

**FINAL SUBSTANTIAL AMENDMENT TO
ACTION PLAN-ONE YEAR USE OF FUNDS
PY 2011 (JULY 1, 2011-JUNE 30, 2012)
HUD-CPD FORMULA PROGRAMS
CDBG, ESG, HOME, HOPWA**

**CITY OF BIRMINGHAM, ALABAMA
DEPARTMENT OF COMMUNITY DEVELOPMENT
JAMES F. FENSTERMAKER, DIRECTOR**

August 9, 2011

**FINAL SUBSTANTIAL AMENDMENT TO PY 2011 ACTION PLAN-ONE YEAR USE
OF FUNDS
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PART I

PROPOSED SUBSTANTIAL AMENDMENT TO THE CONSOLIDATED PLAN PY 2011 ACTION PLAN

CITY OF BIRMINGHAM, ALABAMA

In accordance with 24 CFR Part 91, as amended, the City of Birmingham, Alabama (the City) is publishing a summary of a Proposed Substantial Amendments to its Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) Action Plan One Year Use Of Funds, for citizen comment for a period of at least thirty (30) calendar days from July 5, 2011. The Proposed Substantial Amendments are published in order to afford affected citizens an opportunity to examine its contents and to submit comments relating thereto.

I. BACKGROUND:

In 1995 the U.S. Department of Housing & Urban Development (HUD) introduced a consolidated application process involving four HUD Community Planning and Development (CPD) formula programs: Community Development Block Grants (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). This process replaced at that time all current HUD-CPD planning and application requirements with a single submission, and satisfied the minimum statutory requirements for the four referenced HUD-CPD formula programs.

In summary, the Consolidated Plan actually serves four separate, but integrated, functions. The Consolidated Plan is 1) A planning document for the City, which builds on a participatory process; 2) An application for federal funds under HUD's formula grant programs referenced above; 3) A strategy to be followed in carrying out HUD programs, and lastly; 4) An action plan that provides a basis for assessing performance.

The City's current Five Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015 and contains six basic components: (1) housing and homeless needs assessment, (2) housing market analysis, (3) strategies and priority needs and objectives, (4) action plan, (5) certifications, (6) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

Copies of the City's PY 2010-2015 Consolidated Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

II. PY 2011 ACTION PLAN:

The City's Proposed Action Plan is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes proposed activities for Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) to address the needs identified in the City's PY 2010 thru 2015 Five Year Consolidated Plan. The Action Plan explains where the assistance will be directed by geographic area during PY 2011.

The Action Plan also includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other proposed actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

Copies of the PY 2011 Action Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

III. CONSOLIDATED PLAN AMENDMENT POLICY:

In accordance with the City's (HUD) Approved Consolidated Plan and Citizen Participation Plan, the City shall amend its Consolidated Plan Statement whenever it decides not to carry out an activity described in the Plan, or decides to carry out an activity not previously described or decides to substantially change the purpose, scope, location beneficiaries, or budgeted dollar amount of an activity. The amendment of a budgeted dollar amount of an activity in an existing Action Plan by more than 25 percent, plus or minus, shall be considered to be a substantial change for purposes of requiring a written amendment.

Prior to amending its Consolidated Plan, the City shall provide citizens with reasonable notice of, and opportunity to comment on such proposed changes in its use of funds. The City will consider any such comments, and if the City deems appropriate, modify the proposed changes. The city will make available to the public, and shall submit to HUD, a description of any changes adopted.

Additionally, the City will provide a period, not less than thirty (30) days, to receive comments, written or oral, on the substantial amendment prior to its adoption. A summary of comments and views are attached to the substantial amendment of the Consolidated Plan.

IV. PROPOSED SUBSTANTIAL AMENDMENTS TO PY 2011 ACTION PLAN:

The City proposes the following substantial amendment to its PY 2011 Action Plan:

V. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):

Float Loan Program:

The City proposed the increase of funding for the Float Loan Program by \$500,000.00 from its previously authorized funding level of \$500,000.00 to an amended level of \$1,000,000.00. This proposed adjusted program is described below:

PROJECT: INTERIM FLOAT LOAN PROGRAM- ECONOMIC DEVELOPMENT: (570.203)/HISTORIC PRESERVATION (570.202(d)): \$1,000,000

Project ID/Local ID 4; Project Title: Interim Float Loan Program; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203-Special Economic Development Activities and 570.202(d)- Historic Preservation; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Sub-recipient: N/A; Location: City Wide; HUD Matrix Code:18A-ED Direct Financial Assistance to For-Profits; Accomplishments: 2 Businesses To Be assisted; Start Date: 7/1/2011; Completion Date: 6/30/2012; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

Float Loan Activities & Description:

In accordance with 24 CFR 570.301 the City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the “float” for purposes of this section and the action plan. The City intends to use its “float” to provide support for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for low and moderate income persons.

The City has not yet decided on the specific location of the float funded activities to be undertaken. For activities for which the City has not yet decided on a specific location, such as when the grantee is allocating an amount of funds to be used for making loans or grants to businesses or for residential rehabilitation, 570.301(a) provides that the description in the action plan or any amendment shall identify who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided. Accordingly, the following information is provided:

General Area Location:

The City will undertake float loans on a City Wide basis under the following Float Loan Program areas:

*** City Center Float Loan Program:**

Location: City Center Master Plan Area; I-65 to the West; Highway 31 to the East; 13th Avenue South to the South; and 12th Avenue North to the North.

*** Neighborhood Commercial Revitalization Float Loan Program:**

Location: City Wide

Who May Apply: Eligible private for profit businesses or other entities who intend to undertake eligible Special Economic Development Activities as authorized and described at 24 CFR 570.203 or eligible Historic Preservation Activities outlined at 24 CFR 570.202(d) and who meet the following float loan application threshold requirements:

Float Loan application threshold requirements:

The minimum threshold application requirements for the CDBG Float Loan Program include, but are not limited to, the following:

Float Capacity: Is an estimate of the total CDBG Float Funding available to the City. As CDBG program income is received float capacity goes up; as CDBG expenditures are incurred for budgeted activities float capacity goes down. The City's present float capacity is approximately \$1 million. The City will consider no float funded activities or applications that may cause it to exceed its estimated float capacity.

Minimum Loan Amount and Maximum Loan Term: Because of the high cost of underwriting and processing loans, HUD guidelines recommend that the minimum commercial float loan should be at least \$100,000.00. The maximum term of any CDBG float loan is 30 months (2.5 years).

Job Creation Requirements: Applicants must demonstrate the ability to create or retain at least one full-time equivalent, permanent job per \$35,000.00 in the aggregate and/or \$50,000.00 per project of CDBG funds used during the term of the loan. At least 51 percent of the jobs must be held by, or made available to, low and moderate income persons as defined by HUD.

Irrevocable Letter Of Credit Requirements: The City hereby declares that a letter of intent from a commercial lender must accompany the application which states that upon approval of assistance by the City of Birmingham a direct pay irrevocable letter of credit for the full amount of the float loan principal and interest will be issued in favor of the City. To qualify for this purpose, such letter of credit must be unconditionally available to the City in the amount of any loan principal and/or interest approved for the full term of the loan. This requirement is non-negotiable.

1. Application Information: Upon meeting minimum threshold requirements, applicants may obtain an application which includes all HUD required guidelines for evaluating and selecting economic development projects. Applications for assistance are accepted Monday thru Friday at the Office of Operation New Birmingham, 505 20th Street, North, Suite 150; Birmingham, Al 35203; phone 324-8797 or at the offices of Main Street Birmingham; One 55th Place South; Birmingham, AL 35232; phone 595-0562.

2. Selection Criteria and Approval Process: All applications will be reviewed in accordance with the CDBG underwriting guidelines listed in Appendix A to 24 CFR Part 570 as well as the guidelines outlined at 570.209 copies of which are available for inspection in the City's Community Development Department. Additionally, all applications must be approved by the Mayor, Birmingham City Council and U.S. Department of Housing and Urban Development prior to the disbursement of funds.

Float Loan Program Income: In accordance with 570.301(b) (3), unlike other projected program income, the full amount of income expected to be generated by a float-funded activity must be shown as a source of program income in the action plan containing the activity, whether or not some or all of the income is expected to be received in a future program year. The City has reflected the full

amount of program income expected to be generated by float loan activities as a source of income in subpart III-2 Federal Resources of the Action Plan and expects all of the identified float loan program income to be received in a future program year. Also, in accordance with 570.301(b)(5) all float loan program income expected to be received from a float-funded activity which was shown in a prior year Action Plan has been identified in this Action Plan in subpart III-2 Federal Resources of the Action Plan. The planned use of said program income was described in Subpart III-2 in all Action Plans submitted by the City within 30 months (the maximum float loan term) preceding the submission of this Action Plan. All expected sources of funds including expected float loan program income were fully budgeted for the program purposes described in HUD Table 3C-Summary Project listing of those Action Plans.

Source of CDBG Funds:

Due to the nature of Float Loan Program Income reporting, the following adjustment is proposed to be made to the CDBG Budget thereby balancing sources and uses of CDBG funds:

Current PY 2011 Budget:

Community Development Block Grant Program:

| | |
|--|-------------------|
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>500,000.00</u> |
| | \$8,500,803.50 |

Proposed Amended PY 2011 Budget:

Community Development Block Grant Program:

| | |
|--|---------------------|
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>1,000,000.00</u> |
| | \$9,000,803.50 |

CITIZEN COMMENT PERIOD & PUBLIC HEARING:

The City encourages citizens to participate in the development of the City's Final Substantial Amendment to its PY 2011 Action Plan described herein regarding its proposed use of CDBG funding and accepted written comments for a period of at least thirty (30) calendar days from July 5, 2011. Copies of the City's Proposed Substantial Amendment are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours and a copy is posted on the City's website at www.birminghamal.gov under the Community Development Department.

The City's Community Development Department will conduct a public hearing on Thursday, July 21, 2011 at 9:30 a.m. the Birmingham City Council Chamber, 710 North 20th Street, 3rd Floor City Hall; Birmingham, Alabama. The purpose of the hearing will be to obtain comments regarding the proposed use of PY 2011 Program Funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments received will be considered in the development of the City's Final PY 2011 Substantial Amendment and submission to HUD.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the referenced address no later than 48 hours prior to the scheduled hearing dates. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

Written comments regarding the City's Proposed PY 2011 Substantial Amendment should be submitted to James F. Fenstermaker, Director; Community Development Department at the address referenced herein. All written comments must be received at the referenced address no later than Friday, August 5, 2011 by 5:00 p.m. to be considered in the development of the City's Final PY 2011 Action Plan Substantial Amendment. No comments submitted by e-mail or fax transmission will be accepted or considered.

James F. Fenstermaker, Director
Department of Community Development
710 North 20th Street
10th Floor City Hall
Birmingham, Alabama 35203

File name: PY 2011 SUBSTANTIAL AMENDMENT-PROPOSED

PART II

FINAL SUBSTANTIAL AMENDMENT TO THE CONSOLIDATED PLAN PY 2011 ACTION PLAN

CITY OF BIRMINGHAM, ALABAMA

In accordance with 24 CFR Part 91, as amended, the City of Birmingham, Alabama (the City) published a summary of a Proposed Substantial Amendments to its Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) Action Plan One Year Use Of Funds, for citizen comment for a period of at least thirty (30) calendar days from July 5, 2011. The Proposed Substantial Amendments were published in order to afford affected citizens an opportunity to examine its contents and to submit comments relating thereto.

I. BACKGROUND:

In 1995 the U.S. Department of Housing & Urban Development (HUD) introduced a consolidated application process involving four HUD Community Planning and Development (CPD) formula programs: Community Development Block Grants (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). This process replaced at that time all current HUD-CPD planning and application requirements with a single submission, and satisfied the minimum statutory requirements for the four referenced HUD-CPD formula programs.

In summary, the Consolidated Plan actually serves four separate, but integrated, functions. The Consolidated Plan is 1) A planning document for the City, which builds on a participatory process; 2) An application for federal funds under HUD's formula grant programs referenced above; 3) A strategy to be followed in carrying out HUD programs, and lastly; 4) An action plan that provides a basis for assessing performance.

The City's current Five Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015 and contains six basic components: (1) housing and homeless needs assessment, (2) housing market analysis, (3) strategies and priority needs and objectives, (4) action plan, (5) certifications, (6) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

Copies of the City's PY 2010-2015 Consolidated Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

II. PY 2011 ACTION PLAN:

The City's Action Plan is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes activities for Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) to address the needs identified in the City's PY 2010 thru 2015 Five Year Consolidated Plan. The Action Plan explains where the assistance will be directed by geographic area during PY 2011.

The Action Plan also includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other proposed actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

Copies of the PY 2011 Action Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

III. CONSOLIDATED PLAN AMENDMENT POLICY:

In accordance with the City's (HUD) Approved Consolidated Plan and Citizen Participation Plan, the City shall amend its Consolidated Plan Statement whenever it decides not to carry out an activity described in the Plan, or decides to carry out an activity not previously described or decides to substantially change the purpose, scope, location beneficiaries, or budgeted dollar amount of an activity. The amendment of a budgeted dollar amount of an activity in an existing Action Plan by more than 25 percent, plus or minus, shall be considered to be a substantial change for purposes of requiring a written amendment.

Prior to amending its Consolidated Plan, the City shall provide citizens with reasonable notice of, and opportunity to comment on such proposed changes in its use of funds. The City will consider any such comments, and if the City deems appropriate, modify the proposed changes. The city will make available to the public, and shall submit to HUD, a description of any changes adopted.

Additionally, the City will provide a period, not less than thirty (30) days, to receive comments, written or oral, on the substantial amendment prior to its adoption. A summary of comments and views are attached to the substantial amendment of the Consolidated Plan.

IV. FINAL SUBSTANTIAL AMENDMENTS TO PY 2011 ACTION PLAN:

The City adopts the following substantial amendment to its PY 2011 Action Plan:

A. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):

Float Loan Program:

The City increased funding for the Float Loan Program by \$500,000.00 from its previously authorized funding level of \$500,000.00 to an amended level of \$1,000,000.00. This adjusted program is described below:

PROJECT: INTERIM FLOAT LOAN PROGRAM- ECONOMIC DEVELOPMENT: (570.203)/HISTORIC PRESERVATION (570.202(d)): \$1,000,000

Project ID/Local ID 4; Project Title: Interim Float Loan Program; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203-Special Economic Development Activities and 570.202(d)- Historic Preservation; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Sub-recipient: N/A; Location: City Wide; HUD Matrix Code: 18A-ED Direct Financial Assistance to For-Profits; Accomplishments: 2 Businesses To Be assisted; Start Date: 7/1/2011; Completion Date: 6/30/2012; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

Float Loan Activities & Description:

In accordance with 24 CFR 570.301 the City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the “float” for purposes of this section and the action plan. The City intends to use its “float” to provide support for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for low and moderate income persons.

The City has not yet decided on the specific location of the float funded activities to be undertaken. For activities for which the City has not yet decided on a specific location, such as when the grantee is allocating an amount of funds to be used for making loans or grants to businesses or for residential rehabilitation, 570.301(a) provides that the description in the action plan or any amendment shall identify who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided. Accordingly, the following information is provided:

General Area Location:

The City will undertake float loans on a City Wide basis under the following Float Loan Program areas:

*** City Center Float Loan Program:**

Location: City Center Master Plan Area; I-65 to the West; Highway 31 to the East; 13th Avenue South to the South; and 12th Avenue North to the North.

*** Neighborhood Commercial Revitalization Float Loan Program:**

Location: City Wide

Who May Apply: Eligible private for profit businesses or other entities who intend to undertake eligible Special Economic Development Activities as authorized and described at 24 CFR 570.203 or

eligible Historic Preservation Activities outlined at 24 CFR 570.202(d) and who meet the following float loan application threshold requirements:

Float Loan application threshold requirements:

The minimum threshold application requirements for the CDBG Float Loan Program include, but are not limited to, the following:

Float Capacity: Is an estimate of the total CDBG Float Funding available to the City. As CDBG program income is received float capacity goes up; as CDBG expenditures are incurred for budgeted activities float capacity goes down. The City's present float capacity is approximately \$1 million. The City will consider no float funded activities or applications that may cause it to exceed its estimated float capacity.

Minimum Loan Amount and Maximum Loan Term: Because of the high cost of underwriting and processing loans, HUD guidelines recommend that the minimum commercial float loan should be at least \$100,000.00. The maximum term of any CDBG float loan is 30 months (2.5 years).

Job Creation Requirements: Applicants must demonstrate the ability to create or retain at least one full-time equivalent, permanent job per \$35,000.00 in the aggregate and/or \$50,000.00 per project of CDBG funds used during the term of the loan. At least 51 percent of the jobs must be held by, or made available to, low and moderate income persons as defined by HUD.

Irrevocable Letter Of Credit Requirements: The City hereby declares that a letter of intent from a commercial lender must accompany the application which states that upon approval of assistance by the City of Birmingham a direct pay irrevocable letter of credit for the full amount of the float loan principal and interest will be issued in favor of the City. To qualify for this purpose, such letter of credit must be unconditionally available to the City in the amount of any loan principal and/or interest approved for the full term of the loan. This requirement is non-negotiable.

1. Application Information: Upon meeting minimum threshold requirements, applicants may obtain an application which includes all HUD required guidelines for evaluating and selecting economic development projects. Applications for assistance are accepted Monday thru Friday at the Office of Operation New Birmingham, 505 20th Street, North, Suite 150; Birmingham, Al 35203; phone 324-8797 or at the offices of Main Street Birmingham; One 55th Place South; Birmingham, AL 35232; phone 595-0562.

2. Selection Criteria and Approval Process: All applications will be reviewed in accordance with the CDBG underwriting guidelines listed in Appendix A to 24 CFR Part 570 as well as the guidelines outlined at 570.209 copies of which are available for inspection in the City's Community Development Department. Additionally, all applications must be approved by the Mayor, Birmingham City Council and U.S. Department of Housing and Urban Development prior to the disbursement of funds.

Float Loan Program Income: In accordance with 570.301(b) (3), unlike other projected program income, the full amount of income expected to be generated by a float-funded activity must be shown as a source of program income in the action plan containing the activity, whether or not some or all of the income is expected to be received in a future program year. The City has reflected the full amount of program income expected to be generated by float loan activities as a source of income in subpart III-2 Federal Resources of the Action Plan and expects all of the identified float loan

program income to be received in a future program year. Also, in accordance with 570.301(b)(5) all float loan program income expected to be received from a float-funded activity which was shown in a prior year Action Plan has been identified in this Action Plan in subpart III-2 Federal Resources of the Action Plan. The planned use of said program income was described in Subpart III-2 in all Action Plans submitted by the City within 30 months (the maximum float loan term) preceding the submission of this Action Plan. All expected sources of funds including expected float loan program income were fully budgeted for the program purposes described in HUD Table 3C-Summary Project listing of those Action Plans.

Source of CDBG Funds:

Due to the nature of Float Loan Program Income reporting, the following adjustment is to be made to the CDBG Budget thereby balancing sources and uses of CDBG funds:

Current PY 2011 Budget:

| | |
|--|-------------------|
| Community Development Block Grant Program: | |
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>500,000.00</u> |
| | \$8,500,803.50 |

Proposed Amended PY 2011 Budget:

| | |
|--|---------------------|
| Community Development Block Grant Program: | |
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>1,000,000.00</u> |
| | \$9,000,803.50 |

CITIZEN COMMENT PERIOD & PUBLIC HEARING:

The City encouraged citizens to participate in the development of the City's Final Substantial Amendment to its PY 2011 Action Plan described herein regarding its proposed use of CDBG funding and accepted written comments for a period of at least thirty (30) calendar days from July 5, 2011. Copies of the City's Final Substantial Amendment are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours and a copy is posted on the City's website at www.birminghamal.gov under the Community Development Department.

The City's Community Development Department conducted a public hearing on Thursday, July 21, 2011 at 9:30 a.m. the Birmingham City Council Chamber, 710 North 20th Street, 3rd Floor

City Hall; Birmingham, Alabama. The purpose of the hearing was to obtain comments regarding the proposed use of PY 2011 Program Funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments received were considered in the development of the City's Final PY 2011 Substantial Amendment and submission to HUD.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the referenced address no later than 48 hours prior to the scheduled hearing dates. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

Written comments regarding the City's Proposed PY 2011 Substantial Amendment should have been submitted to James F. Fenstermaker, Director; Community Development Department at the address referenced herein. All written comments must have been received at the referenced address no later than Friday, August 5, 2011 by 5:00 p.m. to be considered in the development of the City's Final PY 2011 Action Plan Substantial Amendment. No comments submitted by e-mail or fax transmission were accepted or considered.

James F. Fenstermaker, Director
Department of Community Development
710 North 20th Street
10th Floor City Hall
Birmingham, Alabama 35203

File name: PY 2011 SUBSTANTIAL AMENDMENT-FINAL

APPENDIX A

PROPOSED SUBSTANTIAL AMENDMENT TO THE CONSOLIDATED PLAN PY 2011 ACTION PLAN 30 DAY COMMENT PERIOD

CITY OF BIRMINGHAM, ALABAMA

In accordance with 24 CFR Part 91, as amended, the City of Birmingham, Alabama (the City) is publishing a summary of a Proposed Substantial Amendments to its Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) Action Plan One Year Use Of Funds, for citizen comment for a period of at least thirty (30) calendar days from July 5, 2011. The Proposed Substantial Amendments are published in order to afford affected citizens an opportunity to examine its contents and to submit comments relating thereto.

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In summary, the Consolidated Plan actually serves four separate, but integrated, functions. The Consolidated Plan is 1) A planning document for the City, which builds on a participatory process; 2) An application for federal funds under HUD's formula grant programs referenced above; 3) A strategy to be followed in carrying out HUD programs, and lastly; 4) An action plan that provides a basis for assessing performance.

The City's current Five Year Consolidated Plan covers the period July 1, 2010 thru June 30, 2015 and contains six basic components: (1) housing and homeless needs assessment, (2) housing market analysis, (3) strategies and priority needs and objectives, (4) action plan, (5) certifications, (6) monitoring. The action plan and certification portions of the document must be submitted annually to HUD for approval.

Copies of the City's PY 2010-2015 Consolidated Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

II. PY 2011 ACTION PLAN:

The City's Proposed Action Plan is an annual description of the City's Federal and other resources that are expected to be available to address its priority needs and how the Federal funds will leverage other resources. The description includes proposed activities for Program Year (PY) 2011 (July 1, 2011 thru June 30, 2012) to address the needs identified in the City's PY 2010 thru 2015 Five Year Consolidated Plan. The Action Plan explains where the assistance will be directed by geographic area during PY 2011.

The Action Plan also includes narrative, maps and tables which identify the geographic areas in which it will direct assistance, a description of homeless and other special needs activities, as well as a description of other proposed actions to foster affordable housing, public housing improvements and resident initiatives, evaluation and reduction of lead-based hazards, reducing the number of persons below the poverty line, development of the City's institutional structure, efforts to enhancing coordination between housing and service agencies, and assistance to public housing.

Copies of the PY 2011 Action Plan are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours. Copies are also available for inspection on the City's website at www.birminghamal.gov.

III. CONSOLIDATED PLAN AMENDMENT POLICY:

In accordance with the City's (HUD) Approved Consolidated Plan and Citizen Participation Plan, the City shall amend its Consolidated Plan Statement whenever it decides not to carry out an activity described in the Plan, or decides to carry out an activity not previously described or decides to substantially change the purpose, scope, location beneficiaries, or budgeted dollar amount of an activity. The amendment of a budgeted dollar amount of an activity in an existing Action Plan by more than 25 percent, plus or minus, shall be considered to be a substantial change for purposes of requiring a written amendment.

Prior to amending its Consolidated Plan, the City shall provide citizens with reasonable notice of, and opportunity to comment on such proposed changes in its use of funds. The City will consider any such comments, and if the City deems appropriate, modify the proposed changes. The city will make available to the public, and shall submit to HUD, a description of any changes adopted.

Additionally, the City will provide a period, not less than thirty (30) days, to receive comments, written or oral, on the substantial amendment prior to its adoption. A summary of comments and views are attached to the substantial amendment of the Consolidated Plan.

IV. PROPOSED SUBSTANTIAL AMENDMENTS TO PY 2011 ACTION PLAN:

The City proposes the following substantial amendment to its PY 2011 Action Plan:

V. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):

Float Loan Program:

The City proposed the increase of funding for the Float Loan Program by **\$500,000.00** from its previously authorized funding level of **\$500,000.00** to an amended level of **\$1,000,000.00**. This proposed adjusted program is described below:

PROJECT: INTERIM FLOAT LOAN PROGRAM- ECONOMIC DEVELOPMENT: (570.203)/HISTORIC PRESERVATION (570.202(d)): \$1,000,000

Project ID/Local ID 4; Project Title: Interim Float Loan Program; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203-Special Economic Development Activities and 570.202(d)- Historic Preservation; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Sub-recipient: N/A; Location: City Wide; HUD Matrix Code:18A-ED Direct Financial Assistance to For-Profits; Accomplishments: 2 Businesses To Be assisted; Start Date: 7/1/2011; Completion Date: 6/30/2012; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

Float Loan Activities & Description:

In accordance with 24 CFR 570.301 the City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the "float" for purposes of this section and the action plan. The City intends to use its "float" to provide support for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for low and moderate income persons.

The City has not yet decided on the specific location of the float funded activities to be undertaken. For activities for which the City has not yet decided on a specific location, such as when the grantee is allocating an amount of funds to be used for making loans or grants to businesses or for residential rehabilitation, 570.301(a) provides that the description in the action plan or any amendment shall identify who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided. Accordingly, the following information is provided:

General Area Location:

The City will undertake float loans on a City Wide basis under the following Float Loan Program areas:

*** City Center Float Loan Program:**

Location: City Center Master Plan Area; I-65 to the West; Highway 31 to the East; 13th Avenue South to the South; and 12th Avenue North to the North.

*** Neighborhood Commercial Revitalization Float Loan Program:**

Location: City Wide

Who May Apply: Eligible private for profit businesses or other entities who intend to undertake eligible Special Economic Development Activities as authorized and described at 24 CFR 570.203 or eligible Historic Preservation Activities outlined at 24 CFR 570.202(d) and who meet the following float loan application threshold requirements:

Float Loan application threshold requirements:

The minimum threshold application requirements for the CDBG Float Loan Program include, but are not limited to, the following:

Float Capacity: Is an estimate of the total CDBG Float Funding available to the City. As CDBG program income is received float capacity goes up; as CDBG expenditures are incurred for budgeted activities float capacity goes down. The City's present float capacity is approximately \$1 million. The City will consider no float funded activities or applications that may cause it to exceed its estimated float capacity.

Minimum Loan Amount and Maximum Loan Term: Because of the high cost of underwriting and processing loans, HUD guidelines recommend that the minimum commercial float loan should be at least \$100,000.00. The maximum term of any CDBG float loan is 30 months (2.5 years).

Job Creation Requirements: Applicants must demonstrate the ability to create or retain at least one full-time equivalent, permanent job per \$35,000.00 in the aggregate and/or \$50,000.00 per project of CDBG funds used during the term of the loan. At least 51 percent of the jobs must be held by, or made available to, low and moderate income persons as defined by HUD.

Irrevocable Letter Of Credit Requirements: The City hereby declares that a letter of intent from a commercial lender must accompany the application which states that upon approval of assistance by the City of Birmingham a direct pay irrevocable letter of credit for the full amount of the float loan principal and interest will be issued in favor of the City. To qualify for this purpose, such letter of credit must be unconditionally available to the City in the amount of any loan principal and/or interest approved for the full term of the loan. This requirement is non-negotiable.

1. Application Information: Upon meeting minimum threshold requirements, applicants may obtain an application which includes all HUD required guidelines for evaluating and selecting economic development projects. Applications for assistance are accepted Monday thru Friday at the Office of Operation New Birmingham, 505 20th Street, North, Suite 150; Birmingham, Al 35203; phone 324-8797 or at the offices of Main Street Birmingham; One 55th Place South; Birmingham, AL 35232; phone 595-0562.

2. Selection Criteria and Approval Process: All applications will be reviewed in accordance with the CDBG underwriting guidelines listed in Appendix A to 24 CFR Part 570 as well as the guidelines outlined at 570.209 copies of which are available for inspection in the City's Community Development Department. Additionally, all applications must be approved by the Mayor, Birmingham City Council and U.S. Department of Housing and Urban Development prior to the disbursement of funds.

Float Loan Program Income: In accordance with 570.301(b) (3), unlike other projected program income, the full amount of income expected to be generated by a float-funded activity must be shown as a source of program income in the action plan containing the activity, whether or not some or all of the income is expected to be received in a future program year. The City has reflected the full

amount of program income expected to be generated by float loan activities as a source of income in subpart III-2 Federal Resources of the Action Plan and expects all of the identified float loan program income to be received in a future program year. Also, in accordance with 570.301(b)(5) all float loan program income expected to be received from a float-funded activity which was shown in a prior year Action Plan has been identified in this Action Plan in subpart III-2 Federal Resources of the Action Plan. The planned use of said program income was described in Subpart III-2 in all Action Plans submitted by the City within 30 months (the maximum float loan term) preceding the submission of this Action Plan. All expected sources of funds including expected float loan program income were fully budgeted for the program purposes described in HUD Table 3C-Summary Project listing of those Action Plans.

Source of CDBG Funds:

Due to the nature of Float Loan Program Income reporting, the following adjustment is proposed to be made to the CDBG Budget thereby balancing sources and uses of CDBG funds:

Current PY 2011 Budget:

Community Development Block Grant Program:

| | |
|--|-------------------|
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>500,000.00</u> |
| | \$8,500,803.50 |

Proposed Amended PY 2011 Budget:

Community Development Block Grant Program:

| | |
|--|---------------------|
| PY 2011 Grant | \$6,500,803.50 |
| PY 2011 Anticipated Program Income: | 1,500,000.00 |
| Surplus Urban Renewal Funds: | 0.00 |
| Returned Grant Funds: | 0.00 |
| Prior Year Funds: | 0.00 |
| Future Year Income Expected from a Float Funded Activity | <u>1,000,000.00</u> |
| | \$9,000,803.50 |

CITIZEN COMMENT PERIOD & PUBLIC HEARING:

The City encourages citizens to participate in the development of the City's Final Substantial Amendment to its PY 2011 Action Plan described herein regarding its proposed use of CDBG funding and accepted written comments for a period of at least thirty (30) calendar days from July 5, 2011. Copies of the City's Proposed Substantial Amendment are available for inspection in the City's Community Development Department, 710 North 20th Street, Room 1000, Birmingham, Alabama from 8:00 a.m. to 5:00 p.m., Monday through Friday, except legal holidays. Copies are also available for inspection at the Birmingham Public Central Library, 2100 Park Place, Birmingham, Alabama, during regular business hours and a copy is posted on the City's website at www.birminghamal.gov under the Community Development Department.

The City's Community Development Department will conduct a public hearing on Thursday, July 21, 2011 at 9:30 a.m. the Birmingham City Council Chamber, 710 North 20th Street, 3rd Floor City Hall; Birmingham, Alabama. The purpose of the hearing will be to obtain comments regarding the proposed use of PY 2011 Program Funds. Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments received will be considered in the development of the City's Final PY 2011 Substantial Amendment and submission to HUD.

The hearing location is accessible to persons with disabilities; however, anyone who requires further information or has a disability which might require special materials, services, or assistance should notify the Community Development Department at the referenced address no later than 48 hours prior to the scheduled hearing dates. The City does not discriminate on the basis of disability in admissions to, access to, or operations of its programs, services, or activities. The City does not discriminate on the basis of disability in its hiring or employment practices as mandated by Title 11 of the Americans with Disabilities Act of 1990.

Written comments regarding the City's Proposed PY 2011 Substantial Amendment should be submitted to James F. Fenstermaker, Director; Community Development Department at the address referenced herein. All written comments must be received at the referenced address no later than Friday, August 5, 2011 by 5:00 p.m. to be considered in the development of the City's Final PY 2011 Action Plan Substantial Amendment. No comments submitted by e-mail or fax transmission will be accepted or considered.

James F. Fenstermaker, Director
Department of Community Development
710 North 20th Street
10th Floor City Hall
Birmingham, Alabama 35203

July 5, 2011

Date of Publication

Birmingham News

Birmingham Times-July 7, 2011

Web Site Posting: www.birminghamal.gov

File name: PY 2011 SUBSTANTIAL AMENDMENT-PROPOSED PUBLICATION

CITY OF BIRMINGHAM, ALABAMA
DEPARTMENT OF COMMUNITY DEVELOPMENT
ALABAMA OPEN MEETINGS ACT
PUBLIC MEETING NOTICE

TIME, DATE, AND PLACE OF THE MEETING:

Date: Thursday, July 21, 2011

Time: 9:30 a.m.

Location: City Council Chamber, 710 North 20th Street; 3rd Floor City Hall, Birmingham, Alabama

PRELIMINARY AGENDUM:

1. Introductions
2. Summary of the Substantial Amendment to the Action Plan Process
3. Citizen Comments/Proposals.

NATURE AND PURPOSE OF MEETING:

The purpose of the public hearing will be to obtain comments regarding the proposed use of the referenced PY 2011 HUD Substantial Amendment funding including the views of citizens, public agencies, and other interested parties on the housing and community development needs of the City, and to receive proposals for the possible use of the City's PY 2011 Substantial Amendment funding from the U.S. Department of Housing and Urban Development (HUD). Also, citizens will be afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; and 3) comment on and review the City's program performance. All comments and proposals received at the hearing or written comments received in the Community Development Department before August 5, 2011, 5:00 p.m. will be considered in the development of the City's Final PY 2011 Substantial Amendment. PY 2011 (July 1, 2011-June 30, 2012) HUD Consolidated Formula Allocation Programs: Community Development Block Grant Program (CDBG); Home Investment Partnership Program (HOME); Emergency Shelter Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA).

NEWSPAPER PUBLICATION:

A more detailed public notice regarding this public hearing was published in the Birmingham News on July 5, 2011 and Birmingham Times on July 7, 2011. Copies are available for inspection in the Community Development Department; 710 North 20th Street; 10th Floor City Hall; Birmingham, Alabama

POSTING DATE: July 1, 2011; Bill Board; 2nd floor City Hall.

File name: 7-1-11 bill board

July 1, 2011

Mr. Charles Franklin
CPD Director
Alabama State HUD Office
Medical Forum Building-Suite 900
950 22nd Street, North
Birmingham, Alabama 35203

ATTN: Mr. Ed Coberly

RE: Substantial Amendment to PY 2011 Action Plan One Year Use of Funds

Dear Charles:

I have attached a copy of the Substantial Amendment to PY 2011 Action Plan One Year Use of Funds.

Please note that the Community Development Department will be conducting a public hearing July 21, 2011 at 9:30 p.m. Should your office have any suggestions as or comments relative to the development of the City's Substantial Amendment to PY 2011 Action Plan One Year Use of Funds, please submit them to this office on or before August 5, 2011; 5:00 p.m.

If you have any questions, please advise.

Sincerely,

James F. Fenstermaker, Director
Community Development Department

JFF/jr
Attachment

July 1, 2011

Ms. Sheila Tyson
President, Citizens Advisory Board
1233 14th Place Southwest
Birmingham, AL 35211

Dear Ms. Tyson:

I have attached a copy of the City's Substantial Amendment to PY 2011 Action Plan One Year Use of Funds. Please note the Community Development Department will be conducting a public hearing July 21, 2011 at 9:30 p.m. Suggestions and/or comments relative to the development of the City's Substantial Amendment to PY 2011 Action Plan One Year Use of Funds are due to the Community Development Department on or before August 5, 2011, at 5:00 p.m.

Sincerely,

James F. Fenstermaker, Director
Community Development Department

JFF:jr

Attachments

cc: Melony Martin
Susan Pelmer
Florence Underwood
Michael Shine

CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT

INTER-OFFICE MEMORANDUM

July 1, 2011

TO: Renee Blalock, Director
Birmingham Public Library

ATTN: Government Documents Department

RE: Substantial Amendment to PY 2011 Action Plan One Year Use of Funds

Attached are three (3) copies of the above referenced document that we are making available for public inspection for a period of 30 days through August 5, 2011; 5:00 p.m. Should you have any questions or require additional copies, please contact Jamey Roberts at 254-2309.

As always, we appreciate your assistance.

James F. Fenstermaker, Director
Community Development Department

JFF/jr
Attachments

APPENDIX B

**CITY OF BIRMINGHAM
DEPARTMENT OF COMMUNITY DEVELOPMENT**

**PY 2011 ACTION PLAN ONE-YEAR USE OF FUNDS SUBSTANTIAL AMENDMENT
PUBLIC HEARING MINUTES FOR July 21, 2011 - 9:30 a.m.**

AND PUBLIC COMMENTS RECEIVED

On Thursday, July 21, 2011, a public hearing was conducted in the City Council Chamber at 9:30 a.m. by the Community Development Department staff. The purpose of the hearing was to obtain comments regarding the proposed substantial amendments for the City's PY 2011 allocation including the views of the citizens, public agencies, and other interested parties on the housing and community development needs of the City. Also, citizens were afforded an opportunity to 1) identify housing and community development needs and priorities; 2) review proposed uses of funds; 3) comment on and review the City's program performance. It was explained that all comments and proposals received would be considered in the development of the City's Proposed PY 2011 Action Plan One-Year Use of Funds Substantial Amendment

At approximately 9:30 a.m. the hearing was called to order by James Fenstermaker, Director of the Community Development Department. He then introduced himself. Mr. Fenstermaker gave an overview of the past funds to be re-programmed from the Department of Housing and Urban Development, which includes CDBG. He spoke to the process of submitting a proposal, the Community Development Department's part in reviewing and forwarding recommendations to the Mayor's office and further to the City Council where they will adopt a final Action Plan budget. He asked if there was anyone who wished to comment. There being no comments the meeting adjourned.

Public Hearing Comments:

There were no comments.

Those in attendance were:

Jim Fenstermaker, Director of Community Development
Ken Knox, Deputy Director of Community Development
Jamey Roberts, Senior Administrative Analyst Community Development
LaReisha Higginbottom, Grants Management Coordinator Community Development

Public Written Comments:

There were no written comments received as of the close of the thirty day public comment period.

APPENDIX C

Submitted By: The Mayor

Recommended By: Budget & Finance Committee

RESOLUTION NO. 616-10

WHEREAS, the Consolidated Submission for Community Planning and Development Programs; Final Rule, as published in the January 5, 1995 Federal Register, amends 24 CFR Parts 91, 92, 570, 574, 576, and 968 of the Code of Federal Regulations; and,

WHEREAS, said Final Rule amends the U.S. Department of Housing and Urban Development's (HUD'S) existing regulations to completely replace the current regulations for Comprehensive Housing Affordability Strategies (CHAS) with a rule that consolidates into a single consolidated submission the planning and application aspects of HUD'S Community Development block Grant (CDBG), Emergency Shelter Grant (ESG), HOME Investment Partnerships (HOME), and Housing Opportunities for Persons with AIDS (HOPWA) formula programs with the requirements for the CHAS; and,

WHEREAS, the City is presently following a five (5) year consolidated plan (or Comprehensive Housing Affordability Strategy) developed in accordance with said Final Rule that has been approved by HUD. This document consolidates the planning and submission process for four HUD Community Planning and Development (CPD) formula programs hereinafter referred to by the following acronyms: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA); and,

WHEREAS, the City received notice from HUD on March 31, 2010 of its Program Year 2010 (July 1, 2010-June 30, 2011) consolidated formula allocation for the CDBG, ESG, HOME, and HOPWA programs. The City's consolidated formula allocation, including anticipated program income follows: CDBG \$7,223,115.00; CDBG Anticipated Program Income \$1,037,840.00; CDBG Anticipated Float Funded Activity Program Income \$1,000,000.00; HOME \$2,259,018.00; HOME Anticipated Program Income \$112,535.00; ESG \$291,788.00; and HOPWA \$593,523.00 totaling \$12,517,819.00 in anticipated funding; and,

WHEREAS, the City has developed its Final Five Year Consolidated Plan for the period July 1, 2010-June 30, 2015 and the City's Final Action Plan One Year Use of Funds Submission for the period July 1, 2010-June 30, 2011 based on the referenced consolidated formula allocation. However, should the City's actual consolidated formula allocation differ from the consolidated formula allocation referenced herein, the Mayor shall be, and hereby is, authorized to execute any necessary adjustments to the City's Final PY 2010 Action Plan-One Year Use of Funds Budget so as to balance the sources and uses of July 1, 2010-June 30, 2011 consolidated formula allocation funds; and,

WHEREAS, it is recognized that the contract for the referenced consolidated formula allocation funds will impose certain obligations and responsibilities upon the City and will require the City's Mayor, after approval as authorized herein, to execute the following Certifications and Documents referenced therein:

- 1) Consolidated Plan Certifications: Will require the City to: a) amend its citizen participation plan to comply with requirements of said final rule; b) comply with citizen participation requirements as prescribed in the certification; c) affirmatively further fair housing and prepare an analysis of impediments and maintain records pertaining to carrying out this certification; d) comply with the anti-discrimination requirements as prescribed in the certification; e) comply with anti-displacement and relocation plan requirements as prescribed in the certification; f) provide a drug-free workplace as prescribed in the certification; g) comply with anti-lobbying requirements prescribed in the certification; h) certify that legal authority exists under State and local law to make grant submissions; and i) comply with the acquisition and relocation requirements of the uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; j) comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135; and k) comply with other applicable laws.
- 2) Specific CDBG Certifications: Will require the City to: a) certify it has developed its Housing and Community Development plan one-year projected use of funds so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight; b) certify it has developed a community development plan that identifies community development and housing needs and specifies both short and long-term community development objectives; c) comply with special assessment requirements prescribed in the certification; d) comply with lead-based paint requirements prescribed in the certification; e) comply with excessive force requirements prescribed in the certification.
- 3) Specific HOME Certifications: Will require the City to: a) comply with appropriate financial assistance requirements as prescribed in the certification; b) comply with the tenant based rental assistance requirements as prescribed if the City intends to provide tenant-based rental assistance.
- 4) ESG Certifications: Will require the City to: a) comply with matching requirements as prescribed; b) comply with terms of assistance requirements as prescribed; c) comply with non-profit Subrecipients requirements as prescribed; d) comply with the use of commercial buildings requirements as prescribed; e) comply with the environmental requirements as prescribed; and,

- 5) HOPWA Certifications: Will require: a) Activities funded under the program will meet urgent needs that are not being met by available public and private sources; b) Any building or structure assisted under that program shall be operated for the purpose specified in the plan as follows: 1) For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility; 2) For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Birmingham, Alabama that, pending the completion of all federal requirements, the City's Mayor shall be and hereby is authorized to submit said Final Consolidated Plan and Action Plan-One Year Use of Funds submission to HUD on or before May 17, 2010 in accordance with the Consolidated Formula Allocation Budget as outlined herein and shall be further authorized to execute all HUD required certifications or other forms or documents as may be required.

BE IT FURTHER RESOLVED that the following Consolidated Formula Allocation Budget and Program Description is hereby adopted and that the Mayor shall be, and hereby is, further authorized to negotiate and execute necessary CDBG Program, HOME Program, ESG Program, HOPWA Program and Other Program Agreements, in a format prescribed by HUD as on file in the office of the City Clerk, with the non-profit organizations referenced herein and up to the dollar amounts indicated herein as contained in said adopted Consolidated Formula Allocation Budget and Program Description so as to acquire services as described from the referenced non-profit organizations.

CONSOLIDATED FORMULA ALLOCATION BUDGET AND PROGRAM DESCRIPTION: PY 2010: July 1, 2010-June 30, 2011

A. COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG):

| | |
|--------------------------------|--------------------|
| PY 2010 CDBG Grant | \$7,223,115 |
| Anticipated Program Income: | \$1,037,840 |
| Anticipated Float Loan Income: | <u>\$1,000,000</u> |
| TOTAL CDBG: | \$9,260,955 |

1. PROJECT: ADMINISTRATION: \$1,557,688

(570.206)

Project ID/Local ID #1; Project Title: General Program Administration; Priority Need: N/A; Eligibility 570.206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 21A-General Program Administration; Proposed Accomplishments: Program Administration; Start Date: 7/1/2010; Completion Date: 6/30/2011.

Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description: These funds provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities including CDBG, ESG, HOME, HOPWA, CDBG-R, and any other Community Development Activities assisted in whole or in part with CDBG funds. Supportive funds will be allocated towards general program administration, legal services, planning, environmental reviews, public information, and fair housing.

2. PROJECT: PLANNING & MANAGEMENT: \$32,540 (570.205 & 206)

Project ID/Local ID #2; Project Title: Planning & Management; Priority Need N/A; Eligibility 570.205-Planning and 206-Program Administration; National Objective N/A; Help the Homeless? Yes; Help people with HIV or AIDS? Yes; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code: 20-Planning; Proposed Accomplishments: Program Planning; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

Provide CDBG support for planning and administration of the City's Consolidated Formula Allocation grants and activities. Proposed funding is as follows to be administered by the City's Community Development Department:

Planning & Management Activities & Description:

* Metropolitan Birmingham Services For the Homeless (MBSH): \$32,540
2230 4th Avenue, North
Birmingham, Al 35203

Assistance to MBSH, a non-profit organization, to provide for the administration of the City's HUD approved Continuum of Care for homeless persons.

3. PROJECT: REPAYMENTS OF SECTION 108 LOAN PRINCIPAL: \$136,032 (570.705)

Project ID/Local ID 3; Project Title: Repayments of Section 108 Loan Principal; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.705; National Objective N/A; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: 710 North 20th Street, Birmingham, Al 35203; HUD Matrix Code:19F-Repayments of Section 108 Loan Principal; Proposed Accomplishments: N/A.; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: N/A; Performance Measure Outcome: N/A; Description:

Section 108 Loan Principal Activities & Description:

Provide CDBG support for Section 108 loan repayments to be administered by the City's Community Development Department. Principal and interest payments due in August, 2010 and Principal only payments due in February, 2011 to The Bank of New York Mellon for Section 108 Loan payments.

4. PROJECT: INTERIM FLOAT LOAN PROGRAM- ECONOMIC

DEVELOPMENT: (570.203)/HISTORIC PRESERVATION (570.202(d)): \$1,000,000

Project ID/Local ID 4; Project Title: Interim Float Loan Program; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203-Special Economic Development Activities and 570.202(d)- Historic Preservation; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code:18A-ED Direct Financial Assistance to For-Profits: Accomplishments: 2 Businesses To Be assisted; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

Float Loan Activities & Description:

In accordance with 24 CFR 570.301 the City may use undisbursed funds in its line of credit and its CDBG program account that are budgeted in action plans for one or more other activities that do not need the funds immediately. Such funds are referred to as the "float" for purposes of this section and the action plan. The City intends to use its "float" to provide support for Economic Development and Historic Preservation activities to promote and strengthen the economic base and the business climate through the creation and retention of jobs for low and moderate income persons.

The City has not yet decided on the specific location of the float funded activities to be undertaken. For activities for which the City has not yet decided on a specific location, such as when the grantee is allocating an amount of funds to be used for making loans or grants to businesses or for residential rehabilitation, 570.301(a) provides that the description in the action plan or any amendment shall identify who may apply for the assistance, the process by which the grantee expects to select who will receive the assistance (including selection criteria), and how much and under what terms the assistance will be provided. Accordingly, the following information is provided:

General Area Location:

The City will undertake float loans on a City Wide basis under the following Float Loan Program areas:

- City Center Float Loan Program: \$500,000
Location: City Center Master Plan Area; I-65 to the West; Highway 31 to the East; 13th Avenue South to the South; and 12th Avenue North to the North.
- Neighborhood Commercial Revitalization Float Loan Program: \$500,000
Location: City Wide

Who May Apply: Eligible private for profit businesses or other entities who intend to undertake eligible Special Economic Development Activities as authorized and described at 24 CFR 570.203 or eligible Historic Preservation Activities outlined at 24 CFR 570.202(d) and who meet the following float loan application threshold requirements:

Float Loan application threshold requirements:

The minimum threshold application requirements for the CDBG Float Loan Program include, but are not limited to, the following:

Float Capacity: Is an estimate of the total CDBG Float Funding available to the City. As CDBG program income is received float capacity goes up; as CDBG expenditures are incurred for budgeted activities float capacity goes down. The City's present float capacity is approximately \$1 million. The City will consider no float funded activities or applications that may cause it to exceed its estimated float capacity.

Minimum Loan Amount and Maximum Loan Term: Because of the high cost of underwriting and processing loans, HUD guidelines recommend that the minimum commercial float loan should be at least \$100,000.00. The maximum term of any CDBG float loan is 30 months (2 2 years).

Job Creation Requirements: Applicants must demonstrate the ability to create or retain at least one full-time equivalent, permanent job per \$35,000.00 in the aggregate and/or \$50,000.00 per project of CDBG funds used during the term of the loan. At least 51 percent of the jobs must be held by, or made available to, low and moderate income persons as defined by HUD.

Irrevocable Letter Of Credit Requirements: The City hereby declares that a letter of intent from a commercial lender must accompany the application which states that upon approval of assistance by the City of Birmingham a direct pay irrevocable letter of credit for the full amount of the float loan principal and interest will be issued in favor of the City. To qualify for this purpose, such letter of credit must be unconditionally available to the City in the amount of any loan principal and/or interest approved for the full term of the loan. This requirement is non-negotiable.

Application Information: Upon meeting minimum threshold requirements, applicants may obtain an application which includes all HUD required guidelines for evaluating and selecting economic development projects. Applications for assistance are accepted Monday thru Friday at the Office of Operation New Birmingham, 505 20th Street, North, Suite 150; Birmingham, AL 35203; phone 324-8797 or at the offices of Main Street Birmingham; One 55th Place South; Birmingham, AL 35232; phone 595-0562.

Selection Criteria and Approval Process: All applications will be reviewed in accordance with the CDBG underwriting guidelines listed in Appendix A to 24 CFR Part 570 as well as the guidelines outlined at 570.209 copies of which are available for inspection in the City's Community Development Department. Additionally, all applications must be approved by the Mayor, Birmingham City Council and U.S. Department of Housing and Urban Development prior to the disbursement of funds.

Float Loan Program Income: In accordance with 570.301(b) (3), unlike other projected program income, the full amount of income expected to be generated by a float-funded activity must be shown as a source of program income in the action plan containing the activity, whether or not some or all of the income is expected to be received in a future program year. The City has reflected the full amount of program income expected to be generated by float loan activities as a source of income in subpart III-2 Federal Resources of the Action Plan and expects all of the identified float loan program income to be received in a future program year. Also, in accordance with 570.301(b)(5) all float loan program income expected to be received from a float-funded activity which was shown in a prior year Action Plan has been identified in this Action Plan in subpart III-2 Federal Resources of the Action Plan. The planned use of said program income was

described in Subpart III-2 in all Action Plans submitted by the City within 30 months (the maximum float loan term) preceding the submission of this Action Plan. All expected sources of funds including expected float loan program income were fully budgeted for the program purposes described in HUD Table 3C-Summary Project listing of those Action Plans.

5) PROJECT: SPECIAL ECONOMIC DEVELOPMENT (570.203(b) and (c)): \$150,000

Project ID/Local ID 5; Project Title: Technical Assistance; Priority Need 5-Promote City Wide Economic Development; Eligibility 570.203(b) and (c) Special Economic Development; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons and 570.208(b)-Activities which aid in the prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 19C-CDBG Non-Profit Capacity Building; Proposed Accomplishments: 1 Organization and 9 Commercial Districts to be assisted; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability; Description:

Provision of technical assistance to public or nonprofit entities to increase the capacity of such entities to carry out eligible neighborhood revitalization or economic development activities.

Special Economic Development Activities & Description:

Birmingham Beacon Program:

- Main Street Birmingham, Inc: \$150,000
One 55th Place South; Birmingham, AL 35232

A non-profit corporation established to coordinate the Birmingham Beacon Program whose goal is to revitalize community-based commercial areas in nine target districts throughout the city. Agency will work with merchants and with the business community to help organize, promote, market and manage these districts.

6. PROJECT: HOUSING REHABILITATION: \$5,172,383

(570.202)

Project ID/Local ID 6; Project Title: Housing Rehabilitation Activities; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2-Provide down-payment assistance to first-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Eligibility (570.202)-Eligible Rehabilitation and preservation activities; National Objective 570.208 (a)-Activities Benefiting low-and moderate-income persons; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: N/A; Location: City Wide; HUD Matrix Code: 14A-Rehabilitation Single Unit Rehabilitation and 14B-Rehabilitation Multi-Unit Residential; Proposed Accomplishments: See individual activities; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability; Description:

Provides for the rehabilitation of owner-occupied and rental substandard structures on a City wide basis, non-profit organization housing service provider agreements, and associated program

operation costs. Also includes loan processing and servicing costs, inspections, and other services related to assisting owners, tenants, contractors, and other entities, participating or seeking to participate in rehabilitation activities authorized under this section. Detailed descriptions of these and other housing related programs are available in the Community Development Department-Housing Division, 710 North 20th Street, Room 700; Birmingham, Al 35203. This activity is anticipated to generate program income and is to be administered by the City's Community Development Department-Housing Division on a city wide basis.

Housing Rehabilitation Activities & Description:

A. Program Costs: \$1,238,754

Costs associated with rehabilitation services including the preparation of work specifications, loan/application processing, inspections, and other services related to assisting owners, tenants, contractors, and other entities participating or seeking to participate in rehabilitation activities authorized under 24 CFR 570.202. Includes staff salaries, legal services, mortgage servicing, and other related costs. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

B. Rehabilitation Activity Costs: \$3,933,629

• Rehabilitation Loan Program: \$50,000

Provides long term financing up to 30 years for low-moderate income homeowners for the rehabilitation of owner-occupied single family structures, re-construction of single family houses and long term financing of reconstruction up to \$40,000 per qualified homeowner. Proposed Accomplishments: 2 Housing Units to be rehabilitated. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

• Deferred Payment Loan Program: \$100,000

Provides loans to elderly (62 years or older) and disabled low-and moderate income homeowners up to \$15,000 per qualified homeowner. Proposed Accomplishments: 9 Housing Units to be rehabilitated. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

• Lead Based Paint Hazard Compliance and Matching Funds: \$100,000

Funds for lead based paint regulatory compliance. Includes equipment, inspection, risk assessment, project design, interim controls, abatement, testing, training, matching funds and other costs associated with regulatory compliance. Also provides up to \$40,000 per qualified household for Lead Hazard reduction assistance. Proposed Accomplishments: 10 Housing Units to be rehabilitated. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

• Critical Repair Grant Program: \$2,650,529

Provides grants of eligible rehabilitation expenses to low-and moderate income homeowners up to \$15,000 per qualified homeowner. Proposed Accomplishments: 100 Housing Units to be

rehabilitated. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

- **Property Acquisition/Demolition: \$41,000**

Provides for acquisition of real property and related demolition costs associated with housing rehabilitation activities. Includes the clearance, demolition, transfer of and removal of buildings and improvements. Proposed Accomplishments: 4 Properties to be acquired and/or demolished. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #3-Sustainability.

- **Multi-Family Loan Program: \$25,000**

Provides low interest loans for multi-family structures to encourage the rehabilitation of sub-standard or aging housing. Proposed Accomplishments: 1 Multi-Family Housing Unit to be assisted. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- **Independent Living Resources of Greater Birmingham: \$220,000**

206 13th Street South
Birmingham, AL 35233

Provides assistance to qualified disable residential tenants and disabled homeowners to enable modifications to be made to their residence. Proposes to modify homes at an average cost of \$3,000. The maximum allowable grant would be up to \$3,500 per household. The agency's Executive Director may grant an exception to the \$3,500.00 maximum in a limited number of cases where the need exists. Proposed Accomplishments: 47 Housing Units to be assisted. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

- **Rising West Princeton Corporation: \$25,000**

1708 1st Avenue, West
Birmingham, Al 35208

Provide rehabilitation services & support and provide acquisition and rehabilitation activities in Rising West Princeton. Proposed Accomplishments: 10 Housing Units to be assisted. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- **Greater Birmingham Habitat for Humanity: \$82,100**

4408 Lloyd Nolan Parkway
Fairfield, Al 35064

Authorize the Mayor to enter into necessary agreements to provide housing rehabilitation services through the Habitat for Humanity Rehabilitation Program. Proposed Accomplishments: 20 Housing Units to be assisted. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- **Metro Changers, Inc.: \$640,000**

750 Montclair Road

Birmingham, Al 35213

Authorize the Mayor to enter into necessary agreements to provide housing rehabilitation services through the Worldchangers Rehabilitation Program. Proposed Accomplishments: 150 Housing Units to be assisted. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

7. PROJECT: PUBLIC SERVICES: {570.201(e)} \$1,024,091

Project ID/Local ID 7; Project Title: Public Service Activities; Priority Need 3- Provide housing and services for populations with special needs; Priority Need 4-Provide Housing and Supportive Services for Homeless populations; Eligibility 570.201(e)-Public Services; Administered by the Community Development Department. Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: Public Services per 570.201(e); CDBG National Objective Citation: Benefit to low and moderate income persons per 570.208(a); Subrecipients: Yes; Location(s): City Wide.; HUD Matrix Code: 05-Public Services; Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility. Description:

Public Service Activities & Description:

Provision of public services (including labor, supplies, and materials) including, but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 570.207(b)(4)), homebuyer downpayment assistance, or recreational needs. The amount of CDBG funds used for public services shall not exceed 15 percent of each grant plus 15 percent of program income received during the grantee's immediately preceding program year.

The City proposes to enter into CDBG Public Service Agreement with the following non-profit organizations to provide the services outlined herein:

i). Homeless Shelter Programs: \$489,026

Men

- Aletheia House, Inc.: \$44,870
135 Finley Avenue, West
P.O. Box 1514
Birmingham, Al 35261

Provision of substance abuse treatment, employment readiness training, employment placement assistance, transportation to work, housing, meals, case management and other supportive services for homeless addicted men. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Changed Lives Christian Center, Inc.: \$50,000
1308 26th Avenue, North

Birmingham, Al 35204

Homeless shelter for men providing food and shelter. Proposed Accomplishments: Serve 2,000 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Cooperative Downtown Ministries, Inc.: \$47,490
1501 3rd Avenue, North
Birmingham, Al 35203

~~Homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue, North providing food and shelter. The noontime soup kitchen will serve men, women, and children daily. Proposed Accomplishments: Serve 2,800 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.~~

Women

-First Light, Inc.: \$36,960
2230 Fourth Avenue, North
Birmingham, Al 35203

To provide shelter and services to Birmingham's homeless women and children with an emphasis on serving the mentally ill. Proposed Accomplishments: Serve 700 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Pathways/Transitional Shelters: \$34,632
409 Richard Arrington, Jr. Blvd, North
Birmingham, Al 35203

To provide transitional shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

Pathways/Downtown Path Center: \$72,180
409 Richard Arrington, Jr. Blvd, North
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. Proposed Accomplishments: Serve 1,100 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- YWCA Homeless Daycare: \$47,116

309 North 23rd Street
Birmingham, Al 35203

To provide shelter and supportive services to homeless women and children. To include emergency shelter for homeless victims of domestic violence and their children. Also provides transitional housing for women and children from emergency shelters. Provides childcare for homeless families in area shelters and provides transportation and permanent housing assistance for homeless YW residents. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- YWCA Homeless Daycare Transportation; \$13,875
309 North 23rd Street
Birmingham, Al 35203

To provide transportation for homeless children residing in local shelters to day care services at the YWCA. Proposed Accomplishments: Serve 60 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

Families/Other:

- YWCA: \$41,784
309 North 23rd Street
Birmingham, Al 35203

This program was previously operated by Interfaith Hospitality House which ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

Supportive Services:

-Birmingham Health Care for the Homeless: \$20,869
712 25th Street, North
P.O. Box 11523
Birmingham, Al 35202-1523

Agency currently provides respite care for the homeless including outreach, medical care, case management, and counseling. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Bridge Ministries, Inc.: \$33,882
1016 19th Street, South

Birmingham, Al 35205

Provision of medications, medical supplies, dental, medical, or vision clinic fees for the homeless. Also provides temporary day care, lodging, and other services. Proposed Accomplishments: Serve 140 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-New Pilgrim Bread of Life Ministries: **\$22,684**

708 Goldwire Place SW

Birmingham, Al 35211

Agency currently provides of food to the homeless and low-income persons. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Urban Ministry, Inc.: **\$22,684**

1229 Cotton Avenue, SW

Birmingham, Al 35211

Provision of assistance to eligible clients through its community kitchen, children enrichment and tutoring program and emergency care program, and summer program for children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

Other Public Services:

ii) Employment & Housing Assistance: \$313,220

-Birmingham Urban League, Inc.: **\$45,018**

1229 3rd Avenue, North

Birmingham, Al 35203

Provision of housing counseling services to assist individuals and families in obtaining, maintaining, and retaining, decent, safe and affordable housing. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #1-Availability/Accessibility.

-Childcare Resources, Inc.: **\$65,688**

1904 1st Ave, North

Birmingham, Al 35203-4006

Provision of supplemental child care financial assistance to low/moderate income working families. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2010; Completion Date:

6/30/2011 Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #2-Affordability.

- Consumer Credit Counseling Service of Central Alabama-Gateway: \$9,274
1401 20th Street, South
Birmingham, Al 35205

Provision of consumer credit counseling services to 100 eligible clients referred by the Birmingham Center for Affordable Housing. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- Fair Housing Center of Northern Alabama: \$23,184
1728 3rd Avenue, North Suite-400C
Birmingham, Al 35203

Provision of fair housing educational outreach programs to citizens of the Birmingham area through class room presentations, seminars, community meetings, media exposure and training. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

- Imani New Life Recovery Program: \$40,000
631 3rd Street, SW
Birmingham, Al 35211

Provision of assistance to the New Life Recovery Program that provides services to chemically dependent males and females 18 years of age and older, whose lives have been affected by chemical abuse/dependence and whose income range from indigence to low/moderate income based on HUD family income eligibility guidelines. Service provision will include intake/assessment, development of a treatment plan and 24 group therapy sessions over a 12 week period. Serve 100 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Jefferson State Community College: \$27,048
2601 Carson Road
Birmingham, Al 35215

Provision New Options Program to assist adults, primarily single parents, obtain educational and job skills. Proposed Accomplishments: Serve 70 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

-Lawson State Community College: \$18,000
3060 Wilson Road SW
Birmingham, Al 35221-1717

The Birmingham Jobs Empowerment Initiative is a collaborative effort between Lawson State Community College and the City of Birmingham to provide cooperative educational opportunities responsive to the changing needs of the work place. The overarching goal is to help increase the employability level of Birmingham residents through the provision of quarterly workshops centered on skills development to enhance employability levels. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #1-Availability/Accessibility.

- **Neighborhood Housing Services of Birmingham: \$85,008**
601 19th Street North; Suite 103
Birmingham, Al 35203

Through this agency, local financial institutions, corporations and others will have an opportunity as sponsors to form partnerships with the communities served in revitalizing those areas. Activities include new construction, owner-occupied rehabilitation, and refinance-rehabilitation for owner-occupants, credit counseling, first-time home ownership classes and down payment assistance. Proposed Accomplishments: Serve 80 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

iii) Children/Youth Development/Senior Citizens: \$96,660

-Futures, Inc. \$21,638
1600 Carraway Blvd
Birmingham, Al 35234

Provision of an employment readiness/ violence prevention program for high-risk and homeless youth, ages 16-23. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Girl Scouts of the Cahaba Council \$11,382
105 Heatherbrooke Park Drive
Birmingham, Al 35242-8008

Agency currently provides Girl Scouting in the school day program in partnership with the Birmingham Public School system and HADB to serve low/moderate income girls. Proposed Accomplishments: Serve 160 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Ministerial Brotherhood Ministries, Inc.: \$19,320
1629 32nd Avenue, North
Birmingham, Al 35207

Provision of educational assistance to low-mod income youth through the Community Training Institute outreach component. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Positive Maturity, Inc.-East Lake: \$25,000
3600 8th Avenue, South; Suite 200
Birmingham, Al 35222

Provision of services to seniors at the Shepherd Center East facility, 118-84th Street North including meals on wheels, recreation, health screens, and lectures. Proposed Accomplishments: Serve 275 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Rose Garden Adult Day Services, Inc.: \$19,320
4900 1st Avenue, North
Birmingham, Al 35222

Provision of adult day services for functionally impaired adults (elderly and disabled). Proposed Accomplishments: Serve 10 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

iv) Special Needs: \$125,185

- Jefferson County AIDS In Minorities, Inc.: \$18,000
1925 Bessemer Road, Ensley
Birmingham, Al 35208

Provision of an after-school (and summer school) HIV/AIDS and Substance Abuse reduction project targeting high-risk African American Youth. Proposed Accomplishments: Serve 40 youth. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Children's Village, Inc.: \$18,547
2001 18th Street, SW
Birmingham, Al 35211

Provision of a home for youth designed to offer age appropriate learning activities to promote academic achievement, social skills, creative expression, and development. Proposed Accomplishments: Serve 20 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure

Outcome: #1-Availability/Accessibility.

- Mental Health Association of Central Alabama, Inc.: **\$23,184**
3600 8th Avenue, South; Suite 501
Birmingham, Al 35222

Provision of job readiness training and job placement program focusing on improving the socialization and employability skills of its clients. Proposed Accomplishments: Serve 15 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- North Birmingham Community Assistance Program, Inc.: **\$22,177**
3417 34th Terrace, North
Birmingham, Al 35207

Provision of an emergency food assistance program for eligible families. Proposed Accomplishments: Serve 200 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Prescott House: **\$23,547**
1730 14th Avenue, South
P.O. Box 55892
Birmingham, Al 35255

To continue the provision of crisis intervention counseling, extend assessment counseling, referrals and interviewing services for child victims of sexual/physical abuse or who are witness to violent acts with assistance from child protection professionals and law enforcement agencies in preparation of case development and criminal prosecution and accompaniment for all court appearances. Proposed Accomplishments: Serve 350 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-United Cerebral Palsy of Greater Birmingham, Inc.: **\$19,730**
120 Oslo Circle
Birmingham, Al 35211

Provision of comprehensive training to assist clients to live independently in the community. Proposed Accomplishments: Serve 125 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1- Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

8) PROJECT: COMMERCIAL REVITALIZATION: \$188,221

(570.204)

~~Project ID/Local ID 8; Project Title: Community Economic Development; Priority Need 5- Promote City Wide Economic Development Eligibility 570.204-Special Activities by Community-Based Development Organizations; National Objective 570.208 (b)-Activities which aid in the~~

prevention or elimination of slums or blight; Help the Homeless? No; Help people with HIV or AIDS? No; Subrecipient: ; Location: 4th Avenue North Bus ss District; HUD Matrix Code:18-B- ED Technical Assistance; Description:

Commercial Revitalization Activities & Description:

-Urban Impact: \$188,221
1701 4th Avenue North
Birmingham, Al 35203

Assistance to Urban Impact, a non-profit organization, to continue ongoing commercial development efforts initiated in the Historic Fourth Avenue Business District through technical assistance to established business and those wishing to locate within the area. Agency also promotes and assists in increasing tourism through the coordination of public information and other activities in conjunction with the Civil rights-Institute, Kelly Ingram Park, Alabama Jazz Hall of Fame and other area attractions. In selecting businesses to assist under this authority, the City or its designee shall minimize, to the extent practicable, displacement of existing businesses and jobs in neighborhoods. Proposed Accomplishments: Serve 50 businesses. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #3-Economic Opportunity; Performance Measure Outcome: #3-Sustainability.

CDBG PROGRAM TOTAL: \$9,260,955

B. PROJECT: HOME INVESTMENT PARTNERSHIP PROGRAM (HOME):

| | |
|-----------------------------|-------------------|
| PY 2010 HOME Grant: | \$2,259,018 |
| Anticipated Program Income: | <u>\$ 112,535</u> |
| TOTAL | \$2,371,553 |

Project ID/Local ID 9; Project Title: HOME Investment Partnership Program; Priority Need 1- Provide decent and affordable housing for low and very low-income households; Priority Need 2- Provide down-payment assistance to firs-time homebuyers meeting program income guidelines and requirements; Priority Need 3- Provide housing services for populations with special needs; Priority Need 4- Provide housing and supportive services for homeless populations;; Help Prevent Homelessness? Yes; Help the Homeless? No; Help those with HIV or AIDS? No; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 21-HOME Program.

The HOME Program is to be administered by the City's Community Development Department- Housing Division.

HOME Program Activities & Description:

1) HOME Program Administration Activities: \$225,901

Provision of HOME Administrative costs for PY 2010. The City may allocate up to 10% of the

total HOME allocation. Costs include general management, monitoring, and evaluation, staff and overhead related to carrying out of the project, including relocation services, the provision of information to residents and citizen groups, fair housing activities, and indirect costs, consultation and publication costs associated with the submission of the Consolidated Plan. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

2) HOME Program CHDO Activities: \$338,852

~~Community Housing Development Organizations (CHDOS) are a specific type of non-profit organization, that provide decent and affordable housing to low and very low income persons. Fifteen percent (15%) of the City's HOME program funds have been allocated for CHDO activities. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.~~

3) HOME Program Homebuyer Activities: \$1,612,540

3a.) Greater Birmingham Habitat for Humanity: **\$1,500,000**
4408 Lloyd Nolan Parkway
Fairfield, Alabama 35213

As a part of the HOME Program Homebuyer Activities, the City will enter into an agreement with Greater Birmingham Habitat for Humanity in an amount up to \$1,500,000 in HOME funds to undertake eligible HOME Program Activities and construct single-family low/moderate income units on scattered lots throughout the City. Proposed Accomplishments: 25 Homebuyers. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

3b.) Other Home Program Homebuyer Activities: \$112,540

Provision of HOME funds for the acquisition, new construction, and acquisition/rehabilitation of homes for low and moderate income home buyers. Also provides for downpayment assistance of up to \$10,000 per eligible homeowner and for Special Flood Incentive Program Assistance of up to \$10,000 per eligible homeowner. Proposed Accomplishments: 10 Homebuyers. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

4) HOME Program Rental Activities: \$194,260

Provision of HOME funds for the acquisition/rehabilitation, rehabilitation, and new construction of substandard or aging multi-family housing units that are suitable for rehabilitation. Proposed Accomplishments: 15 Housing Units. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance Measure Outcome: #2-Affordability.

HOME PROGRAM TOTAL: \$2,371,553

C. PROJECT: EMERGENCY SHELTER GRANT PROGRAM (ESG): \$291,788

Project ID/Local ID 10; Project Title: Emergency Shelter Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs.

ESG Activities & Description:

1. Essential Services Including

Service Related To Health,

Drug Abuse, Education (30% Maximum): \$32,600

-Family Connection: \$25,450

1323 7th Avenue, North
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Urban Ministry, Inc.: \$7,150
1229 Cotton Avenue, SW
Birmingham, Al 35211

Provision of food, shelter, and medicine to homeless persons through its Community Kitchen and Emergency Care programs. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

2. Maintenance/Operation Expenses: \$225,883

(No Salary Expenses are included.)

- Pathways/Downtown Path Center: \$26,406
409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203

Provision of housing for homeless individuals as a part of their transitional shelter program. Also provides support services for homeless women at their day shelter such as counseling, job readiness skills, and life management skills. Proposed Accomplishments: Serve 1,100 people.

Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Pathways/Transitional Shelters: **\$35,532**
409 Richard Arrington, Jr. Blvd., North
Birmingham, Al 35203

Provision of expanded shelter and supportive services for homeless women and children. Proposed Accomplishments: Serve 120 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Cooperative Downtown Ministries, Inc: **\$35,965**
1501 3rd Avenue, North
Birmingham, Al 35203

Provision of a homeless shelter for men in the Old Firehouse Shelter on 3rd Avenue North. Proposed Accomplishments: Serve 1,205 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- Family Connection: **\$48,640**
1323 7th Avenue, North
Birmingham, Al 35203

Provision of the Hope Mobile which disseminates essential living items such as food, clothing, blankets, jackets, and personal hygiene to homeless youth living on the streets of Birmingham. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- First Light, Inc.: **\$19,495**
2230 Fourth Avenue, North
Birmingham, Al 35203

Provision of an emergency shelter for homeless women and children. Proposed Accomplishments: Serve 1,000 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- YWCA: **\$27,770**
309 North 23rd Street
Birmingham, Al 35203

Program was previously administered by Interfaith Hospitality House when they ceased operations in 2007. Provision of transitional housing for homeless families including food, clothing, life skills training, case management, counseling, day care, school placement, and after school child care. Proposed Accomplishments: Serve 100 people. Start Date: 7/1/2010; Completion Date:

6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

- YWCA: \$32,075
309 North 23rd Street
Birmingham, Al 35203

Provision of housing for homeless women and children. Proposed Accomplishments: Serve 50 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

**3. Homeless Prevention Activities: \$33,305
(30% Maximum)**

- Bridge Ministries: \$26,665
1016 19th Street South
Birmingham, Al 35205

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 165 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

-Urban Ministries, Inc.: \$ 6,640
1229 Cotton Avenue SW
Birmingham, Al 35211

Provision of homeless prevention activities to assist families that have received eviction notices or notices of termination of utility services. Proposed Accomplishments: Serve 30 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #1-Suitable Living Environment; Performance Measure Outcome: #1-Availability/Accessibility.

ESG PROGRAM TOTAL: \$291,788

**D. PROJECT: HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA):
\$593,523**

Project ID/Local ID 10; Project Title: Emergency Shelter Grant Program; Priority Need 3-Provide housing and services for populations with special needs; Priority Need 4-Provide housing and supportive services for homeless populations; Help Prevent Homelessness? Yes; Help the Homeless? Yes; Help those with HIV or AIDS? Yes; Eligibility Citation: HOME Program; Objective; Benefit to low and moderate income persons; Subrecipients: No; Location(s): City Wide.; HUD Matrix Code: 03-T-Operating Costs of Homeless Programs. Program to be administered by the Community Development Department through AIDS Alabama, Inc., a non-profit organization. Proposed Accomplishments: Serve 400 people. Start Date: 7/1/2010; Completion Date: 6/30/2011; Performance Measure Objective: #2-Decent Housing; Performance

Measure Outcome: #1-Availability/Accessibility.

HOPWA Activities & Description:

1. AIDS Alabama, Inc. **\$593,523**
3521 7th Avenue, South, Birmingham, Al

AIDS Alabama, Inc. will serve as the City's sponsor of HOPWA Entitlement Funds per HUD's recommendation. HOPWA Activities are as follows:

- Rental Assistance: \$240,460
- Supportive Services: \$128,329
- Operating Costs: \$178,187
- Resource Identification: \$5,000
- Administration: \$41,547

HOPWA PROGRAM TOTAL: \$593,523

GRAND TOTAL FOR ALL ACTION PLAN PROGRAMS: \$12,517,819

BE IT FURTHER RESOLVED, that the City's Mayor shall be, and hereby is, further authorized to execute and submit to HUD required grantee certifications, HUD standard form 424, grant agreements, 2010 Analysis of Impediments to Fair Housing Choice, amended section 3 compliance strategies, amended consolidated plan narrative or statistical information, letters of consolidated plan consistency, programmatic agreements with the Alabama State Historic Preservation Officer ("SHPO") for specified HUD programs administered by the City, or other such documents as may be required by HUD to comply with applicable regulatory requirements for receipt of said Consolidated Formula allocation funding and to act as the authorized correspondent of the City.

BE IT FURTHER RESOLVED, that the Director of Finance shall be, and hereby is, authorized to disburse CDBG and HOME funds in the amounts recommended by the Director of Community Development for Housing Activities described and authorized herein under the CDBG and HOME Programs in accordance with program guidelines as follows:

- CDBG Critical Repair Grant Program: The Director of Finance shall be and hereby is authorized to disburse CDBG funding for eligible program expenses up to \$15,000.00 per eligible homeowner as recommended by the Director of Community Development;
- CDBG Deferred Payment Loan Program: The Director of Finance shall be and hereby is authorized to disburse CDBG funding for eligible program expenses up to \$15,000.00 per eligible homeowner as recommended by the Director of Community Development;
- CDBG Rehabilitation Loan Program: The Director of Finance shall be and hereby is authorized to disburse CDBG funding for eligible program expenses up to \$40,000.00 per eligible homeowner as recommended by the Director of Community Development;

- CDBG Lead Based Paint Hazard Compliance and Matching Fund Program: The Director of Finance shall be and hereby is authorized to disburse CDBG funding for eligible program expenses up to \$40,000.00 per eligible household as recommended by the Director of Community Development;
- HOME Program Downpayment Assistance: The Director of Finance shall be and hereby is authorized to disburse HOME funding for downpayment assistance as a part of HOME Program Homebuyer Activities up to \$10,000.00 per eligible homebuyer as recommended by the Director of Community Development;
- HOME program Special Flood Incentive Program: The Director of Finance shall be and hereby is authorized to disburse HOME funding for special flood incentive program assistance as a part of HOME Program Homebuyer Activities up to \$10,000.00 per eligible homebuyer as recommended by the Director of Community Development.

BE IT FURTHER RESOLVED, that the Mayor shall be, and hereby is, authorized to enter into an agreement with the Five Points West Community Development Corporation, Inc., in an amount up to \$30,000.00 to provide neighborhood revitalization services.

Adopted by the Council of the City of Birmingham May 11, 2010 and Approved by the Mayor May 18, 2010

Paula B. Smith
City Clerk 