter referred to as the department) has identified and adopted five (5) policies and procedures herein. These policies and procedures will ensure that subrecipients, contractors, and subcontractors receiving U.S. Department of Housing and Urban Development (HUD) funding, of \$100,000 or more, for Housing Rehabilitation, Housing Construction, and other public construction projects adhere to and comply with Section 3 (provide to the greatest extent feasible economic opportunity to low and very low income persons, particularly to those who are recipients of governmental assistance for housing or living in the project area, and to businesses that provide economic opportunity for low and very low income persons). The department will administer as well as provide information and technical assistance that will minimize the burden on subrecipients, contractors, and subcontractors.

The Department of Community Development will conduct the following administrative functions:

- Provide information and technical assistance
- Participate in pre-award activities
- Monitor recipient performance under Section 3
- Process Section 3 grievances
- Conduct Section 3 compliance reviews

**PROVIDE INFORMATION AND TECHNICAL ASSISTANCE**

The department will provide information and technical assistance to subrecipients, contractors, and subcontractors as follows:

1. Assist subrecipients, contractors, and subcontractors in understanding their obligations under Section 3.
2. Provide a registry of Section 3 business concerns.
3. Determine Section 3 areas as it applies to the project.
4. Consult in the recruitment of Section 3 residents.
5. Provide on-site consultations.
6. Participate in meetings and workshops.
7. Provide additional assistance as requested or as the department deems necessary.

## PRE-A WARD ACTIVITIES

The pre-award activities are designed to assure that all subrecipients, contractors, and subcontractors will comply with their Section 3 obligations. The department will at a minimum:

1. Provide information as to how Section 3 applies to the project and answer questions or concerns.
2. Identify past performances and current commitments to employ and train Section 3 residents and to contract with Section 3 business concerns.
3. Determine the expected number of full-time and part-time new hires and what positions will be available, if any, then examine the procedures and practices to be used by the subrecipient, contractor, and subcontractor in filling those positions.

## MONITOR PERFORMANCE UNDER SECTION 3

The monitoring process is essential in that it allows the department to obtain information as to whether the requirements of Section 3 are being carried out, thus creating a way to identify any success or existing problems and assessing the impact. The department will measure the performance by using a variety of methods, but are not limited to the following:

1. On-site visits.
2. In-house review of pre-award documents and performance reports.
3. Reports from subrecipients and contractors received pursuant to 135.120(b).
4. Section 3 grievances and compliance reviews.

## PROCESSING SECTION 3 GRIEVANCES

The department will receive, investigate, and where appropriate, seek voluntary resolution of Section 3 grievances. A grievance is an allegation of noncompliance with Section 3 statute or regulation and will be forwarded to the Assistant Secretary for Fair Housing and Equal Opportunity.

## CONDUCTING SECTION 3 COMPLIANCE REVIEW

The compliance review will determine whether subrecipients, contractors and subcontractors are complying with the requirements of Section 3. A compliance review consists of a comprehensive analysis and evaluation of performance with respect to the requirements imposed by Section 3. The review will include, but not be limited to following:

1. Contract documents, to assure that Section 3 is referenced.
2. Minutes of pre-construction conferences, to determine if Section 3 requirements were discussed.
3. The affirmative action plan of the subrecipient, contractors, and subcontractor to assure that

opportunities are being made available for Section 3 area residents and businesses.

4. The hiring records, to determine the extent to which local residents have been utilized as employees and trainees.
5. Procurement documents and records, to determine the type of outreach undertaken to attract and utilize Section 3 businesses.
6. Subrecipient's procedures to monitor or otherwise assure that contractor and subcontractors are complying with Section 3.
7. Correspondence or other records from Section 3 residents and business concerns about training, employment or contracting opportunities, to determine adequate response to those issues.

**SECTION 3  
GUIDELINES FOR  
SUBRECIPIENTS, CONTRACTORS AND SUBCONTRACTORS  
BIRMINGHAM, ALABAMA**

Regulations governing Section 3 are found in 24 CFR Part 135 of the Economic Opportunities for Low and Very Low Income Persons.

**SUMMARY AND PURPOSE**

This interim rule amends Part 135 to implement the comprehensive changes made to Section 3 of the Housing and Urban Development Act of 1968 by the Housing and Community Development Act of 1992. Section 3, as amended on June 30, 1994, requires that economic opportunities generated by certain U.S. Department of Housing and Urban Development (HUD) financial assistance of \$100,000 or more, for housing (including public and Indian housing) and community development programs shall, to the greatest extent feasible, be granted to low and very low income residents, particularly to those who are recipients of governmental assistance for housing, and to businesses that provide economic opportunities for low and very low income residents (135.1). The business must employ 51% of Section 3 residents of the area where the assigned project is located.

The objective of Section 3 is to provide low and very low income persons, especially those on government assistance, with the type of economic opportunity that will allow them to become self-sufficient.

**JOB CREATION**

Section 3 does not require the creation of economic opportunities for low and very low income persons, simply for the sake of creating economic opportunities. Section 3 does however, require that when employment and/or contractual opportunities are generated because a project/activity undertaken by a recipient of HUD financial assistance necessitates the employment of additional personnel through individual hiring or the awarding of contracts for work, the subrecipient(s), contractor(s), and subcontractor(s) must give preference in hiring to low and very low income persons, and give preference in contracting to businesses owned by these persons or those businesses that substantially employ low and very low income persons (51% of Section 3 residents of the area where the assigned project is located).

Section 3 preference requirements are only triggered by need for new hires; which is frequently the case when HUD financial assistance is extended, and when the need to employ additional personnel or to contract for work occurs. Section 3 requires that the awarded subrecipient(s), contractor(s), and subcontractor(s) not only include low and very low income persons in recruitment and solicitation efforts, but, extra or greater efforts be undertaken to make these persons (low and very low income persons living in the residential area of the proposed project) aware of the existence of the economic opportunities, encourage their application for these opportunities, and facilitate the employment of (including training as needed), or award of contracts to, Section 3 persons and business concerns.

If, however, the awarded subrecipient(s), contractor(s), or subcontractor(s) has no need for additional employees or trainees, or the recipient(s) has no need to contract work, then the Section 3 preference requirements are not triggered, because the recipient(s) is not recruiting any individual for jobs, or soliciting any business concerns for contract.

If employees or training, or contract work is required the subrecipient(s), contractor(s), and subcontractor(s) must proceed as follows:

1. In recruitment for individual employment, the subrecipient(s), contractor(s), and subcontractor(s) are to target low and very low income persons residing in public housing developments (when public or Indian housing assistance is involved) or those residing close to the proposed project (in the service area or neighborhood).
2. Section 3 requires that, to the greatest extent feasible, subrecipient(s), contractor(s), and subcontractor(s) will include all employment positions, at all levels, and give preference to Section 3 residents.
  - 2.1: If the skills of the residents only meet the qualifications of entry level and there is no evidence that contradicts said employment, then the subrecipient(s), contractor(s), and subcontractor(s) is in compliance with Section 3.
  - 2.2: A numerical goal is designed to facilitate the hiring of Section 3 employees - 10% of the aggregate number of new hires for FY 1995, an increase of 20% for FY 1996 and 30 % for FY 1997 and thereafter.
  - 2.3: A recipient that does not meet the numerical goal has the burden of demonstrating why it was not feasible to meet said goal.
  - 2.4: The numerical goals are exactly that, "a goal" that the subrecipient(s), contractor(s), and subcontractor(s) should strive to reach. Hopefully, the subrecipient(s), contractor(s) and/or subcontractor(s) will exceed said goals. The goals are not to be construed as requirements, quotas, set asides, or a cap on hiring or contracting with low and very low income persons. The goal, if met, constitutes a safe harbor for subrecipient(s), contractor(s), and subcontractor(s) on the issue of compliance with Section 3. If, however, the goal is not met, this does not automatically trigger sanctions against subrecipient(s), contractor(s), or subcontractor(s). However, if challenged on the issue of compliance with Section 3, the subrecipient(s), subcontractor(s) or contractor should be ready to demonstrate that it strived, but was unable to reach the safe harbor level.

## PROJECT COST

The interim rule provides that the requirements of Part 135 apply to Section 3 Housing and Community Development assistance for which the amount of the subrecipient(s) award exceeds \$100,000 and the contractor(s) or subcontractor(s) exceeds \$100,000, regardless of whether the activity is wholly or partially funded with Section 3 assistance. If the subrecipient(s) award of assistance exceeds \$100,000, but the contract(s) and subcontract(s) does not exceed \$100,000, then only the subrecipient(s) is subject to the Section 3 preference requirements. The subrecipient(s), contractor(s), and subcontractor(s) responsibility includes awarding contracts, to the greatest extent feasible, to Section 3 business concerns.

## DEFINITION OF SECTION 3 BUSINESS CONCERN

The proposed rule defines a Section 3 business concern three ways:

1. A business in which 51% or more ownership of the business is by low or very low income persons; or,
2. A business whose permanent, full-time employees consist of persons, at least 30% of whom are Section 3 residents (or were Section 3 residents at the time of their initial employment). Greater award consideration is given to business concerns that employ a substantial number (30%) of low or very low income persons at the time the persons were employed by the business, but whose income now exceeds the income level of a low or very low income person and the date of first employment by the business concern has not exceeded a period of three (3) years; or,
3. A business that provides evidence of a commitment to subcontract in excess of 25% of the dollar amount to business concerns that meet either the first or second definition of Section 3 business concern. The purpose of this definition is to provide a preference to primary contractor(s) that have a successful record of subcontracting with Section 3 business concerns.
  - 3.1: If requested, it is the responsibility of the business concern that wants to take advantage of the preference to provide acceptable support of documentation that qualifies them, as a Section 3 business concern.
  - 3.2: Greater consideration will be given to subrecipient(s), contractor(s), and subcontractor(s) that are willing to train and employ Section 3 residents and contract with Section 3 business concerns for economic opportunities generated in connection with the project/activity financed by HUD.
  - 3.3: The preference requirement by Section 3 is neither gender specific nor race, nor ethnic specific. The preference requirement is solely based on income.

Although the Economic Development portion of Community Development Block Grant (CDBG) supports employment of low and very low income persons, the strategy is different. Section 3 requires the employment of low and very low income persons in the construction of as well as after construction employment when using HUD funding for Economic Development projects.

## CONSTRUCTION PROJECTS

Section 3 housing and community development focuses on three construction projects:

1. Housing rehabilitation (including reduction and abatement of lead-based paint hazards).
2. Housing construction.
3. Other public construction projects.

Jobs arising in connection with these projects are not only the construction jobs, but also management, maintenance, clerical and administrative jobs that come into existence because of the construction project.

The subrecipient(s), contractor(s), and subcontractor(s) engaged in hiring should retain the records of new hires, if any, including the names and addresses of these employees, the race, ethnic origin and gender of the employees as well as the positions for which they were employed and the salaries provided.

## PURCHASE OF MATERIALS AND SUPPLIES

The purchase of material and supplies from Section 3 business concerns as a means of providing economic opportunities other than those connected with Section 3 covered assistance is encouraged.

## PROOF OF SECTION 3 STATUS

Section 3 residents include all persons living in public housing. The interim rule does not mandate that the subrecipient(s), contractor(s) or subcontractor(s) require certification or evidence of a person's Section 3 status. If, however, verification of status is requested, it is the responsibility of the individual seeking the preference employment to provide needed document(s), thus showing evidence that the individual is a Section 3 low or very low income person.

Acceptable documentation:

1. Evidence of persons residency in Public Housing.
2. Evidence of Section 8 certification.
3. Voucher Assistance.
4. Other Federally assisted programs such as: JTPA, AFDC, JOBS or evidence of participation in a State or local assistance program or receipt of welfare assistance.

If an individual wants to take advantage of employment preference provided by Section 3, the individual must be willing to disclose his or her income. This is not unusual to require the individual claiming the preference to support eligibility for the preference.

## RESPONSIBILITIES OF THE DEPARTMENT OF COMMUNITY DEVELOPMENT

The Department of Community Development (hereafter referred to as the department) acknowledges that compliance with Section 3 is not without cost or burden to the subrecipient(s), contractor(s), or subcontractor(s) but the burdens, in large part, are imposed by statute. The statute requires subrecipient(s), contractor(s), and subcontractor(s) to provide, to the greatest extent feasible, economic opportunities to low and very low income persons. Thus, the department shall make every effort possible to minimize the burden on the subrecipient(s), contractor(s), or subcontractor(s). To assist subrecipient(s), contractor(s), or subcontractor(s) the department has adopted policies and procedures titled "Section 3, Policies and Procedures, Department of Community Development, Birmingham, Alabama".

A copy is attached.

135.38 Section 3 Clause

**All Section 3 covered contracts shall include the following clause (referred to as the Section 3 clause):**

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities granted by HUD assistance or HUD assisted projects covered by Section 3 shall, to the greatest extent feasible, be directed to low and very low income persons, particularly persons who are recipients of HUD assistance for housing.
- B. The parties to this contract agree to comply with HUD's regulations in 24 CFR 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section preference, shall set forth minimum number and job title subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulation in 24 CFR Part 135.
- E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contract is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- F. Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with Section 3 covered Indian Housing Assistance, Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

APPENDIX L  
LOCAL PERFORMANCE MEASUREMENT SYSTEM

HUD Notice CPD-03-09 issued September 3, 2003 strongly encouraged each Community Planning and Development (CPD) formula grantee to develop and use a state or local performance measurement system. The following is a summary of the City's efforts to date regarding developing such a system.

**BACKGROUND:**

Performance measurement is simply an organized process for gathering information to determine how well programs and projects are meeting needs, and then using that information to improve performance and better target resources. There are two critical components of performance measurement: (1) productivity and (2) program impact. In housing and community development agencies, productivity reflects the level of efficiency (quantity, quality, and pace) with which a grantee undertakes its activities. Program impact, on the other hand, reflects the extent to which those activities yield the desired outcomes in the community or in the lives of persons assisted.

As a part of President Bush's Management Agenda, HUD'S Office of CPD has undertaken an initiative to make the Consolidated Plan more results-oriented and useful to communities in assessing their own progress toward addressing the problems of low-income areas. The Consolidated Plan Improvement Initiative (CPII) is currently testing some pilot consolidated plans that will try to link goals with outcomes. It is expected that the results of these pilots will create additional useful approaches to state and local performance measurement systems.

The City of Birmingham presently reports annually to HUD its performance measurement outcomes through an Integrated Disbursement and Information System (IDIS) and through a Consolidated Annual Performance and Evaluation Report (CAPER) submitted no later than 90 days following the close of the City's program year.

**PERFORMANCE MEASUREMENT SYSTEMS COMPONENTS:**

Because the CPD formula block grant programs promote maximum flexibility in program design and since the use of these funds is drive by local choice, HUD believes that performance based measurement systems should be developed at the state and local level. HUD has indicated in checklist form that a performance measurement system should include or describe the following items:

- Long-term (multi-year) goals/objectives.
- Short-term (annual) goals/objectives.
- Expected units of accomplishment upon completion of project/activity.

- Actual units of accomplishment upon completion of project/activity.
- Expected units of accomplishment during each program year of the project/activity.
- Actual units of accomplishment during each program year of the project/activity.
- Aggregation of actual units of program year accomplishments to short-term and long-term numeric goals/objectives.
- Outputs resulting from HUD funding shown separately.

#### CITY OF BIRMINGHAM PERFORMANCE MEASUREMENTS:

In an effort to develop local performance measures, the City's Community Development Department is presently requiring quarterly reports from each of its CDBG, ESG, HOME, and HOPWA grantees measuring performance and output measures. These reports are to be compiled and included as a part of the City's annual CAPER submission.

The City is hopeful these performance measures can benefit its CPD formula allocation programs in a number of ways including, but not limited to, the following:

- X Performance measurement can help stretch a program's dollars further. While CPD funds are significant, they are not sufficient to meet all of the City's housing and community development needs. Increasing grantee capacity to use funds more effectively will make it possible to stretch program dollars to assist more activities.
- X Performance measurement can help inform program design, implementation, and reporting. A systematic approach to reviewing program performance will allow for better-informed long-range planning, and may generate data that will simplify the preparation of Consolidated Plans, Annual Action Plans, and CAPERs. Measuring performance productivity can also help a program detect and address problems that can otherwise be costly and frustrating.
- X Performance measurement can help build more motivated and effective teams. Performance measurement will create a system of accountability that allows project managers to delegate work more effectively and allows staff to take more initiative. Measuring performance facilitates both identifying and rewarding success and helps balance workloads. In addition, understanding the impact of a program can motivate staff and foster pride in the program.
- X Performance measurement can help communicate accomplishments and build support for a program. Congressional appropriations committees use information on production achievements each year when deciding how much money to appropriate for CPD's programs including CDBG, ESG, HOME and HOPWA. Also, private sector funds are more likely to be invested in projects when success is documented. At a local level, it is easier to gain support for a

project that has been shown to be effective and existing funds can go further when money is invested strategically.

### **IDIS PERFORMANCE MEASURE FRAMEWORK BY ACTIVITY**

**This information included per HUD updated Acton Plan Submission Provisions.**

**The HUD-IDIS System in April, 2006 began requiring the following selections to be made for each activity that is listed as underway. Depending on the selections made additional screens will appear with information that must be entered . This additional information is referred to as indicators to tell whether an outcome is occurring.**

**First, for each activity an Objective and an Outcome must be selected and entered. The selections among these categories are as follows:**

#### **OBJECTIVES:**

- 1. Suitable Living Environment.**
- 2. Decent Housing.**
- 3. Economic Opportunity.**

#### **OUTCOMES:**

- 1. Availability/Accessibility: Makes basics available to LMI persons.**
- 2. Affordability: Makes an activity more affordable for LMI persons.**
- 3. Sustainability: Using resources in a targeted area to help make that area more viable.**

**The Performance Measure Objectives and Outcomes have been listed for each HUD funded activity in Appendix J, HUD Table 3C Summary Project Listing.**

**APPENDIX M**  
**HUD TABLES 1A**

The attached tables are from the Housing Needs Assessment, Section 3, pages 3-37 contained in the City' HUD approved PY 2010-2015 Consolidated Plan and are included herein for reference purposes

| <b>Table 9 Housing Gap for Homeless</b>  |                              |                          |                          |                       |
|--|------------------------------|--------------------------|--------------------------|-----------------------|
|  |                              | <b>Current Inventory</b> | <b>Under Development</b> | <b>Unmet Need/Gap</b> |
| <b>Individuals</b>                       |                              |                          |                          |                       |
| <b>Beds</b>                              | Emergency Shelter            | 393                      |                          | 696                   |
|  | Transitional Housing         | 440                      |                          |                       |
|  | Permanent Supportive Housing | 541                      |                          |                       |
|  | <b>Total</b>                 | <b>1,374</b>             |                          | <b>696</b>            |
| <b>Persons in Families with Children</b> |                              |                          |                          |                       |
| <b>Beds</b>                              | Emergency Shelter            | 72                       |                          | 168                   |
|  | Transitional Housing         | 335                      |                          |                       |
|  | Permanent Supportive Housing | 664                      |                          |                       |
|  | <b>Total</b>                 | <b>1,071</b>             |                          | <b>168</b>            |

| <b>Table 10 Continuum of Care: Homeless Population and Subpopulations*</b> |                  |                     |                    |
|--|------------------|---------------------|--------------------|
| <b>Part 1: Homeless Population</b>   | <b>Sheltered</b> |                     | <b>Unsheltered</b> |
|  | <b>Emergency</b> | <b>Transitional</b> |                    |
| Number of Families with Children (Family Households):                      | 31               | 122                 | 75                 |
| 1. Number of Persons in Families with Children                             | 72               | 335                 | 168                |
| 2. Number of Single Individuals and Persons in Households without children | 393              | 440                 | 696                |

|   |                  |     |                    |
|---|------------------|-----|--------------------|
| <b>(Add Lines Numbered 1 &amp; 2 Total Persons)</b> | 465              | 775 | 864                |
| <b>Part 2: Homeless Subpopulations</b>              | <b>Sheltered</b> |     | <b>Unsheltered</b> |
| a. Chronically Homeless                             | 269              |     | 247                |
| b. Seriously Mentally Ill                           | 371              |     | 439                |
| c. Chronic Substance Abuse                          | 740              |     | 365                |
| d. Veterans   | 381              |     | 52                 |
| e. Persons with HIV/AIDS                            | 140              |     | 15                 |
| f. Victims of Domestic Violence                     | 116              |     | 14                 |
| g. Unaccompanied Youth (Under 18)                   | 10               |     | 24                 |

**APPENDIX N**  
**HUD TABLES 2A AND 2B**

The attached tables are from the Strategic Plan, Section 4, pages 4-3 thru 4-5 contained in the City' HUD approved PY 2010-2015 Consolidated Plan and are included herein for reference purposes

| <b>Table 2. Priority Housing Needs in Birmingham, Alabama (2000)</b>   |               |           |                     |                       |                                |
|--|---------------|-----------|---------------------|-----------------------|--------------------------------|
| <b>(HUD Table 2A)</b>  |               |           |                     |                       |                                |
| Description of Housing Needs   |               |           | Priority Need Level | Estimated Households* | Estimated Dollars to Address** |
| Renter   | Small Related | 0 to 30%  | M                   | 6,160                 | \$92,400,000                   |
|  |               | 31 to 50% | M                   | 3,307                 | 33,070,000                     |
|  |               | 51 to 80% | M                   | 3,687                 | 27,652,500                     |
|  | Large Related | 0 to 30%  | M                   | 1,137                 | 17,055,000                     |
|  |               | 31 to 50% | M                   | 782                   | 7,820,000                      |
|  |               | 51 to 80% | M                   | 823                   | 6,172,500                      |
|  | Elderly       | 0 to 30%  | H                   | 2,907                 | 43,605,000                     |
|  |               | 31 to 50% | H                   | 1,375                 | 13,750,000                     |
|  |               | 51 to 80% | H                   | 578                   | 4,335,000                      |
|  | All Other     | 0 to 30%  | H                   | 5,511                 | 82,665,000                     |
|  |               | 31 to 50% | H                   | 2,299                 | 22,990,000                     |
|  |               | 51 to 80% | H                   | 4,075                 | 30,562,500                     |
| Owner  | 0 to 30%      | H         | 7,054               | 105,810,000           |                                |
|  | 31 to 50%     | H         | 6,542               | 65,420,000            |                                |
|  | 51 to 80%     | H         | 9,871               | 74,032,500            |                                |
| Special Needs**  |               | 0-80%     | H                   | 1,678                 | 16,780,000                     |
| <p>*The estimated households is calculated by taking the total number of renter, owner and special needs households in the income categories and multiplying them by the percentage with any housing problems.<sup>14</sup></p> <p>**The estimated dollars includes the public and private funds necessary to address the identified housing needs. The cost to address the needs varies by income level from \$15,000 per unit for households with incomes less than 30% of the area median to \$7,500 for higher income households.</p> <p>***Households where one or more person has a long-lasting condition that substantially limits one or more physical activity (such as walking, climbing stairs, reaching, lifting or carrying) and/or a physical, mental or emotional condition lasting more than six months that creates difficulty with dressing, bathing or getting around inside the home.</p> |               |           |                     |                       |                                |

<sup>14</sup> Source: U.S. Department of Housing and Urban Development

The following tables summarize these priorities using the format provided in the required HUD Tables 2A and 2B.

| <b>Table 2: Priority Community Development Needs in Birmingham, Alabama<br/>(HUD Table 2B)</b> |                     |                                |
|--|---------------------|--------------------------------|
| Description of CD Needs  | Priority Need Level | Estimated Dollars to Address** |
| <b>PUBLIC FACILITY NEEDS (projects)</b>  |                     |                                |
| Senior Centers   | M                   | \$494,000                      |
| Handicapped Centers  |                     |                                |
| Homeless Facilities  |                     |                                |
| Youth Centers  | M                   | 713,000                        |
| Child Care Centers   | L                   | 771,000                        |
| Health Facilities  | L                   | 563,000                        |
| Neighborhood Facilities  | H                   | 402,000                        |
| Parks and/or Recreation Facilities   | H                   | 4,412,000                      |
| Parking Facilities   | L                   | 646,000                        |
| Non-Residential Historic Preservation  | L                   | 2,073,000                      |
| Other Public Facility Needs  | L                   | 1,809,000                      |
| <b>INFRASTRUCTURE (projects)</b>   |                     |                                |
| Water Improvements   | L                   | 467,000                        |
| Sewer Improvements   | L                   | 260,000                        |
| Street Improvements  | H                   | 6,019,000                      |
| Sidewalks  | H                   | 568,000                        |
| Solid Waste Disposal Improvements  | M                   | 1,740,000                      |
| Flood Drain Improvements   | H                   | 2,930,000                      |
| Other Infrastructure Needs   | L                   | 207,000                        |
| <b>PUBLIC SERVICE NEEDS (people)</b>   |                     |                                |
| Senior Services  | H                   | 312,000                        |
| Handicapped Services   | H                   | 312,000                        |
| Youth Services   | H                   | 568,000                        |
| Child Care Services  | M                   | 341,000                        |
| Transportation Services  | H                   | 114,000                        |
| Substance Abuse Services   | M                   | 528,000                        |
| Employment Training  | H                   | 852,000                        |
| Health Services  | L                   | 227,000                        |
| Lead Hazard Screening  |                     |                                |

|  |   |                        |
|--|---|------------------------|
| Crime Awareness  | H | 227,000                |
| Other Public Service Needs   | L | 2,839,000              |
| <b>ECONOMIC DEVELOPMENT</b>  |   |                        |
| ED Assistance to For-Profits(businesses)   | L | 829,000                |
| ED Technical Assistance(businesses)  | L | 1,704,000              |
| Micro-Enterprise Assistance(businesses)  | H | 1,704,000              |
| Rehab; Publicly- or Privately-Owned<br>Commercial/Industrial (projects)  | M | 2,761,000              |
| C/I* Infrastructure Development (projects)   | L | 1,704,000              |
| Other C/I* Improvements(projects)  | M | 1,704,000              |
| <b>OTHER COMMUNITY DEVELOPMENT NEEDS</b>   |   |                        |
| Energy Efficiency Improvements   | L | 568,000                |
| Lead-based Paint Hazards   | H | 2,784,000              |
| Code Enforcement   | L | 127,000                |
| <b>PLANNING</b>  |   |                        |
| Planning   | H | 426,000                |
| <b>OTHER NEEDS NOT LISTED ABOVE</b>  |   |                        |
| Asbestos Removal   | L | 11,000                 |
| Fair Housing Counseling  | H | 312,000                |
| Tenant/Landlord Counseling   | H | 114,000                |
| Accessibility Needs  | H | 1,363,000              |
| Residential Historic Needs   | L | 847,000                |
| Other Economic Development Needs   | H | 828,000                |
| <b>TOTAL ESTIMATED DOLLARS NEEDED ***:</b>   |   | <b>\$1,804,879,000</b> |
| *Commercial or industrial improvements by grantee or non-profit  |   |                        |
| **Estimated Dollars includes the public and/or private funds necessary to address the identified community development needs. The cost figures are taken from the prior Birmingham ConPlan and adjusted downward for needs met by that plan and upward where necessary for the increased costs associated with improvements estimated in 2010 dollars. Those costs adjusted upward are increased by the rise in the Consumer Price Index from January 2005 to December 2009. |   |                        |
| ***Total Estimated Dollars is a combined total of those estimates on Tables 1 and 2 (HUD Tables 2A and 2B).  |   |                        |

<sup>1</sup> Source: U.S. Department of Housing and Urban Development

## **APPENDIX O**

### **ACTION PLAN UPDATE**

In a letter dated April 4, 2006 the City was informed by the U.S. Department of Housing and Urban Development (HUD) that grantees submitting action plans after March 13, 2006 needed to address new action plan and certification provisions contained in 24 CFR 91.220 and 91.225. HUD created an **OPTIONAL** Consolidated Plan Management Process (CPMP) tool to assist formula grantees in meeting the new requirements. Version 2.0 of the CPMP tool incorporates the referenced changes which became effective on March 13, 2006.

The attached version 2.0 CPMP Narrative Response Outline is included to assist with locating the required information contained throughout this document. Additional narrative requirements relative to the ESG and HOPWA programs have been included in this appendix as follows:

#### **Specific Homeless Prevention Elements:**

1. Source of Funds: Description contained in Subpart III-3, pgs 17-19; Also Appendix J, HUD Table 3C.
2. Homelessness description of how the action plan will address the specific objectives of the Strategic Plan and priority needs. See Subpart III-8; pages 27-32.
3. Chronic Homelessness: The City has completed its 10-Year Plan to End Chronic Homelessness copies of which are available in the City's Community Development Department and on the City website at [www.birminghamal.gov](http://www.birminghamal.gov). Implementation of the plan is underway and the City has given permission to Jefferson County to ratify the plans as its own which was accomplished on January 22, 2008 thereby making the plan County Wide in scope. A part of this process has been to identify barriers to achieving eliminating chronic homelessness by 2017. Chief among these is lack of Federal funding to initiate and sustain long term actions identified in the plan necessary to eliminate chronic homelessness.
4. Homeless Prevention: The City has included a number of activities in this action plan to address the individual and families with children at imminent risk of becoming homeless. Chief among there are continued support for homeless prevention activities under the ESG program in the amount of \$45,309 as outlined in Appendix J- HUD Table 3C. These funds are intended to assist families that have received eviction notices or notices of termination of utility services from becoming homeless. Also, the City has committed a significant portion of its

housing rehabilitation budget for support of the Critical Repair Grant Program in the amount of \$1,019,332 as outlined in Appendix J- HUD Table 3C. This program is designed to assist families with critical repairs to their homes such as heating systems, cooling systems, roofing problems, etc., that if not repaired place families in imminent risk of becoming homeless.

5. Discharge Coordination Policy: The City, as a part of its development of a 10 Year Plan to End Chronic Homelessness, to the maximum extent practicable and where appropriate, plans to begin the process of developing policies and protocols for the discharge of persons from publicly funded institutions or systems of care such as health care facilities, foster care or other youth facilities, or correction programs and institutions in order to prevent such discharge from immediately resulting in homelessness for such persons. In so doing, the City recognizes that there are limits to its authority to direct or influence discharge policies of State and Federal Institutions or other such facilities over which it has no authority or control. It is also recognized that ESG funding may not be used to assist such persons in place of state and local resources. Given these restrictions, the City plans to proceed as follows:

### ***Discharge Coordination Policy***

The City of Birmingham has developed and is implementing, to the maximum extent practicable and where appropriate, the following policy and protocol for the discharge of persons from publicly funded institutions. It is the policy of the City of Birmingham to support non-profit organizations and for profit developers in their efforts to develop affordable supportive housing units for individuals facing discharge from publicly funded institutions.

Additionally, the City of Birmingham, through its Continuum of Care system, is currently developing a multi-jurisdictional “Discharge Coordination Policy” to include policies, strategies and protocols for the discharge of individuals from publicly funded institutions. Publicly funded institutions are defined as health care facilities, youth facilities, correctional facilities, mental facilities, and/or foster care. The City is considering having regular strategic meetings with officials from publicly funded institutions in order to be aware of anticipated discharge dates of individuals along with the actual scheduled release dates. The City intends to develop more detailed policy that will require that housing units along with the required supportive services be readily available to individuals in need before they are discharged from publicly funded institutions.

### **Housing Opportunities for People with AIDS (HOPWA):**

1. The following is an overview of AIDS Alabama and the types of housing services that it provides.

AIDS Alabama devotes its energy and resources statewide to helping people with

HIV/AIDS live healthy, independent lives and works to prevent the spread of HIV.

AIDS Alabama uses HOPWA funding for the following programs: rental assistance, supportive services (including case management and transportation), resource identification, building rehabilitation and continued operation of existing housing within the City of Birmingham.

*AIDS Alabama offers several types of safe, decent and affordable housing to persons with HIV/AIDS in the City of Birmingham:*

- Transitional – The only transitional housing in the state dedicated to persons with HIV/AIDS is in Birmingham and is operated by AIDS Alabama. The program is known as Next Step housing and consists of fifteen (15) scatter-site (one, two, and three-bedroom) units of housing/apartments and one communal living facility named The Rectory. The contact for transitional housing is Gerald Lavender at 205-788-8009.
- Permanent – Agape House and Agape II offer apartment complex living in Birmingham for persons with HIV/AIDS. There are thirty (30) one, two, and three-bedroom units in these two complexes. Family Places is a Birmingham-based program of five (5) scatter-site houses (four (4) three-bedroom and one (1) four-bedroom) for families living with HIV/AIDS. The contact for Agape housing is Patricia Moss at 205-786-0586. The contact for Family Places is Latoya Gyce at 205-324-9822.
- Service Enriched Permanent – JASPER House offers fourteen (14) beds in a single-room occupancy model for persons who are unable to live independently due to their HIV status and mental illness. JASPER House is the first and only facility of its kind in the State of Alabama. It is certified by the Alabama Department of Mental Health and Mental Retardation as a Day Rehabilitation Center and as a facility providing adult mental health services. The contact for JASPER House is Ianthia Crawford at 788-8034.

Living with HIV disease is expensive, and the majority of persons living with the disease today are low-income. Financial support to maintain housing often means the difference between being homeless and having a place to stay. Homeless persons cannot adhere to a medication regimen, because homeless persons have no place to keep their medications. HOPWA funds will pay rent for apartments or houses for homeless HIV-positive individuals.

According to the needs assessment, case management and transportation were identified as two significant problems by HIV-positive individuals. This puzzle of accessing services must be aided by trained staff (case managers) who can guide low-income, HIV-infected persons both to identify their individual goals as part of a care plan and also to identify resources for them, and with them, to meet their goals of care. A further challenge is transportation in our community. Once a referral at a medical clinic is made, the follow through is often not possible because the applicant has no way (or financial resources) to maneuver through the public transportation system to access support. This program will provide service trips to persons with transportation needs.

Community activities are an important part of a consumer's life. Space for these

beneficial activities is limited with one agency facility as the usual destination for these events. AIDS Alabama will use HOPWA funds to rehabilitate a donated church building into a center to be used by consumers and staff for community activities.

The identification of resources to expand permanent, transitional, and supportive housing in Birmingham is critical. The availability of low-income housing for special needs populations is extremely limited. These funds will be used to offset staff time, training, and consumer-based assessments to link the needs of consumers with the best available options for housing. For questions on the AIDS Alabama programs, contact Meghan Ann Hauswirth, Administrative Director of Programs or Elaine Cottle, Executive Director, at 205-324-9822.

**2. The following actions were taken during the year to address the needs of the homeless and low-income consumers in the Birmingham area:**

- Supportive Services, including short and long-term rental assistance, were provided to prevent those in housing from becoming homeless.
- Other Supportive Services linked HIV-positive individuals with health and community resources through comprehensive case management to assist them in receiving the help and treatment they need to remain self-sufficient.
- Transportation was provided to consumers to insure they could access the health and community resources identified for them.
- AIDS Alabama provided decent, safe and affordable transitional and permanent housing for the homeless and low-income HIV-positive individuals and families in the Birmingham area. Part of this housing is a substance abuse facility with associated transitional housing that allows consumers to move toward the next level of independence and permanent housing. A service enriched facility is also available for those dually diagnosed as HIV-positive and Mentally Ill who are unable to live independently.

**3. The following paragraphs outline the goals, and progress toward those goals, in providing affordable housing to consumers.**

**Goal:** AIDS Alabama will support operations of 75 units of housing at the Agape campus facilities in Ensley and existing scatter-site housing throughout the City during the funding year. AIDS Alabama will house approximately 115 individuals in the City of Birmingham in both transitional and permanent housing. These funds will also help support the expanded transitional housing and substance abuse programs.

AIDS Alabama will provide a full-time property manager and maintenance person for services to all AIDS Alabama housing in the City of Birmingham.

**Outcome Measure:**

Ninety-five percent of AIDS Alabama housing recipients indicate they receive adequate

and safe housing as a result of HOPWA operating funds.

**Accomplishments:**

HOPWA funds were used to support operations of 75 units of housing including 56 transitional housing beds and 75 permanent housing beds scattered throughout the City of Birmingham. This support includes salaries for a Housing Manager, a full-time maintenance personnel, and security personnel to ensure that AIDS Alabama is providing safe, affordable, decent housing. AIDS Alabama currently houses 115 consumers and family members in transitional and permanent housing throughout the City of Birmingham. Based on client surveys, over 95% of the residents feel they receive safe and adequate housing.

A full-time maintenance person and property manager provides services to all AIDS Alabama housing in the City of Birmingham. This reduces the high cost of outside contractors.

**Goal:**

AIDS Alabama will identify and plan for future AIDS housing in the entitlement area and participate in 100% of the key AIDS housing related conferences.

**Outcome Measure:**

Needs of the client and the best practices to implement them are attained as AIDS Alabama participates in 100% of the primary AIDS housing related conferences during the funded year .

**Accomplishments:**

HOPWA resource identification funds were used to pay travel and expenses for AIDS Alabama staff to attend HOPWA grantees meetings, the National AIDS Housing Conference and the National AIDS Housing Coalition Meeting in Washington D.C. Funds were also used to send AIDS Alabama's Chief Executive Officer to the Community Housing Leadership Conference. All of the above activities are designed to assess housing needs of HIV-positive consumers and identify ways to expand permanent and transitional supportive housing.

**4. The following paragraphs outline the goals and progress toward those goals, in providing short-term rental assistance, long-term rental assistance and supportive services to consumers.**

**Goal:** Previous Year: To provide approximately 250 households in the entitlement area with an average of \$325 a month rental or mortgage assistance for a period not to exceed four months for each recipient between July 1, 2013 and June 30, 2014.

Current Year adjustment: AIDS Alabama will provide 20 households in the entitlement area with an average of \$340 per month in long-term rental or mortgage assistance. AIDS Alabama will provide 40 households in the entitlement area with an average of \$340 per month in short-term emergency rental or mortgage assistance. Each household will not exceed 21 weeks of assistance during their assistance year.

**Outcome Measure:** Ninety-five percent of HOPWA rental assistance recipients remain in permanent housing one year after receiving assistance.

**Accomplishments:**

AIDS Alabama has provided 230 checks for rental assistance within the City of Birmingham during the past year.

AIDS Alabama provided 19 households in the entitlement area with an average of \$375 per month in long-term rental or mortgage assistance.

AIDS Alabama provided 31 households in the entitlement area with an average of \$375 per month in short-term emergency rental or mortgage assistance.

**Goal:** AIDS Alabama will employ 1.5 FTE case managers and social workers to provide 250 instances of services to persons in need of housing and supportive services assistance in the metropolitan Birmingham area. Supportive services are to include mileage reimbursement for the case managers, social workers and supervisory staff.

AIDS Alabama will employ at least 1.0 FTE van driver with HOPWA funds to assist in providing over 18,000 transportation service trips to persons referred to AIDS Alabama for housing services. (A total of two full-time drivers provide weekday services, and a part-time van driver provides weekend services.)

**Outcome Measure:**

Eighty percent of case management and transportation recipients indicate an increased ability to access mainstream resources in the funding year as a result of supportive services.

**Accomplishments:**

Funds were used to supplement the salaries of 1.5 of the 9 full-time case managers and social workers employed by AIDS Alabama. AIDS Alabama's case managers and social workers screened almost 400 individuals for services during the prior program year.

AIDS Alabama employed 1 FTE van driver to provide transportation for its consumers. HOPWA funds were used to supplement the salary and fringe benefits for two full-time van drivers and one part-time van driver. Transportation services through AIDS Alabama provided over 18,000 trips for HIV-positive individuals to medical and social service appointments.

Client surveys indicate over ninety percent of consumers eligible for case management and transportation services had a significant increase in access to mainstream resources.

**Goal:** AIDS Alabama will prepare a plan for the conversion of a donated building into a community center to be used by consumers and staff.

**Outcome Measure:**

Sixty percent of AIDS Alabama housing recipients will indicate increased participation in

community activities within the year after the project is completed.

**Accomplishments:**

AIDS Alabama has engaged an architect and blueprints have previously been prepared for the rehabilitation of the church building. The Phase I Environmental Study has been completed and basic repairs on the roof are ready to begin as soon as they are approved.

**3. The following is leveraging used from other public and private resources to address the needs of our consumers.**

**Volunteer Hours:** Approximately 2730 volunteer hours at \$10.00/hr are leveraged for a total of \$27,300.

**Contributions:** Approximately \$130,000 in unrestricted contributions are leveraged.

**Tenant Rental Income:** Approximately \$27,500 in tenant rental income is leveraged.

**Medical Costs:** AIDS Alabama leverages the yearly medical costs of \$1,500 per individual at 104 people at 65% based on commitments from clinics in the Birmingham area (\$101,400).

**Psycho-Social income:** AIDS Alabama leverages approximately \$37,920 in Ryan White Psycho-Social income.

**Case Management income:** AIDS Alabama leverages approximately \$18,000 in Ryan White and Medicaid Case Management salary reimbursement.

**Building:** AIDS Alabama leverages a portion of its corporate office building (valued at \$405,000) and has leveraged the Agape House and Agape II facilities (valued at \$530,000).

**Van Transportation:** Van services from other sources are leveraged at \$26,000.

**4. The following depicts the distribution of funds among the different categories of housing needs.**

|                         |           |
|-------------------------|-----------|
| Rental Assistance       | \$200,620 |
| Supportive Services     | \$100,309 |
| Operating Costs         | \$154,956 |
| Resource Identification | \$ 5,000  |

**5. The following are barriers and recommendations for program improvement.**

**Barriers:**

The barriers for persons living with HIV/AIDS to access services include discrimination, access to healthcare due to geography, transportation, knowledge, and lack of resources. Discrimination against persons living with HIV includes loss of employment, housing, disengagement of families whose members test positive, and some systemic policies/procedures. While it is illegal to take some of these actions, people still lose their housing on the spot, get fired or turned away from employment, or face attack because of their HIV-positive status. These events are more than anecdotal. In the 2003-2004 AIDS Alabama needs assessment, 11% of respondents indicated that HIV discrimination was a constant stressor in their lives. Thirty-eight per cent experienced unstable housing situations after their HIV diagnosis. Families are still avoiding support of members who are HIV-positive by not allowing them to live with them and by disconnecting any form of psycho-social or financial support. Surprisingly there are landlords who are hesitant about renting to our population. We lease under a confidential name, but once this name is known it makes it difficult to rent new properties.

Another barrier, which is constantly being addressed, is lack of consumer knowledge regarding available resources. Persons living in very rural settings are often removed from access to medical clinics. Identification of these persons is difficult given the desire by most persons to remain confidential about their HIV-status, if known.

**Recommendations:**

Infection rates in all metropolitan areas of the southeastern United States continue to climb. Poverty, a lack of education, and difficulty in accessing medical care present tremendous barriers to HIV positive individuals and families. Continued support of this vital program is critical in our efforts to provide decent, safe, and affordable housing to Birmingham's low-income, HIV positive population.

**6. The following addresses the trends facing the Birmingham area in regard to the HIV/AIDS population and the administration of funds to consumers.****Trends:**

AIDS Alabama has seen a recent trend in diminishing funding for supportive services. These services mean that residents already in housing receive the necessary services to stay housed. In addition, lack of decent, safe, affordable housing is an ongoing problem for individuals living with HIV disease in the State of Alabama. In developing HIV specific housing, typically units that are decent and safe are not always affordable and vice versa. Relapse rate for substance abuse is often affected by the location of affordable housing. In addition due to HUD regulations limiting assistance to persons in permanent housing, AIDS Alabama has seen a dramatic decrease in the number of applications for rental assistance in the last few years.

Housing opportunities for newly released inmates has become a serious problem as well. It is estimated that 1,600 prisoners are released daily from prisons all over the country with 30-50 % being homeless upon release. Ex-prisoners face the same problems as others looking for housing coupled with the problems of having a criminal background.

One problem that is unique to newly released inmates is that most subsidized housing programs have screening processes in place which limit their housing choices, such as criminal background checks or restrictions on neighborhoods close to schools and child care centers.

The distribution of HIV disease in America has shifted. The disparate impact of HIV/AIDS in the southern states of the United States has created an emergency that must be addressed, especially among minority populations. The face of AIDS is becoming increasingly rural, minority, and poor. While the South represents a little more than one-third of the U.S. population (38%), it now accounts for 40% of people estimated to be living with AIDS and 46% of the estimated number of new AIDS cases (Kaiser, 2002).

Among the 25 metropolitan areas (with a population of 500,000 or more) with AIDS case rates in 2001 above the national average for areas of this size, 18 were in the South. In addition, six of the metropolitan areas with the ten highest AIDS case rates were in the South (Kaiser, 2002). Alabama is no exception to these alarming trends.

**Administration:**

In July 1999 AIDS Alabama began to serve as the sponsor of the City of Birmingham's HOPWA Entitlement Funds. AIDS Alabama's amount as Sponsor (7% of the funds per regulations) will be \$33,956. As the project sponsor, AIDS Alabama is responsible for ensuring the city's reports are complete and on time, that the draw requests are handled as per the city's requests, and that all expenditures are tracked according to all rules and regulations as specified by the City and by HUD. AIDS Alabama will complete the Annual Progress Report, participate in the Consolidated Planning process as requested by the City, and keep detailed backup for all expenditures. AIDS Alabama's Finance Department will issue all rental assistance checks to landlords, account for and report to tax authorities, employee payroll expenses, and rental payments, track van operational expenses and demographic information on individuals transported, and all other accounting and financial duties associated with this grant. AIDS Alabama completes an annual external audit in ensure that Generally Accepted Accounting Procedures are utilized and that internal control measures are in place. This year's audit was clean with no findings or concerns. AIDS Alabama also works with the City of Birmingham and HUD to complete annual programmatic and fiscal audits.

**7. The following addresses studies, evaluations or assessments that will be conducted on the local HOPWA Plan.**

The local office of HUD will be assessing and evaluating the HOPWA programs and financials during the year.

A consultant has been retained to look at a new comprehensive Needs Assessment for the HIV population in the coming year.

**Specific HOPWA Objectives:**

The following describes how Federal, State, Local Public and Private resources will be used to address the identified consumer needs.

- HOPWA entitlement funds are provided through the State of Alabama and the City of Birmingham and address the housing and supportive services needs of the HIV/AIDS population by funding programs for rental assistance, support services such as case management and transportation, housing identification and operations.
- HOPWA competitive grants fund the statewide HIV/AIDS housing in the rural areas of the state plus the operation of an enriched-service facility for consumers dually diagnosed with mental illness and HIV.
- The Supportive Housing Program funds provide services for homeless HIV/AIDS consumers, such as transitional housing, permanent housing for families, and support services.
- The Shelter-Plus Care Program assists consumers in permanent housing provided by the Housing Authority.
- The 811 housing provides low-cost independent living for HIV/AIDS consumers.
- Title II Ryan White Act provides emergency financial assistance, insurance continuation and case management services to the population.
- The CDC and the Alabama Department of Public Health support education, testing and post test education services.
- Alabama and Jefferson County provide Emergency Solution Grant funds to provide Agency funding for the Substance Abuse program for HIV-positive consumers.
- The City of Birmingham provides funds to AIDS Alabama to support HIV/AIDS programs.
- The Community Foundation of Birmingham provides funds to support HIV/AIDS programs.
- AIDS Alabama has made a concerted effort, and has been successful, in obtaining funds from other private corporations and foundations. The Agency has also been successful in significantly increasing the amount of in-kind services from volunteers and donations from companies and individuals.
- Drug companies have also been supportive of educational and event-based services.

## APPENDIX P

### IMPEDIMENTS TO FAIR HOUSING CHOICE UPDATE & SUPPLEMENTAL INFORMATION

The Fair Housing Center of Northern Alabama conducted an analysis of impediments to fair housing choice under a contract with the City of Birmingham in order to comply with HUD Regulation 24 CFR 91.225(a)(1). With the input of several city departments and representatives from local organizations, the Fair Housing Center reports that the following four impediments to fair housing exist in Birmingham.

1. Lack of Equivalent Fair Housing Law in Birmingham or the State
2. Potential Protected Class Discrimination in Homebuyer Lending Market
3. Public Policy Implementation and Lack of Fair Housing Training Often Lead to Misunderstanding in Local Housing Initiatives
4. Continuum of Care needs to Continue to Consider the Need for Permanent Housing for the Homeless, Persons with HIV AIDS, or Those at Risk of Being Homeless

Based upon the current data available, the following are the impediments and suggested actions that have been identified for the City. The City will document and report its actions to HUD on the removal of impediments through Annual Reports which are a part of the Consolidated Plan Process, and independent efforts of non profits such as the Northern Alabama Fair Housing Center.

#### **Impediment # 1**

Lack of Equivalent Fair Housing Law in Birmingham or the State Requires HUD Atlanta Office to Conduct All Complaint Investigations and Adjudications

#### Observation

Since there is no Alabama or Birmingham law which allows the City to conduct fair housing investigations, HUD staff in Atlanta, GA is obligated to accept and process all complaints in the State.

#### Suggested Steps to Remove this Impediment

The City may wish to consider asking the State to adopt new legislation for an equivalent Fair Housing Ordinance that would allow the State to conduct its own fair housing program and request HUD FHIP (for Initiatives) and HUD FHAP(for Investigation and Adjudication) funding to cover most of the cost and allow the State to carry out Fair Housing Initiatives appropriate to the community. Alternatively, the City can ask the State to pass enabling legislation for the city to establish an equivalent Fair Housing Ordinance.

The City may wish to ask the Northern Alabama Fair Housing Center to study this proposition and recommend a course of action to the Office of Community Development.

## **Impediment # 2**

### Potential Protected Class Discrimination in Homebuyer Lending Market

#### Observation

Often Protected Classes are not equal partners in the home buying market in the City. Prime lenders had few applications and high originations and sub prime lenders had high applications and few originations.

Loan data show an under representation of applicants for loan applications and denials based on debt to income ratios and credit history. These are factors which could point to disinvestment in low/mod neighborhoods which limit access to home improvement loans, access to refinancing loans and access to funds to afford new homes.

#### Suggested Steps to Remove this Impediment

Lenders need to be made aware of this issue and initiate positive efforts in establishing a broader market. Working with local lenders, the City should do further analysis of lending data to determine to what extent disparate treatment of protected classes accounts for loan denials.

The City should take an active role in monitoring Home Mortgage Disclosure Act Data (HMDA) to insure that lenders continue to equalize lending practices.

## **Impediment # 3**

### Public Policy Implementation and Lack of Fair Housing Training Often Lead to Misunderstanding in Local Housing Initiatives

#### Observation

As is the case in any local governmental operation, elected official and staff turnover requires periodic training to stay up to date in various requirements such as addressing fair housing and civil rights policies. While various City staff have lead assignments and serve on supportive committees for fair housing, representatives need to be aware that certain public policies such as building requirements, lack of public infrastructure, taxes, land use and zoning could lead to unintended discriminatory action

#### Suggested Steps to Remove this Impediment

The City should support a strategy of addressing fair housing in all departments and programs by articulating supportive government-wide civil rights policies and training for staff involved in activities related to fair housing. The City should also be careful in adopting land use policies and related zoning that would have the effect of limiting fair and affordable housing opportunities. Additionally, the City needs to continue support of rental and homeownership development in areas where assisted and affordable housing may be readily available to protected classes. Finally, the City needs to continue to support ways to deal with the cost of real estate, higher taxes, and public infrastructure requirements.

## **Impediment # 4**

### Continuum of Care needs to Continue to Consider the Need for Permanent Housing for

the Homeless, Persons with HIV AIDS, or Those at Risk of Being Homeless

Observation

There are limited housing opportunities for the homeless, those who are at risk of homelessness, and special needs populations. There is not enough funding for permanent housing. Too many citizens are on the brink of becoming homeless because they have to spend too much of their income on housing (many times not decent or safe housing) or have a foreclosure facing them.

Suggested Steps to Remove this Impediment

The City should continue to support programs to increase family self-sufficiency and to prepare homeless, at risk and special needs populations for rental or homeownership opportunities through financial literacy, credit counseling and rental assistance. The City also needs to support a number of initiatives to assist low-moderate homebuyers with down-payment assistance, default delinquency counseling, anti-predatory lending counseling and homeless prevention programs. City needs to take full advantage of ARRA programs such as NSP to deal with foreclosures and need for additional revitalization.

SUPPLEMENTAL INFORMATION RELATED TO FAIR HOUSING AND EQUAL OPPORTUNITY (FH&EO)

The City will prepare a Consolidated Annual Performance and Evaluation Report (CAPER) within 90 days from the completion of the program year described herein. In that report, the following information will be provided relative to Affirmative Furthering Fair Housing in accordance with 24 CFR 91.520(a) as described in Subpart III-9, item 3, “Actions to Eliminate or Reduce Barriers to Affordable Housing; and Appendix Q of this Action Plan submission. That information is as follows:

- For all activities undertaken that involve the provision of services to individuals, the City will utilize the HUD Integrated Disbursement and Information System (IDIS) to report the race, and female headed household status for limited clientele activities.
- The amount of funding authorized for fair housing activities as a part of this action plan is \$20,370 in CDBG funding to promote Fair Housing through an agreement with the Fair Housing Center for Northern Alabama. Under this agreement fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training are also provided. Actual accomplishments under this agreement will be reported in the referenced

CAPER.

- The City has provided a narrative description in this Action Plan of what it expects to accomplish for its fair housing activities. This includes a description of the actions planned to address identified impediments to Fair Housing Choice as outlined in Subpart III-9, item 3 and Appendix Q. Additionally, the planned activities of the Fair Housing Center of Northern Alabama are outlined in Appendix J. Actual accomplishments will be reported in the CAPER.
- For all Economic Development Activities described in Appendix J, the City will report in its CAPER the number of jobs created or retained for low and moderate income persons as well as the applicable demographic information for those individuals.

APPENDIX Q

HUD TABLE 3B  
ANNUAL AFFORDABLE HOUSING COMPLETION GOALS

| <u>Grantee Name:</u><br><br><b>Program Year:</b>                 | <b>Expected Annual Number of Units To Be Completed</b> | <b>Actual Annual Number of Units Completed</b> | <b><i>Resources used during the period</i></b> |                          |                          |                          |
|--|--|--|--|--------------------------|--------------------------|--------------------------|
|  |  |  | <b>CDB G</b>                                   | <b>HOM E</b>             | <b>ESG</b>               | <b>HOPW A</b>            |
| <b><u>BENEFICIARY GOALS</u></b><br><b><u>(Sec. 215 Only)</u></b> |  |  |  |                          |                          |                          |
| Homeless households  |  |  | <input type="checkbox"/>                       | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| Non-homeless households  | 466  |  | X  | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| Special needs households   | 100  |  | <input type="checkbox"/>                       | <input type="checkbox"/> | <input type="checkbox"/> | X                        |
| <b>Total Sec. 215 Beneficiaries*</b>                             | 566  |  | X  | X                        | X                        | X                        |
| <b><u>RENTAL GOALS</u></b><br><b><u>(Sec. 215 Only)</u></b>      |  |  |  |                          |                          |                          |
| Acquisition of existing units                                    |  |  | <input type="checkbox"/>                       | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| Production of new units  | 6  |  | <input type="checkbox"/>                       | X                        |                          | <input type="checkbox"/> |
| Rehabilitation of existing units                                 | 40   |  | X  | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| Rental Assistance  | 100  |  | <input type="checkbox"/>                       | <input type="checkbox"/> |                          | X                        |
| <b>Total Sec. 215 Affordable Rental</b>                          | 146  |  | X  | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| <b><u>HOME OWNER GOALS</u></b><br><b><u>(Sec. 215 Only)</u></b>  |  |  |  |                          |                          |                          |
| Acquisition of existing units                                    |  |  | <input type="checkbox"/>                       | <input type="checkbox"/> |                          |                          |
| Production of new units  | 10   |  | <input type="checkbox"/>                       | X                        |                          |                          |
| Rehabilitation of existing units                                 | 400  |  | X  | X                        |                          |                          |
| Homebuyer Assistance   | 10   |  | X  | X                        |                          | <input type="checkbox"/> |
| <b>Total Sec. 215 Affordable Owner</b>                           | 420  |  | X  | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| <b><u>COMBINED RENTAL AND OWNER GOALS (Sec. 215</u></b>          |  |  |  |                          |                          |                          |

| <u>Only)</u>   |     |  |                          |                          |                          |                          |
|--|-----|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Acquisition of existing units                                      |     |  | <input type="checkbox"/> | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| Production of new units  | 16  |  | <input type="checkbox"/> | X                        |                          | <input type="checkbox"/> |
| Rehabilitation of existing units                                   | 440 |  | X                        | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| Rental Assistance  | 100 |  | <input type="checkbox"/> | <input type="checkbox"/> |                          | X                        |
| Homebuyer Assistance   | 10  |  | X                        | X                        |                          | <input type="checkbox"/> |
| <b>Combined Total Sec. 215 Goals*</b>                              | 566 |  | X                        | X                        | X                        | X                        |
| <b>OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)</b> |     |  |                          |                          |                          |                          |
| Annual Rental Housing Goal   | 146 |  | X                        | X                        | <input type="checkbox"/> | X                        |
| Annual Owner Housing Goal  | 420 |  | X                        | X                        | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>Total Overall Housing Goal</b>                                  | 566 |  | X                        | X                        |                          | X                        |

APPENDIX R

**MAYOR’S RECOMMENDED PY 2013 (JULY 1, 2013-JUNE 30, 2014)  
ACTION PLAN-ONE YEAR USE OF FUNDS SUMMARY  
30 DAY COMMENT PERIOD**

**CITY OF BIRMINGHAM, ALABAMA**

In accordance with 24 CFR Part 91, the City of Birmingham’s (“the City’s”) Community Development Department is hereby publishing a summary of its Proposed Program Year (PY) 2013 (July 1, 2013-June 30, 2014) Action Plan One Year Use Of Funds Submission for citizen comment for a period of at least thirty (30) calendar days from the date of this publication. The summary is published in order to afford affected citizens an opportunity to examine contents and to submit comments relating thereto.

The Final PY 2013 Action Plan will be developed after giving consideration to citizen comments and alternative proposals received at a Public Hearing conducted by the City’s Community Development Department on April 18, 2013 at 9:30 a.m. and from the timely receipt of written comments and alternative proposals received in the Community Development Department on or before April 29, 2013 following the posting of this Proposed PY 2013 Action Plan-One Year Use of Funds Summary on the City Website-Community Department Webpage at [www.birminghamal.gov](http://www.birminghamal.gov) on March 29, 2013 and the publication of the same “Mayor’s Recommended PY 2013 Action Plan-One Year Use of Funds Summary” in the Birmingham News on March 29, 2013 and Birmingham Times on March 28, 2013.

Copies of the City’s Proposed PY 2013 Action Plan-One Year Use of Funds are available for inspection in the City’s Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays; at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours and is posted on the City website-Community Development Department webpage at [www.birminghamal.gov](http://www.birminghamal.gov).

The City’s Community Development Department encourages citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds Submission and is thereby making the Plan available for public inspection and comment for a period of at least thirty (30) calendar days.

**Also, the City’s Community Development Department will conduct a public hearing on April 18, 2013 at 9:30 a.m. in the City Council Chamber, 710 North 20<sup>th</sup> Street, 3<sup>rd</sup> Floor City Hall; Birmingham, Alabama. The purpose of the hearings will be to obtain comments regarding the proposed use of the City’s PY 2013 consolidated formula allocation including the views of citizens, public**

agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the previously referenced address no later than 48 hours prior to the scheduled hearing dates. This assistance includes any request for translators, or related services for non-English speaking persons. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

All interested parties who are unable to attend the public hearings but desire to submit written views, comments, or proposals regarding the City's development of its Final PY 2013 Action Plan-One Year Use of Funds submission may do so by addressing them to Mr. John G. Colón, Director; Community Development Department; 710 North 20th Street, 10<sup>th</sup> Floor City Hall; Birmingham, Alabama 35203.

**ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE CITY'S COMMUNITY DEVELOPMENT DEPARTMENT AT THE REFERENCED ADDRESS NO LATER THAN APRIL 29, 2013 BY 4:00 P.M. TO BE CONSIDERED IN THE DEVELOPMENT OF THE CITY'S FINAL PY 2013 ACTION PLAN-ONE YEAR USE OF FUNDS SUBMISSION. NO E-MAIL OR FAX TRANSMISSION SUBMISSIONS WILL BE ACCEPTED OR CONSIDERED.**

#### **I. BACKGROUND:**

In 1995 the U.S. Department of Housing & Urban Development (HUD) introduced a consolidated application process involving four HUD Community Planning and Development (CPD) formula programs: Community Development Block Grants (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). This process replaced at that time all current HUD-CPD planning and application requirements with a single submission, and satisfied the minimum statutory requirements for the four referenced HUD-CPD formula programs. The Emergency Shelter Grants were later renamed

Emergency Solutions Grant.

In summary, the Consolidated Plan actually serves four separate, but integrated, functions. The Consolidated Plan is 1) A planning document for the City, which builds on a participatory process; 2) An application for federal funds under HUD's formula grant programs referenced above; 3) A strategy to be followed in carrying out HUD programs, and lastly; 4) An action plan that provides a basis for assessing performance. The City's Five Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015 and contains six basic components: (1) housing and homeless needs assessment, (2) housing market analysis, (3) strategies and priority needs and objectives, (4) action plan, (5) certifications, (6) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

Copies of the City's PY 2010-2015 Consolidated Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at [www.birminghamal.gov](http://www.birminghamal.gov).

## **II. PROPOSED PROGRAM YEAR 2013 ACTION PLAN SUMMARY:**

The City's Action Plan-One Year Use of Funds is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes activities for Plan Year (PY) 2013 (July 1, 2013 thru June 30, 2014) for the City to address its priority needs. The Action Plan explains where the assistance will be directed by geographic area during PY 2013.

The Proposed Action Plan also includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

Additionally, the Proposed Action Plan includes program specific requirements such as: a) the total amount of CDBG, HOME, ESG, and HOPWA funds allocated including anticipated program income; b) For the HOME Program, a description of; i) Other forms of investment, if any, to be used; ii) for use of HOME funds for homebuyers, a description of guidelines for resale or recapture of HOME funds required under 24

CFR 92.254; iii) for use of HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds a description of the City's refinancing guidelines required under 24 CFR 92.206(b).

The Proposed Action Plan contains a narrative description of the standards and procedures that the City will use to monitor activities that it will carry out in advancing its plan. Also included are definitions, required certifications and other statutory and program requirements.

**III. PY 2013 CONSOLIDATED FORMULA ALLOCATION:**

The following is a description of the Federal resources the City's Community Development Department estimates to be available during PY 2013 and the activities to address the priority needs identified in the City's PY 2010 thru 2015 Consolidated Plan. The City's Consolidated Formula Allocation for PY 2013 has not yet been released by HUD. Until such time as the PY 2013 Formula Allocation are announced, the following estimated allocations will be utilized for planning purposes which includes an estimate of anticipated program income receipts, surplus income from urban renewal settlements, grant funds returned to the City's line of credit for which the planned use has not been included in a prior statement or plan, and income from float-funded activities as follows:

|   |                       |
|---|-----------------------|
| 1. Community Development Block Grant Program: |                       |
| PY 2013 Grant                                 | \$4,710,493.00        |
| PY 2013 Anticipated Program Income:           | 300,000.00            |
| Prior Year Funds:                             | <u>0.00</u>           |
|   | \$5,010,493.00        |
| 2. PY 2013 HOME Grant:                        | \$1,012,735.00        |
| PY 2013 Anticipated HOME Program Income       | <u>100,000.00</u>     |
|   | \$1,112,735.00        |
| 3. PY 2013 Emergency Solutions Grant (ESG):   | \$443,241.00          |
| 4. PY 2013 HOPWA Grant:                       | <u>\$494,841.00</u>   |
| <b>TOTAL</b>                                  | <b>\$7,061,310.00</b> |

**IV. PY 2013 PROPOSED ACTION PLAN-ONE YEAR USE OF FUNDS  
ACTIVITY SUMMARY BY PROGRAM:**

**CONSOLIDATED FORMULA ALLOCATION BUDGET AND PROGRAM  
DESCRIPTION: PY 2013: July 1, 2013-June 30, 2014**

**A. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):**

|                                    |                       |
|------------------------------------|-----------------------|
| <b>PY 2013 CDBG Grant</b>          | <b>\$4,710,493.00</b> |
| <b>Anticipated Program Income:</b> | <b>\$ 300,000.00</b>  |
| <b>TOTAL CDBG:</b>                 | <b>\$5,010,493.00</b> |

**1. PROJECT: ADMINISTRATION: \$959,457**  
**(570.206)**

Project ID/Local ID #1; Project Title: General Program Administration; Priority Need: N/A; Eligibility 570.206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 21A-General Program Administration; Proposed Accomplishments: Program Administration; Start Date: 7/1/2013; Completion Date: 6/30/2014. Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description: These funds provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities including CDBG, ESG, HOME, HOPWA, CDBG-R, and any other Community Development Activities assisted in whole or in part with CDBG funds. Supportive funds will be allocated towards general program administration, legal services, planning, environmental reviews, public information, and fair housing.

**2. PROJECT: PLANNING & MANAGEMENT: \$42,642**  
**(570.205 & 206)**

Project ID/Local ID #2; Project Title: Planning & Management; Priority Need N/A; Eligibility 570.205-Planning and 206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 20-Planning; Proposed Accomplishments: Program Planning; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

Provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities. Proposed funding is as follows to be administered by the City's Community Development Department:

**Planning & Management Activities & Description:**

**-One roof: \$22,272**  
2230 4<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Assistance to One Roof, a non-profit organization, to provide for the administration of the City's HUD approved Continuum of Care for homeless persons.

**-Fair Housing Center of Northern Alabama: \$20,370**

1728 3<sup>rd</sup> Avenue, North Suite-400C

Birmingham, Al 35203

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training.

**3. PROJECT: REPAYMENTS OF SECTION 108 LOAN PRINCIPAL: \$150,000 (570.705)**

Project ID/Local ID 3; Project Title: Repayments of Section 108 Loan Principal; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.705; National Objective N/A; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code:19F-Repayments of Section 108 Loan Principal; Proposed Accomplishments: N/A.; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

**Section 108 Loan Principal Activities & Description:**

Provide CDBG support for Section 108 loan repayments to be administered by the City's Community Development Department. Principal and interest payments due in August, 2013 and Principal only payments due in February, 2014 to The Bank of New York Mellon for Section 108 Loan payments

**4. PROJECT: SPECIAL ECONOMIC DEVELOPMENT (570.203(b) and (c)): \$127,500**

Project ID/Local ID 5; Project Title: Technical Assistance; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203(b) and (c) Special Economic Development; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 19C-CDBG Non-Profit Capacity Building; Proposed Accomplishments: 1 Organization and 9 Commercial Districts to be assisted; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability; Description:

Provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities.

**Special Economic Development Activities & Description:**

**Birmingham Beacon Program:**

**-Main Street Birmingham, Inc: \$127,500**

One 55<sup>th</sup> Place South; Birmingham, AL 35232

A non-profit corporation established to coordinate the Birmingham Beacon Program whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

**5. PROJECT: HOUSING REHABILITATION: \$2,819,332**  
**(570.202)**

Project ID/Local ID 6; Project Title: Housing Rehabilitation Activities; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Eligibility (570.202)-Eligible Rehabilitation and preservation activities; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 14A-Rehabilitation Single Unit Rehabilitation and 14B-Rehabilitation Multi-Unit Residential: Proposed Accomplishments: See individual activities; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability; Description:

Provides for the rehabilitation of owner-occupied and rental substandard structures on a City wide basis, non-profit organization housing service provider agreements, and associated program operation costs. Also includes loan processing and servicing costs, inspections, and other services related to assisting owners, tenants, contractors, and other entities, participating or seeking to participate in rehabilitation activities authorized under this section. Detailed descriptions of these and other housing related programs are available in the Community Development Department-Housing Division, 710 North 20th Street, Room 700; Birmingham, Al 35203. This activity is anticipated to generate program income and is to be administered by the City's Community Development Department-Housing Division on a city wide basis.

**Housing Rehabilitation Activities & Description:**

**A. Program Costs: \$900,000.00**

Costs associated with rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202. Includes staff salaries, legal services, mortgage servicing, and other related costs. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**B. Rehabilitation Activity Costs: \$1,919,332**

**- Single Family Rehabilitation Program: \$1,019,332**

Provides grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Proposed Accomplishments: 125 Housing Units to be rehabilitated. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Independent Living Resources of Greater Birmingham: \$225,000**

206 13<sup>th</sup> Street South  
Birmingham, AL 35233

Provides assistance to qualified disable residential tenants and disabled homeowners to enable modifications to be made to their residence. Proposes to modify homes at an average cost of \$3,000. The maximum allowable grant would be up to \$3,500 per household. The agency's Executive Director may grant an exception to the \$3,500.00 maximum in a limited number of cases where the need exists. Proposed Accomplishments: 47 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Rising West Princeton Corporation: \$25,000**

1708 1<sup>st</sup> Avenue, West  
Birmingham, Al 35208

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton. Proposed Accomplishments: 10 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- Metro Changers, Inc.: **\$650,000**  
750 Montclair Road  
Birmingham, Al 35213

Authorize the Mayor to enter into necessary agreements to provide housing rehabilitation services through the Worldchangers Rehabilitation Program. Proposed Accomplishments: 150 Housing Units to be assisted. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

**6. PROJECT: PUBLIC SERVICES: {570.201(e)} \$751,574**

Project ID/Local ID 7; Project Title: Public Service Activities; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4- Provide Housing and Supportive Services for Homeless populations; Eligibility 570.201(e)-Public Services; Administered by the Community Development Department. Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: Public Services per 570.201(e); CDBG National Objective Citation: Benefit to low and moderate income persons per 570.208(a); Subrecipients: Yes; Location(s): City Wide.; HUD Matrix Code: 05-Public Services; Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility. Description:

**Public Service Activities & Description:**

Provision of public services (including labor, supplies, and materials) including, but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 570.207(b)(4)), homebuyer down payment assistance, or recreational needs. The amount of CDBG funds used for public services shall not exceed 15 percent of each grant plus 15 percent of program income received during the grantee's immediately preceding program year.

The City proposes to enter into CDBG Public Service Agreement with the following non-profit organizations to provide the services outlined herein:

**i). Homeless Shelter Programs: \$384,333**

**Men**

**- Aletheia House, Inc.: \$36,233**

135 Finley Avenue, West  
P.O. Box 1514  
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Changed Lives Christian Center, Inc.: \$40,375**

1308 26<sup>th</sup> Avenue, North  
Birmingham, Al 35204

Homeless shelter for men providing food and shelter. Proposed Accomplishments: Serve 2,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**- Cooperative Downtown Ministries, Inc.: \$38,349**

1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily. Proposed Accomplishments: Serve 2,800 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Women**

**-First Light, Inc.: \$29,845**

2230 Fourth Avenue, North  
Birmingham, Al 35203

To provide shelter and services to Birmingham's homeless women and children with an emphasis on serving the mentally ill. Proposed Accomplishments: Serve 700 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Pathways/Transitional Shelters: \$27,965  
409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide transitional shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

Pathways/Downtown Path Center: \$58,285  
409 Richard Arrington, Jr. Blvd, North  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-YWCA Homeless Daycare: \$38,046  
309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-YWCA Homeless Daycare Transportation; \$11,204  
309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

To provide transportation for homeless children residing in local shelters to day care services at the YWCA. Proposed Accomplishments: Serve 60 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

### Families/Other

-YWCA: \$33,741  
309 North 23<sup>rd</sup> Street

Birmingham, Al 35203

This program was previously operated by Interfaith Hospitality House which ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

### Supportive Services

-Bridge Ministries, Inc.: **\$27,360**  
1016 19<sup>th</sup> Street, South  
Birmingham, Al 35205

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services. Proposed Accomplishments: Serve 140 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-New Pilgrim Bread of Life Ministries: **\$18,317**  
708 Goldwire Place SW  
Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Urban Ministry, Inc.: **\$18,317**  
1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-One roof: **\$6,296**  
2230 4<sup>th</sup> Avenue, North

Birmingham, Al 35203

The agency currently provides assistance to eligible clients through the SOAR program. This program assists eligible homeless individuals to acquire social security and disability. Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**Other Public Services:**

**ii) Employment & Housing Assistance: \$204,584**

**-Birmingham Urban League, Inc.: \$39,476**  
1229 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of housing counseling services to assist individuals and families in obtaining, maintaining, and retaining, decent, safe and affordable housing. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**-Childcare Resources, Inc.: \$45,820**  
1904 1<sup>st</sup> Ave, North  
Birmingham, Al 35203-4006

Provision of supplemental child care financial assistance to low/moderate income working families. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #2-Affordability.

**-Jefferson State Community College: \$20,666**  
2601 Carson Road  
Birmingham, Al 35215

Provision New Options Program to assist adults, primarily single parents, obtain educational and job skills. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

**-Lawson State Community College: \$13,753**  
3060 Wilson Road SW  
Birmingham, Al 35221-1717

The Birmingham Jobs Empowerment Initiative is a collaborative effort between Lawson State Community College and the City of Birmingham to provide cooperative educational opportunities responsive to the changing needs of the work place. The overarching goal is to help increase the employability level of Birmingham residents through the provision of quarterly workshops centered on skills development to enhance employability levels. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Neighborhood Housing Services of Birmingham: **\$65,768**  
601 19<sup>th</sup> Street North; Suite 103  
Birmingham, Al 35203

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance. Proposed Accomplishments: Serve 80 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

-Birmingham Regional Empowerment and Development Center (BREAD): **\$19,101**  
1708 Spencer Avenue  
Birmingham, Al 35214

A program that would provide GED assistance and job readiness seminars for youth ages 16-24. Proposed Accomplishments: Serve 35 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

**iii) Children/Youth Development/Senior Citizens: \$80,763**

- Girl Scouts of North-Central Alabama: **\$8,696**  
105 Heatherbrooke Park Drive  
Birmingham, Al 35242-8008

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HABD to serve low/moderate income girls. Proposed Accomplishments: Serve 160 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment;

Performance Measure Outcome: #1-Availability/Accessibility.

-Positive Maturity, Inc.-East Lake: **\$19,101**

3600 8<sup>th</sup> Avenue, South; Suite 200

Birmingham, Al 35222

Provision of services to seniors at the Shepherd Center East facility, 118 84<sup>th</sup> Street North including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Rose Garden Adult Day Services, Inc.: **\$14,761**

4900 1<sup>st</sup> Avenue, North

Birmingham, Al 35222

Provision of adult day services for functionally impaired adults (elderly and disabled). Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-J.J.'s Freedom Center: **\$19,101**

812 18<sup>th</sup> Way SW

Birmingham, Al 35211

This organization will provide summer and after school programs for at risk children specifically in the Western area of Birmingham. Proposed Accomplishments: Serve 37 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: # Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Titusville Development Corporation: **\$19,104**

300 Kappa Avenue

Birmingham, Al 35205

Provision of services to seniors that are currently being served at the Memorial Park Recreation Center, including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**iv) Special Needs: \$81,894**

**- Children's Village, Inc.: \$14,171**

2001 18<sup>th</sup> Street, SW  
Birmingham, Al 35211

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development. Proposed Accomplishments: Serve 20 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Mental Health Association of Central Alabama, Inc.: \$17,713**

3600 8<sup>th</sup> Avenue, South; Suite 501  
Birmingham, Al 35222

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients. Proposed Accomplishments: Serve 15 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-North Birmingham Community Assistance Program, Inc.: \$16,944**

3417 34th Terrace, North  
Birmingham, Al 35207

Provision of an emergency food assistance program for eligible families. Proposed Accomplishments: Serve 200 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Prescott House: \$17,991**

1730 14th Avenue, South  
P.O. Box 55892  
Birmingham, Al 35255

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances. Proposed Accomplishments: Serve 350 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-United Cerebral Palsy of Greater Birmingham, Inc.: \$15,075  
120 Oslo Circle  
Birmingham, Al 35211

Provision of comprehensive training to assist clients to live independently in the community. Proposed Accomplishments: Serve 125 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**8) PROJECT: COMMERCIAL REVITALIZATION: \$159,988**  
**(570.204)**

Project ID/Local ID 8; Project Title: Community Economic Development; Priority Need 5-Promote City Wide Economic Development Eligibility 570.204-Special Activities by Community-Based Development Organizations; National Objective 570.208 (b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: Yes; Location: 4<sup>th</sup> Avenue North Business District; HUD Matrix Code:18-B- ED Technical Assistance; Description:

**Commercial Revitalization Activities & Description:**

-Urban Impact: \$159,988  
1701 4<sup>th</sup> Avenue North  
Birmingham, Al 35203

Assistance to Urban Impact, a non-profit organization, to continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods. Proposed Accomplishments: Serve 50 businesses. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

**CDBG PROGRAM TOTAL: \$5,911,517**

**B. PROJECT: HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):**

|                             |                      |
|-----------------------------|----------------------|
| PY 2013 HOME Grant:         | \$1,012,735.00       |
| Anticipated Program Income: | <u>\$ 100,000.00</u> |

TOTAL

\$1,112,735.00

Project ID/Local ID 9; Project Title: HOME Investment Partnership Program; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations;; Help Prevent Homelessness? Yes; Help the Homeless? No; Help those with HIV or AIDS? No; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 21-HOME Program.

The HOME Program is to be administered by the City's Community Development Department-Housing Division.

**HOME Program Activities & Description:**

1) HOME Program Administration Activities: **\$111,273**

Provision of HOME Administrative costs for PY 2013. The City may allocate up to 10% of the total HOME allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, fair housing activities, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

2) HOME Program CHDO Activities: **\$166,910**

Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low-and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

3) HOME Rental Activities: **\$834,552**

Provision of HOME funds for the acquisition/rehabilitation, rehabilitation, and new construction of substandard or aging multi-family housing units that are suitable for rehabilitation. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing;

Performance Measure Outcome: #2-Affordability.

**HOME PROGRAM TOTAL: \$1,112,735.00**

**C. PROJECT: EMERGENCY SOLUTIONS GRANT PROGRAM (ESG):**  
**\$443,241**

Project ID/Local ID 10; Project Title: Emergency Solutions Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs.

**ESG Activities & Description:**

**1. Emergency Shelter/Street Outreach Activities**  
**(60% Maximum): \$265,940**

**-Family Connection: \$ 26,769**

1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Urban Ministry, Inc.: \$ 11,214**

1229 Cotton Avenue, SW  
Birmingham, Al 35211

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Pathways/Downtown Path Center: \$ 27,582**

409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of their transitional shelter

program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Pathways/Transitional Shelters: **\$ 35,339**  
409 Richard Arrington, Jr. Blvd., North  
Birmingham, Al 35203

Provision of expanded shelter and supportive services for homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Cooperative Downtown Ministries, Inc: **\$ 35,707**  
1501 3<sup>rd</sup> Avenue, North  
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3<sup>rd</sup> Avenue North. Proposed Accomplishments: Serve 1,205 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Family Connection: **\$ 46,481**  
1323 7<sup>th</sup> Avenue, North  
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-First Light, Inc.: **\$ 21,707**  
2230 Fourth Avenue, North  
Birmingham, Al 35203

Provision of an emergency shelter for homeless women and children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-YWCA: **\$ 28,741**  
309 North 23<sup>rd</sup> Street

Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-YWCA: \$ 32,400**  
309 North 23<sup>rd</sup> Street  
Birmingham, Al 35203

Provision of housing for homeless women and children. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **2. Homeless Prevention Activities: \$45,309**

**- Bridge Ministries: \$39,665**  
1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 165 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**-Urban Ministry, Inc.: \$ 5,644**  
1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

## **3. Rapid Re-housing Assistance: \$95,434**

-Urban Ministry, Inc.: **\$4,356**  
1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- JCCEO: **\$91,078**  
300 8<sup>th</sup> Avenue West  
Birmingham, Al 35204

Provision of Rapid Re-housing assistance for eligible individuals and families. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

#### **4. HMIS: \$3,900**

-Urban Ministry, Inc.: **\$1,000**  
1229 Cotton Avenue SW  
Birmingham, Al 35211

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Bridge Ministries: **\$650**  
1016 19<sup>th</sup> Street South  
Birmingham, Al 35205

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- JCCEO: **\$2,250**  
300 8<sup>th</sup> Avenue West

Birmingham, Al 35204

Provision of Homeless Management Information System to the extent costs are necessary to meet the new HMIS participation requirement under the McKinney Vento Act. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**5. Administration (7.5% Maximum): \$32,658**

Provision of ESG Administrative costs for PY 2013. The City may allocate up to 7.5% of the total ESG allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**ESG PROGRAM TOTAL: \$443,241**

**D. PROJECT: HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA): \$494,841**

Project ID/Local ID 10; Project Title: Emergency Shelter Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs. Program to be administered by the Community Development Department through AIDS Alabama, Inc., a non-profit organization. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2013; Completion Date: 6/30/2014; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

**HOPWA Activities & Description:**

1. AIDS Alabama, Inc. \$494,841  
3521 7th Avenue, South, Birmingham, Al

AIDS Alabama, Inc. will serve as the City's sponsor of HOPWA Entitlement Funds per HUD's recommendation. HOPWA Activities are as follows:

- \* Rental Assistance: \$200,620
- \* Supportive Services: \$100,309
- \* Operating Costs: \$154,956
- \* Resource Identification: \$5,000
- \* Project Sponsor Administration: \$33,956

**HOPWA PROGRAM TOTAL: \$494,841**

**GRAND TOTAL FOR ALL ACTION PLAN PROGRAMS: \$7,061,310.00**

**V. ANTICIPATED BENEFIT TO LOW AND MODERATE INCOME PERSONS:**

The City anticipates that approximately 90% of available funds described herein to be used for activities that will benefit low and moderate income persons. Should displacement occur as a result of any of the activities described herein, the City will provide assistance pursuant to its published Anti-Displacement and Relocation Assistance Plan, copies of which are available in the City's Community Development Department at the referenced address. The City does not discriminate on the basis of race, color, national origin, sex, religion, age, and handicapped status in employment or provision of services.

**VI. THIRTY DAY CITIZEN COMMENT PERIOD:**

**The City's Community Development Department encourages citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds Submission and is thereby making the Plan available for public inspection and comment for a period of at least thirty (30) calendar days.**

Copies of the Proposed PY 2013 Action Plan One Year Use Of Funds Submission are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours.

**Also, the City's Community Development Department will conduct a public hearing on April 18, 2013, at 9:30 a.m. in the City Council Chamber, 710 North 20<sup>th</sup> Street, 3<sup>rd</sup> Floor City Hall; Birmingham, Alabama. The purpose of the**

hearings will be to obtain comments regarding the proposed use of the City's PY 2013 consolidated formula allocation including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received will be considered in the development of the City's Final PY 2013 Action Plan-One Year Use of Funds.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the previously referenced address no later than 48 hours prior to the scheduled hearing dates. This assistance includes any request for translators, or related services for non-English speaking persons. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

All interested parties who are unable to attend the public hearings but desire to submit written views, comments, or proposals regarding the City's development of its Proposed PY 2013 Action Plan-One Year Use of Funds submission may do so by addressing them to Director; Community Development Department; 710 North 20th Street, 10<sup>th</sup> Floor City Hall; Birmingham, Alabama 35203.

**ALL WRITTEN COMMENTS OR PROPOSALS MUST BE RECEIVED IN THE CITY'S COMMUNITY DEVELOPMENT DEPARTMENT AT THE REFERENCED ADDRESS NO LATER THAN APRIL 29, 2013 BY 4:00 P.M. TO BE CONSIDERED IN THE DEVELOPMENT OF THE CITY'S PROPOSED PY 2013 ACTION PLAN-ONE YEAR USE OF FUNDS SUBMISSION. NO E-MAIL OR FAX TRANSMISSION SUBMISSIONS WILL BE ACCEPTED OR CONSIDERED.**

**CONTENTS OF PY 2013 CDBG, HOME, AND ESG PROPOSALS:**

1. All CDBG, HOME, and ESG proposals may be submitted in a format of the applicant's selection. Guidelines for the submission of proposals by non-profit organizations or other entities are available upon request in the City's Community Development Department at the referenced address.

2. All CDBG, HOME, and ESG proposals should include a detailed budget of projected expenditures, specific and measurable performance goals and objectives, and a narrative description of proposed activities to be undertaken with an explanation as to

how the proposed activities fit into the overall organization's budget structure. A summary of the applicant's prior experience over the prior five years in administering CDBG, HOME, ESG, or other federal funding should also be included.

3. Should an organization or other entity desire to submit more than one CDBG, HOME, or ESG project proposal, the proposals should be submitted separately and should identify the program from which funding is proposed.

4. All CDBG, HOME, and ESG proposals should include an Equal Employment Opportunity Statement whereby the applicant certifies that: "In its employment practices or provision of services, it does not and shall not discriminate on the basis of an individual's race, color, national origin, sex, religion, age, or handicapped status, in accordance with Executive Order 11246, as amended.

5. All CDBG, HOME, and ESG proposals submitted by non-profit, for profit, or other such organizations should include a copy of its: a) Articles of Incorporation; b) Non-Profit Determination; c) List of its Board of Directors or Governing Body; and d) A description of the agency's fiscal management system including financial reporting, record keeping, accounting systems, payment procedures, and most recent audit report.

6. All proposals submitted must be for eligible activities. The City reserves the right to reject any and all proposals received in response to this request for proposals.

John G. Colón, Director  
Department of Community Development  
710 North 20<sup>th</sup> Street  
10<sup>th</sup> Floor City Hall  
City of Birmingham, Alabama

3-29-2013

Date of Publication: 3-29-2013

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Website Posting: [www.birminghamal.gov](http://www.birminghamal.gov)

File name: 3-29-13 Mayor's Recommended Publication

APPENDIX S.  
MAYOR'S RECOMMENDED PY 2013 ACTION PLAN CITIZEN COMMENTS

The City encouraged citizens to participate in the development of its Final PY 2013 Action Plan One Year Use of Funds and made its Mayor's Recommended 2013 Action Plan One Year Use Of Funds available for public examination and comment for a period of at least thirty (30) calendar days from the publication of the Recommended PY 2013 Action Plan Summary contained in Appendix R. Copies of the entire Recommended PY 2013 Action Plan One Year Use Of Funds were made available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies were also made available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. A copy of the published summary was also posted on the City's Website at [www.birminghamal.gov](http://www.birminghamal.gov) and copies were included in the City's Citizen Participation mailings to the Citizen Advisory Board President to distribute to of each of its 99 neighborhoods and were e-mailed to all current sub-recipients of CDBG, ESG, HOME, or HOPWA funding.

Comments regarding the City's Mayor's Recommended PY 2013 Action Plan One Year Use Of Funds were requested to be submitted in writing to: Mr. John Colon, Director; Community Development Department; 710 North 20th Street, Room 1000; Birmingham, Alabama 35203.

Any written comments received at the referenced address no later than Monday, April 29, 2013 by 4:00 p.m. were considered in the development of the City's Final PY 2013 Action Plan One Year Use Of Funds.

- 1) David Fleming, **REV Birmingham**, Main Street Successor. Spoke of REV as the catalyst for the City Center and Neighborhood Centers, business, growth, and innovation arm.

No other comments or proposals were received.

The Community Development Department's rationale for not including new initiatives or new sub-grantees in its Recommended PY 2013 Action Plan are as follows:

The City has experienced the following reductions in funding since 2002:

|       | CDBG        | HOME        | ADDI      | HOPWA     | ESG       |
|-------|-------------|-------------|-----------|-----------|-----------|
| 2002: | \$8,781,000 | \$2,549,000 | 0         | \$469,000 | \$292,000 |
| 2003: | \$8,117,000 | \$2,347,573 | \$113,752 | \$486,000 | \$301,000 |
| 2004: | \$8,017,000 | \$2,347,512 | \$134,230 | \$520,000 | \$296,915 |
| 2005: | \$7,595,149 | \$2,246,287 | \$ 76,541 | \$497,000 | \$293,219 |

|       |   |             |           |           |           |
|-------|---|-------------|-----------|-----------|-----------|
| 2006: | \$6,853,637   | \$2,121,412 | \$ 38,193 | \$511,000 | \$292,138 |
| 2007: | \$6,847,776   | \$2,009,252 | \$ 38,193 | \$516,000 | \$295,584 |
| 2008: | \$6,587,112   | \$2,033,367 | \$ 15,432 | \$538,000 | \$295,046 |
| 2009: | \$6,662,347   | \$2,271,553 | 0         | \$554,848 | \$293,281 |
| 2010: | \$7,223,115   | \$2,371,553 | 0         | \$593,523 | \$291,788 |
| 2011: | \$6,021,660   | \$1,990,104 | 0         | \$586,116 | \$292,639 |
| 2012: | \$5,541,756   | \$1,191,453 | 0         | \$582,166 | \$521,460 |
| 2013: | Unavailable, estimated for planning at a 15% reduction to 2012. |             |           |           |           |

**Approximate Reduction Percentages:**

|                       |               |                |               |               |
|-----------------------|---------------|----------------|---------------|---------------|
| <b>CDBG</b>           | <b>HOME</b>   | <b>ADDI</b>    | <b>HOPWA</b>  | <b>ESG</b>    |
| <b>Since 02(-37%)</b> | <b>(-53%)</b> | <b>(-100%)</b> | <b>(+24%)</b> | <b>(+79%)</b> |

In light of these significant reductions, the Community Development Department has not included any significant new budget or program initiatives in the Mayor's Recommended PY 2013 Action Plan One Year Use of Funds.

APPENDIX T  
WRITTEN STANDARDS, POLICIES, AND PROCEDURES FOR PROVISION OF  
ESG ASSISTANCE

**City of Birmingham, Alabama**

**HEARTH Emergency**  
**Solutions Grant (H-ESG)**  
**Policies and Procedures Manual**

May 2012

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## SECTION 1: PROGRAM OVERVIEW

On May 20, 2009, President Obama signed the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009. The [HEARTH Act](#) amends and reauthorizes the McKinney-Vento Homeless Assistance Act with substantial changes, including:

- A consolidation of HUD's competitive grant programs;
- The creation of a Rural Housing Stability Assistance Program;
- A change in HUD's definition of homelessness and chronic homelessness;
- A simplified match requirement;
- An increase in prevention resources; and,
- An increase in the emphasis on performance.

The City of Birmingham administers the Homelessness Prevention and Rapid Re-Housing Program through internal staff as well as sub-grantees. One Roof, Inc. (Continuum of Care – Lead Agency) coordinates the HUD mandated Homeless Management Information System (HMIS) data collection /evaluation activities under HESG and works as a consultant to the City of Birmingham.

The ultimate goal of the HEARTH Emergency Solutions Grant is to prevent and reduce homelessness in Birmingham, Alabama. Specifically aiding those individuals and families who have been severely affected by the recent economic recessions, this funding is intended to serve persons who are homeless or would be homeless **but for this assistance.**

The City of Birmingham has leveraged an extensive history of operating a myriad of federal, state and locally funded programs, projects and services which seek to alleviate and eliminate poverty, assist low-income people to improve their lives through increased self-sufficiency. This is achieved by assisting eligible families through the effective and flexible use of available resources and strengthening the crucial involvement and support of partnerships both inside and outside of the community.

One Roof, Inc. is the local Continuum of Care (CoC) entity in the City of Birmingham that strives to establish vital connections between homeless service provider agencies and various community based supportive service agencies. This agency works to identify and fill gaps in services to the homeless and those at-risk of becoming homeless without duplicating efforts and limited resources. One Roof, Inc. operates the HUD approved and supported Homeless Management Information System, which is an electronic data collection system that facilitates the collecting of important program beneficiary data on homeless assistance and homeless prevention services.

The provision of critical HESG case management services coordinated by sub-grantees will ensure that eligible participants receive optimum access and beneficial assistance within Birmingham's established social services, homeless assistance services and support services networks. The Continuum of Care (One Roof, Inc.) will provide necessary training and certification for participating referral agencies/organizations that become a part of the HESG community-wide counseling pool. Case management services include intake, assessment, tenant counseling, mediation, legal services, credit repair, household budgeting, financial assistance, housing and relocation/stabilization.

**PROGRAM INTENT:**

The purpose of the HEARTH Emergency Solutions Grant Program (HESG) is to provide homelessness prevention assistance to households who would otherwise become homeless—many due to the economic crisis—and to provide assistance to rapidly re-house persons who are homeless as defined by the HEARTH Act (Public Law III-22).

The City of Birmingham encourages programs to serve households that are most in need of this temporary assistance and are most likely to achieve stable housing; whether subsidized or unsubsidized, after the program concludes.

**OBJECTIVES:**

The HEARTH Emergency Solutions Grant will provide funding for Street Outreach and Emergency Shelter as well as temporary financial assistance and housing relocation and stabilization services to individuals and families who are homeless or would be homeless *but for* this assistance. The funds under this program are intended to target four populations of low income persons facing housing instability:

- (1) Individuals and families who lack a fixed, regular, and adequate nighttime residence and includes a subset for an individual who resided in an emergency shelter or a place not meant for human habitation and who is exiting an institution where he or she temporarily resided;
- (2) Individuals and families who will imminently lose their primary nighttime residence;
- (3) Unaccompanied youth and families with children and youth who are defined as homeless under other federal statutes who do not otherwise qualify as homeless under this definition; and
- (4) Individuals and families who are fleeing, or are attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member.

## SECTION 2: POLICY

### ELIGIBLE ACTIVITIES:

There are five categories of eligible activities for the HESG program: Street Outreach, Emergency Shelter, Homeless Prevention, Rapid Re-housing Assistance, and Homeless Management Information Systems (HMIS) to the extent necessary to meet the new HMIS participation requirement under the McKinney-Vento Act. Generally, the intent of HESG assistance is to fund current Shelter operations and rapidly transition program participants to stability, either through their own means or through public benefits, as appropriate.

HESG assistance is not intended to provide long-term support for program participants, nor will it be able to address all of the financial and supportive services needs of households that affect housing stability. Rather, assistance should be focused on housing stabilization, linking program participants to community resources and mainstream benefits, and helping them develop a plan for preventing future housing instability.

Financial Assistance: Eligible activities are limited to:

- Short-term rental assistance (3 months)
- Medium-term rental assistance (4 to 18 months)
- Rent arrearages (up to 6 months)
- Security deposits
- Utility deposits
- Utility payments (up to 18 months including up to 6 months arrearages)
- Moving cost assistance
- Motel and hotel vouchers (up to 30 days if no appropriate shelter beds are available and subsequent rental housing has been identified but is not immediately available for move in)
- Staffing and operating costs associated with implementing eligible financial assistance activities

Housing Relocation and Stabilization Services: Assists program participants with housing stability and placement. These services include:

- Case management
- Outreach and engagement
- Landlord/Tenant Education
- Housing search and placement (includes inspections)
- Legal services

- Credit repair/Financial Counseling

Data Collection and Evaluation: Data collection is through the use of a Homeless Management Information Systems (HMIS) or a comparable client-level database.

Eligible costs include:

- Purchase of HMIS licenses
- Costs associated with data collection, entry and analysis
- Staffing associated with operation of HMIS and HMIS training
- Costs related to participating in HUD-sponsored research and evaluation as required
- Quarterly reports (w/ non HMIS elements)

#### **INELIGIBLE ACTIVITIES:**

Financial assistance or services to pay for expenses that are available through other Recovery Act programs, including child care and employment training, **ARE NOT ELIGIBLE**. Case managers should work to link program participants to these other resources. Other activities not qualified for HESG funding include but are not limited to:

- Long Term Support
- Mortgage costs and mortgage arrears
- Construction or rehabilitation
- Payment of credit card or other consumer debt
- Travel costs, Car repair or transportation costs
- Medical or dental care and medicines
- Clothing, grooming, or pet care
- Home furnishings
- Entertainment activities
- Work or education related materials
- Cash assistance to participants

Rental assistance payments **CANNOT** be made on behalf of eligible individuals or families for the same period of time and for the same cost types that are being provided through another federal, state or local housing subsidy program.

#### **ELIGIBLE HOUSEHOLDS:**

This funding is intended to serve persons who are homeless or would be homeless **BUT FOR THIS ASSISTANCE**. Households eligible for HESG-funded financial assistance and/or services may be individuals and/or families. They **MUST** meet all of the following criteria:

- A. At Risk of Homelessness: For purposes of HESG, household(s) must be at imminent risk of losing their current housing and must have identified no other subsequent housing options or resources in order to avoid homelessness.

OR

- B. Homeless – For purposes of HESG, agencies are to follow HUD’s definition of homeless, as defined by section 103 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11032), as amended by the HEARTH Act.

AND

1. Income: Household income can be no greater than 30% of Area Median Income (AMI).
2. Resources: Household **MUST** have no other existing housing options, financial resources, or other support networks identified.
3. Initial Consultation: No household can receive (or continue to receive) any assistance related to HESG funds unless they have been assessed by a HESG case manager or other authorized representative.
4. Housing Stabilization Plan: No household can receive (or continue to receive) any assistance related to HESG funds unless they have created (and are abiding by) a Housing Stabilization Plan with their HESG case manager or other authorized representative.

Screening eligible households and individuals for the Homelessness Prevention and Rapid Re-Housing Program is very important. Through a statewide standardized assessment process, case managers can best evaluate potential clients based on their current financial resources and barriers to housing.

#### **HUD PROGRAM REQUIREMENTS:**

5. **HMIS Data Collection**: All Hearth Emergency Solutions Grant services provided under HESG funding **MUST** be recorded in the Homeless Management Information System (HMIS) unless explicitly exempt. Complete and accurate data should be entered into HMIS in the week the service(s) are provided.

To assure coordination of benefits and monitoring of HESG requirements regarding eligibility and duration of benefits, appropriate inter-agency agreements and client release of information must be executed so that HMIS data may be appropriately shared for all recipients among the various HESG providers.

Sub-grantees are expected to record all HESG recipients and impacted family members

data into the HMIS including but not limited to:

- Name
- Date of birth
- Social security number
- Complete HUD/Required Universal Data elements
- Service related data

Sub-grantees will enter data into HMIS as required by HUD and The City of Birmingham. This will include the HUD-defined universal data elements, homeless status, client income and sources, Financial Assistance provided, Housing Relocation & Stabilization services provided.

Contact the HMIS administer for HESG for more information:

**2. Financial Reporting:** Sub-grantees will submit quarterly reports to the City of Birmingham in accordance to contractual obligations as well as other Quarterly and Annual Performance Reports for e-Snaps.

**3. Area Median Income:** Sub-grantees can only assist clients at or below 30% area median income and are responsible for verifying client income via third party sources, such a pay stub.

6. **Rent Reasonableness:** Sub-grantees **MUST** ensure that HESG funds used for rental assistance do not exceed the actual rental cost, which must be in compliance with HUD’s standard of “rent reasonableness.” “Rent reasonableness” means that the total rent charged, including utilities, for a unit must be reasonable in relation to the rents being charged during the same time period for comparable units in the private unassisted market and must not be in excess of rents being charged by the owner during the same time period for comparable non-luxury unassisted units.
7. **Habitability Standards:** Sub-grantees **MUST** conduct a Habitability Standards Inspection on any unit being moved in to that is receiving HESG funds for rental and/or security deposit assistance. Sub-grantees must certify that the unit has passed habitability standards before any HESG funds may be released. In addition, an annual habitability standards inspection must be conducted for any unit in which HESG funds are being used.
8. **Confidentiality:** Each sub-grantee must develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided with HESG assistance.

**7. Housing Waiver:** In cases where a sub-grantee wants to assist HESG participants to reside in housing owned by any sub-grantee, the City of Birmingham must seek a waiver

from HUD to allow the use of this housing for HESG participants. The sub-grantee must submit a letter to the City of Birmingham, who will submit a letter to the CPD Director of the local HUD office requesting a waiver for good cause, including a description of the benefit(s) to HESG participants. If HUD approves the waiver, the grantee will be notified of additional information or requirements necessary to ensure the use of housing is appropriate.

**8. Termination of Housing Assistance:** Sub-grantees may terminate assistance to a program participant who violates program requirements. Sub-grantees may also resume assistance to a program participant whose assistance was previously terminated. In terminating assistance to a program participant, sub-grantees must provide a formal process that recognizes the rights of individuals receiving assistance to due process of law. This process, at a minimum, must consist of:

- Written notice to the program participant containing a clear statement of the reasons for termination;
- A review of the decision, in which the program participant is given the opportunity to present written or oral objections before a person other than the person (or a subordinate of that person) who made or approved the termination decision; and
- Prompt written notice of the final decision to the program participant.

**9. Recordkeeping:** Each sub-grantee must keep any records and make any reports (including those pertaining to race, ethnicity, gender, and disability status data) that HUD may require within the timeframe specified.

**10. Sanctions:** If the City of Birmingham determines that a sub-grantee is not complying with the requirements of this guide, the HESG Notice or other applicable federal laws, the City of Birmingham will take appropriate actions, which may include:

- Issue a warning letter that further failure to comply with such requirements will result in a more serious sanction;
- Direct the sub-recipient to cease incurring costs with grant funds;
- Require that some or all of the grant amounts be remitted to the City of Birmingham;
- Reduce (de-obligate) the level of funds the sub-grantee would otherwise be entitled to receive; or
- Elect to make the sub-grantee ineligible for future the City of Birmingham funding.

Any grant amounts that become available to the City of Birmingham as a result of a sanction will be made available (as soon as practicable) to other private non-profit organizations located in the city limits of Birmingham, for use within the time period specified in the HUD Notice.

**11. Monitoring:** the City of Birmingham is responsible for monitoring all HESG activities, including activities that are carried out by sub-grantees, to ensure that the program requirements established by the HUD Notice and any subsequent guidance are met.

City of Birmingham staff will have responsibility for continuous monitoring of all HESG sub-grantee activities. This will be accomplished with regular site visits to sub-grantees and monthly review of all cash requests submitted by sub-grantees. Sub-grantees are expected to make available all client, financial, and program records for periodic review on a schedule established by the City of Birmingham. In addition, sub-grantees will maintain client files with all of the required documentation from HUD and the City of Birmingham. The City of Birmingham will also provide support and technical assistance, as needed.

Additional monitoring of sub-grantees may be conducted by the local HUD Office of Community Planning and Development, HUD's Office of Special Needs Assistance Programs, HUD's Office of Inspector General, HUD's Office of Fair Housing and Equal Opportunity, or any other applicable federal agency. These agencies will be monitoring HESG programs nationwide to investigate fraud and determine compliance with federal program requirements.

**ADDITIONAL FEDERAL REQUIREMENTS:**

9. **Conflicts of Interest:** With respect to the use of HESG funds to procure services, equipment, supplies or other property, nonprofit sub-grantees shall comply with 24 CFR 84.42. With respect to all other decisions involving the use of HESG funds, the following restriction shall apply: No person who is an employee, agent, consultant, officer, or elected or appointed official of the sub-grantee and who exercises or has exercised any functions or responsibilities with respect to assisted activities, or who is in a position to participate in a decision-making process or gain inside information with regard to such activities, may obtain a personal or financial interest or benefit from the activity, or have an interest in any contract, subcontract, or agreement with respect thereto, or the proceeds there under, either for himself or herself or for those with whom he or she has family or business ties, during his or her tenure or for one year thereafter.

**2. Nondiscrimination and Equal Opportunity Requirements:** Sub-grantees must comply with all applicable fair housing and civil rights requirements in 24 CFR 5.105(a). In addition, Sub-grantees must make known that HESG rental assistance and services are available to all on a nondiscriminatory basis and ensure that all citizens have equal access to information about HESG and equal access to the financial assistance and services provided under this program. Among other things, this means that each sub-grantee must take reasonable steps to ensure meaningful access to programs to persons with limited English proficiency (LEP), pursuant to Title VI of the Civil Rights Act of 1964.

**3. Affirmatively Furthering Fair Housing:** Under section 808(e)(5) of the Fair Housing Act, HUD has a statutory duty to affirmatively further fair housing. HUD requires the same of its funding recipients. Sub-grantees will have a duty to affirmatively further fair housing opportunities for classes protected under the Fair Housing Act. Protected classes include race, color, national origin, religion, sex, disability, and familial status.

**10. Lead-Based Paint Requirements**

The Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.), as amended by the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851 et seq.) and implementing regulations at 24 CFR part 35, subparts A, B, M, and R shall apply to housing occupied by families receiving assistance through HESG.

**11. Uniform Administrative Requirements:** Non-profit Sub-Grantees shall be subject to the requirements of 24 CFR part 84.

**12. Equal Participation of Religious Organizations:** Sub-grantees that are directly funded under HESG may not engage in inherently religious activities, such as worship, religious instruction, or proselytization as part of the programs or services funded under HESG. If an organization conducts such activities, the activities must be offered separately, in time or location, from the programs or services funded under HESG, and participation must be voluntary for the program participants.

**7. Lobbying and Disclosure Requirements:** The disclosure requirements and prohibitions of section 319 of the Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 1990 (31 U.S.C. 1352) (the Byrd Amendment), and implementing regulations at 24 CFR part 87, apply to HESG. Applicants must disclose, using Standard Form LLL (SF-LLL), "Disclosure of Lobbying Activities," any funds, other than federally appropriated funds, that will be or have been used to influence federal employees, members of Congress, or congressional staff regarding specific grants or contracts.

**8. Drug-Free Workplace Requirements:** The Drug-Free Workplace Act of 1988 (41 U.S.C. 701, et seq.) and HUD's implementing regulations at 24 CFR part 21 apply to HESG.

## **SECTION 3: PROCEDURES**

### **PROGRAM ADMINISTRATION:**

Any sub-grantee receiving HESG funds shall be subject to all of the requirements that apply to the grantee under the HUD Notice.

**Sub-grantees MUST:**

- Assess every program applicant for risk of imminent homelessness or verification of current homelessness, and to determine income and program eligibility.
- Use a clear documented process to determine the type, level, and duration of assistance for each program participant.
- Review and verify documents and payments to ensure compliance with HUD regulations and to avoid and prevent fraud.
- Certify eligibility at least once every 3 months for all program participants receiving rental assistance.
- Provide on-going case management or support services, as needed, to all program participants receiving rental assistance in order to transition them to independence.
- Adhere to all data collection and reporting requirements.

**MONITORING AND COMPLIANCE:**

Sub-grantees are expected to make available all client, financial and program records for periodic review on a schedule established by the City of Birmingham. In addition, Sub-grantees will maintain client files with all of the required documentation from HUD and the City of Birmingham.

**REPORTING REQUIREMENTS:**

Sub-grantees will submit quarterly reports to the City of Birmingham in accordance to contractual obligations, as well as other Quarterly and Annual Performance Reports for E-SNAPS.

Sub-Grantees will submit any additional reporting requirements by the Federal Government or the City of Birmingham, as outlined in the “Welcome Package” included with your fully-executed grant agreement.

**REALLOCATION AND RECAPTURE:**

Sub-grantees **MUST EXPEND 100%** of their funds within one year of the signing of their contract with final draw request submitted no later than 30 days from the end of the contract. The Director of Community Development may grant extensions if the need arises.

The City of Birmingham and HUD will closely track sub-grantee expenditures in order to meet requirements and allow for reallocation if sub-recipients have not spent their funds within 2 years.

The City of Birmingham reserves the right to review a sub-grantees balance of funds quarterly and reallocate unused funds (per section IV Sanctions).

**ADMINISTRATIVE COSTS:**

Payment of administrative costs is not to exceed the administrative award received.

Administrative costs may be used for accounting for the use of grant funds; preparing reports for submission to the City of Birmingham; and sub-grantee staff salaries associated with these administrative costs. Administrative costs also include training for staff who will administer the program or case managers who will serve program participants, as long as this training is directly related to learning about HESG.

Administrative costs *do not* include the costs of issuing financial assistance, providing housing relocation and stabilization services, or carrying out eligible data collection and evaluation activities, as specified above, such as sub-recipient staff salaries, costs of conducting housing inspections, and other operating costs. These costs should be included under one of the three other eligible activity categories.

**DOCUMENTATION AND FILE CONTENTS:**

If a client is found to be eligible and appropriate for the HESG program after the initial interview, certain documents must be obtained and placed in the client file.

Each file **MUST** contain:

1. Completed Intake Assessment Form
2. Income Verification
3. Homelessness/Risk Verification
4. Staff Certification of Eligibility for HESG Assistance Form (formerly Staff Affidavit)
5. Housing Stabilization Plan
6. Unit Inspection Report
7. Signed Lease Containing Client's Name(s)

The Housing Stabilization Plan is a tool that will be used to assess and develop a strategy to achieve client stability within the timeframe established by program regulations. Each sub-grantee should develop and adopt a format to be used for the Housing Stabilization Plan. This Housing Stabilization Plan should be used to actively assist clients in meeting established outcomes based upon individual client need and should be referenced, revised and updated weekly throughout a client's participation in the program.

**PROGRAM SPECIFIC REQUIREMENTS:**

**Financial Assistance:**

- Sub-grantees providing HESG Financial Assistance will only issue payments to third party vendors or landlords, no payments will be issued directly to program participants.
- Rental assistance provided may not exceed rental costs accrued over the assistance period (i.e. short-term rental assistance may not exceed rental costs accrued over a period of 3 months), and rents must meet the HUD standard of rent reasonableness.
- Providers will be expected to ensure that other resources are utilized prior to committing HESG funds for financial assistance.
- Financial assistance payments cannot be approved on behalf of eligible individuals or families for the same period of time and for the same cost types that are being provided under another federal, state or local housing subsidy program. *Mortgage assistance is specifically excluded.*
- HESG Rental assistance can only be used to pay a portion of Section 8 arrears if the client fell behind due to a lag time in the recalculation in their monthly rent contribution. A client may only receive assistance for the difference between the old rent and the new rent, not the entire arrearage. Otherwise, HESG rental assistance cannot be used for Section 8 assisted units, either past or present.
- Households receiving financial assistance will be certified for eligibility every 3 months.
- Prior to occupancy, all rented units must be inspected and pass the Habitability Standards set forth in HUD's HESG Regulations. Unit Inspection Verifications must be retained in the client's file.
- Agencies may terminate assistance to a program participant who violates program requirements, and may also resume assistance to a program participant whose assistance was previously terminated. In terminating assistance to a program participant, the sub-grantee must provide a formal process that recognizes the rights of individuals receiving assistance to due process of law. This process, at a minimum, must consist of:
  - Written notice to the program participant containing a clear statement of the reasons for termination;
  - A review of the decision, in which the program participant is given the opportunity to present written or oral objections before a person other than the person (or a subordinate of that person) who made or approved the termination decision; and
  - Prompt written notice of the final decision to the program participant.
- In cases where a sub-grantee wants to assist HESG participants to reside in housing owned by the sub-grantee, the sub-grantee may seek a waiver from HUD to allow the use of this housing for HESG participants. The sub-grantee

must submit a request letter to the City of Birmingham. The City of Birmingham will forward the waiver request to the CPD Director of the local HUD office requesting a waiver for good cause, including a description of the benefit(s) to HESG participants. If HUD approves the waiver, the sub-grantee will be notified of additional information or requirements necessary to ensure the use of the housing is appropriate.

- HESG funds may not be used to develop discharge planning programs in mainstream institutions such as hospitals, jails, or prisons. However, persons who are being discharged into homelessness within 2 weeks from publicly funded institutions are eligible to receive financial assistance or services through HESG as long as they meet the minimum program requirements.
- Hotel/Motel vouchers may only be issued if NO shelter beds are available AND subsequent rental housing has been identified but is not immediately available for move-in by participants. Documentation to this must be maintained in the client's file.

#### **Case Management:**

- Sub-grantees will arrange, coordinate, and monitor the delivery of services related to meeting the housing needs of program participants and helping them obtain housing stability.
- Component services and activities shall include:
  - Counseling; developing, securing, and coordinating services;
  - Monitoring and evaluating program participant progress;
  - Assuring that program participants' rights are protected; and developing an individualized stabilization plan, including a path to permanent housing stability.
  - Recertification of eligibility every three months for those receiving medium term financial assistance is also considered a case management activity.

#### **Housing search and placement:**

- Sub-grantees will assist individuals or families in locating, obtaining, and retaining suitable housing. Component services or activities shall include:
  - Tenant counseling;
  - Assisting individuals and families to understand leases; securing utilities; making moving arrangements; representative payee services concerning rent and utilities;
  - Mediation and outreach to property owners related to locating or retaining housing.

**Financial Counseling:**

- Agencies will assist program participants with critical skills related to household budgeting, money management, accessing a free personal credit report, and resolving personal credit issues.
- Funds may not be used to pay consumer debt of any kind.

**Legal Services:**

- Sub-grantees will offer legal services that help people stay in their housing and assist program participants with legal advice and representation in administrative or court proceedings related to tenant/landlord matters on housing issues.
- Legal services related to mortgages are not eligible.

**Maximum Duration and Amount of Assistance:**

- The maximum duration for any assistance will conform to HUD's Notice. The total period for which any program participant may receive the services under paragraph (b) of this section in reference must not exceed 24 months during any 3-year period. The limits on the assistance under this section apply to the total assistance an individual receives, either as an individual or as part of a family.
- Maximum amount of assistance will be determined on a case by case basis taking into account the parameters of local market forces both economical and social.

APPENDIX U  
ESG PERFORMANCE STANDARDS

The following Grade Scale has been used by the Continuum of Care in the past and will be used by the City of Birmingham as a general guideline for evaluating ESG funded activities. As the grant evolves, this guide will be adapted to meet the needs of the City of Birmingham in consultation with the Continuum of Care.

**Project Score Sheet:** For discussion with group meeting of Scoring and Ranking Committee

**Agency:** \_\_\_\_\_ **Name of**  
**Project:** \_\_\_\_\_

**Agency audit:** possible points\_\_1\_ **points received**\_\_\_\_\_

**HUD Monitoring:** possible points\_\_-3\_ **points received**\_\_\_\_\_

**Type of project:** possible points\_\_5\_ **points received**\_\_\_\_\_

**Ratio of services to housing:** possible points\_\_6\_ **points received**\_\_\_\_\_

**% of leveraging to total grant amount:** possible points\_\_5\_ **points received**\_\_\_\_\_

**Continuum participation:** possible points\_\_5\_ **points received**\_\_\_\_\_

**Spending on this project:** possible points\_\_1\_ **points received**\_\_\_\_\_

**Timeliness:** possible points\_\_1\_ **points received**\_\_\_\_\_

**From CAPER:** possible points\_\_\_ **points received**\_\_\_\_\_

▶ **Meets HUD broad goals:** possible points\_\_6\_ **points received**\_\_\_\_\_

▶ **Meets CoC broad goals including City10-Yr Plan:** possible points\_\_6\_\_ **points received**\_\_\_\_\_

▶ **Does this project address a gap, or, if this project were defunded, would a gap be created?**

possible points\_\_3\_ **points received**\_\_\_\_\_

▶ **Site Visit:** possible points\_\_13\_ **points received**\_\_\_\_\_

**Bonus Points:** possible points\_\_5\_ **points received**\_\_\_\_\_

**Reviewer comments:**

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**Group**

**comments:** \_\_\_\_\_

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**Membership Score:** \_\_\_\_\_ of 25    **Committee Score:** \_\_\_\_\_ of 75    **Bonus Score:**  
\_\_\_\_\_ of 5

**Final Score:** \_\_\_\_\_    **Final Ranking:** \_\_\_\_\_

The Performance Standards Grade Scale will generally evaluate based on each activity with the following outputs/outcomes in mind. These outcomes/outputs will also be adapted with the evolution of the grant through further consultation with the Continuum of Care.

| <b>Emergency Solutions Grant Performance Standards</b> |   |
|--|---|
| <b>Activity</b>  | <b>Output/Outcome</b>   |
| Street Outreach  | <b>Number of persons:</b><br>Placed in shelter or safe havens<br>With more non-cash benefits at program exit<br>Receiving case management   |
| Emergency Shelter                                      | <b>Number of persons:</b><br>Exiting to temporary/transitional housing destinations<br>Exiting to permanent housing destinations<br>Receiving case management   |
| Homelessness Prevention                                | <b>Number of persons:</b><br>Who maintained their permanent housing for 3 months<br>Exiting to permanent housing destinations<br>With higher income at program exit<br>With more non-cash benefits at |

|                         |  |
|-------------------------|--|
|                         | <p>program exit<br/>Receiving case management</p>  |
| <p>Rapid Re-Housing</p> | <p><b>Number of persons:</b><br/> Who maintained their permanent housing for 3 months<br/> Exiting to permanent housing destinations<br/> With higher income at program exit<br/> With more non-cash benefits at program exit<br/> Receiving case management</p> |

APPENDIX V  
HUD RECOMMENDED REVIEW CHECKLIST  
ANNUAL ACTION PLAN

This guidance is provided as a template for the reviews of complete plans. The submission of sections dealing with Needs Assessments, Housing Market Analysis, and Strategic Plans are not required on an annual basis. Each field office should include additional questions or clarifications that address the complexity of their local situation. This checklist has been adapted to exclude those items not required on an annual basis.

### Consolidated Plan Review Guidance

This guidance is provided as a template for the reviews of complete plans. The submission of sections dealing with Needs Assessments, Housing Market Analysis, and Strategic Plans are not required on an annual basis. Each field office should include additional questions or clarifications that address the complexity of their local situation.

Grantee: City of Birmingham

1. If a Consortia, list participating communities and asterisk the lead agency:  
\* N/A

2. Consolidated Plan covers the following programs:

CDBG          HOME          ESG          HOPWA

3. Period covered by Consolidated Plan is: 3 4 5 years.  
Also, specify the period with month beginning and year ending 7/1/2010-6/30/2015
4. Date plan due: May 17, 2013
5. Date plan received:
6. Automatic approval date (45 days of date received above): July 1, 2013
7. Are maps included (optional)? Yes No
8. Has an Executive Summary been attached (required)? Yes No
9. Did the grantee include the following tables:

Local Jurisdiction:

|   |                             |  |
|---|-----------------------------|--|
| Table 1A: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |  |
| Table 1B: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Description in Subpart III-4               |
| Table 1C: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Description in Subpart III-4               |
| Table 2A: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |  |
| Table 2B: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |  |
| Table 2C: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Description in Subpart III-4               |
| Table 3A: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Description in Executive Summary Subpart 4 |
| Table 3B: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |  |
| Table 3C: Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |  |

10. Did the grantee use the CPMP Tool? Yes No.
11. Did the grantee include one or more proposed outcomes in the Plan?  
Yes No Verification found on page Appendix J Page 189.

12. Does the plan include a Neighborhood Revitalization Strategy Area or Target Area where activities are carried out in a concentrated manner?

Yes  No  Verification found on page N/A.

If yes, identify census tracts for each NRSA and forward to Headquarters.

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**CONSULTATION PROCESS (91.100)**

1. Has the grantee consulted with continuum of care and other public/private entities that provide assisted housing, health services, and social services in developing this plan?

Yes  No  Verification found on page 8-11, 38, 49, Appendix D

Use the following checklist as a guide to determine extent of consultation process:

| Consultation                                 |                                 |                                     |                          |
|--|---------------------------------|-------------------------------------|--------------------------|
| 24CFR  | Requirement                     | Yes                                 | No                       |
| 91.100(a)(1)                                 | Housing Services                | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Social Services                 | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Fair Housing Services           | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Health Services                 | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Homeless Services               | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(a)(2)*<br>(a)(2)(iii)**<br>(a)(2)(iv) | Continuum(s) of Care            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Agencies that Discharge Persons | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | Business and Civic Leaders      | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(a)(3)***                              | Lead-based Paint                | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(a)(4)****                             | Adjacent Government             | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | State (Non-housing)             | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
|  | County (Metro. City)            | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(a)(5)                                 | Metro. Planning Agencies        | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(b)                                    | HOPWA                           | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 91.100(c)                                    | PHA Plan                        | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

- \* When addressing the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) and persons at risk of homelessness, to determine the homeless strategy and resources available to address needs of chronically homeless persons, the jurisdiction must consult with public and private agencies that address housing, health, social service, victim services, employment, or education needs of low-income individuals and families, homeless individuals and families, including homeless veterans, youth, and/or other persons with special needs, the jurisdiction must consult with the various agencies that provide services that address these needs.
- \*\* Publicly funded institutions and systems of care that may discharge persons into homelessness (such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions).
- \*\*\* Were State/Local health and child welfare agencies consulted regarding lead paint issues.

\*\*\*\*Was copy of the plan submitted to the State, and County if applicable; if an urban county, to the entitlement cities in the county.

1. Did the grantee indicate that it consulted with other organizations that provide housing and supportive services to special needs populations (including elderly persons, persons with disabilities, persons with HIV/AIDS, homeless persons)?  
Yes  No  Verification found on page 8-11, 38, 49, 52, Appendix D
2. Did the grantee consult with Continuum(s) of Care and various agencies that provide mainstream resources that can address the needs of homeless persons and persons at risk of homelessness during Consolidated Plan development?  
Yes  No  N/A  Verification found on page 8-11, 38, 49, Appendix D
3. Did the grantee consult with publicly funded institutions and systems of care that may discharge persons into homelessness?  
Yes  No  N/A  Verification found on page 8-11, 38, 49, Appendix D
4. Did the grantee consult with Public Housing Agencies during Consolidated Plan development?  
Yes  No  N/A  Verification found on page 8-11, 38, 49, Appendix D
5. Did the grantee consult with metropolitan or regional planning agencies during Consolidated Plan development?  
Yes  No  N/A  Verification found on page 8-11, 38, 49, Appendix D

**CITIZEN PARTICIPATION (91.105, AND 91.200)**

1. Is there a description of the development of the plan and efforts to broaden public participation, including the names of organizations involved in the development of the plan?  
Yes  No  Verification found on page 13-14  
**Note:** The Jurisdiction shall encourage the participation of local and regional institutions, the Continuum of Care, and other organization (including businesses, developers, non-profit organizations, philanthropic organizations, community, and faith-based organizations) in the process of developing and implementing the plan.
2. Is there a summary of the citizen participation process, and were the public hearing and comment period requirements satisfactory?  
Yes  No  Verification found on page 13-14, Appendix D, Appendix E
3. Are citizen comments included in the plan, and are the comments specifically and adequately addressed by the grantee?  
Yes  No  Verification found on page Appendix G
4. Is there a description of the lead agency or entity responsible for overseeing the development of the Consolidated Plan?  
Yes  No  Verification found on page 4-5, Appendix I

**ACTION PLAN (91.220)**

1. Has the Standard 424 Form for the applicable programs been included with the correct dollar allocations and signed by the appropriate official?  
Yes  No
2. Is the DUNS number listed?  
Yes  No
3. Did the grantee describe the geographic areas of the jurisdiction (including areas of low income and/or racial/minority concentration) in which assistance will be directed during the next year.  
Yes  No
4. Did the grantee describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.  
Yes  No

**RESOURCES**

1. Has the grantee described the Federal Resources, and private and non-Federal public resources expected to be available to address priority needs and specific objectives identified in the plan?  
Yes  No  Verification found on page 17-19
2. Did the grantee describe how HOME and/or ESG matching requirements will be satisfied?  
Yes  No  N/A  Verification found on page 19, 41

**ACTIVITIES 91.220(D)**

1.
  - a) Has the grantee described the CDBG funded activities for the program year in a complete manner, including target date for completion? **See Table 3C**  
Yes  No  Appendix J
  - b) Has the grantee described the HOME funded activities for the program year in a complete manner, including target date for completion? **See Table 3C**  
Yes  No  Appendix J
  - c) Has the grantee described the ESG funded activities for the program year in a complete manner, including target date for completion? **See Table 3C**  
Yes  No  Appendix J
  - d) Has the grantee described the HOPWA funded activities for the program year in a complete manner, including target date for completion? **See Table 3C**  
Yes  No  Appendix J
2. Does the action plan contain a summary of priorities and specific annual objectives that will be addressed during the program year?  
Yes  No  Verification found on page Executive Summary Subpart 4  
**Note:** The Jurisdiction should use summary of annual objectives as identified in Table 3A of the Consolidated Plan.
3. Do the proposed activities correspond to the priority needs identified/local specific objectives listed in the Consolidated Plan?  
Yes  No  Verification found on page Appendix J-Project Listing  
**Note:** The Jurisdiction should use priority needs as identified in Table 2A and 2B of the Consolidated Plan.

4. Are the proposed activities identified in sufficient detail, including the number and type of families that will benefit from the proposed activities and locations, so that citizens know the degree to which they may be affected?  
Yes  No  Verification found on page Appendix J-Project Listing

**Outcomes 91.220(e)**

1. Does the action plan contain outcome measures for activities in accordance with the Federal Register Notice dated March 7, 2006?  
Yes  No  Verification found on page Appendix J-Project Listing

**Expenditure Limits**

1. Has the grantee exceeded the 20% administrative cap for CDBG?  
Yes  No
2. Has the grantee exceeded the 15% public service cap for CDBG?  
Yes  No
3. Has the grantee exceeded the 10% administrative cap for HOME?  
Yes  No
4. Has the grantee met the 15% CHDO set-aside for HOME?  
Yes  No
5. Has the grantee exceeded the 3% administrative cap for HOPWA or the 7% administrative cap by project sponsors under HOPWA?  
Yes  No
6. Does the amount of ESG funds for street outreach and emergency shelter activities exceed the greater of 60% of the jurisdiction's fiscal year ESG grant or the amount of FY 2010 ESG funds committed for homeless assistance activities?  
Yes  No
- NOTE: For additional information on ESG program funds see 77FR4337.

**GEOGRAPHIC DISTRIBUTION 91.220(f)**

1. Did the grantee include a narrative, maps, or tables that identify the geographic areas in which it will direct assistance?  
Yes  No  Verification found on page Appendix I
2. Does the grantee provide a description of the areas, including areas of minority concentration, in which it will direct funds?  
Yes  No  Verification found on page Subpart III-5 and Appendix I
3. Does the grantee provide the rationale for the priorities for allocating investment geographically for each program, including within the metropolitan area (or a State's service area) for the HOPWA program?  
Yes  No  Verification found on page Subpart III-5  
If no, explain the basis for the no response:
4. Did the grantee estimate the percentage of funds it plans to dedicate to target areas?  
Yes  No  Verification found on page All programs City-Wide in scope

**AFFORDABLE HOUSING GOALS 91.220(g)**

1. Does the action plan specify one-year goals for the number of homeless, non-homeless, and special needs households to be provided affordable housing units using funds made available to the jurisdiction?

Yes  No  Verification found on page Appendix Q

**Note:** The Jurisdiction should use housing summary of goals as identified in Table 3B of the Consolidated Plan.

2. Does the action plan specify one-year goals for the number of households to be provided affordable housing units through activities that provide rental assistance, production of new units, rehabilitation of existing units, or acquisition of exiting units using funds made available to the jurisdiction?

Yes  No  Verification found on page Appendix Q

**Note:** The Jurisdiction should use housing summary of goals as identified in Table 3B of the Consolidated Plan.

**PUBLIC HOUSING 91.220(h)**

1. Does the action plan include actions that address the following, *if applicable*:

- needs of public housing, Yes  No
- public housing improvements and resident initiatives, Yes  No
- assist troubled public housing agencies. Yes  No

**HOMELESS AND OTHER SPECIAL NEEDS ACTIVITIES 91.220(i)**

1. Have one-year goals for reducing and ending homelessness been proposed?

Yes  No  Verification found on page Appendix J

2. Have one year goals and specific action steps for reducing and ending homelessness been proposed to (i) reach out to homeless persons (especially unsheltered persons) and assessing their individual needs; (ii) address emergency shelter and transitional housing needs; (iii) help homeless persons (especially persons that are chronically homeless, families with children, veterans, and unaccompanied youth) make the transition to permanent housing and independent living?

Yes  No  Verification found on page Appendix J

3. Have one-year goals and action steps for reducing and ending homeless been proposed to help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to be discharged from publicly funded institutions and systems of care or those receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs?

Yes  No  Verification found on page Subpart III-8 28-33

4. Has the jurisdiction specified activities it plans to undertake to address the housing and supportive service needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, person with HIV/AIDS, persons with alcohol or other substance abuse problems)?

Yes  No  Verification found on page Appendix O item 3

**OTHER ACTIONS 91.220(k)**

1. Does the Action Plan include other proposed actions which will address the following, **if applicable**:

- foster and maintain affordable housing, Yes  No
- public housing improvements and resident initiatives, Yes  No
- evaluation and reduction of lead-based hazards, Yes  No
- reducing the number of persons below the poverty line, Yes  No
- developing institutional structures/enhancing coordination between housing and services agencies, Yes  No .

**PROGRAM SPECIFIC REQUIREMENTS 91.220(I)**

1. CDBG

- a) Does the total amount of funds allocated equal the amount of the grant plus program income and carryover funds? Yes  No
- b) Does the action plan identify the amount of CDBG funds that will be used for activities that benefit persons of low- and moderate-income? Yes  No
- c) Does the action plan identify all activities assisted through the Section 108 Loan Guarantee program? Yes  No

2. HOME

- a) Did grantee (PJ) describe other forms of investment? **See Section 92.205**  
Yes  No  N/A   
If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254?  
Yes  No  N/A
- b) If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, did they state its refinancing guidelines required under 24 CFR 92.206(b)?  
Yes  No  N/A
- c) Resale Provisions -- For homeownership activities, did the participating jurisdiction must describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).  
Yes  No
- d) HOME Tenant-Based Rental Assistance -- Did the participating jurisdiction must describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program?  
Yes  No  N/A 
  - a. If the tenant based rental assistance program is targeted to or provides a preference for a special needs group, that group must be identified in the Consolidated Plan as having an unmet need and show the preference is needed to narrow the gap in benefits and services received by this population.

If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), did the jurisdiction describe these forms of investment?

Yes  No  N/A

- e) Did the jurisdiction describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units?  
Yes  No
- f) Did the jurisdiction describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction?  
Yes  No
- g) If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, did it state its financing guidelines required under 24 CFR 92.206(b)?  
Yes  No

1. American Dream Downpayment Initiative **(N/A: No ADDI Program for 2013)**

- a. If the jurisdiction planned to use American Dream Downpayment Initiative (ADDI) funds to increase access to homeownership, did it provide the following information:
  - i. description of the planned use of the ADDI funds?  
Yes  No
  - ii. plan for conducting targeted outreach to residents and tenants of public and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide downpayment assistance for such residents, tenants, and families? Yes  No
  - iii. a description of the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers?  
Yes  No

3. HOPWA

- a) Does the action plan specify one-year goals for the number of low-income households to be provided affordable housing using HOPWA funds for short-term rent, mortgage, and utility payments to prevent homelessness; tenant-based rental assistance, units provided in housing facilities operated with HOPWA funds? Yes  No  Verification found on page Subpart III-14 pages 53-68
- b) Does the action plan identify the method for selecting project sponsors (including providing full access to grassroots faith-based and other community organizations)?  
Yes  No  Verification found on page Subpart III-14 page 53

4. ESG

- a) Does the action plan include written standards for providing ESG assistance?  
Yes  No  Verification found on page Appendix T

- b) Does the action plan identify the process for making awards and how the jurisdiction intends to make its allocation available to nonprofit organizations, and in the case of urban counties, funding to participating units of local government?  
 Yes  No  Verification found on page Subpart III-13C page 50-51
- c) Does the action plan describe the performance standards for evaluating ESG activities?  
 Yes  No  Verification found on page Appendix U
- d) Does the action plan describe consultation with each Continuum of Care that serves the jurisdiction in determining how to allocate ESG funds , develop performance standards, evaluate outcomes of activities assisted by ESG funds, and develop funding, policies, and procedures for the administration and operation of the HMIS?  
 Yes  No  Verification found on page Subpart III-13A page 49

**MONITORING (91.230)**

1. Does the grantee describe the standards and procedures that it will use to monitor activities carried out in furtherance of the plan?  
 Yes  No  Verification found on page Subpart III-15
2. Does the Plan describe actions to be taken by the grantee to monitor its performance in meeting its goals and objectives set forth in it's Consolidated Plan?  
 Yes  No  Verification found on page Subpart III-15 Appendix L
3. Does the Plan describe steps/actions being taken to insure compliance with program requirements, including requirements involving the timeliness of expenditures, minority business outreach and comprehensive planning requirements?  
 Yes  No  Verification found on page Subpart III-15

**Note:** If timeliness of expenditures is an issue, please make sure the grant award letter includes language regarding appropriate actions the grantee should take to remedy this problem.

4. Does the Plan describe steps/actions it will use to ensure long-term compliance with housing codes, including any actions or on-site inspections it plans to undertake during the program year?  
 Yes  No  Verification found on page Subpart III-16

**Note:** For example, a HOME program grantee should identify steps it will take to review affordable housing projects it has funded to insure compliance with all HOME program requirements.

5. Does the Plan describe actions to be taken by the grantee to monitor its

subrecipients, (including sponsors or administering agents)?  
Yes  No  Verification found on page Subpart III-15 page 69

**HUD APPROVAL ACTION**

The regulations at Section 91.500(b) state that HUD will approve or disapprove a plan or a portion of a plan for the three following reasons:

- 1) if it is inconsistent with the purposes of NAHA;
- 2) if it is substantially incomplete; and/or
- 3) if certifications are not satisfactory to the Secretary
- 4) if does not include description of manner in which unit of local government or state will provide financial or other assistance to troubled public housing agencies.

Please use the following to determine approval or disapproval:

**CONSISTENCY WITH NAHA**

1. Is the Plan inconsistent with the purposes of NAHA?

Yes  No

If the Plan is inconsistent with NAHA, set forth the basis of that determination by using the following as a guide:

- Does the Plan provide assistance to help families, not owning a home, to save for a down-payment for the purchase of a home.
- Does the Plan provide assistance to retain, where feasible, as housing affordable to low income families, those dwelling units provided for such purpose with federal assistance.
- Does the Plan provide assistance to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of housing affordable to low- and moderate-income families.
- Does the Plan provide assistance to expand and improve federal rental assistance for very low-income families.
- Does the Plan provide assistance to increase the supply of supportive housing, which combines structural features and services needed to enable persons with special needs to live with dignity and independence.

*Substantially Incomplete*

1. Is the Plan (including any corrective actions taken at HUD's request during HUD's review of the plan) substantially incomplete?

Yes  No

If the Plan is substantially incomplete, set forth the basis of that determination by using the following as a guide:

- The Plan was developed without the required citizen participation or the required consultation.

- The Plan fails to satisfy all the required elements in the regulations.

**AFFIRMATIVELY FURTHERING FAIR HOUSING**

1. Is the Certification to Affirmatively Further Fair Housing satisfactory to the Secretary?

Yes  No

If the Certification is not satisfactory, set forth the basis of that determination by using the following as a guide:

- Disregard of regulatory requirements to conduct an analysis of impediments to fair housing choice, take appropriate actions to address identified impediments, and maintain adequate records on the steps taken to affirmatively further fair housing in the jurisdiction.
- Lack of action taken on outstanding findings regarding performance under affirmatively furthering fair housing certification requirements of the Consolidated Plan or the Community Development Block Grant Program.

**CERTIFICATIONS (91.225)**

1. Are the general and specific certifications for each program funded complete and accurate, where applicable:

**Note:** Consortia, please refer to 91.425  
State, please refer to 91.325

**General:**

- |  |   |                             |
|--|---|-----------------------------|
| (1) Affirmatively furthering fair housing: | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation Plan: | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free workplace:                   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying                          | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of Jurisdiction              | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with Plan                  | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Acquisition and relocation             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Section 3                              | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

**CDBG: \*\***

- |   |   |                             |
|---|---|-----------------------------|
| (1) Citizen Participation                       | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Community Development Plan                  | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Following Plan                              | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Use of funds                                | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Excessive Force                             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Compliance with anti-discrimination law     | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Compliance with lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Compliance with laws                        | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

**ESG:**

- |                                       |   |                             |
|---------------------------------------|---|-----------------------------|
| (1) Rehab Not less than 10-years      | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Conversion not less than 10 years | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Not less than 3-years             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Service Provision                 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- (5) Safe and Sanitary Yes  No
- (6) Supportive Services Yes  No
- (7) Match Requirements Yes  No
- (8) Confidentiality Yes  No
- (9) Employing or involving the homeless Yes  No
- (10) Consolidated Plan compliance Yes  No
- (11) Discharge policy Yes  No

**HOME**

- (1) TBRA is consistent w/Plan Yes  No
- (2) Use for eligible activities Yes  No
- (3) Monitor for subsidy layering Yes  No

**HOPWA:**

- (1) Meet urgent needs Yes  No
- (2) 10- or 3-year operation Yes  No

File Name: 5-17-13 AP PY 2013